

**Division of Financial Institutions
Department of Commerce and Consumer Affairs
State of Hawaii**

**SUPPLEMENT TO
INTERAGENCY BIOGRAPHICAL AND FINANCIAL REPORT**

Instructions

The questionnaire below supplements the Interagency Biographical and Financial Report that is used in the application to organize a depository or non-depository financial institution and/or holding company. The questions are designed to evaluate and determine the qualifications, character, financial responsibility, experience, and general fitness of the proposed directors and executive officers of the financial institution and any holding company are such as will warrant public confidence and a belief that the business of the financial institution will be honestly and efficiently conducted. The questionnaire should be completed by each director, executive officer, or controlling person in the financial institution and/or holding company applying with the Division of Financial Institutions.

Questionnaire

Name of Financial Institution or Holding Company: _____

Name of Director, Executive Officer, or Controlling Person: _____

Address of Director, Executive Officer, or Controlling Person: _____

Have you been convicted of, or have pleaded nolo contendere to, any crime involving an act of fraud or dishonesty? Yes No

Have you consented to or suffered a judgment in any civil action based upon conduct involving an act of fraud or dishonesty? Yes No

Have you consented to or suffered the suspension or revocation of any professional, occupational, or vocational license based upon conduct involving an act of fraud or dishonesty? Yes No

Have you willfully made or caused to be made in any application or report filed with the Commissioner of Financial Institutions, or in any proceeding before the Commissioner of Financial Institutions, any statement which was at the time and in the light of the circumstances under which it was made false or misleading with respect to any material fact, or have willfully omitted to state in any application or report any material fact which was required to be stated therein? Yes No

Have you willfully committed any violation of, or willfully aided, abetted, counseled, commanded, induced, or procured the violation by any other person of, any provision of Chapter 412, Hawaii Revised Statutes, or of any rule or order issued under Chapter 412, Hawaii Revised Statutes? Yes No

If you answered "yes" to any question, provide your explanation by identifying the number of the question and describing the situation in detail.

Signature of Director, Executive Officer, or Controlling Person: _____

Date: _____