

WHO WE ARE: SECURITIES BRANCHES

REGULATE

The Compliance Branch is responsible for registration of securities professionals and firms and examining securities firms. Call us to check if your financial adviser or agent is properly registered: (808) 586-2722.

PROTECT

The Enforcement Branch receives and investigates complaints on:

- Potential violations of Hawaii securities laws,
- Prosecutes violations of securities laws & rules.

Call us to report investment fraud or file a complaint: Toll Free 1-877-HI SCAMS (1-877-447-2267)

EDUCATE

The Investor Education Program provides the public with information on:

- How to make wise choices when investing,
- How to detect financial fraud like Ponzi schemes and Affinity Fraud,
- Information on investments like mutual funds, variable annuities, stocks, and bonds.

Call us for free educational materials, to schedule a free presentation or to request a community exhibit (808) 587-7400.

CONTACT INFORMATION

Office Hours: 7:45 AM – 4:30 PM (Hawaii Standard Time). The office is open Monday-Friday except on State holidays.

Office Location:

DCCA – Office of the Securities Commissioner
King Kalakaua Building
335 Merchant Street, Rm. 203
Honolulu, HI 96813

Office Mailing Address:

DCCA – Office of the Securities Commissioner
P.O. Box 40
Honolulu, HI 96810

Telephone:

(808) 586-2722 (Compliance Branch)
(808) 586-2740 (Enforcement Branch)
(808) 587-7400 (Investor Education Program)
Toll Free: 1-877-447-2267

Fax: (808) 586-3977

Email: seb@dcca.hawaii.gov

To file a complaint, call 587-2267/Toll Free 1-877-447-2267.
“Protecting Hawaii Investors”

Hawaii Department of Commerce & Consumer Affairs
Office of the Securities Commissioner



1-877-HI SCAMS



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