



**Department of Commerce & Consumer Affairs**  
**Office of the Securities Commissioner**  
335 Merchant Street, Honolulu, Hawaii 96813

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## **Investor Education Program Presentations: Investment Fraud Prevention**

### **1. Who We Are? And How Can We Help?**

Who are we? What do we do? And how can we help you? This presentation is an introduction to the Department of Commerce and Consumer Affairs, Office of the Securities Commissioner. Get the answer to these questions, plus how to protect yourself from investment fraud, how to report investment fraud, where to get free investor protection materials, and more!

### **2. How To Spot A Con Artist And Safeguard Your Investment**

If something sounds too good to be true, it probably is. This presentation will cover threats to investors, ways you can spot a con artist, protect yourself from becoming a victim, and how the DCCA Office of the Securities Commissioner can help you.

### **3. Protect Yourself And Your Money From Predatory Tactics**

Con artists will say or do anything to try and take your hard earned money away from you. This presentation will cover examples of predatory tactics, questions you can ask to protect yourself, and how the DCCA Office of the Securities Commissioner can help you.

### **4. Understanding Variable Annuities And Are They Right For You?**

Variable annuities can be complex – with different types, fees, risk factors, rate of return, etc. This presentation will cover the basics, factors to be aware of, and how the DCCA Office of the Securities Commissioner can help you.

### **5. Avoid Being A Victim: Know The Threats That Investors Face**

Investment fraud comes in many shapes and forms. This presentation will cover various threats to Hawaii investors. From unregistered investment products and unregistered salespersons, misused credentials and designations, to greedy sales practices and Ponzi Schemes - learn more about how you can avoid becoming a victim of investment fraud and how the DCCA Office of the Securities Commissioner can help you.

### **6. Investment Fraud: The Ponzi Scheme**

One of the most common types of investment fraud today is the Ponzi Scheme. This presentation will cover what a Ponzi Scheme is, how it works, the losses that investors can incur, red flags to watch for, where to report Ponzi Schemes and other types of investment fraud and how the DCCA Office of the Securities Commissioner can help.

### **7. Affinity Fraud**

Affinity Fraud can happen to anyone, at any time, and is usually committed by someone the victim knows. This presentation will cover what Affinity Fraud is, the approach and possible targets of this type of fraud, ways to avoid the fraud, and how the DCCA Office of the Securities Commissioner can help.

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**Call (808) 587-7400 to request a presentation and relevant materials.**

**Visit our website at [www.investing.hawaii.gov](http://www.investing.hawaii.gov)**

***“Protecting Hawaii Investors”***