

## HAWAII STATE ETHICS COMMISSION LONG FORM DISCLOSURE OF FINANCIAL INTERESTS

**FILER**

Gaines  
Last Name

James  
First Name

R  
M.I.

**FOR STATE EMPLOYEES**

University of Hawaii  
Department  
President's Office  
Division  
Vice President for Research  
Position

**FOR STATE BOARD/COMMISSION MEMBERS**

Board/Commission Name  
  
**BEGIN** **END**  
*Term of Office (mm/dd/yyyy)*

**FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.**

USE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

**ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR**

List the source and amount of all income of \$1,000 or more received during the preceding calendar year for services rendered (INCLUDING INCOME EARNED FROM YOUR STATE POSITION), and the nature of the services rendered.

F, SP, DC, JT	NAME AND ADDRESS OF SOURCE OF INCOME	AMOUNT	SERVICES RENDERED
F	University of Hawaii	G	Vice President for Research
<input type="checkbox"/> Check here if entry is None <span style="margin-left: 200px;"><input type="checkbox"/> Check here if additional sheets are attached</span>			

**ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business. YOU ARE REQUIRED TO LIST ALL STOCKS, MUTUAL FUNDS OR OTHER NON-RETIREMENT INVESTMENT INTERESTS VALUED AT \$5,000 OR MORE. Please see instructions available at [hawaii.gov/ethics/forms/findisc/D-201-LONGINST.pdf](http://hawaii.gov/ethics/forms/findisc/D-201-LONGINST.pdf).

F, SP, DC, JT	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
<input checked="" type="checkbox"/> Check here if entry is None <span style="margin-left: 200px;"><input type="checkbox"/> Check here if additional sheets are attached</span>				