

11/7/2014 2:09:38 PM

## HAWAII STATE ETHICS COMMISSION DISCLOSURE OF FINANCIAL INTERESTS: LONG FORM

**FILER**

Bley-Vroman

Last Name

Robert

First Name

M.I.

**FOR STATE EMPLOYEES**

University of Hawaii

Department

Manoa Chancellor's Office

Division

Chancellor

Position

**FOR STATE BOARD/COMMISSION MEMBERS**

Board/Commission Name

**BEGIN****END**

Term of Office (mm/dd/yyyy)

**FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.**

USE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

**ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR**

List the source and amount of all income of \$1,000 or more received during the preceding calendar year for services rendered (INCLUDING INCOME EARNED FROM YOUR STATE POSITION), and the nature of the services rendered.

F, SP, DC, JT	NAME OF EMPLOYER / OTHER SOURCE(S) OF INCOME	AMOUNT	SERVICES RENDERED
F	University of Hawaii	F	Dean

☐ Check here if entry is None☐ Check here if additional sheets are attached**ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business. YOU ARE REQUIRED TO LIST ALL STOCKS, MUTUAL FUNDS OR OTHER NON-RETIREMENT INVESTMENT INTERESTS VALUED AT \$5,000 OR MORE.

Please see instructions available at <http://ethics.hawaii.gov>.

F, SP, DC, JT	NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES

☐ Check here if entry is None☒ Check here if additional sheets are attached

**ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER

☒ Check here if entry is None
 ☐ Check here if additional sheets are attached

**ITEM 4: CREDITORS**

List the name of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

F,SP, DC,JT	NAME OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING

☒ Check here if entry is None
 ☐ Check here if additional sheets are attached

**ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS**

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F,SP, DC,JT	NAME OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION

☒ Check here if entry is None
 ☐ Check here if additional sheets are attached

**ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F, SP, DC, JT	STREET ADDRESS	TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	VALUE
JT F	183 Alala Rd, Kailua, HI 96734 624 Alvarado St., Redlands, CA 92373	Parcel ID 420540800000 Parcel # 0173-142-38-0-000	J E

☐ Check here if entry is None
 ☐ Check here if additional sheets are attached

**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F, SP, DC, JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION
F	624 Alvarado St., Redlands, CA 92373 0173-142-38-0-000	Inherited	from Trust-Estate of Wilbur & Laura Vroman

☐ Check here if entry is None
 ☐ Check here if additional sheets are attached

**ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of \$10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

F, SP, DC, JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION

☒ Check here if entry is None
 ☐ Check here if additional sheets are attached

**ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES**

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY



Check here if entry is None



Check here if additional sheets are attached

**ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES**

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

F, SP, DC, JT	NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE



Check here if entry is None



Check here if additional sheets are attached

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**FILER**Robert Bley-Vroman11/5/2014

Type Name of Filer (First, M.I., Last)(Signature required on this line if you are filing a paper form)

Date (m/d/yyyy)



**CERTIFICATION:** By checking this box or signing your name on this form, you signify and affirm that you are the person whose name appears as the "Filer" above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law.

**Disclosure Form, Investments, p. 1**

<b>F, SP DC.JT</b>	<b>Name of Business</b>	<b>Nature of business</b>	<b>Nature of interest</b>	<b>Value, or# shares</b>
F	Legg Mason	Mutual fund	Investor	G
F	Vanguard	Mutual fund	Investor	H
SP	Vanguard	Mutual fund	Investor	H
F	AT&T Inc.	Corporate	Stock	60. sh
SP	AT&T Inc.	Corporate	Stock	180. sh
F	Bank of America	Corporate	Stock	572. sh
SP	Bank of America	Corporate	Stock	572. sh
F	Colgate Palmolive Co.	Corporate	Stock	1600. sh
SP	Colgate Palmolive Co.	Corporate	Stock	1600. sh
F	Consolidated Edison Inc.	Corporate	Stock	100. sh
SP	Consolidated Edison Inc.	Corporate	Stock	100. sh
F	Ford Motor Co.	Corporate	Stock	302. sh
SP	Ford Motor Co.	Corporate	Stock	302. sh
F	Frontier Communications	Corporate	Stock	6. sh
SP	Frontier Communications	Corporate	Stock	6. sh
F	Kellogg Co.	Corporate	Stock	400. sh
SP	Kellogg Co.	Corporate	Stock	400. sh
F	Kinder Morgan Inc. WTS Exp	Corporate	Stock	3. sh
SP	Kinder Morgan Inc. WTS Exp	Corporate	Stock	3. sh
F	Kinder Morgan Inc.	Corporate	Stock	2. sh
SP	Kinder Morgan Inc.	Corporate	Stock	2. sh
F	MS Focus Growth Fund	Mutual fund	Investor	C
SP	MS Focus Growth Fund	Mutual fund	Investor	C
F	Nat'l Grid Transco PLC ADS	Corporate	Stock	29. sh
SP	Nat'l Grid Transco PLC ADS	Corporate	Stock	29. sh
F	Pfizer Inc.	Corporate	Stock	384. sh
SP	Pfizer Inc.	Corporate	Stock	384. sh
F	PG&E Corp.	Corporate	Stock	83. sh
SP	PG&E Corp.	Corporate	Stock	84. sh
F	RPM Inc.	Corporate	Stock	976. sh
SP	RPM Inc.	Corporate	Stock	976. sh
F	Verizon Communications	Corporate	Stock	29. sh
SP	Verizon Communications	Corporate	Stock	29. sh
F	Tenneco Automotive Inc.	Corporate	Stock	7. sh
SP	Tenneco Automotive Inc.	Corporate	Stock	6. sh
F	Invesco Diversified Dividend	Mutual fund	Investor	B
SP	Invesco Diversified Dividend	Mutual fund	Investor	B

## Disclosure Form, Investments, p. 2

F, SP Name of Business		Nature of business		Value, or#
DC.JT		Nature of interest		shares
F	Invesco Global Core Equity	Mutual fund	Investor	C
SP	Invesco Global Core Equity	Mutual fund	Investor	C
F	Invesco Pacific Growth	Mutual fund	Investor	B
SP	Invesco Pacific Growth	Mutual fund	Investor	B
F	Invesco Technology Sector	Mutual fund	Investor	B
SP	Invesco Technology Sector	Mutual fund	Investor	B
F	MS Multi Ca[ Growth Tr	Mutual fund	Investor	B
SP	MS Multi Ca[ Growth Tr	Mutual fund	Investor	B
F	Fideltiy Adv Technology	Mutual fund	Investor	C
SP	Fideltiy Adv Technology	Mutual fund	Investor	C