

HAWAII STATE ETHICS COMMISSION DISCLOSURE OF FINANCIAL INTERESTS: LONG FORM

FILER

Last Name Ha, Jr. First Name Richard M.I.

FOR STATE EMPLOYEES

FOR STATE BOARD/COMMISSION MEMBERS

Department

Board of Agriculture
Board/Commission Name

Division

BEGIN 06/01/2010 END 05/31/2014
Term of Office (mm/dd/yyyy)

Position

FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.
USE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR

List the source and amount of all income of \$1,000 or more received during the preceding calendar year for services rendered (INCLUDING INCOME EARNED FROM YOUR STATE POSITION), and the nature of the services rendered.

F, SP, DC, JT	NAME AND ADDRESS OF SOURCE OF INCOME	AMOUNT	SERVICES RENDERED
JT	<u>Mauna Kea Banana Co., Inc.</u> <u>3 Panaewa Distribution Center, Inc.</u> <u>421 Lama St.</u> <u>Hilo, Hi. 96720</u>	<u>F</u>	<u>Owners, Farming</u>
F	<u>Big Island Container Sales & Rentals</u>		<u>Member</u>
JT	<u>Pepeekeo Farming, LLC</u> <u>421 Lama St.</u> <u>Hilo, Hi. 96720</u>	<u>C</u>	<u>Rental of Property</u>

Check here if entry is None

Check here if additional sheets are attached

ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business. YOU ARE REQUIRED TO LIST ALL STOCKS, MUTUAL FUNDS OR OTHER NON-RETIREMENT INVESTMENT INTERESTS VALUED AT \$5,000 OR MORE. Please see instructions available at <http://hawaii.gov/ethics>.

F, SP, DC, JT	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
JT	<u>Mauna Kea Banana, Co.</u>	<u>Farming</u>	<u>80% ownership</u>	<u>800 shares</u>
JT	<u>Panaewa Distribution Center</u> <u>(421 Lama St. Hilo, Hi.)</u>	<u>Wholesaling</u>	<u>100% ownership</u>	<u>1,000 shares</u>
JT	<u>Pepeekeo Farming, LLC</u> <u>421 Lama St., Hilo, Hi.</u>	<u>Rental</u>	<u>100% Ownership</u>	<u>1,000 shares</u>
JT	<u>Hanalekua Springs Water LLC</u> <u>421 Lama St., Hilo, Hi.</u>	<u>Hydroelectric</u>	<u>100% Ownership</u>	<u>1,000 shares</u>
JT	<u>Pepeekeo Banana, LLC</u> <u>421 Lama St., Hilo, Hi.</u>	<u>NO ACTIVITY</u>	<u>100% Ownership</u>	<u>1,000 shares</u>

Check here if entry is None

Check here if additional sheets are attached

F Big Island Container Sales & Rentals, LLC Rentals & Sales of containers 50% Ownership 1,000 shares

F Ku'okō'a, LLC Energy & technology (NO ACTIVITY) stockholder inactive

ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER

Check here if entry is None

Check here if additional sheets are attached

ITEM 4: CREDITORS

List the name of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

F,SP, DC,JT	NAME OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING
JT	Bank of Hawaii (Mortgage) Residence	H	G
JT	S/H Agricultural Loan Division	J	I

Check here if entry is None

Check here if additional sheets are attached

ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F,SP, DC,JT	NAME AND ADDRESS OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION
F	HIEDB	Director	2 yrs.	NONE
F	Kohala Center	Director	2 yrs.	NONE
F	Big Island Japanese Chamber of Commerce & Industry	Director Member <i>PK</i>	2013-	NONE

Check here if entry is None

Check here if additional sheets are attached

ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F, SP, DC, JT	STREET ADDRESS	TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	VALUE
JT	Pepeekeo, Hi. 96783	2-8-004-005-0000	\$2,824,400
JT	28-583 Kaupakua Homestead Rd, Pepeekeo, Hi. 96783	2-8-004-001-0000	2,919,900
JT	28-595 Kaupakua Homestead Rd, Pepeekeo, Hi. 96783	2-8-004-004-0000	1,537,600
JT	Kaunama Dr, Hilo, Hi. 96720	2-5-041-108-0000	48,800
JT	Pepeekeo, Hi. 96783	2-8-007-085-0000	405,700
F	Kahala St., Pahoa, Hi.	1-5-095-010-0000	11,600
F	200 Alaloa Rd, Hilo, Hi. 96720	2-4-032-031-0000	186,800

Check here if entry is None
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ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F, SP, DC, JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION

Check here if entry is None
 Check here if additional sheets are attached

ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of \$10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

F, SP, DC, JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION
JT	Pepeekeo, Hi. 96783	\$150,000 - Sale	Michelle Higashi

Check here if entry is None
 Check here if additional sheets are attached

ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached	

ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

F, SP, DC, JT	NAME AND ADDRESS OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached				

FILER

Richard Ha, Jr. Richard Ha 3/25/2014
 Type Name of Filer (First, M.I., Last)(Signature required on this line if you are filing a paper form) Date (m/d/yyyy)

CERTIFICATION: By checking this box or signing your name on this form, you signify and affirm that you are the person whose name appears as the "Filer" above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law.