



Addition to  
Hawaii State Ethics Commission  
Disclosure of Financial Interests  
William M. Tam

Item 2 Ownership of Beneficial Interests in Business

All of the mutual funds listed below in the A and B accounts are managed by:  
Northwest Mutual Investment Services  
611 E. Wisconsin Ave. Suite 600  
Milwaukee, Wisconsin 53202

None of the mutual funds are Hawaii based funds.  
I do not own any individual stocks of Hawaii companies.

<u>Mutual Fund</u>	<u>Value or # of shares</u>	
A Account		
Berkshire	C	
AMCAP F-1	C	
Am Century International Bond	C	
Calvert Large Cap Gr.	C	
Cap. World Gr & Inc.	C	
Dodge & Cox International	D	
Eagle Small Cap Gr.	B	
Europacific Growth F-1	B	
Fidelity Advisor Mid-Cap II	C	
Fidelity Advisor New Insights	C	
Fundamental Investors F-1	C	
Perkins Mid-Cap	C	
T Rowe Price Emerging Mkt	C	
Stratton Small Cap	B	
Tax Exempt Bond Fund	E	
Vanguard REIT Index	C	
Washington Mutual Investor	C	
PIMCO Comm Plus Str	C	
Russell Emerging Mkt. C		
B Account		
Alliance Bernstein International	B	
Alliance Bernstein Inter. Value	B	
Capital World Gr & Inc.	D	
DWS Large Cap. Value	C	
DWS S&P 500 Index	C	
DWS Core Equity (S)	E	
Dodge & Cox International	B	
Europacific Gr Fund F-1	B	

Fidelity Asset Mgr 50%	E
Harbor Cap. Appreciation	E
Oakmark International	D
Mutual Shares (Z)	G
Mutual Beacon (Z)	E
New World F-1	C
T Rowe Price New Horizons	F
T Rowe Price Sci & Tech	F
T Rowe Price Midcap	D

State of Hawaii Island Savings Plan - Retirement Account  
 Pauahi Twr, Ste 1160, 1003 Bishop St., Honolulu, Hawaii

Stable Value Fund	D
PIMCO Total Return Fund	A
BlackRock US Large cap Index	F
Victory Inst. Div Stock Fund	C
Wellington Research Value	D
EuroPacific Growth Fund	F
Harbor Small Cap Value	D
Century Small/Mid Cap	D

IRA Rollover - Northwest Mutual

		Fidelity MidCap II	C
		Allian 791 NFI Dividend Value	C
American Century	Div Bond	Brown Capital	B
		Columbia Accord Int.	B
T. Rowe Price	Real Est	Delaware Emrg. MKT.	C
		Dodge & Cox Inc.	C

Individual Mutual Funds - IRA Accounts

Federated Kaufman Fund K (IRA)	C
Conesco Annuity (contract)	C
Fidelity Asset Mgr. 50%	C
Fidelity Asset Mgr. 70%	C
Fidelity Magellan	B

↓	Fidelity Advisor	D
	New Insights	
	Harbor Bond - Inst. Class	C
	Harbor Real Return Inst	B
	Oakmark Int. Fund	C
	" "	B

Individual Stock

RiteAid	A
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↓	John Hancock Discipline	C
	MFS International	C
	Growth	
	MFS Value I	D
	Northern Small Cap	B
	Value	
	Pimco Value Class P	D
	Pimco Commodities Plus	C
	Strategy	

Principal High Yield Class A	C
Scout International	C
Templeton Global Bond	C

**ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER
F	Northwest Mutual, Fidelity, Kaufman, and State Island Savings Plan all manage accounts and trade in the market to rebalance broad mutual fund portfolio under pre-established general categories and guidelines. Aggregate gains and losses are summarized in reports, but operational details are not.	infra
<input type="checkbox"/> Check here if entry is None <input checked="" type="checkbox"/> Check here if additional sheets are attached		

**ITEM 4: CREDITORS**

List the name of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

F,SP, DC,JT	NAME OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING
F	None	N/A	N/A
<input type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached			

**ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS**

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F,SP, DC,JT	NAME AND ADDRESS OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION
F	Marimed Foundation 45-021 Likeke Pl. Kaneohe, Hawaii 96744	Board Chairperson	2006-present	None
F	Polynesian Voyaging Society 10 Sand Island Access Pkwy Honolulu, Hawaii 96819	Trustee	1998 - present	None
<input type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached				

**ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F, SP, DC, JT	STREET ADDRESS	TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	VALUE
F	one-half undivided interest in mother's home (by descent)  893 Wanaao Road Kailua, Hawaii 96744	RP 1-4-2-049-070	H
<input type="checkbox"/> Check here if entry is None		<input type="checkbox"/> Check here if additional sheets are attached	

**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F, SP, DC, JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION
F	one-half undivided interest in mother's home (by descent)  893 Wanaao Road Kailua, Hawaii 96744	RP 1-4-2-049-070	H
<input type="checkbox"/> Check here if entry is None		<input type="checkbox"/> Check here if additional sheets are attached	

**ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of \$10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

F, SP, DC, JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION
F	None	N/A	N/A
<input type="checkbox"/> Check here if entry is None		<input type="checkbox"/> Check here if additional sheets are attached	

**ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES**

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY
None	N/A

Check here if entry is None  Check here if additional sheets are attached

**ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES**

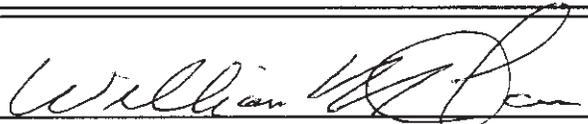
List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

F,SP, DC,JT	NAME AND ADDRESS OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE
F	None	N/A	N/A	N/A

Check here if entry is None  Check here if additional sheets are attached

**FILER**

William M. Tam



6/2/2014

Type Name of Filer (First, M.I., Last)(Signature required on this line if you are filing a paper form)

Date (m/d/yyyy)

**CERTIFICATION:** By checking this box or signing your name on this form, you signify and affirm that you are the person whose name appears as the "Filer" above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law.