

## HAWAII STATE ETHICS COMMISSION CANDIDATE DISCLOSURE OF FINANCIAL INTERESTS

**FILER**

**BENNETT**  
Last Name

**MICHAEL**  
First Name

**D**  
M.I.

**OFFICE TO WHICH YOU SEEK ELECTION**

- Governor
- Lieutenant Governor
- Senate, District No. 9
- House of Representatives, District No. \_\_\_\_\_
- Office of Hawaiian Affairs, Island \_\_\_\_\_

**ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR**

List the source and amount of all income of \$1,000 or more received during the preceding calendar year (1/1/2015 – 12/31/2015) for services rendered (INCLUDING INCOME EARNED FROM ANY STATE OR OTHER GOVERNMENT AGENCY), and the nature of the services rendered.

NAME AND ADDRESS OF SOURCE OF INCOME	AMOUNT	SERVICES RENDERED
My medical companies listed in Item 2 below	G	Practicing licensed physician
<input type="checkbox"/> Check here if entry is None		<input type="checkbox"/> Check here if additional sheets are attached

**ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List the amount and identity of every ownership or beneficial interest held during the disclosure period (1/1/2015 to the date of filing this form) in any business in or outside of the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business. YOU ARE REQUIRED TO LIST ALL STOCKS, MUTUAL FUNDS OR OTHER NON-RETIREMENT INVESTMENT INTERESTS VALUED AT \$5,000 OR MORE. Please see Instructions for this form.

BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
see attached pages labeled "ITEM #2"			
<input type="checkbox"/> Check here if entry is None		<input checked="" type="checkbox"/> Check here if additional sheets are attached	

**ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List any ownership or beneficial interests in businesses transferred during the disclosure period (1/1/2015 to the date of filing this form) and the date of transfer.

OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER
Yacht Harbor Professionals, LLC - sold my 50% interest in this real estate holding company	1/16/2015
<input type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached	

**ITEM 4: CREDITORS**

List the name of each creditor to whom the value of \$3,000 or more was owed during the disclosure period (1/1/2015 to the date of filing this form) and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

NAME OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING
Morgan Stanley	K	K
Wells Fargo Bank	K	K
First Hawaiian Bank	K	\$0
Symetra	K	K
American Savings Bank	K	K
Bank of Hawaii	F	\$0
<input type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached		

**ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS**

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period (1/1/2015 to the date of filing this form) in any business or organization, the term of office, and the annual compensation.

NAME AND ADDRESS OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION
Retina Institute Management, Inc.	Director	3 years	\$0 (but this company owes me money from the ESOP sale including principal & interest)
<input type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached			

**ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State held during the disclosure period (1/1/2015 to the date of filing this form), if the interest has a value of \$10,000 or more. Real property that is your personal residence need not be listed.

STREET ADDRESS	TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	VALUE
184 White Pine Canyon, Park City, UT 1030-1050 S Academy Blvd. Colorado Springs, CO	CWPC-4B-184 6422405001	K K
1300 S Potomac St., Aurora, CO	1973-24-1-09-001	K
900 Lower Main St., Park City, UT Unit J	Acct #0340582 Parcel #SWPC-J	K

Check here if entry is None
  Check here if additional sheets are attached

**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State, acquired during the disclosure period (1/1/2015 to the date of filing this form), if the interest has a value of \$10,000 or more. Real property that is your personal residence need not be listed.

STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION

Check here if entry is None
  Check here if additional sheets are attached

**ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State transferred during the disclosure period (1/1/2015 to the date of filing this form), if the interest has a value of \$10,000 or more. Real property that was your personal residence need not be listed.

STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION
See attachment labeled Item #8		

Check here if entry is None
  Check here if additional sheets are attached

**ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES**

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period (1/1/2015 to the date of filing this form), excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached	

**ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES**

List the amount and identity of every creditor interest in an insolvent business, held during the disclosure period (1/1/2015 to the date of filing this form), if the interest has a value of \$5,000 or more.

NAME AND ADDRESS OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached			

**FILER**

Michael D. Bennett

7/22/2016

Type Name of Filer (First, M.I., Last)(Signature required on this line if you are filing a paper form)

Date (m/d/yyyy)

**CERTIFICATION:** By checking this box or signing your name on this form, you signify and affirm that you are the person whose name appears as the "Filer" above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law.

## ITEM #2 from: Michael D. Bennett, M.D.

<u>Business Name and Address:</u>	<u>Nature of business</u>	<u>Nature of interest</u>	<u>Value or # of shares</u>
Retina Institute of Hawaii, LLC ("RIH")	Medical	100% Owner	H
Eye Center of Hawaii, LLC	Medical	100% Owner	G
Hawaii Cataract & Laser Institute Hilo, LLC	Medical	100% Owner	G
Hale Maka Ike, LLC	Medical	100% Owner	G
CEC Eye Center LLC	Medical	100% Owner	G
Center For Sight, LLC	Medical	100% Owner	G
Center For Sight-West Oahu LLC	Medical	100% Owner	G
Kai'Ohu AMG, Inc. ("K'O")	Real Estate	100% Owner	K
Retina Metrics, LLC	Technology	50% via RIH	K
I-225 Kai'Ohu LLC	Real Estate	100% Owner	K
Kai'Ohu Park City, LLC	Real Estate	50% via K'O	K
Kai'Ohu Academy Point LLC	Real Estate	100% Owner	J
Kai'Ohu Mangement LLC	Real Estate	100% Owner	B
Kai'Ohu Summit LLC	Real Estate	100% Owner	K
Park City Motorsports, LLC	Vintage Cars	100% Owner	B
* Three Crowns LLC ("B" Class Shareholder )	Real Estate	10.53% Investor	B
Engine LLC	Fitness	100% Owner	B

All companies have an address of:

1620 Ala Moana Blvd. STE 500

Honolulu, HI 96815

- \* EXCEPT Mr. Bennett's investment in Three Crowns LLC.  
That investment fund was based in the Charlotte, NC area.

## ITEM #2 continued for Michael Bennett

### Michael Bennett's Personal Commodities Account

Symbol/CUSIP	Security Description	Amount	Product
AMZN	AMAZON COM INC	B	Stocks
AAPL	APPLE INC	C	Stocks
CVX	CHEVRON CORP	B	Stocks
DIS	WALT DISNEY CO HLDG CO	C	Stocks
KR	KROGER CO	B	Stocks
SPY P 01/19/18 175	PUT STANDARD & POORS DEP REC AT 175.000 EXPIRES 01/19/2018	B	Options
IAU	ISHARES GOLD TRUST	C	ETF/CEF
EEM	ISHARES MSCI EMERGING MKTS ETF	B	ETF/CEF
TLT	ISHARES 20+ YR TREASU BOND ETF	C	ETF/CEF
BAW47	NH ENERGY CAP US WM FEEDR	A	Other Holdings

### Kai'Ohu AMG, Inc. Commodities Account (one of Michael Bennett's companies)

Symbol/CUSIP	Security Description	Amount	Product
3134G72P5	FED HOME LN MTG CORPCoupon 1.20% Mature 10/29/2018(D2EJ4)	J	Gov't Securities
3135G0CM3	FED NATL MTG ASSNCoupon 1.25% Mature 09/28/2016(B22VK)	K	Gov't Securities
3135G0E33	FED NATL MTG ASSNCoupon 1.13% Mature 07/20/2018(C2S71)	K	Gov't Securities
3135G0ES8	FED NATL MTG ASSNCoupon 1.38% Mature 11/15/2016(B9PS5)	K	Gov't Securities
3135G0GY3	FED NATL MTG ASSNCoupon 1.25% Mature 01/30/2017(A8VZ2)	K	Gov't Securities
3135G0JA2	FED NATL MTG ASSNCoupon 1.13% Mature 04/27/2017(AH6T2)	K	Gov't Securities
3135G0PQ0	FED NATL MTG ASSNCoupon 0.88% Mature 10/26/2017(CZ89K)	K	Gov't Securities
3135G0TG8	FED NATL MTG ASSNCoupon 0.88% Mature 02/08/2018(C1UQ2)	K	Gov't Securities
3135G0YT4	FED NATL MTG ASSNCoupon 1.63% Mature 11/27/2018(DG84A)	K	Gov't Securities
3135G0ZA4	FED NATL MTG ASSNCoupon 1.88% Mature 02/19/2019(DM74T)	K	Gov't Securities
3137EADC0	FED HOME LN MTG CORPCoupon 1.00% Mature 03/08/2017(B8MS3)	K	Gov't Securities
3137EADF3	FED HOME LN MTG CORPCoupon 1.25% Mature 05/12/2017(C5UY8)	K	Gov't Securities
3137EADH9	FED HOME LN MTG CORPCoupon 1.00% Mature 06/29/2017(B98T7)	K	Gov't Securities
3137EADJ5	FED HOME LN MTG CORPCoupon 1.00% Mature 07/28/2017(C70MP)	K	Gov't Securities
3137EADP1	FED HOME LN MTG CORPCoupon 0.88% Mature 03/07/2018(C16GW)	K	Gov't Securities
31398ADM1	FED NATL MTG ASSNCoupon 5.38% Mature 06/12/2017(GKR16)	K	Gov't Securities
912796HU6	UNITED STATES TREASURY BILLCoupon 0.00% Mature 12/08/2016(D81X6)	K	Gov't Securities
912796JK6	UNITED STATES TREASURY BILLCoupon 0.00% Mature 09/08/2016(C6P3G)	K	Gov't Securities
912828F62	UNITED STATES TREASURY NOTECoupon 1.50% Mature 10/31/2019(E1V5S)	K	Gov't Securities
912828G20	UNITED STATES TREASURY NOTECoupon 0.88% Mature 11/15/2017(C405Z)	K	Gov't Securities
912828G46	UNITED STATES TREASURY NOTECoupon 0.50% Mature 11/30/2016(C6CZ9)	K	Gov't Securities
912828RE2	UNITED STATES TREASURY NOTECoupon 1.50% Mature 08/31/2018(B3EN6)	I	Gov't Securities
912828VE7	UNITED STATES TREASURY NOTECoupon 1.00% Mature 05/31/2018(DS3M5)	K	Gov't Securities
912828WD8	UNITED STATES TREASURY NOTECoupon 1.25% Mature 10/31/2018(D5BP0)	K	Gov't Securities
912828XP0	UNITED STATES TREASURY NOTECoupon 0.63% Mature 07/31/2017(E7VB6)	J	Gov't Securities

# ITEM #8 for Michael Bennett

## Michael Bennett's Real Property Transferred

<u>Street Address:</u>	<u>Amount:</u>	<u>Nature:</u>	<u>Consideration Furnished By:</u>	<u>Tax Map Key or Property ID #</u>
2720-2760 W Rasmussen, Park City,UT	K	cash	Summit Center Owners, LLC	Acct #0459748 Parcel #SCCPS-A through #0459779 Parcel #SCCPS-D
Yacht Harbor Professionals, LLC (sold my 50%) 1620 Ala Moana Blvd. (owned multiple condo units)	K	cash	Don Horner	230360390000
Three Crowns, LLC = RE Investment Fund for 13 properties in the Charlotte, NC area which was dissolved in 2016	B	cash	Three Crowns, LLC	diversified investment we don't have the details of each parcel
601 Zena Rucker Rd. Southlake, TX	K	cash	MILILANI INV ZENA LLC	Acct # 00041186826 APD # 42160 60 2R1