# HAWAII STATE ETHICS COMMISSION DISCLOSURE OF FINANCIAL INTERESTS: LONG FORM

| FILER                                 |                             |                |
|---------------------------------------|-----------------------------|----------------|
| Morton Jol                            | hn                          | Frankl         |
| Last Name Firs                        | t Name                      | M.I.           |
| FOR STATE EMPLOYEES                   | FOR STATE BOARD/COMMISSIO   | N MEMBERS      |
| University of Hawaii                  | High Technology Developm    | ent Corporatio |
| Department                            | Board/Commission Name       | -              |
| Community Colleges                    | 07/01/2015 06/30            | /2019          |
| Division                              | BEGIN END                   |                |
| Vice-President for Community Colleges | Term of Office (mm/dd/yyyy) |                |
| Position                              |                             |                |

FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN. USE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR

List the source and amount of all income of \$1,000 or more received during the preceding calendar year for services rendered (INCLUDING INCOME EARNED FROM YOUR STATE POSITION), and the nature of the services rendered.

| F,SP,<br>DC,JT | NAME OF EMPLOYER / OTHER SOURCE(S) OF INCOME | AMOUNT | SERVICES RENDERED                           |
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| Che            | ck here if entry is None                     | c      | heck here if additional sheets are attached |

#### ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business. YOU ARE REQUIRED TO LIST ALL STOCKS, MUTUAL FUNDS OR OTHER NON-RETIREMENT INVESTMENT INTERESTS VALUED AT \$5,000 OR MORE. Please see instructions available at <a href="http://ethics.hawaii.gov">http://ethics.hawaii.gov</a>.

| F,SP,<br>DC,JT | NAME OF BUSINESS         | NATURE OF BUSINESS | NATURE OF INTEREST         | VALUE OR NO.<br>OF SHARES |
|----------------|--------------------------|--------------------|----------------------------|---------------------------|
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#### ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

| F,SP,<br>DC,JT | OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD | DATE OF<br>TRANSFER          |
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### **ITEM 4: CREDITORS**

List the name of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

| F,SP,<br>DC,JT | NAME OF CREDITOR         | ORIGINAL AMOUNT<br>OWED | AMOUNT<br>OUTSTANDING |
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| Che            | ck here if entry is None | Check here if additiona | I sheets are attached |

ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

| F,SP,<br>DC,JT | NAME OF BUSINESS   | TITLE HELD | TERM OF OFFICE | ANNUAL<br>COMPENSATION |
|----------------|--|------------|----------------|------------------------|
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#### ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

| F,SP,<br>DC,JT | STREET ADDRESS           | TAX MAP KEY NUMBER (IF TAX MAP<br>KEY NUMBER EXISTS) | VALUE              |
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#### ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

| F,SP,<br>DC,JT | STREET ADDRESS AND TAX MAP KEY NUMBER (IF<br>TAX MAP KEY NUMBER EXISTS)  | AMOUNT & NATURE OF<br>CONSIDERATION PAID | NAME OF PERSON RECEIVING<br>THE CONSIDERATION |
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#### ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of \$10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

| F,SP,<br>DC,JT | STREET ADDRESS AND TAX MAP KEY NUMBER (IF<br>TAX MAP KEY NUMBER EXISTS)  | AMOUNT & NATURE OF<br>CONSIDERATION RECEIVED | NAME OF PERSON FURNISHING<br>THE CONSIDERATION |
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#### ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

| NAME OF CLIENT                | NAME OF STATE AGENCY                         |
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| ✓ Check here if entry is None | Check here if additional sheets are attached |

#### ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

| F,SP,<br>DC,JT   | NAME OF BUSINESS | NATURE OF BUSINESS | NATURE OF INTEREST | VALUE |
|--|------------------|--------------------|--------------------|-------|
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| Check here if entry is None Check here if additional sheets are attached |                  |                    |                    |       |

# FILER

# John Franklin Morton

05/31/2016 Date (m/d/yyyy)

Type Name of Filer (First, M.I., Last)(Signature required on this line if you are filing a paper form)

CERTIFICATION: By checking this box or signing your name on this form, you signify and affirm that you are the person whose name appears as the "Filer" above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law.

Filer Name John Franklin Morton

Check all that apply. - State Employee - State Board/Commission Member

Department University of Hawaii Division Community Colleges State Employee Position Vice-President for Community Colleges State Board or Commission Name High Technology Development Corporation Term of Office Start Jul 01, 2015 Term of Office End Jun 30, 2019

Category 1: Income for services rendered for preceding calendar year Yes, I have items

START Item #1 Who holds interest? Filer Item #1 Name of Employer/ Other source of income State of Hawai'i Item #1 Compensation received G : At least \$150,000 but less than \$250,000 Item #1 Description of services rendered Salary as Vice-President for Community Colleges START Item #2 Who holds interest? Spouse Item #2 Name of Employer/ Other source of income Hawai'i Pacific Health Item #2 Compensation received F : At least \$100,000 but less than \$150,000 Item #2 Description of services rendered Salary as Interim executive START Item #3 Who holds interest? Item #3 Name of Employer/ Other source of income Item #3 Compensation received Item #3 Description of services rendered START Item #4 Who holds interest? Item #4 Name of Employer/ Other source of income Item #4 Compensation received Item #4 Description of services rendered START Item #5 Who holds interest? Item #5 Name of Employer/ Other source of income Item #5 Compensation received Item #5 Description of services rendered

Category 2: Ownership or Beneficial Interests in Businesses None

START Item #1 Who holds interest? Item #1 Legal name of business Item #1 Nature of business Item #1 Nature of interest Item #1 Value of interest Item #1 Number of Shares START Item #2 Who holds interest? Item #2 Legal name of business Item #2 Nature of business Item #2 Nature of interest Item #2 Value of interest Item #2 Number of Shares START Item #3 Who holds interest? Item #3 Legal name of business Item #3 Nature of business Item #3 Nature of interest Item #3 Value of interest Item #3 Number of Shares START Item #4 Who holds interest? Item #4 Legal name of business Item #4 Nature of business Item #4 Nature of interest Item #4 Value of interest Item #4 Number of Shares START Item #5 Who holds interest? Item #5 Legal name of business Item #5 Nature of business Item #5 Nature of interest Item #5 Value of interest Item #5 Number of Shares

Category 3: Transfer of Ownership or Beneficial Interests in Businesses None

START Item #1 Who holds interest? Item #1 Ownership or beneficial interest transferred during this disclosure period Item #1 Date of transfer START Item #2 Who holds interest? Item #2 Ownership or beneficial interest transferred during this disclosure period Item #2 Date of transfer START Item #3 Who holds interest? Item #3 Ownership or beneficial interest transferred during this disclosure period Item #3 Ownership or beneficial interest transferred during this disclosure period Item #3 Date of transfer START Item #4 Who holds interest? Item #4 Ownership or beneficial interest transferred during this disclosure period Item #4 Date of transfer START Item #5 Who holds interest? Item #5 Ownership or beneficial interest transferred during this disclosure period Item #5 Date of transfer

Category 4: Creditors Yes, I have items

START Item #1 Who holds interest? Joint Item #1 Legal name of creditor First Hawaiian Bank Item #1 Original amount owed H : At least \$250,000 but less than \$500,000 Item #1 Amount outstanding H : At least \$250,000 but less than \$500,000 START Item #2 Who holds interest? Filer Item #2 Legal name of creditor Nissan Finance Item #2 Original amount owed D : At least \$25,000 but less than \$50,000 Item #2 Amount outstanding B : At least \$1,000 but less than \$10,000 START Item #3 Who holds interest? Joint Item #3 Legal name of creditor UH Credit Union Item #3 Original amount owed C : At least \$10,000 but less than \$25,000 Item #3 Amount outstanding B : At least \$1,000 but less than \$10,000 START Item #4 Who holds interest? Item #4 Legal name of creditor Item #4 Original amount owed Item #4 Amount outstanding START Item #5 Who holds interest? Item #5 Legal name of creditor Item #5 Original amount owed Item #5 Amount outstanding

Category 5: Officerships, Directorships, Trusteeships Yes, I have items

START Item #1 Who holds interest? Filer Item #1 Legal name of entity Hawai'i Health Information Corporation Item #1 Title held Board Chair Item #1 Term of Office 2017 Item #1 Annual compensation A : Less than \$1,000 START Item #2 Who holds interest? Item #2 Legal name of entity RC2020 Item #2 Title held Board Member and Treasurer Item #2 Term of Office Coincides with UH Vice-President Position Item #2 Annual compensation A : Less than \$1,000 START Item #3 Who holds interest? Filer Item #3 Legal name of entity Assets School Item #3 Title held Board Chair Item #3 Term of Office 2019 Item #3 Annual compensation A : Less than \$1,000 START Item #4 Who holds interest? Filer Item #4 Legal name of entity Community Colleges for International Development Item #4 Title held Board member Item #4 Term of Office Coincides with UH Vice-President Position Item #4 Annual compensation A : Less than \$1,000 START Item #5 Who holds interest? Item #5 Legal name of entity Item #5 Title held Item #5 Term of Office Item #5 Annual compensation

Category 6: Interests in Real Property Held, excluding Personal Residence(s) Yes, I have items

START Item #1 Who holds interest? Joint Item #1 Street address 3106 Kahiwa Place Honolulu, HI 96822 **United States** Item #1 Tax Map Key 290380930000001 Item #1 Value J : At least \$750,000 but less than \$1,000,000 START Item #2 Who holds interest? Joint Item #2 Street address 3577 Pinao St, #3 Honolulu, Hi 96822 **United States** Item #2 Tax Map Key 2905200100030001 Item #2 Value I : At least \$500,000 but less than \$750,000 START Item #3 Who holds interest? Item #3 Street address Item #3 Tax Map Key Item #3 Value START Item #4 Who holds interest? Item #4 Street address Item #4 Tax Map Key Item #4 Value START Item #5 Who holds interest? Item #5 Street address Item #5 Tax Map Key Item #5 Value

Category 7: Interests in Real Property Acquired, excluding Personal Residence(s) None

START Item #1 Who holds interest? Item #1 Street address Item #1 Tax Map Key Item #1 Amount of consideration paid Item #1 Nature of consideration paid Item #1 Legal name of person or entity receiving the consideration START Item #2 Who holds interest? Item #2 Street address Item #2 Tax Map Key Item #2 Amount of consideration paid Item #2 Nature of consideration paid Item #2 Legal name of person or entity receiving the consideration START Item #3 Who holds interest? Item #3 Street address Item #3 Tax Map Key Item #3 Amount of consideration paid Item #3 Nature of consideration paid Item #3 Legal name of person or entity receiving the consideration START Item #4 Who holds interest? Item #4 Street address Item #4 Tax Map Key Item #4 Amount of consideration paid Item #4 Nature of consideration paid Item #4 Legal name of person or entity receiving the consideration START Item #5 Who holds interest? Item #5 Street address Item #5 Tax Map Key Item #5 Amount of consideration paid Item #5 Nature of consideration paid Item #5 Legal name of person or entity receiving the consideration

Category 8: Interests in Real Property Transferred, excluding Personal Residence(s) None

START Item #1 Who holds interest? Item #1 Street address Item #1 Tax Map Key Item #1 Amount of consideration received Item #1 Nature of consideration received Item #1 Legal name of person or entity furnishing the consideration START Item #2 Who holds interest? Item #2 Street address Item #2 Tax Map Key Item #2 Amount of consideration received Item #2 Nature of consideration received Item #2 Legal name of person or entity furnishing the consideration START Item #3 Who holds interest? Item #3 Street address Item #3 Tax Map Key Item #3 Amount of consideration received Item #3 Nature of consideration received Item #3 Legal name of person or entity furnishing the consideration START Item #4 Who holds interest? Item #4 Street address Item #4 Tax Map Key Item #4 Amount of consideration received Item #4 Nature of consideration received Item #4 Legal name of person or entity furnishing the consideration START Item #5 Who holds interest? Item #5 Street address Item #5 Tax Map Key Item #5 Amount of consideration received Item #5 Nature of consideration received Item #5 Legal name of person or entity furnishing the consideration

Category 9: Clients Personally Represented before State Agencies None

START Item #1 Legal name of client Item #1 Name of State Agency START Item #2 Legal name of client Item #2 Name of State Agency START Item #3 Legal name of client Item #3 Name of State Agency START Item #4 Legal name of client Item #4 Name of State Agency START Item #5 Legal name of client Item #5 Name of State Agency

Category 10: Creditor Interests in Insolvent Businesses None

START Item #1 Who holds interest? Item #1 Legal name of entity Item #1 Nature of business Item #1 Nature of interest Item #1 Value START Item #2 Who holds interest? Item #2 Legal name of entity Item #2 Nature of business Item #2 Nature of interest Item #2 Value START Item #3 Who holds interest? Item #3 Legal name of entity Item #3 Nature of business Item #3 Nature of interest Item #3 Value START Item #4 Who holds interest? Item #4 Legal name of entity Item #4 Nature of business Item #4 Nature of interest Item #4 Value START Item #5 Who holds interest? Item #5 Legal name of entity Item #5 Nature of business

#### Upload your additional information

By checking this box, you signify and affirm that you are the person whose name appears as the Filer above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law. - I sign and affirm

Filer Name John Franklin Morton