

## HAWAII STATE ETHICS COMMISSION DISCLOSURE OF FINANCIAL INTERESTS: LONG FORM

**FILER**

Holthus  
Last Name

Bridget  
First Name

Palme  
M.I.

**FOR STATE EMPLOYEES**

Human Services

Department

Office of the Director

Division

Deputy Director

Position

**FOR STATE BOARD/COMMISSION MEMBERS**

Board/Commission Name

**BEGIN**

**END**

*Term of Office (mm/dd/yyyy)*

**FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.**

USE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

**ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR**

List the source and amount of all income of \$1,000 or more received during the preceding calendar year for services rendered (INCLUDING INCOME EARNED FROM YOUR STATE POSITION), and the nature of the services rendered.

F, SP, DC, JT	NAME OF EMPLOYER / OTHER SOURCE(S) OF INCOME	AMOUNT	SERVICES RENDERED
<input type="checkbox"/>	Check here if entry is None		
<input checked="" type="checkbox"/>	Check here if additional sheets are attached		

**ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business. YOU ARE REQUIRED TO LIST ALL STOCKS, MUTUAL FUNDS OR OTHER NON-RETIREMENT INVESTMENT INTERESTS VALUED AT \$5,000 OR MORE.

Please see instructions available at <http://ethics.hawaii.gov>.

F, SP, DC, JT	NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
<input type="checkbox"/>	Check here if entry is None			
<input checked="" type="checkbox"/>	Check here if additional sheets are attached			

**ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER

☐ Check here if entry is None
 ☒ Check here if additional sheets are attached

**ITEM 4: CREDITORS**

List the name of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

F,SP, DC,JT	NAME OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING

☐ Check here if entry is None
 ☒ Check here if additional sheets are attached

**ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS**

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F,SP, DC,JT	NAME OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION

☐ Check here if entry is None
 ☒ Check here if additional sheets are attached

**ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS	TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	VALUE

☒ Check here if entry is None ☐ Check here if additional sheets are attached

**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION

☒ Check here if entry is None ☐ Check here if additional sheets are attached

**ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of \$10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION

☒ Check here if entry is None ☐ Check here if additional sheets are attached

**ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES**

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY



Check here if entry is None



Check here if additional sheets are attached

**ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES**

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

F, SP, DC, JT	NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE



Check here if entry is None



Check here if additional sheets are attached

**FILER**Bridget Palmer Holthus09/30/2016

Type Name of Filer (First, M.I., Last)(Signature required on this line if you are filing a paper form)

Date (m/d/yyyy)



**CERTIFICATION:** By checking this box or signing your name on this form, you signify and affirm that you are the person whose name appears as the "Filer" above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law.

Filer Name Bridget Palmer Holthus

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Check all that apply. - State Employee

Department Human Services  
Division Office of the Director  
State Employee Position Deputy Director  
State Board or Commission Name  
Term of Office Start  
Term of Office End

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Category 1: Income for services rendered for preceding calendar year Yes, I have items

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START Item #1 Who holds interest? Filer  
Item #1 Name of Employer/ Other source of income Research Corporation of the University of Hawaii  
Item #1 Compensation received F : At least \$100,000 but less than \$150,000  
Item #1 Description of services rendered Research Program Liaison  
START Item #2 Who holds interest? Filer  
Item #2 Name of Employer/ Other source of income Ki Corp  
Item #2 Compensation received C : At least \$10,000 but less than \$25,000  
Item #2 Description of services rendered Administrative services  
START Item #3 Who holds interest? Filer  
Item #3 Name of Employer/ Other source of income World Ocean Council  
Item #3 Compensation received B : At least \$1,000 but less than \$10,000  
Item #3 Description of services rendered Administrative services  
START Item #4 Who holds interest? Spouse  
Item #4 Name of Employer/ Other source of income World Ocean Council  
Item #4 Compensation received G : At least \$150,000 but less than \$250,000  
Item #4 Description of services rendered President and Chief Executive Officer  
START Item #5 Who holds interest? Joint  
Item #5 Name of Employer/ Other source of income Justine Espiritu  
Item #5 Compensation received B : At least \$1,000 but less than \$10,000  
Item #5 Description of services rendered Rental income

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Category 2: Ownership or Beneficial Interests in Businesses Yes, I have items

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START Item #1 Who holds interest? Filer  
Item #1 Legal name of business Attachment 1  
Item #1 Nature of business Various equities and mutual funds  
Item #1 Nature of interest Ownership  
Item #1 Value of interest  
Item #1 Number of Shares 9845.0  
START Item #2 Who holds interest? Spouse  
Item #2 Legal name of business Attachment 1  
Item #2 Nature of business Various equities and mutual funds  
Item #2 Nature of interest Ownership  
Item #2 Value of interest  
Item #2 Number of Shares 7219.0  
START Item #3 Who holds interest? Joint  
Item #3 Legal name of business Attachment 1  
Item #3 Nature of business Various equities and mutual funds  
Item #3 Nature of interest Ownership  
Item #3 Value of interest  
Item #3 Number of Shares 16291.0  
START Item #4 Who holds interest? Filer  
Item #4 Legal name of business Ki Corp  
Item #4 Nature of business timber / tree farm  
Item #4 Nature of interest Ownership and officership  
Item #4 Value of interest  
Item #4 Number of Shares 4220.0  
START Item #5 Who holds interest?  
Item #5 Legal name of business  
Item #5 Nature of business  
Item #5 Nature of interest  
Item #5 Value of interest  
Item #5 Number of Shares

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**Category 3: Transfer of Ownership or Beneficial Interests in Businesses** Yes, I have items

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**START Item #1 Who holds interest?** Filer

**Item #1 Ownership or beneficial interest transferred during this disclosure period** Attachment 2

**Item #1 Date of transfer**

**START Item #2 Who holds interest?** Spouse

**Item #2 Ownership or beneficial interest transferred during this disclosure period** Attachment 2

**Item #2 Date of transfer**

**START Item #3 Who holds interest?** Joint

**Item #3 Ownership or beneficial interest transferred during this disclosure period** Attachment 2

**Item #3 Date of transfer**

**START Item #4 Who holds interest?**

**Item #4 Ownership or beneficial interest transferred during this disclosure period**

**Item #4 Date of transfer**

**START Item #5 Who holds interest?**

**Item #5 Ownership or beneficial interest transferred during this disclosure period**

**Item #5 Date of transfer**

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**Category 4: Creditors** Yes, I have items

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**START Item #1 Who holds interest?** Joint

**Item #1 Legal name of creditor** First Hawaiian Bank

**Item #1 Original amount owed H : At least \$250,000 but less than \$500,000**

**Item #1 Amount outstanding D : At least \$25,000 but less than \$50,000**

**START Item #2 Who holds interest?**

**Item #2 Legal name of creditor**

**Item #2 Original amount owed**

**Item #2 Amount outstanding**

**START Item #3 Who holds interest?**

**Item #3 Legal name of creditor**

**Item #3 Original amount owed**

**Item #3 Amount outstanding**

**START Item #4 Who holds interest?**

**Item #4 Legal name of creditor**

**Item #4 Original amount owed**

**Item #4 Amount outstanding**

**START Item #5 Who holds interest?**

**Item #5 Legal name of creditor**

**Item #5 Original amount owed**

**Item #5 Amount outstanding**

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**Category 5: Officerships, Directorships, Trusteeships** Yes, I have items

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**START Item #1 Who holds interest?** Filer

**Item #1 Legal name of entity** Family Promise of Hawaii

**Item #1 Title held** Director; Vice President

**Item #1 Term of Office** Director 2008-2010 and 2013-2015; Vice President 2015

**Item #1 Annual compensation A : Less than \$1,000**

**START Item #2 Who holds interest?** Filer

**Item #2 Legal name of entity** Children's Alliance of Hawaii

**Item #2 Title held** Director

**Item #2 Term of Office** 2014-2015

**Item #2 Annual compensation A : Less than \$1,000**

**START Item #3 Who holds interest?** Filer

**Item #3 Legal name of entity** Lyon Arboretum Association dba Friends of the Lyon Arboretum

**Item #3 Title held** Director; Secretary

**Item #3 Term of Office** Director 2013-2015; Secretary 2015

**Item #3 Annual compensation A : Less than \$1,000**

**START Item #4 Who holds interest?** Filer

**Item #4 Legal name of entity** Ki Corp

**Item #4 Title held** Secretary/Treasurer

**Item #4 Term of Office** current term Jan-Dec 2016

**Item #4 Annual compensation A : Less than \$1,000**

**START Item #5 Who holds interest?**

**Item #5 Legal name of entity**

**Item #5 Title held**

**Item #5 Term of Office**

**Item #5 Annual compensation**

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Category 6: Interests in Real Property Held, excluding Personal Residence(s) None

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START Item #1 Who holds interest?

Item #1 Street address

Item #1 Tax Map Key

Item #1 Value

START Item #2 Who holds interest?

Item #2 Street address

Item #2 Tax Map Key

Item #2 Value

START Item #3 Who holds interest?

Item #3 Street address

Item #3 Tax Map Key

Item #3 Value

START Item #4 Who holds interest?

Item #4 Street address

Item #4 Tax Map Key

Item #4 Value

START Item #5 Who holds interest?

Item #5 Street address

Item #5 Tax Map Key

Item #5 Value

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Category 7: Interests in Real Property Acquired, excluding Personal Residence(s) None

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START Item #1 Who holds interest?

Item #1 Street address

Item #1 Tax Map Key

Item #1 Amount of consideration paid

Item #1 Nature of consideration paid

Item #1 Legal name of person or entity receiving the consideration

START Item #2 Who holds interest?

Item #2 Street address

Item #2 Tax Map Key

Item #2 Amount of consideration paid

Item #2 Nature of consideration paid

Item #2 Legal name of person or entity receiving the consideration

START Item #3 Who holds interest?

Item #3 Street address

Item #3 Tax Map Key

Item #3 Amount of consideration paid

Item #3 Nature of consideration paid

Item #3 Legal name of person or entity receiving the consideration

START Item #4 Who holds interest?

Item #4 Street address

Item #4 Tax Map Key

Item #4 Amount of consideration paid

Item #4 Nature of consideration paid

Item #4 Legal name of person or entity receiving the consideration

START Item #5 Who holds interest?

Item #5 Street address

Item #5 Tax Map Key

Item #5 Amount of consideration paid

Item #5 Nature of consideration paid

Item #5 Legal name of person or entity receiving the consideration

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Category 8: Interests in Real Property Transferred, excluding Personal Residence(s) None

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START Item #1 Who holds interest?  
Item #1 Street address  
Item #1 Tax Map Key  
Item #1 Amount of consideration received  
Item #1 Nature of consideration received  
Item #1 Legal name of person or entity furnishing the consideration  
START Item #2 Who holds interest?  
Item #2 Street address  
Item #2 Tax Map Key  
Item #2 Amount of consideration received  
Item #2 Nature of consideration received  
Item #2 Legal name of person or entity furnishing the consideration  
START Item #3 Who holds interest?  
Item #3 Street address  
Item #3 Tax Map Key  
Item #3 Amount of consideration received  
Item #3 Nature of consideration received  
Item #3 Legal name of person or entity furnishing the consideration  
START Item #4 Who holds interest?  
Item #4 Street address  
Item #4 Tax Map Key  
Item #4 Amount of consideration received  
Item #4 Nature of consideration received  
Item #4 Legal name of person or entity furnishing the consideration  
START Item #5 Who holds interest?  
Item #5 Street address  
Item #5 Tax Map Key  
Item #5 Amount of consideration received  
Item #5 Nature of consideration received  
Item #5 Legal name of person or entity furnishing the consideration

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Category 9: Clients Personally Represented before State Agencies None

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START Item #1 Legal name of client  
Item #1 Name of State Agency  
START Item #2 Legal name of client  
Item #2 Name of State Agency  
START Item #3 Legal name of client  
Item #3 Name of State Agency  
START Item #4 Legal name of client  
Item #4 Name of State Agency  
START Item #5 Legal name of client  
Item #5 Name of State Agency

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Category 10: Creditor Interests in Insolvent Businesses None

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START Item #1 Who holds interest?  
Item #1 Legal name of entity  
Item #1 Nature of business  
Item #1 Nature of interest  
Item #1 Value  
START Item #2 Who holds interest?  
Item #2 Legal name of entity  
Item #2 Nature of business  
Item #2 Nature of interest  
Item #2 Value  
START Item #3 Who holds interest?  
Item #3 Legal name of entity  
Item #3 Nature of business  
Item #3 Nature of interest  
Item #3 Value  
START Item #4 Who holds interest?  
Item #4 Legal name of entity  
Item #4 Nature of business  
Item #4 Nature of interest  
Item #4 Value  
START Item #5 Who holds interest?  
Item #5 Legal name of entity  
Item #5 Nature of business



**Item #5 Nature of interest**  
**Item #5 Value**

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**Upload your additional information** [Attachment 1 - Ownership or Beneficial Interests in Businesses.pdf](#)  
[Attachment 2 - Transfer of Ownership or Beneficial Interests in Businesses.pdf](#)

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**By checking this box, you signify and affirm that you are the person whose name appears as the Filer above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law. - I sign and affirm**

**Filer Name** Bridget Palmer Holthus

Who holds interest	Name of business	Number of shares
Filer	NUVEEN HIGH YIELD MUNI BOND FUND CL I	2939
Filer	PROSPECT CAPITAL CO	2000
Filer	GENEVA ADVS EMRG MKTS FD INST	644
Filer	JOHCM INTL SLCT FD CL I	507
Filer	ISHARES RUSSELL 2000 ETF	400
Filer	REMS REAL ESTATE VALUE OPPTY FD INST	371
Filer	SONOCO PRODUCTS CO	300
Filer	BANK OF AMER 6.204% PFD PFD SER D	250
Filer	CAPITAL ONE FINL 6% PFD PFD SER B	250
Filer	PEMBINA PIPELINE CO F	213
Filer	VERIZON COMMUNICATN	200
Filer	APPLE INC	175
Filer	HCA HOLDINGS INC	175
Filer	GILEAD SCIENCES INC	150
Filer	OCCIDENTAL PETROL CO	150
Filer	CVS HEALTH CORP	125
Filer	NEXTERA ENERGY INC	100
Filer	TRAVELERS COMPANIES	100
Filer	NICE LTD F ADR 1 ADR REPS 1 ORD SHS	87
Filer	CHUBB LTD F	75
Filer	PEPSICO INCORPORATED	75
Filer	POWERSHARES QQQ TRUST SRS 1 ETF IV	75
Filer	PROCTER & GAMBLE EXCHANGE OFFER EXP: 09	75
Filer	LAB CO OF AMER HLDG	50
Spouse	SEAFARER OVERSEAS GWTH & INCM FD INST	2014
Spouse	JOHCM INTL SLCT FD CL I	980
Spouse	PEBBLEBROOK HOTEL TR REIT	500
Spouse	ISHARES RUSSELL 2000 ETF	450
Spouse	NICE LTD F ADR 1 ADR REPS 1 ORD SHS	338
Spouse	JPMORGAN CHA 6.125% PFD PFD SER Y	300
Spouse	BANK OF AMER 6.204% PFD PFD SER D	250
Spouse	CAPITAL ONE FINL 6% PFD PFD SER B	250
Spouse	DEVON ENERGY CORP	250
Spouse	MEDTRONIC PLC F	250
Spouse	PEMBINA PIPELINE CO F	212
Spouse	ABBVIE INC	200
Spouse	APPLE INC	175
Spouse	ISHARES TIPS BOND ETF	150
Spouse	OCCIDENTAL PETROL CO	150
Spouse	WALT DISNEY CO	150
Spouse	CINTAS CORP	100
Spouse	VANGUARD CONSUMER DISCRETIONARY ETF	100
Spouse	CHUBB LTD F	75
Spouse	PEPSICO INCORPORATED	75
Spouse	POWERSHARES QQQ TRUST SRS 1 ETF IV	75
Spouse	PROCTER & GAMBLE	75
Spouse	BIOGEN INC	50
Spouse	LAB CO OF AMER HLDG	50
Joint	USAA Tax Exempt Intermediate-Term Fund	4443
Joint	USAA S&P 500 Index Fund Reward Shares	3494
Joint	USAA Tax Exempt Short-Term Fund	2849
Joint	USAA Income Fund	2824
Joint	USAA International Fund	1425
Joint	USAA Nasdaq-100 Index Fund	464
Joint	USAA Tax Exempt Long-Term Fund	444
Joint	USAA Growth and Tax Strategy Fund	347

Who holds interest	Ownership or beneficial interest transferred	Date of transfer
Filer	ALLERGAN PLC F	6/14/16
Filer	BARON SMALL CAP FD	3/15/16
Filer	CAPITAL ONE FINL	12/30/15
Filer	COLGATE-PALMOLIVE CO	2/11/16
Filer	CVS HEALTH CORP	9/9/15
Filer	GENEVA ADVS EMRG MKTS FD INST	12/18/15
Filer	GREENBRIER CO	6/4/15
Filer	GREENBRIER CO	9/9/15
Filer	HAIN CELESTIAL GROUP	4/6/16
Filer	HAIN CELESTIAL GROUP	6/27/16
Filer	HAIN CELESTIAL GROUP	8/31/16
Filer	HCA HOLDINGS INC	4/27/16
Filer	ISHARES MSCI EAFE ETF	6/27/16
Filer	ISHARES RUSSELL 2000 ETF	5/5/16
Filer	MORGAN STANLEY	4/7/16
Filer	NEXTERA ENERGY INC	5/24/16
Filer	NUVEEN HIGH YIELD MUNI BOND FUND C	3/15/16
Filer	OCCIDENTAL PETROL CO	6/27/16
Filer	PROSPECT CAPITAL CO	4/13/16
Filer	SONOCO PRODUCTS CO	4/27/16
Filer	TRAVELERS COMPANIES	4/21/15
Filer	U S BANCORP	6/4/15
Filer	VANGUARD FTSE EMERGING MARKETS I	9/9/15
Filer	VERIZON COMMUNICATN	4/11/16
Spouse	ABBVIE INC	3/15/16
Spouse	ALLERGAN PLC F	6/14/16
Spouse	BARON SMALL CAP FD	3/15/16
Spouse	BIOGEN INC	4/29/16
Spouse	CINTAS CORP	12/18/15
Spouse	COLGATE-PALMOLIVE CO	2/11/16
Spouse	DEVON ENERGY CORP	9/19/16
Spouse	GREENBRIER CO	6/4/15
Spouse	GREENBRIER CO	12/1/15
Spouse	ILLUMINA INC	4/23/15
Spouse	ILLUMINA INC	10/27/15
Spouse	ISHARES GLOBAL CLEAN ENERGY ETF	12/18/15
Spouse	ISHARES RUSSELL 2000 ETF	5/5/16
Spouse	ISHARES TIPS BOND ETF	3/15/16
Spouse	JOHCM INTL SLCT FD CL I	2/24/15
Spouse	JPMORGAN CHA 6.125% PFD PFD SER Y	9/9/15
Spouse	NICE SYSTEMS LTD FADR 1 ADR REPS 1	4/15/16
Spouse	OCCIDENTAL PETROL CO	9/19/16
Spouse	PEBBLEBROOK HOTEL TR REIT	4/27/16
Spouse	ROCHE HLDG AG FADR 1 ADR RE 0.125 C	2/11/16
Spouse	SEAFARER OVERSEAS GWTH & INCM FD	2/26/16
Spouse	U S BANCORP	6/4/15
Spouse	VANGUARD CONSUMER DISCRETIONAR`	10/27/15
Spouse	VF CORPORATION	10/27/15
Spouse	WALT DISNEY CO	4/15/16
Spouse	WELLS FARGO BK N A	9/14/16
Joint	USAA Growth and Tax	4/7/16
Joint	USAA Income	4/7/16
Joint	USAA Income	4/7/16
Joint	USAA Intermed Term	4/6/16
Joint	USAA Intermed Term	4/7/16
Joint	USAA Internat'I	4/7/16
Joint	USAA Long Term	4/6/16
Joint	USAA S&P Fund	4/7/16
Joint	USAA Short Term	4/6/16