

# HAWAII STATE ETHICS COMMISSION DISCLOSURE OF FINANCIAL INTERESTS: LONG FORM

**FILER**

*Acoba, Jr.* *Simeoni* *R.*  
 Last Name First Name M.I.

**FOR STATE EMPLOYEES**

**FOR STATE BOARD/COMMISSION MEMBERS**

Department

Board/Commission Name

*UH Board of Regents*

Division

*10/6/2014?*  
**BEGIN 10/23/2014 END 6/30/2017**  
 Term of Office (mm/dd/yyyy)

Position

**FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.**  
 USE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

**ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR**

List the source and amount of all income of \$1,000 or more received during the preceding calendar year for services rendered (INCLUDING INCOME EARNED FROM YOUR STATE POSITION), and the nature of the services rendered.

F,SP,DC,JT	NAME OF EMPLOYER / OTHER SOURCE(S) OF INCOME	AMOUNT	SERVICES RENDERED
<i>F</i>	<i>SU LLC</i>	<i>B</i>	<i>consultant</i>
<i>SP</i>	<i>Chun Kaminaka LLC</i>	<i>B</i>	<i>management</i>
<i>SP</i>	<i>" " "</i>	<i>B</i>	<i>rental income</i>

Check here if entry is None  Check here if additional sheets are attached

**ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business. YOU ARE REQUIRED TO LIST ALL STOCKS, MUTUAL FUNDS OR OTHER NON-RETIREMENT INVESTMENT INTERESTS VALUED AT \$5,000 OR MORE. Please see instructions available at <http://ethics.hawaii.gov>.

F,SP,DC,JT	NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
<i>F</i>	<i>SU LLC</i>	<i>consultant</i>	<i>member</i>	<i>B</i>
<i>SP</i>	<i>Chun Kaminaka LLC</i>	<i>real estate</i>	<i>member</i>	<i>H</i>
<i>F</i>	<i>HI Quality Physicians network, LLC</i>	<i>medical software</i>	<i>member</i>	<i>125 units</i>
<i>SP</i>	<i>AmeriGrise Managed Account Ex A</i>	<i>investment</i>	<i>owner</i>	<i>I</i>
<i>JT</i>	<i>Stocks Ex B</i>	<i>various</i>	<i>owner</i>	<i>D</i>

Check here if entry is None  Check here if additional sheets are attached

**ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F, SP, DC, JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER
SP	Ameriprise managed account Ex A, Numerous changes in mutual fund, stock, and managed account interest over time	

Check here if entry is None  Check here if additional sheets are attached

**ITEM 4: CREDITORS**

List the name of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

F, SP, DC, JT	NAME OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING

Check here if entry is None  Check here if additional sheets are attached

**ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS**

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F, SP, DC, JT	NAME OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION
F	Hawaii Justice Found	Director	2014 - 2016	none
F	Mediation Center of the Pacific	Director	2014 -	none
F	University Health Partners	Ex-officio Director appointed by Board of Regents	2015 -	none
F	SU LLC	member	2014 -	B
SP	Chun Kaminala LLC	manager	2013 -	B

Check here if entry is None  Check here if additional sheets are attached

**ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS	TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	VALUE
SP	1523 Kaminaka Honolulu, HI 96816 (1/2 interest)	330610300000	H (50%)

Check here if entry is None  Check here if additional sheets are attached

**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION

Check here if entry is None  Check here if additional sheets are attached

**ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of \$10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION

Check here if entry is None  Check here if additional sheets are attached



**ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES**

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY
<input checked="" type="checkbox"/> Check here if entry is None <span style="margin-left: 200px;"><input type="checkbox"/> Check here if additional sheets are attached</span>	

**ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES**

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

F.SP, DC, JT	NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE
<input checked="" type="checkbox"/> Check here if entry is None <span style="margin-left: 200px;"><input type="checkbox"/> Check here if additional sheets are attached</span>				

**FILER**

  
 \_\_\_\_\_  
 Filer's Signature

5/12/2016  
 \_\_\_\_\_  
 Date

**CERTIFICATION:** By checking this box, you signify and affirm that you are the person whose name appears as the "Filer" above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law.

ITEM No. 2, Exhibit A 2016

NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	AMT. OR NO. OF SHARES
AMERIPRISE			
Fidelity Advisor Floating Rate High Income CL A	Bond fund	Shares	D
Fidelity Advisor New Insights CL A	Equity fund	Shares	C
Janus High Yield CL A	Bond fund	Shares	C
Janus High Yield CL A	Bond fund	Shares	C
Janus Flexible Bond CL A	Bond fund	Shares	C
Templeton Global Bond CL A	Bond fund	Shares	C
Virtus Multi Sector Short Term Bond CL A	Bond fund	Shares	C
American Electric Power Company	Utility	Shares	D
Chevron	Energy	Shares	D
Walt Disney Co	Entertainment	Shares	D
Ecolab Inc	Oil	Shares	D
Hawaiian Electric Industries Inc	Utility	Shares	D
Whole Foods	Food	Shares	B
Pepsico Inc	Food	Shares	D
Starbucks	Food	Shares	D
Yum Brands Inc	Food	Shares	C
Hawaii St Ser DK B/E Cpn	Bond	Holder	B
Hawaii St Unref Ser D/K	Bond	Holder	C
Honolulu HI C&C Ser A AGM B/D PTC Cpn	Bond	Holder	C
Honolulu HI C&C Wastewtr Ser B D/6	Bond	Holder	D
Ameriprise Cash Reserve Certificate	Banking	Holder	π

ITEM No. 2, Exhibit B 2016

NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	AMT. OR NO. OF SHARES
JOINT (DRIPS)			
Johnson & Johnson	Health	Shares	B
Exxon	Energy	Shares	B
McDonalds	Food	Shares	B
General Electric	Industrial	Shares	B
Proctor & Gamble	Consumer Goods	Shares	B
Pfizer	Health	shares	B