



FORM  
ORG  
(Rev. 5/2012)



**HAWAII STATE ETHICS COMMISSION**  
**ORGANIZATION'S EXPENDITURES AND CONTRIBUTIONS REPORT** JUN -3 P 3 :55

REPORT YEAR: 2013  Amended Statement

For Lobbying Reporting Period:  January 1 - last day of February  March 1 - April 30  May 1 - December 31

STATE OF HAWAII  
STATE ETHICS COMMISSION

**ORGANIZATION INFORMATION**

Securities Industry & Financial Markets Association  
Organization Name  
120 Broadway, 35th Floor

Kim Chamberlain  
Contact Person

Mailing Address (Number and Street or P.O. Box)

New York

NY

10271

City

State

Zip Code

(212) 313-1200

kchamberlain@sifma.org

Telephone

Extension

Email Address

**PART I. TOTAL EXPENDITURES**

		Total Amount
1	Preparation & Distribution of Lobbying Materials	0.00
2	Media Advertising	0.00
3	Postage	0.00
4	Compensation Paid to Lobbyists <b>(Attached Additional Sheets As Needed)</b> <i>List the names of all lobbyists and compensation paid to lobbyists during the statement period</i>	
	Lobbyist Name	Compensation Paid
A.	George A. "Red" Morris	0.00
B.	John H. Radcliffe	0.00
C.		
D.		
E.		
F.		
G.	Total from Additional Attached Sheet(s)	
	Add lines A through G	Total Compensation Paid ▶ 4 0.00
5	Fees Paid to Consultants (other than to Lobbyists)	0.00
6	Entertainment & Events	0.00
7	Receptions, Meals, Food & Beverages	0.00
8	Gifts	0.00
9	Loans	0.00
10	Other Disbursements	0.00
	Add lines 1 through 10	Total Expenditures ▶ 0.00

PM 5/28

**EXPENDITURES OF \$25 OR MORE PER PERSON PER DAY**

List all expenditures incurred for the purpose of lobbying of \$25 or more per person per day during the statement period.

Name & Address	Amount or Value
n/a	

Check here if additional sheets are attached

**AGGREGATE EXPENDITURES OF \$150 OR MORE PER PERSON**

List all expenditures incurred for the purpose of lobbying in the total sum of \$150 or more per person during the statement period.

Name & Address	Amount or Value
n/a	

Check here if additional sheets are attached

**PART II. CONTRIBUTIONS RECEIVED**

List all contributions received for the purpose of lobbying in the total sum of \$25 or more per person during the statement period.

Name & Address	Amount or Value
n/a	

Check here if additional sheets are attached

**PART III. SUBJECT AREAS OF LOBBYING**

Legislative and/or administrative action in the following areas was supported or opposed during the statement period:

- Agriculture
- Education
- Human Services
- Science, Technology & Economic Development
- Communications & Public Utilities
- Government Operation & Finance
- Intergovernmental Relations, International Affairs
- Tourism & Recreation
- Consumer Protection & Commerce
- Hawaiian Affairs
- Labor & Employment
- Transportation
- Culture, Arts, Historic Preservation
- Health
- Planning, Land & Water Use Management
- Other (indicate below):
- Ecology, Energy Environmental Protection
- Housing
- Public Safety & Corrections

I hereby certify that the statements made above are correct and complete to the best of my knowledge

Kim Chamberlain  
Signature of Authorized Person

May 20, 2013  
Date

Kim Chamberlain  
Print Name

Managing Director & Counsel  
Title

*Associate General* Page 2 of 2