Version 1.1 Rationale

Proposed Hawaii Administrative Rules Title 11 Department of Health Chapter 200.1 Environmental Impact Statement Rules

December 2018

Prepared with the assistance of the Office of Environmental Quality Control (OEQC).

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Appendix 2 Unofficial Ramseyer Format Showing Changes from the 1996 Rules (white) to the Final Proposed Rules (blue)

I. Introduction

A. Purpose

The Version 1.1 Rationale ("Rationale Document") describes the rationale for the updates made to the Hawai'i Administrative Rules ("HAR"), chapter 11-200 ("1996 Rules") in Version 1.1 ("Final Proposed Rules"). The 1996 Rules establish the procedures, content requirements, criteria, and definitions for applying Hawai'i Revised Statutes ("HRS") chapter 343. In response to public petition, the Environmental Council ("Council") has collaborated with state and county agencies, as well as citizen groups and members of the public, to complete a comprehensive review of the 1996 Rules. This effort has resulted in the Final Proposed Rules, which could replace the 1996 Rules for environmental review in Hawai'i.

The Council recognizes the importance of transparency in the review process. Accordingly, the Council, in collaboration with the Office of Environmental Quality Control ("OEQC") has prepared this Rationale Document to describe the decisions made at each point of the review process. The Rationale Document is divided into three main sections. The first section, the Introduction, describes the history of the review process as well as the process moving forward. The second section, Global Discussion Points, describe the general changes between the 1996 Rules and the Final Proposed Rules. The third section, Section-Specific Changes, specifically addresses the proposed changes in each subsection. This document should serve as a reference for agencies, citizen groups, and the general public interested in the rationale behind the Final Proposed Rules.

The Unofficial Ramseyer versions 1.0 and 1.1 are attached to the end of the Rationale document as Appendix 1 and 2, respectively. The Council found the Unofficial Ramseyer version to be helpful in understanding the proposed changes and therefore recommends reviewing Appendix 2 carefully to understand how language from the 1996 Rules appears in the Final Proposed Rules.

B. History of the Rules Update (2011-2014)

In 2011, the public formally petitioned the Council to update the 1996 Rules. The Council initiated consultation with state and county agencies, as well as the public, to identify potential issues with the 1996 Rules. The Council considered the concerns raised during the consultation process and prepared a prepared a draft rule package ("Version 1"). In 2012, the Council published Version 1 for public comment, and invited the public to provide feedback in an Excel table ("comment matrix"). Agencies, citizen groups, and the general public submitted comments via the comment matrix to the Council. The Council tasked the Rules Committee to review the comment matrix and propose changes to Version 1. The Rules Committee met regularly for the next two years to update Version 1. However, due to various administrative challenges, including maintaining quorum, the Council was not able to complete the review process.

C. Current Rules Update (2016-Present)

In February 2016, following Governor Ige's appointment of seven members to the Council, the Council resumed the process to update the 1996 Rules. The Council began by reviewing the work already undertaken by the previous Council. The Council then began the process of developing discussion drafts to disseminate to agencies, citizen groups, and the general public. In an effort to ensure transparency and develop effective rules, the Council solicited feedback at every stage of the review process. The initial drafts (Versions 0.1, 0.2, and 0.3) included footnotes to explain the proposed changes. Starting with Version 0.4, the Council prepared rationale documents to explain the proposed changes in the drafts.

i. 2016 Permitted Interaction Group

At the February 23, 2016 Council meeting, the Council established the Permitted Interaction Group ("PIG") to provide recommendations to the Council about updating the 1996 Rules. The PIG served only an advisory function and did not have decision-making authority. The Council developed the following principles to inform the PIG review process:

- Be consistent with the intent and language of chapter 343, HRS;
- Align statutes, case law, and practice wherever feasible;
- Increase clarity of the process and legal requirements; and
- Align with the National Environmental Policy Act ("NEPA") where applicable.

The PIG met at least once a month to develop recommendations to update the 1996 Rules. The Council considered the work done by the previous Council including Version 1, the comment matrix, and the responses to the public comments. The previous Council had proposed draft language in response to some, but not all, of the public comments. The PIG retained the proposed language, and collaborated with the Council and the OEQC to draft additional language to respond to the outstanding comments. The PIG also collaborated with the Council and OEQC to draft additional language to address issues raised following the initial comment period. The PIG consolidated all of the proposed changes into Version 0.1.

ii. Rules Update Version 0.1

At the July 27, 2017 Council meeting, the PIG presented Version 0.1 to the Council for consideration (refer to Version 0.1 for additional background information). The PIG recommended the following changes to the 1996 Rules:

- "Housekeeping" (i.e., spelling/grammatical corrections);
- Clarifying roles and responsibilities at various stages of environmental review;
- Modernizing submittals and deadlines to recognize electronic communication;
- Setting clearer thresholds for exemptions and the role of exemption lists;
- Clarifying when and how to proceed directly to preparing an environmental impact statement ("EIS") instead of an environmental assessment ("EA");
- Clarifying when and how to prepare programmatic EISs and supplemental EISs;
- Responding to comments in EAs and EISs; and
- Conducting joint federal-state environmental review.

At the August 8, 2017 Council Meeting, the Council approved Version 0.1 as the baseline document for further edits and to serve as a foundation for early consulting with affected agencies, citizen groups, and the general public. The Council's approval of Version 0.1 concluded the work of the PIG.

In August 2017, the OEQC and the Council began working with the William S. Richardson School of Law to continue updating the 1996 Rules. The OEQC set up an online comment platform using CiviComment to track and review public comments on the rules update. The OEQC also set up a webpage on the OEQC website tracking the rules update schedule, Council meetings, and comment deadlines (see http://health.hawaii.gov/oeqc/rules-update/). The webpage offered users an option to sign up to receive email notifications regarding changes to the rules update schedule and comment deadlines posted to the rules update webpage.

iii. Version 0.2

The Council reviewed Version 0.2 at the September 5, 2017 Council meeting. Version 0.2 incorporated public and agency comments, as well as comments submitted by Council members. The Council closed comments on Version 0.2 on October 20, 2017.

Version 0.2 updated almost every section of the 1996 Rules. In addition to the "housekeeping" updates (i.e., spelling/grammatical corrections), the following major topics were addressed in Version 0.2:

- Clarifying definitions and aligning terms with statutory definitions;
- Explicitly incorporating cultural practices in accordance with Act 50 (2000);
- Updating requirements and procedures to publish in the OEQC periodic bulletin (i.e., *The Environmental Notice*);
- Aligning the "triggers" requiring environmental review for agencies and applicants with statutory language;
- Clarifying the environmental review process for emergencies and emergency actions;
- Clarifying roles and responsibilities of proposing agencies and approving agencies;
- Revising the requirements and procedures for creating exemption lists and exempting actions from further environmental review;
- Modernizing submittals, deadlines, comment and response, and distribution to recognize electronic communication;
- Revising the comment and response requirements and procedures for EAs and EISs;
- Clarifying style standards for EAs and EISs;
- Distinguishing between a program and a project;
- Clarifying significance criteria thresholds for an exemption notice, Finding of No Significant Impact ("FONSI"), or EIS Preparation Notice ("EISPN");
- Clarifying requirements and procedures for directly preparing an EIS instead of an EA;
- Revising requirements for conducting scoping meetings following an EISPN;
- Clarifying content requirements for draft and final EISs;
- Revising procedures for appealing non-acceptance to the Council;

- Revising procedures for joint federal-state environmental review;
- Revising the requirements and procedures for determining when to do a Supplemental EIS, including aligning the requirements with statute and case law; and
- Adding a retroactivity section for actions that have already completed environmental review or are undergoing review at the time the Proposed Rules (as defined below) would be promulgated.

iv. Version 0.3

Version 0.3 was published on October 31, 2017. Version 0.3 included additional changes based on comments submitted by agencies, citizen groups, the general public, and the Council. Most notably, Version 0.3 reorganized, added, and deleted sections of the 1996 Rules. The purpose of the reorganization was to ensure that the structure of the rules more closely followed the sequence of steps in the environmental review process.

To avoid confusion between the 1996 Rules and changes proposed in Version 0.3, Version 0.3 was called "HAR Chapter 11-200A" and an "A" was added to the end of each subchapter and section number.

For example, section 3 in the 1996 Rules describes the periodic bulletin, whereas section 3A in Version 0.3 describes the computation of time. Section 3 in the 1996 Rules was moved to subchapter 4A Filing and Publication in the Periodic Bulletin and the content in section 3 was divided into three sections: 4A, 5A, and 6A.

Version 0.3 did not include all of the changes proposed in Versions 0.1 and 0.2. Rather, Version 0.3 only showed changes with respect to the existing 1996 Rules and 2007 amendment for consideration in that working draft.

In addition to reorganizing the rules and "housekeeping" updates (i.e., spelling/grammatical corrections), the following major topics were addressed in Version 0.3:

- Clarifying definitions and aligning them with statutory definitions;
- Incorporating cultural practices in accordance with Act 50 (2000);
- Updating requirements and procedures to publish in the OEQC periodic bulletin (i.e., *The Environmental Notice*), including republication for unusual situations;
- Aligning the "triggers" requiring environmental review for agencies and applicants with statutory language;
- Clarifying the environmental review process as it applies to states of emergency and emergency actions;
- Clarifying roles and responsibilities of proposing agencies and approving agencies in the environmental review process;
- Revising the requirements and procedures for creating exemption lists and exempting actions from further environmental review;
- Modernizing submittals, deadlines, comment and response, and distribution to recognize electronic communication;
- Revising the comment and response requirements and procedures for EAs and EISs;

- Clarifying style standards for EAs and EISs;
- Distinguishing between a program and a project;
- Clarifying significance criteria thresholds for determining whether to issue an exemption notice, FONSI, or EISPN;
- Clarifying requirements and procedures for directly preparing an EIS instead of an EA;
- Revising requirements for conducting scoping meetings following an EISPN;
- Clarifying content requirements for draft and final EISs;
- Revising comment and response requirements;
- Clarifying acceptance criteria;
- Clarifying procedures for appealing non-acceptance to the Council;
- Revising procedures for joint federal-state environmental review;
- Consolidating into one section the requirements and procedures for determining when to do a Supplemental EIS, and aligning the requirements with statute and case law; and
- Adding a retroactivity section for actions that have already completed environmental review or are undergoing review at the time the rules would be enacted.

v. Version 0.4

Version 0.4 was published on February 14, 2018 and discussed at the February 20, 2018 Council meeting. Version 0.4 included additional changes based on agency and public comments, as well as Council input. Version 0.4 introduced the following new topics:

- Providing a new process, referred to as the "green sheet" for agencies to examine: (1) whether a proposed activity is covered by an existing environmental review document;
 (2) the level of review necessary for a proposed action; and (3) whether a proposed action requires additional review.
- Requiring agency exemption lists to be categorized into two parts: (1) allowing for agencies to designate certain activities as *de minimis* and therefore not requiring exemption documentation; and (2) those activities requiring exemption documentation and publication in the periodic bulletin.
- Explicitly requiring consideration of the impacts of sea level rise and greenhouse gases as significance criteria.
- Requiring submission to OEQC of an audio recording of oral comments received at the public scoping meeting(s) on an EIS.

Version 0.4a incorporated additional "housekeeping" updates (i.e., spelling/grammatical corrections) and other minor corrections. The Council considered Version 0.4a at the March 6, 2018 Council meeting and voted 13-0-0 (with two excused) to approve Version 0.4a, as amended ("Proposed Rules"). Additionally, the Council voted to approve the Public Notice of Rulemaking, Version 1.0 Rationale, the Proposed Rules, and the unofficial Ramseyer formatted version of the changes from the 1996 Rules documents (collectively referred to as the "Rules Package"). Finally, the Council voted to recommend Governor Ige approve the Proposed Rules for formal public hearing, and to send the Rules Package to the Small Business Regulatory Review Board ("SBRRB") for review. The Council also voted to authorize the OEQC Director to handle all administrative matters to achieve these motions. On March 21, 2018, the SBRRB reviewed the Rules Package and voted to recommend that Governor Ige proceed with public

hearings for the Proposed Rules. Version 0.4a was finalized as Version 1.0 and published for formal public hearings.

vi. Public Hearings

In March 2018, Governor Ige approved the public hearings for the Proposed Rules. On April 20, 2018, the State of Hawai'i Department of Health ("DOH") issued a Notice of Public Hearings announcing the public comment period. While state law normally requires only one public hearing for administrative rulemaking, chapter 343, HRS, requires the Council to hold one public hearing in each county. The Council sought to give people more opportunities to participate, so it chose to go over and above by holding at least one hearing on each major island. Between May 21, 2018 and May 31, 2018, the Council held nine (9) public hearings on O'ahu (2), Maui (2), Hawai'i (2), Moloka'i, Lana'i, and Kaua'i. The OEQC posted the draft documents on CiviComment to track and review public comments. In total, the Council received 29 oral comments and 36 written comments during the comment period. On October 2, 2018, the Council released the compilation of all written and oral comments.

vii. 2018 Permitted Interaction Group

At the June 12, 2018 Council meeting, the Council established a second Permitted Interaction Group ("2018 PIG"). The 2018 PIG was asked to: (1) review and respond to the written and oral comments received at the public hearings and during the comment period; and (2) prepare a report to the Council on any changes to the Proposed Rules recommended by the 2018 PIG.

On October 25, 2018, the PIG published the Report of the Environmental Council Permitted Interaction Group ("PIG Report"). The PIG Report provided discussion points for the Council in considering whether to modify the proposed rules based on the oral and written comments received during the public comment period.

viii. Version 1.0

Council members held two meetings in November 2018 to discuss updates to Version 1.0. At the November 13, 2018 meeting, the Council discussed section 11-200.1-1 through section 11-200.1-18. At the November 27, 2018 meeting, the Council discussed section 11-200.1.19 through section 11-200.1.31. The Council did not discuss section 11-200.1-31 or section 11-200.1-32 because there were no proposed amendments to these sections. The proposed changes to Version 1.0 have been consolidated into Version 1.1 ("Final Proposed Rules").

D. Process Moving Forward

Should the Council adopt the Final Proposed Rules, the Council would then submit the package to the SBRRB for recommendation to the Governor and, should the rules receive a positive recommendation from the SBRRB, request the Governor to sign the Final Proposed Rules into law. The Council will also consider an implementation strategy to assist agencies with their updating their internal policies to help them comply with the new rules.

II. Global Discussion Points

A. Reorganization

The purpose of reorganizing the 1996 Rules was to (1) consolidate similar rules into the same section; and (2) reflect the sequence of the environmental review process.

The 1996 Rules repeat or cross-reference many steps in the process. For example, the 1996 Rules describe publishing in the periodic bulletin (i.e., *The Environmental Notice*) in section 3, and then provide additional publication requirements in the following sections 9, 15, and 20. The Final Proposed Rules consolidate directions on how to publish into a single section (HAR § 11-200.1-4).

The order of the sections in the 1996 Rules does not reflect the order of the environmental review process. For example, the significance criteria, which are part of the initial decision to prepare an exemption, EA, or EIS, are described in section 12, following the draft EA section. The Proposed Rules move the significance criteria to earlier in the order prior to deciding the appropriate level of review.

Similarly, the 1996 Rules group the EA and EIS steps by content and then process. For example, the 1996 Rules organize the EIS sections in the following order: consultation prior to a draft EIS, general content requirements for EISs, content for a draft EIS, content for a final EIS, followed by style, filing, distribution, review, and the acceptability of a final EIS. The Proposed Rules reorganize these sections into the flow of the process: consultation prior to preparing a draft EIS, content requirements for a draft EIS, public review of a draft EIS, comment responses for a draft EIS, content requirements for a final EIS, and the acceptability of a final EIS. The Proposed Rules consolidate filing and distribution requirements into the subchapter on filing and publishing in the periodic bulletin.

The reorganization was first introduced in Version 0.3. The labeling of the sections, however, has changed. In Version 0.3, the "A" was appended to the chapter and section numbers (e.g., section 11-200A-1A). In Version 0.4, the labeling was again amended. The "A" was dropped and a numerical system was introduced to delineate between the sections (e.g., section 11-200.1-1). The labeling change reflected a decision by the Council to repeal the 1996 Rules and promulgate new rules instead of amending the 1996 Rules. The Final Proposed Rules retain the labeling introduced in Version 0.4.

The following table illustrates where sections from the 1996 Rules appear in the Final Proposed Rules. The order of the rules in Version 0.4 has been retained in the Final Proposed Rules. In general, almost every section includes new and moved 1996 language. The 1996 Rules sections cited below are the primary sources for the corresponding Proposed Rules sections. "New" indicates that the section is almost entirely new but may also incorporates important points from a 1996 Rules section.

Version 1.1 Chapter 11-200.1, HAR	1996 Section
Subchapter 1 Purpose	
§11-200.1-1 Purpose	1, 14, 19
Subchapter 2 Definitions	
§11-200.1-2 Definitions	2
Subchapter 3 Computation of Time	
§11-200.1-3 Computation of Time	New
Subchapter 4 Filing and Publication in the Periodic Bulletin	
§11-200.1-4 Periodic Bulletin	3, 11.2, 21, 27
§11-200.1-5 Filing Requirements for Publication and Withdrawal	3, 9, 10, 11.1, 11.2, 20, 23
§11-200.1-6 Republication of Notices, Documents, and Determinations	New
Subchapter 5 Responsibilities	
§11-200.1-7 Identification of Approving Agency and Accepting Authority	3, 4, 23
Subchapter 6 Applicability	
§11-200.1-8 Applicability of Chapter 343, HRS, to Agency Actions	New, 5, 8
§11-200.1-9 Applicability of Chapter 343, HRS, to Applicant Actions	New, 5, 6
§11-200.1-10 Multiple or Phased Actions	7
§11-200.1-11 Use of Prior Exemptions, Findings of No Significant Impact, or Accepted Environmental Impact Statements to Satisfy Chapter 343, HRS, for Proposed Actions	New
Subchapter 7 Determination of Significance	
§11-200.1-12 Consideration of Previous Determinations and Accepted Statements	13
§11-200.1-13 Significance Criteria	12
§11-200.1-14 Determination of Level of Environmental Review	New, 5, 8
Subchapter 8 Exempt Actions, List, and Notice Requirements	

Version 1.1 Chapter 11-200.1, HAR	1996 Section
§11-200.1-15 General Types of Actions Eligible for Exemption	8
§11-200.1-16 Exemption Lists	8
§11-200.1-17 Exemption Notices	8
Subchapter 9 Preparation of Environmental Assessments	
§11-200.1-18 Preparation and Contents of a Draft Environmental Assessment	9, 10, 19
§11-200.1-19 Notice of Determination for Draft Environmental Assessments	11.1
§11-200.1-20 Public Review and Response Requirements for Draft Environmental Assessments	9.1
§11-200.1-21 Contents of a Final Environmental Assessment	10
§11-200.1-22 Notice of Determination for Final Environmental Assessments	9, 11.2
Subchapter 10 Preparation of Environmental Impact Statements	
§11-200.1-23 Consultation Prior to Filing a Draft Environmental Impact Statement	9, 15
§11-200.1-24 Content Requirements; Draft Environmental Impact Statement	16, 17, 19, 22
§11-200.1-25 Public Review Requirements for Draft Environmental Impact Statements	22
§11-200.1-26 Comment Response Requirements for Draft Environmental Impact Statements	22
§11-200.1-27 Content Requirements; Final Environmental Impact Statement	16, 17, 18
§11-200.1-28 Acceptability	23
§11-200.1-29 Appeals to the Council	24
§11-200.1-30 Supplemental Environmental Impact Statements	26, 27, 28, 29
Subchapter 12 National Environmental Policy Act	
§11-200.1-31 National Environmental Policy Act Actions: Applicability to Chapter 343, HRS	25, New
Subchapter 13 Retroactivity and Severability	
§11-200.1-32 Retroactivity	New
§11-200.1-33 Severability	30

B. General Changes

The general changes discussed in this section appear consistently throughout the Final Proposed Rules.

The Final Proposed Rules have replaced the term "which" with "that" where appropriate. The 1996 Rules frequently used the term "which" in place of "that" (reflecting a grammar style no longer preferred). "Which" is appropriate where the following clause is not necessary to the meaning of the sentence and is descriptive of the clause that precedes it. "That" is appropriate when the preceding clause is dependent on the clause following "that"; the words after "that" are essential to the meaning of the sentence.

The Final Proposed Rules reduce the confusion between "approving agency" and "accepting authority". Chapter 343, HRS, uses both terms and states that in the case of applicants, the approving agency is the accepting authority. For sections in the Final Proposed Rules relating to EISs and accepting authorities, the Final Proposed Rules remove the reference to approving agency. Therefore, throughout the document, the term "approving agency" is either replaced with "accepting authority" or removed when the two terms appear together.

The Final Proposed Rules generally follow the Legislative Reference Bureau ("LRB") recommendation to avoid the use of acronyms or abbreviations in rules. However, the Final Proposed Rules do incorporate certain acronyms and abbreviations that are commonly used in the environmental review process. For example, in chapter 11-55, HAR, Water Pollution Control, "National Pollutant Discharge Elimination System" is abbreviated as "NPDES". This abbreviation is appropriate because it is commonly used by practitioners and is generally recognized within the field.

The Final Proposed Rules include the following acronyms for consistency and to avoid confusion:

- EA: environmental assessment
- EIS: environmental impact statement
- EISPN: environmental impact statement preparation notice
- FONSI: finding of no significant impact
- HAR: Hawaii Administrative Rules
- HRS: Hawaii Revised Statutes
- NEPA: National Environmental Policy Act

The Final Proposed Rules replace the term "assessment" with the abbreviation "EA", and replace the term "statement" with the abbreviation "EIS". Additionally, the Final Proposed Rules specify whether an EA or an EIS is "draft" or "final".

C. Topical Changes

The topical changes discussed in this section address new issues, strategies, and approaches that have emerged since the 1996 Rules were originally drafted. These changes reflect changing law and public policy, as well as emerging science and technology. These changes typically appear in numerous sections throughout the Final Proposed Rules.

i. Digitizing the Process

The Final Proposed Rules have been updated to reflect increased access to computers and the internet in 2018. When the 1996 Rules were promulgated, home use of computers and internet was relatively uncommon. Accordingly, the periodic bulletin (i.e., *The Environmental Notice*) was physically mailed to subscribers using the United States Postal Services. Proponents also physically mailed copies of EAs and EISs to requesting parties.

Today, the periodic bulletin (i.e., *The Environmental Notice*) is distributed electronically, and EAs, EISs, and other environmental review documents are publicly available in the OEQC's online database. Many of the mailing and print-copy requirements for environmental review documents were included in the 1996 Rules to ensure access. With widespread digital distribution, these concerns are no longer as prominent. The Final Proposed Rules, therefore, make modifications in many areas related to digitization. For example, proposing agencies and applicants are no longer required to mail individual responses to commenters because the responses are easily accessible in the document posted online. Some paper copies of EAs and EISs, however, are still required in the Final Proposed Rules. For example, a copy of a draft EA must be given to the library in the area most affected by the action and one filed with the State Library Document Center.

ii. Programmatic Approaches and Defining Project and Program

The Final Proposed Rules recommend programmatic environmental review to evaluate the effects of broad proposals or planning-level decisions that may include: (1) a wide range of individual projects; (2) implementation over a long timeframe; or (3) implementation across a large geographic area. Programmatic environmental review (i.e., "program-level" review), is distinguishable from project-based environmental review (i.e., "site-specific" review). The level of detail in programmatic environmental review should be enough to make an informed choice among program-level alternatives and broad mitigation strategies. Programmatic environmental review allows for analysis of the interactions of a number of planned projects or phases in a program. This broader level of review may satisfy compliance with chapter 343, HRS, as described in the new section on use of prior exemptions, FONSIs, and accepted EISs or may be followed by site-specific or component-specific exemptions, EAs, or EISs that are based on the approved or accepted programmatic document, a process known as "tiering", as the elements of the program are proposed to be implemented.

Version 0.1 introduced a separate section detailing the requirements for programmatic environmental review for EISs. The Council realized, however, that this approach would have to

be replicated, and therefore create redundancy, in the subsequent sections (e.g., exemptions, EAs, and supplemental EISs). This approach would also have resulted in the default process becoming the "project" process and would have created a bifurcated process for projects and programs. It also raised questions about rights to action involving this bifurcated process; whether someone could sue to require someone to undergo the "project" versus the "program" pathway.

In Version 0.2, the requirements for the environmental review process were integrated into the "Environmental Assessment Style" section and the existing "Environmental Impact Statement Style" section. It became apparent that more detail was necessary for actions that had site-specific impacts and less detail was necessary for broader actions that were still in a more conceptual phase and intended to be implemented in multiple locations or in phases. Versions 0.1 and 0.2 did not, however, define "project" or "program", which made discussion of "programmatic" environmental review more complicated.

While the Council was drafting Version 0.3, the Hawai'i Supreme Court issued its decision in *Umberger v. Department of Land and Natural Resources*, 403 P.3d 277, 284 (Haw. 2017). Recognizing that the term "project" and "program" are not statutorily defined under chapter 343, HRS, the Court relied on the definition in the Merriam-Webster Dictionary for the plain-meaning of the terms. The Court provided: "Program' is generally defined as 'a plan or system under which action may be taken toward a goal.' 'Project' is defined as 'a specific plan or design' or 'a planned undertaking.'" *Umberger*, 403 P.3d at 290. While the distinction between program and project helped frame the Final Proposed Rules, there remained some ambiguity because the judicial definition for "program" included the word "action", which is defined in chapter 343, HRS, as "a project or program". Therefore, the Council sought further clarification.

To provide greater clarity and to be able to discuss the concept of "programmatic" more succinctly, the Council proposed definitions for "project" and "program" in Version 0.3. The Final Proposed Rules substantially retain these proposed definitions from Version 0.3. Version 1.0 retained the use of the word "programmatic" as the adjective of the word program. However, in response to public feedback requesting a separate definition for the term "programmatic", the Council replaced the word with "program" so that the Final Proposed Rules refer to "program EAs" and "program EISs". Using the definitions to distinguish between projects and programs, the Final Proposed Rules also allow for the preparation of programmatic exemptions, EAs, and EISs while avoiding complicated and potentially confusing terms.

iii. "Green Sheet"

The "green sheet" process informs agency decision-making about whether a proposed action fits within an existing chapter 343, HRS, document or determination or requires additional environmental review. The "green sheet" process was adapted from the City and County of Honolulu Department of Planning and Permitting's internal review process (referred to as the "green sheet") for documenting chapter 343, HRS, analysis. The Council has modified the approach to incorporate considerations that the U.S. Bureau of Land Management and U.S. Department of Transportation ("USDOT") use in their own NEPA adequacy analysis.

During the public comment period, commenters recognized the need for a standardized evaluation process to determine: (1) whether an agency is eligible to prepare a Supplemental EIS; (2) whether an agency action is covered by a previous determination or accepted EIS; (3) whether a project is covered by a programmatic exemption, EA or EIS; and (4) whether a federal NEPA EA or EIS meets the requirements of chapter 343, HRS. Stakeholders also recommended incorporating the USDOT re-evaluation process for considering when a Supplemental EIS may be warranted.

In response to the first issue, the Final Proposed Rules retain the requirement from the 1996 Rules (Section 27) that an agency submit a determination of whether a Supplemental EIS is required to the OEQC for publication in the periodic bulletin. The Final Proposed Rules moved the Supplemental EIS section into the subchapter on EISs but otherwise only make housekeeping edits to the sections. The "green sheet" is a process introduced in section 11-200.1-11.

Section 11-200.1-11 was introduced to provide agencies with guidance on whether an action is covered under an existing HEPA process. Agencies are directed to consider the following criteria:

- (1) Whether the proposed activity was a component of, or is substantially similar to, an action that received an exemption, FONSI, or an accepted EIS (for example, a project that was analyzed in a programmatic EIS);
- (2) Whether the proposed activity is anticipated to have direct, indirect, and cumulative effects similar to those analyzed in a prior exemption, final EA, or accepted EIS; and
- (3) In the case of a final EA or an accepted EIS, whether the proposed activity was analyzed within the range of alternatives.

If the criteria apply, the proposed action could be covered under the existing HEPA process. If the criteria do not apply, an agency must conduct a separate chapter 343, HRS, analysis; that is, the agency needs to decide if an exemption, EA, or EIS is appropriate. In either case, the agency may publish the determination with the OEQC for publication in the periodic bulletin.

For NEPA, an agency, in the act of issuing an exemption, FONSI, or acceptance, would in effect "certify" that the federal document and process meets the requirements of chapter 343, HRS. That is, if an agency were to issue a FONSI for a federal EA that was not published in the periodic bulletin, then the agency would be at fault for not fully complying with chapter 343, HRS. Similarly, an agency issuing an acceptance based on a federal EIS would be affirming that the federal EIS meets the content and process requirements of chapter 343, HRS, including any provisions related to NEPA as set forth in section 11-200.1-31.

Should the Final Proposed Rules be adopted into law, the OEQC would work with agencies on developing a standardized form that can serve as a "green sheet". The form will help agencies track determinations that an action is covered by an existing chapter 343, HRS, process. Agencies will be able to track (1) whether a programmatic EIS covers the action; (2) whether a supplemental EIS is required; and (3) whether NEPA is an aspect of the action. The Council notes that the "green sheet" may vary by agency, depending on the agency's specific needs.

iv. Exemptions

The Final Proposed Rules update the exemption process to (1) clarify which activities agency undertake could be considered *de minimis* versus needing an exemption notice filed; (2) rename the "exemption classes" to "general types" and revise the general types (including adding a provision for affordable housing as described below); (3) obtain Council concurrence on the exemptions lists on a regular basis; and (4) increase timely public access to information about exemptions (see subchapter 8).

Section 11-200.1-16 separates the exemption list into the following two sections: (1) *de minimis* actions (i.e., routine operations and maintenance, ongoing administrative activities, and other similar items); and (2) general types of actions listed in section 11-200.1-15 and agency-specific actions recorded in exemption notices (see section 11-200.1-17). The Final Proposed Rules require agencies to consider in advance what activities the agency considers to be *de minimis*, and to include them in Part 1 of the agency's exemption list. By including *de minimis* actions in the exemption list, an agency can alert staff to situations where an activity might be in the gray area of a project or program for the purposes of chapter 343, HRS, but perhaps not rising to the level of requiring environmental review. *De minimis* activities presumptively do not require documentation (i.e., an exemption notice) or consultation. Many of these activities (e.g., repainting buildings to fixing plumbing and purchasing office supplies) are already exempt by agencies because they fall under one or more of the classes in the 1996 Rules. After publication of the Final Rules, agencies will have seven years to reorganize and update their exemption lists to comply with the rules (see section 11-200.1-32).

v. Affordable Housing

See the discussion in Section 11-200.1-15, General Types of Actions Eligible for Exemption, for discussion about the exemptions regarding affordable housing.

vii. Climate Change

The Council maintains that chapter 343, HRS, is broadly written to require examination of any relevant impact of potential significance in relation to a proposed action, rather than a laundry list of impacts. This means that chapter 343, HRS, already requires the examination of sea level rise and other climate change impacts, much as any other emerging impact would warrant consideration in an EA or EIS.

The Final Proposed Rules incorporate sea level rise into significance criterion 11. Under the Final Proposed Rules, when determining whether issuing an exemption is appropriate, considering if an EA must be prepared, or preparation of an EIS is warranted, proposing and approving agencies must consider whether a proposed action is likely to have a substantial adverse effect on or is likely to suffer damage by being located in a sensitive area such as the

sea level rise exposure area (e.g., exacerbating coastal erosion or increasing exposure to hazards such as inundation). This determination is in turn documented in an exemption notice, EA, or EIS. Agencies must also consider whether the proposed action will be impacted by sea level rise. The Council views these revisions as meeting the directive to the Council in Act 17, Session Laws of Hawaii 2018, to promulgate rules for EAs and EISs to examine sea level rise.

Additionally, the Final Proposed Rules amend significance criterion 13 to require approving agencies to consider in a significance determination whether a proposed project will emit substantial greenhouse gases at any stage or may emit substantial greenhouse gases as an indirect or cumulative impact.

The Hawaii Sea Level Rise Vulnerability and Adaptation Report, released in December 2017 by the Department of Land and Natural Resources, calls on the OEQC to develop guidance on addressing climate change in EAs and EISs. Guidance from the OEQC will be forthcoming after the rules update is completed. In developing the guidance, the OEQC will look to the Final Guidance for Federal Departments and Agencies on Consideration of Greenhouse Gas Emissions and the Effects of Climate Change in NEPA Reviews, issued by the Council on Environmental Quality Control on August 5, 2016 (<u>81 FR 51866;</u> <u>https://www.federalregister.gov/documents/2016/08/05/2016-18620/final-guidance-for-federal-departments-and-agencies-on-consideration-of-greenhouse-gas-emissions-and).</u>

viii. "Direct-to-EIS"

In 2012 the Legislature amended chapter 343, HRS, to allow proposing agencies and applicants with authorization by their approving agencies to directly prepare an EIS when there is clear potential for a significant impact. The 1996 Rules are written such that an EA that is prepared prior to an EIS is part of the definition of an EIS and is one of the steps in the process of developing an EIS. The Final Proposed Rules remove preparation of an EA from the definition of an EIS and allow for EISs to begin at the EISPN stage without first preparing an EA.

Because the 1996 Rules do not reflect this statutory change, there is confusion about the requirements for an EISPN when an applicant or proposing agency began with an EIS versus beginning with an EA and finding that an EIS is needed. To reduce this confusion, the Final Proposed Rules standardize the requirement of an EISPN regardless of how a proposing agency or applicant begins an EIS.

The Final Proposed Rules include a public scoping meeting requirement, as well as incorporation of public feedback from the scoping meeting into the draft EIS. In the past, the preparation of an EA would provide the public an early opportunity to provide comments on an action. The scoping meeting requirement at the EISPN phase balances the increased efficiency of proceeding directly to an EIS with providing adequate opportunity for public engagement.

Because the 1996 Rules assumed that an EA would be done before an EISPN, the content requirements for an EISPN were minimal. In the Final Proposed Rules, those details are intended to be filled out with the preparation of the draft EIS and with incorporation of public

feedback from the mandatory scoping meeting and any other public consultation an agency or applicant chooses to undertake.

ix. Republication of EAs or EISs

On occasion, an agency or applicant would like to extend a public comment period for an EA or EIS. The statute is silent on extending public comment periods. However, it does allow for an applicant to request an agency to extend the acceptance period by fifteen (15) days (chapter 343-5(e), HRS).

In the past, agencies have offered extended comment periods to allow the public more time to engage in the process and provide additional feedback. This approach creates complications for the environmental review process. If an agency does not announce this extension through the periodic bulletin (i.e., *The Environmental Notice*), then not all stakeholders may be aware of the extension. In effect, this gives some members of the public more time than others. Also, an extension of time creates uncertainty in legal standing for individuals who submit comments after the statutory deadline of a comment. The statute sets clear limitations on rights to pursue legal remedies, one of which is having commented during the draft EIS comment period. Extending the comment deadline also creates questions of standing for the courts.

To meet the need of additional comment time while complying with the statute, the Final Proposed Rules add a new section on republishing EAs and EISs for additional comment time. This creates the option of an another comment period of thirty (30) days for draft EAs and EISPNs, and forty-five (45) days for draft EISs.

A comment received during the republication period is treated the same as a comment submitted during the initial publication period. That is, the proposing agency or applicant will have to respond to the comment and the commenter will have legal standing. Comments received in between publication periods do not have legal standing because they are not submitted during a legal window. The OEQC recommends agencies contact any members of the public who submit comments between publication periods so that they are aware that they will have to resubmit the comment during the re-publication comment period.

x. Response to Comments

The Final Proposed Rules change the process for proposing agencies and applicants to respond to comments.

a. *Individually Mailed Responses, Comment Grouping, and Form Letters/Petitions* When the 1996 Rules were promulgated, the main method of EA/EIS dissemination was through paper copies of the documents. Hard copies of responses were mailed to commenters and made available through a paper copy of the EA or EIS at the library or other certain physical locations.

Today, EAs, EISs, and other environmental review documents are easily accessible through the OEQC website. Accordingly, the Final Proposed Rules have introduced changes based on the wide accessibility of EAs and EISs online.

First, the Final Proposed Rules no longer require a written response to be physically mailed to each commenter. Comments must still, however, be responded to and appended to the final EA or final EIS, with some minimal exceptions.

Second, because comments no longer must be mailed individually to commenters, the Proposed Rules allow proposing agencies and applicants to respond to comments based upon the "grouping" model allowed under NEPA. Proposing agencies and applicants may group comments into general topics (e.g., endangered species). Within each topic there may be several issues (e.g., monk seals and hawksbill turtles). Proposing agencies and applicants must respond to each substantive point raised under the topic. This approach increases efficiency, particularly when many comment letters are received that raise the same issues. Grouping also gives the approving or accepting agency, and the public, a comprehensive understanding of all the issues raised under a single topic.

Commenters expressed concern that commenters would not be able to determine whether their comments received a response. To mitigate this concern, proposing agencies and applicants are required to list commenters whose comments are being addressed under each topic heading or section. Additionally, all comment letters containing substantive comments must be appended to the final EIS or EA.

The Final Proposed Rules also allow proposing agencies and applicants to respond directly to a specific comment. The response letter is usually included before or after the comment letter so that the commenter can clearly identify that a response has been provided. Although not required, proposing applicants and agencies may mail written responses to commenters.

It has become common practice for commenters to submit form letters and petitions during the public comment period. To recognize and respond to commenters who submit identical or nearidentical comments, the Final Proposed Rules allow proposing agencies and applicants to respond to form letters and petitions with a single response or, if following the grouping procedure, to address the issues raised in the form letter in the appropriate topic areas. At least one representative form letter or petition must be appended to the document. However, proposing agencies and applicants must identify all the commenters who submitted the form letter or signed the petition. Identification can be achieved by including all identical and near-identical copies of the petition or form letter. Alternatively, proposing agencies and applicants can provide a list the names of those who provided the identical or near-identical comments.

Commenters were concerned the form letter process may allow proposing agencies or applicants to overlook comments that add in additional substantive points to form letters. The Final Proposed Rules address this concern by requiring that form letters that have additional

substantive points be appended in full to the document, and receive a response, either as a separate response, or as part of a grouped response.

b. "Substantive" Comments

Under the 1996 Rules, proposing agencies and applicants were only required to respond to "substantive" comments. A comment is considered "substantive" if it addresses some specific aspect of the proposed action, the document, or the process.

The Council considered eliminating this qualification in Version 0.2 to require proposing agencies and applicants to respond to *all* comments. The Council reasoned that eliminating the qualification would help ensure that all comments would receive a response. However, the Council was concerned about the potential burden of responding to statements that are clearly outside the scope of the action. Similarly, responding to inflammatory comments, formalities, or pleasantries may not be an effective use of time and resources. Taking these concerns into account, Version 0.3 retained the qualification that "substantive" comments require a response. Version 0.3 also emphasized that the accepting authority had to be satisfied that a comment is "substantive", as well as whether the response is adequate. Furthermore, the Final Propose Rules emphasize that an accepting authority could issue a non-acceptance for comments it deemed to be substantive but the proposing agency or applicant had not and therefore had not responded to in a commensurate manner.

xi. Scoping Meetings

In the 1996 Rules, a 30-day comment period followed the publication of the EISPN to help obtain public input on what issues the EIS should focus on as potentially significant and which issues are potentially less important and therefore could be summarized in the EIS, a process which is referred to as scoping. The proposing agency or applicant has the option to hold a scoping meeting. If the proposing agency or applicant chooses to hold a scoping meeting, then the proposing agency or applicant must treat oral and written comments the same; that is, oral and written comments from a scoping meeting have to be written down and responded to in the draft EIS. In practice, many proposing agencies and applicants choose to either not hold scoping meetings, or hold meetings that are similar but do not meet the legal description of a scoping meeting to avoid responding to oral comments.

Commenters expressed concern that the elimination of the statutory requirement to produce an EA prior to an EIS would diminish opportunities for public involvement. Prior to the statutory change, an EA would be prepared as part of the EISPN, usually including a comment period from draft to final EA. Since the change in statute, most EISs begin with an EISPN and an EA is not prepared. Because the 1996 Rules assume an EA has been done before an EISPN, there are very few content requirements for an EISPN. The public often requests a scoping meeting as a way to get more information about a proposed action.

The Council considered modifying the rules to require scoping meetings during the EIS process. The Council reasoned that there are several potential benefits to this requirement: (1) scoping meetings supplement the limited content requirements in the EISPN; (2) scoping meetings help

the proposing agency or applicant to focus the document on the important issues; and (3) the approach is consistent with the federal NEPA process, thereby increasing efficiency in the process for HEPA-NEPA joint actions.

The Final Proposed Rules require a scoping meeting be held on each island affected by a proposed action. This requirement addresses the public's need to be better informed about a proposed action while giving proposing agencies and applicants the opportunity to meaningfully engage the public. Proposing agencies and applicants have prepared, on average, 10-15 EISs per year since 2012. Agencies are responsible for a majority of the EISs and have exclusively prepared statewide EISs. Accordingly, the requirement to hold scoping meetings on multiple islands will have a minimal impact on non-agency applicants.

The Council recognizes that requiring a scoping meeting will add a new cost to undertaking an EIS. The 1996 Rule requires that oral comments be written down and responded to in writing. Under the Final Proposed Rules, proposing agencies and applicants are no longer required to transcribe written comments and respond to them in writing. Instead the proposing agency or applicant record oral comments during a specific portion of the EIS public scoping meeting set aside to receive oral comments and include a summary of the oral comments in the draft EIS. There is no longer a requirement to respond in writing to the summary.

xii. HEPA-NEPA Joint Actions

The Final Proposed Rules seek to align the federal and state environmental review processes to increase efficiency for actions that require review under both statutes. Under the Final Proposed Rules, a proposing agency or applicant can prepare a single document and conduct a single comment period that satisfies both federal and state requirements. The Final Proposed Rules encourage the use of the NEPA environmental review document, but require that each agency make an independent determination, pursuant to chapter 343, HRS, of the necessary level of environmental review. A NEPA document (such as an EA or EIS) cannot be used as a chapter 343, HRS, document if it does not meet the requirements for chapter 343, HRS, review (including required public comment periods). When a federally prepared EA or EIS meets all the process and content requirements, then a Hawai'i decision-maker can use the federal document. This can be noted in the "green sheet".

The Final Proposed Rules contain provisions for agencies to determine the necessary level of environmental review under chapter 343, HRS. For example, NEPA could allow for a categorical exemption, while chapter 343, HRS, may require an EA or even an EIS. Alternatively, NEPA could require a federal EA, while chapter 343, HRS, may allow for an exemption.

xiii. Retroactivity

The Final Proposed Rules provide accommodations for: (1) actions that are undergoing environmental review if the Final Rules are promulgated into law; and (2) actions that may have to repeat the environmental review process following pending litigation. Version 0.2 introduced a retroactivity section that was later modified in Version 0.3. The retroactivity section provides that

proposed actions that have completed a formal public engagement step shall continue under the 1996 Rules for five years from the date the Final Proposed Rules are promulgated into law.

As applied, once a draft EA has been published, the proposed action remains under the 1996 Rules until either it receives a determination (FONSI or EISPN) or five years have passed. Similarly, for an EIS, publication of the EISPN would mean the proposed action stays under the 1996 Rules until either a determination is made (acceptance or non-acceptance) or five years have passed. This ensures that the proposing agency or applicant has a consistent process and the public has an expectation of the process for its duration.

EISs accepted before the enactment of any Final Rules would remain under the 1996 Rules for purposes of Supplemental EISs.

The retroactivity section also allows agencies to maintain their exemption lists for up to seven years before needing to obtain Council concurrence. The retroactivity period allows for an agency to review its existing exemption list to reflect the changes associated with the Final Rules.

III. Section-Specific Changes

Subchapter 1 Purpose

Subchapter 1 (Purpose) creates a distinct subchapter for the section setting forth the purpose of chapter 11-200.1, HAR. Although this subchapter contains only one section, creating a new subchapter is in line with creating a new structure for chapter 11-200.1, HAR, providing a clear outline of the contents of the chapter through the subchapter headings.

§ 11-200.1-1 Purpose

Section 11-200.1-1 describes the purpose of the rules in chapter 11-200.1, HAR. Section 11-200.1-1 adapts the policy statements for EISs, found in section 11-200-14 of the 1996 Rules, to apply to the environmental review process as a whole.

Section 11-200.1-1 incorporates the housekeeping changes described in the General Changes section, and updates the arrangement of words and phrases to improve syntax.

Subsection (a) of 11-200.1-1 was formerly section 11-200.1, HAR (1996). Subsection (a) introduces the terms "environmental impact statement" and "environmental assessment" and provides the acronyms "EIS" and "EA".

Subsection (b) derives from 11-200-14, HAR (1996), "General Provisions", which is the first section in subchapter 7, "Preparation of Draft & Environmental Impact Statements" under the 1996 Rules. The section has been modified to apply to both EAs and EISs. The subsection emphasizes that EAs and EISs should be prepared at the earliest practicable time and describes the spirit in which the documents should be prepared. The purpose of preparing the documents is to enlighten decision-makers about any environmental consequences. The addition of the language "prior to decision-making" emphasizes the timing of when an EA or EIS should be prepared. EAs and EISs are intended to inform decision-makers prior to decisionmaking, therefore an after-the-fact EA or EIS would be inappropriate.

Subsection (c) adapts language from section 11-200-19, HAR (1996) regarding Environmental Impact Statement Style to make it applicable to both agencies and applicants and to all environmental review documents. However, the sections are rearranged in the Final Proposed Rules. The language is also modified to be grammatically correct and increase readability.

Paragraph (3) provides new direction for engaging in consultation. Council members and commenters expressed concern that the consultation process is often a mere formality, without a true, open, and mutual dialogue between action proposing agencies and applicants and members of the public. Paragraph (3) specifically calls for "mutual, open, and direct, two-way communication, in good faith".

Subchapter 2 Definitions

Subchapter 2 (Definitions and Terminology) creates a distinct subchapter for the section setting forth definitions and terminology used in chapter 11-200.1, HAR. Although this subchapter contains only one section, creating a new subchapter is in line with creating a new structure for chapter 11-200.1, HAR, providing a clear outline of the contents of the Chapter through the subchapter headings.

§ 11-200.1-2 Definitions

Section 11-200.1-2 replaces section 11-200-2, HAR (1996). Section 11-200.1-2 provides the definitions and terms used in chapter 11-200.1, HAR. The Final Proposed Rules authorize agencies to use their own statutes and administrative rules to interpret unidentified rules. Section 11-200.1-2 incorporates the housekeeping edits described in the General Changes section. The definitions are listed in alphabetical order and amended to remove process steps, clarify their meaning, or make them more consistent with other changes throughout the Final Proposed Rules.

In the Final Proposed Rules, the definition of "acceptance" is modified to remove redundant language. Additionally, the process steps are moved to section 11-200.1-28.

The definition of "accepting authority" is modified to distinguish between "agency actions" and "applicant actions." The role of the accepting authority is to determine that: (1) the final EIS is required to be filed pursuant to chapter 343, HRS; and (2) the final EIS fulfills the requirements of an EIS. The Final Proposed Rules reflect the 2012 changes to chapter 343, authorizing the direct preparation of an EIS without first preparing an EA.

The definition of "addendum" is modified to incorporate housekeeping changes and to include that an "applicant" also may attach an addendum to a draft EA or EIS.

The definition of "approval" is modified to remove the word "actual" from the phrase "prior to the actual implementation of the action" because "actual" was an unnecessary adjective. The definitions of "discretionary consent" and "ministerial consent" that were embedded in the 1996 definition of "approval" have been removed and made into a standalone definition under "discretionary consent".

The definition of "approving agency" is modified to remove the word "actual" from the phase "prior to the actual implementation of the action" because "actual" was an unnecessary adjective. The word "applicant" was added before the word "action" because an approving agency is only necessary within the environmental review context for applicants. Chapter 343, HRS, only applies to applicants when an applicant action needs a discretionary consent (an approval) to proceed and contains a trigger under section 343-5, HRS.

The definition of "cumulative impact" is slightly modified for housekeeping purposes ("which" to "that").

The definitions of "discretionary consent" and "ministerial consent" are removed from the 1996 definition of "approval" and made into a standalone, combined definition (discretionary consent and ministerial consent). The definition of "discretionary consent" is consistent with both chapter 343, HRS, and the 1996 Rules language. The definition of "ministerial consent" is consistent with the 1996 Rules language. It is not a defined term in chapter 343, HRS.

The definition of "draft environmental assessment" is modified for housekeeping purposes, and to use the term "finding of no significant impact" in place of "a negative declaration determination".

The definition of "effects" and "impacts" is slightly modified for housekeeping purposes (changing "which" to "that"), and to incorporate the language "immediate or delayed" that is part of the 1996 Rules definition of "environmental impact", which is proposed to be deleted due to redundancy.

The definition of "EIS preparation notice" re-orders the words "EIS preparation notice" and "preparation notice", and adds in the acronym "EISPN" because "EISPN" and "EIS preparation notice" are used most frequently throughout the rules. The definition is accordingly put in alphabetical order. The definition is updated to incorporate the "Direct-to-EIS" route, which, pursuant to section 343-5(e), HRS, begins with an EISPN. Note that section 343-5(e), HRS, only allows an agency to use its judgment and experience to determine whether an agency or applicant may begin with an EISPN. An applicant must consult with an agency first to receive this authorization. Housekeeping changes are also included.

The definition of "EIS public scoping meeting" is added. An EIS public scoping meeting is a new requirement as part of the EIS preparation process and is outlined in section 11-200.1-23. The purpose of an EIS public scoping meeting is for interested parties to assist the applicant or agency in developing the scope of the EIS.

The definition of "environment" is modified to include health, so that it corresponds to the definition of "effects" or "impacts" under both chapter 343, HRS, and the 1996 Rules. It is also modified to include "cultural", as required by Act 50 Session Laws Hawai'i of 2000.

The definition of "environmental assessment" is modified to clarify that an EA needs to provide sufficient evidence to make a significance determination as opposed to merely making that assertion, or, on the opposite end of the spectrum, providing an unduly long analysis. The statutory and 1996 Rules provide only that an EA is a written evaluation "to determine whether an action has a significant environmental effect". The proposed definition expands it to "a written evaluation that serves to provide sufficient evidence and analysis to determine whether an action may have a significant effect".

The definition of "environmental impact" is deleted because it was unnecessary with both "impact" and "environment" already included as defined terms. The words "immediate or delayed" have been incorporated into the definition of "effects" or "impacts".

The definition of "environmental impact statement" is modified with housekeeping changes.

The definition of "exempt classes of action" is deleted because the concept of "classes of action" is removed in subchapter 8. Subchapter 8 uses the term "general types" of action that may be exempted in order to be more consistent with chapter 343, HRS.

The definition of "exemption list" is added because it is a frequently used term in subchapter 8A. The new definition clarifies that the exemption process is part of the chapter 343, HRS, process.

The definition of "exemption notice" is modified to reflect the updates to the exemption process under subchapter 8. It recognizes that an exemption notice may be prepared for both agency and applicant actions. Further, it removes the reference that the notice be kept on file because in some circumstances a notice may be required to be published in the bulletin.

The definition of "final environmental assessment" is modified to reflect that chapter 343, HRS, now provides for a "Direct-to-EIS" pathway when, based on an agency's judgment and experience, the agency concludes that the proposed action may have a significant effect on the environment. The agency may then directly proceed to an EIS, or in the case of an applicant, may authorize an applicant to proceed directly to the preparation of an EIS. For both proposing agencies and applicants, the EIS preparation begins with an EISPN. Because the "Direct-to-EIS" pathway exists, it is less likely that an agency will submit or require the applicant to submit a final EA without the preparation of a draft EA. The line referring to this process has therefore been removed. The definition has also been modified to include housekeeping changes.

The definition of "finding of no significant impact" or "FONSI" no longer refers to "negative declaration". The acronym FONSI is introduced because it is frequently used in the Final Proposed Rules and in practice. The definition no longer specifies that a "FONSI is required prior to implementation" because that is a process element and not intrinsic to the definition.

The definition of "impacts" is added to redirect the reader to "effects". "Impacts" and "effects" are used synonymously throughout the Final Proposed Rules.

The definition of "National Environmental Policy Act" is slightly modified to include housekeeping changes, including adding in the acronym "NEPA".

The definition of "negative declaration" is deleted and moved alphabetically under "finding of no significant impact".

The definition of "office" includes minor housekeeping changes.

The definition of "periodic bulletin" is modified to include "bulletin" as an abbreviated reference to the "periodic bulletin".

The definition of "preparation notice" is deleted and moved under "Environmental Impact Statement Preparation Notice" or "EISPN". The term EISPN is used more frequently throughout the Final Proposed Rules.

The definition of "primary impact" is modified slightly to incorporate housekeeping changes.

The definition of "project" is added to distinguish between projects and programs and to facilitate discussion of a programmatic approach to environmental review. The proposed definition is consistent with but expands upon the definition set forth by the Hawai'i Supreme Court in *Umberger v. Department of Land and Natural Resources*, 403 P.3d 277, 290 (Haw. 2017) (see II.C.ii Programmatic Approaches and Defining Project and Programs).

The definition of "program" is added to distinguish projects and programs from one another and to facilitate discussion of a programmatic approach to environmental review. The proposed definition is aligned with but significantly expands upon the definition set forth by the Hawai'i Supreme Court in *Umberger v. Department of Land and Natural Resources*, 403 P.3d 277, 290 (Haw. 2017) (see II.C.ii Programmatic Approaches and Defining Project and Programs). The definition no longer refers to a single project conducted over a long timeframe.

A definition of "proposing agency" is added because the term is used frequently throughout both the 1996 Rules and the Final Proposed Rules, but was not previously defined.

The definition of "secondary impact", "secondary effect", "indirect impact" or "indirect effect" is modified to correct grammar and readability.

The definition for "significant effect" or "significant impact" is amended according to Act 50 of the 2000 legislative session, which added "cultural practices of the community and State" to the definition of "significant effect" in chapter 343, HRS.

The definition of "supplemental EIS" is amended to refer to an "updated" instead of an "additional" EIS.

The definition of "trigger" is added to refer to any use or activity listed in section 343-5(a), HRS. The 1996 Rules listed common "triggers" from section 343-5(a), HRS. The list has been omitted from the Final Proposed Rules.

Subchapter 3 Computation of Time

Subchapter 3 (Computation of Time) creates a distinct subchapter standardizing the computation of time for all time periods prescribed by this chapter and chapter 343, HRS. Although this subchapter contains only one section, creating a new subchapter is in line with creating a new structure for chapter 11-200.1, HAR providing a clear outline of the contents of the chapter through the subchapter headings.

§ 11-200.1-3 Computation of Time

Section 11-200.1-3 is a new section. The section describes how to compute days within the Final Proposed Rules. Section 11-200.1-3 provides that time periods prescribed by the Final Proposed Rules should generally be "computed by excluding the first day and including the last." If the last day happens to fall on a weekend or state holiday, than the last day should be the next business day. Weekends and state holidays are otherwise included in the total count.

The Final Proposed Rules are consistent with chapter 11-201-14, HAR (1985), Environmental Council Rules of Practice and Procedure ("Council Rules") but adopt the more succinct statutory language.

Pursuant to section 343-5, HRS, the comment period for an EA is thirty (30) days, and for an EIS is forty-five (45) days. Under the Final Proposed Rules, the day an EA or and EIS is published in the periodic bulletin (i.e., *The Environmental Notice*) is identified as day zero. For example, if the OEQC publishes an EA in the periodic bulletin on Sunday, April 8, 2018, April 8 is counted as day zero. The thirty days would begin to count on Monday, April 9 and therefore the deadline would fall on Tuesday, May 8, 2018.

Subchapter 4 Filing and Publication in the Periodic Bulletin

Subchapter 4 (Filing and Publication in the Periodic Bulletin) creates a distinct subchapter setting forth information about the periodic bulletin (i.e., *The Environmental Notice*) and requirements for filing submittals to OEQC for publication in the periodic bulletin. This subchapter reorganizes the previous periodic bulletin section from the 1996 Rules into three sections.

Section 200.1-4 addresses the purpose of the periodic bulletin and publication requirements. Section 200.1-5 establishes procedures for filing submittals for publication and consolidates previous language in various sections of the 1996 Rules regarding filing requirements into one place. Section 200.1-6 includes new language addressing occasions when an agency or applicant seeks to publish the same notice, document, or determination that it has published before and addresses the associated comment periods that arise when republication occurs.

§ 11-200.1-4 Periodic Bulletin

Section 11-200.1-4 replaces section 11-200-2, HAR (1996). This section is adapted from sections 11-200-3, 11.2, 21, and 27 of the 1996 Rules. The Final Proposed Rules separate section 11-200-3, HAR (1996) into two sections: Periodic Bulletin (section 11-200.1-4) and Filing Requirements for Publication and Withdrawal (section 11-200.1-5).

In the Final Proposed Rules, subsection (a) provides that the periodic bulletin (i.e., *The Environmental Notice*) will be published electronically. OEQC will provide paper copies of the periodic bulletin request. Additionally, the periodic bulletin will be made available at public libraries.

Subsection (b) lists the types of notices, documents, and determinations published in the periodic bulletin, pursuant to chapter 343, HRS. Paragraph (2) introduces a new requirement to publish lists of exempted actions. Paragraph (11) requires republication of any chapter 343, HRS, notices, documents or determinations, and for notices of their withdrawal in accordance with other applicable requirements of the chapter.

Subsection (c) requires the OEQC to publish other notices required by statute or rules. For example, section 13-222-12, HAR, requires public notice in the periodic bulletin for shoreline certifications.

Subsection (d) authorizes the OEQC to publish additional items in the periodic bulletin as time and space allows. Space is generally not a concern for the electronic document. However, time is likely to be an issue given that the Final Proposed Rules reduced the submittal deadline from eight (8) days to five (5) days.

§ 11-200.1-5 Filing Requirements for Publication and Withdrawal

Section 11-200.1-5 consolidates language from sections 11-200-3, 9, 10, 11.1, 11.2, 20 and 23, HAR (1996). In the 1996 Rules, the filing requirements are integrated into content or process steps and require numerous cross-references. This section standardizes the filing requirements for each document or determination into one section.

Section 11-200.1-5 consolidates notices, documents, and determinations required under chapter 343, HRS, as well as requirements for publication pursuant to other statutes or administrative rules (e.g., chapter 13-222-12, HAR for shoreline applications).

Subsection (a) provides that submissions to the OEQC must be electronic and before the close of business five (5) days prior to issue date. Under the 1996 Rules, the deadline was eight (8) days prior to the issue date. The Council considered this deadline and determined the OEQC no longer needs eight days to prepare the periodic bulletin. In the Proposed Rules (Version 1.0), a four (4) day deadline was proposed. However, the Council ultimately decided that a five (5) day deadline would be the most practical to alleviate any potential burden on the OEQC, as well as to accommodate limited staffing and resources.

Subsection (b) authorizes OEQC to request geographic data such as that included in a standard geographic information systems file. Subsection (b) also requires proposing agencies and applicants to identify the specific approval requiring an applicant to undertake environmental review.

Subsection (d) consolidates language on withdrawal from environmental review and permits anything filed with the OEQC (e.g., EA or EIS) to be withdrawn at any time.

The Final Proposed Rules require paper copies in only two circumstances, both related to the state library. Consistent with the library's archival requirements, the Final Proposed Rules require submission of one paper copy of any draft or final EA or EIS to be deposited with the State Library Document Center. Additionally, a paper copy of a draft EA, EISPN, or draft EIS must be deposited in the local library nearest to the proposed action. This is so that those living nearest to the proposed impacts and have limited electronic access (or capability) are still able to participate in the environmental review process at the scoping and draft phases.

Subsection (e) incorporates the requirement in section 11-200.1-18 to record and submit oral comments at public scoping meeting for EISs. It is incumbent upon the proposing agency or applicant to ensure that one unaltered/unedited copy of the recording of the oral comments is submitted to the OEQC. The OEQC recommends proposing agencies or applicants consider using backup methods to record oral comments in the event of file corruption. Standard quality means all oral comments can be clearly heard.

§ 11-200.1-6 Republication of Notices, Documents, and Determinations

Section 11-200.1-6 was introduced to address the practice of republication of chapter 343, HRS, notices, documents, and determinations. Chapter 343, HRS, is silent on whether comment periods may be extended. In practice, proposing agencies, applicants, and approving agencies have sought to extend comment periods. When this occurs outside of the standard time period for public comment, or outside of the notification process through the periodic bulletin, inconsistencies can arise in the process creating questions of public notification and, in some cases, standing. To avoid inconsistencies, section 11-200.1-6 specifies the standard filing, comment, and response requirements of chapter 343, HRS, apply each time something is published.

In the Final Proposed Rules, subsection (a) provides that any proposing agency or applicant that filed a chapter 343, HRS, notice, document, or determination may withdraw and republish a notice, document, or determination that has not been changed. Other submittals to the OEQC required by council rules, statute other than chapter 343, HRS, or an agency's administrative rules other than this chapter, may also be withdrawn and republished, in accordance with that statute or those rules. There is no chapter 343, HRS, obligation to publish an unchanged document again; however, a proposing or approving agency's own statutes, rules, or procedures may require or call for it.

Subsection (b) describes when a public comment period is required with the republication of a chapter 343, HRS, notice, document, or determination and how comments received in two or more comment periods for an unamended but republished notice, document, or determination are to be handled. The requirement to address comments in all comment periods resulting from multiple publications is to reduce the possibility of repeated publications to achieve fewer comments. Comments received outside of the multiple comment periods do not have to be addressed.

Subchapter 5 Responsibilities

Subchapter 5 (Responsibilities) creates a distinct subchapter identifying the decision-making authority when agencies and applicants undergo chapter 343, HRS, environmental review in various circumstances. Although this subchapter contains only one section, creating a new subchapter is in line with creating a new structure for chapter 11-200.1, HAR providing a clear outline of the contents of the chapter through the subchapter headings.

§ 11-200.1-7 Identification of Approving Agency and Accepting Authority

Section 11-200-1.7 replaces section 11-200-4, HAR (1996). The language has been adapted from sections 11-200-3, 4, and 23, HAR (1996). Section 11-200-1.7 distinguishes between approving agencies and accepting authorities.

Subsection (a) provides that the Governor has the authority to accept an EIS whenever an action proposes the use of state lands or funds. Alternatively, the Mayor has the authority to accept an EIS when an action proposes the use of county lands or funds.

Pursuant to subsection (b), if an applicant proposes an action, the approving agency for environmental review compliance is also the accepting authority. Chapter 343-5(e), HRS, states that for applicants "the agency initially receiving and agreeing to process the request for approval shall require the applicant to prepare an [EA] of the proposed action", which is the approving agency. The statute further states that the "authority to accept a final statement shall rest with the agency initially receiving and agreeing to process the request for approval". The agency with the authority to accept a final statement is the accepting authority, which is the agency initially receiving and agreeing to process the request for approval". The agency initially receiving and agreeing to process the request for approval. This section adds language for applicants undertaking an EA to identify the approving agency.

Subsection (c) and (d) describes the process to select the appropriate accepting authority, when two or more agencies are involved in an action. A list of considerations is provided for the agencies to make their decision, including a new consideration for which agency may have the most lands or funds involved in a proposed action.

Although subsection (e) provides the OEQC may not serve as the accepting authority for any action, subsection (f) authorizes OEQC to provide recommendations to the agency or applicant.

Subchapter 6 Applicability

Subchapter 6 (Applicability) creates a distinct subchapter setting forth procedures for determining whether an activity requires chapter 343, HRS, environmental review. This subchapter reorganizes the previous applicability subchapter from the 1996 Rules to show the chronological steps that a proposing or an approving agency will follow when making this determination.

Section 11-200.1-8 addresses applicability of chapter 343, HRS, environmental review to agency actions, particularly the use of state or county lands or funds trigger, and emergency actions. Section 11-200.1-9 addresses applicability to applicant actions and incorporates section 343-5.5, HRS. Section 11-200.1-10 addresses the treatment of multiple or phased actions. Section 11-200.1-11 addresses the use of prior exemptions, FONSIs, and accepted EISs and introduces the evaluation tool informally called the "green sheet" based on the City and County of Honolulu Department of Planning and Permitting worksheet.

§ 11-200.1-8 Applicability of Chapter 343, HRS, to Agency Actions

Section 11-200.1-8 replaces section 11-200-5, HAR (1996). All language in this section has been adapted from section 11-200-5, HAR (1996). Section 11-200.1-8 incorporates Hawai'i Supreme Court decision *Umberger v. Department of Land and Natural Resources*, 140 Hawai'i 500, 403 P.3d 277 (2017). In that Case, the Supreme Court held that for an activity to be subject to environmental review, "it must fall within at least one category of land uses or administrative acts (known as "triggers", now defined as a term in the Final Proposed Rules) enumerated in section 343-5(a), HRS (2010)."

Subsection (a) incorporates by reference the triggers that necessitate environmental review under chapter 343, HRS. The Final Proposed Rules retain the provision in the 1996 Rules that feasibility and planning studies are exempt from chapter 343, HRS, environmental review.

Subsection (b) addresses situations where an agency must respond to an emergency and that response would fall within the scope of chapter 343, HRS, but the nature of the emergency requires immediate response. For example, during a forest fire, an emergency firebreak may need to be cut. In the case of King Tides, an issue raised by one commenter, the exemption would not extend to reconstruction of homes after the emergency has passed, but may apply to immediate measures taken to address the situation. The Final Proposed Rules emphasize that an agency must take immediate action to address the emergency for the exemption to apply. The agency has a responsibility to document the exemption when it undertakes an emergency action, whether an emergency proclamation has been made or not, in case a question arises about the lack of an assessment. That documentation, like other non-published exemptions,

must be available upon public request and must be included in the list of exemptions required to be routinely filed with and published by OEQC.

Subsection (b) also ensures that the exclusions from chapter 343, HRS, are related to the declared emergency by requiring substantial commencement of the action within sixty (60) days of the emergency proclamation. Under chapter 127A-14(d), HRS, a state of emergency automatically terminates after sixty (60) days. Supplemental emergency proclamations would re-start the 60-day count and extend the time that an action has to reach substantial commencement. This provision does not explicitly reference the possibility for extension because the extension is provided for under section 127A-14(d) and the Council does not have rulemaking authority under chapter 127A, HRS. The term "substantially commenced" is not defined in the Final Proposed Rules because the intent is to provide direction to agencies to timely implement the action but not define the standard for all agencies in all situations.

§ 11-200.1-9 Applicability of Chapter 343, HRS, to Applicant Actions

Section 11-200.1-9 replaces section 11-200-6, HAR (1996). Pursuant to section 11-200.1-9, there are two essential elements necessitating chapter 343, HRS, review for applicant actions: (1) discretionary consent; and (2) a statutory trigger under section 343-5, HRS. Section 11-200.1-9 accounts for an applicant action that may require multiple approvals. Each approval should be considered as part of the whole action and not as creating discrete actions. By incorporating reference to section 343-5(a), HRS, into subsection (a), much of what was included in section 11-200-6(b), HAR (1996) becomes unnecessary and was therefore removed. If section 345-5(a), HRS, is amended, the incorporation of the statutory triggers by reference allows the rules to remain aligned with section 345-5(a), HRS, without also requiring an amendment to the rules. This approach helps to ensure consistency between the rules and the statute over time.

Section (a) incorporates chapter 343, HRS, requirements for actions involving agricultural tourism under section 205-2(d)(11) or section 205-4.5(a)(13), HRS. Pursuant to section 343-5(a)(1), HRS, actions involving agricultural tourism are subject to environmental review only when required by county ordinance.

Section (b) incorporates section 343-5.5, HRS, exclusions from environmental review. The exclusions were added to chapter 343, HRS, through the 2012 legislative amendments (L 2012, c 312 § 1). Section (b) also provides the following definitions, specific to subsection (b): "discretionary consent", "infrastructure", "primary action", and "secondary action".

§ 11-200.1-10 Multiple or Phased Actions

Section 11-200.1-10 replaces section 11-200-7, HAR (1996). Section 11-200.1-10 clarifies the scope of an action to reduce the potential for segmentation. Section 11-200.1-10 also clarifies that multiple or phased actions may be reviewed in an EA or EIS and do not necessarily require
an EA prior to preparing an EIS. The Final Proposed Rules eliminate the language "proposed by an agency or applicant" because the language is repetitive. By definition, an "action" is proposed by an agency or applicant. Additionally, the term "project" is replaced with the term "program" for consistency.

§ 11-200.1-11 Use of Prior Exemptions, Findings of No Significant Impact, and Accepted Environmental Impact Statements to Satisfy Chapter 343, HRS, for Proposed Actions

Section 11-200.1-11 replaces section 11-200-13, HAR (1996). Section 11-200-13, HAR (1996) permitted prior determinations and accepted EISs to satisfy chapter 343, HRS, for proposed actions if the prior determination or accepted EIS was pertinent and relevant to the proposed action. The 1996 Rules advise agencies to take a hard look before allowing use of prior determinations and accepted EISs in place of additional chapter 343, HRS, environmental review. Section 11-200.1-11 introduces tiering and incorporating portions of an existing determination or accepted EIS into environmental review of proposed actions.

Section 11-200.1-11 clarifies when and how an agency may determine that a prior exemption, final EA, or accepted EIS satisfies chapter 343, HRS, for a proposed action. In order for a proposed action to use a prior exemption, final EA, or accepted EIS: (1) the proposed activity must have been considered a component of or be substantially similar to the action that received the exemption, FONSI, or acceptance; (2) the proposed activity must be anticipated to have similar direct, indirect, and cumulative effects as those analyzed in a prior exemption, final EA, or accepted EIS; and, (3) in the case of a final EA or accepted EIS, the proposed activity must have been analyzed within the range of alternatives. In essence, the agency must be able to determine that the proposed activity was covered under the prior exemption, FONSI, or accepted EIS.

Section 11-200.1-11 applies to situations where a program EIS, and later in time a component of that program EIS that was analyzed in detail, is ready to be implemented. The component may on its own be considered an action for purposes of chapter 343, HRS, but because it was a component of an accepted EIS, is anticipated to have similar direct, indirect, and cumulative effects as those analyzed in the accepted EIS, and the proposed action was analyzed in the range of alternatives in the accepted EIS, an approving agency may determine that chapter 343, HRS, is already satisfied. The proposing agency or applicant may then proceed with other permitting requirements outside of chapter 343, HRS. An agency determining whether a prior accepted EIS satisfies chapter 343, HRS, review for a proposed action should also consider whether the accepted EIS was accepted at a time when environmental conditions and information were similar. If there have been significant changes since the time the accepted EIS was prepared, the proposed activity cannot be considered "similar" because the environmental impacts could be different than those analyzed in the accepted EIS.

This rationale for determining whether chapter 343, HRS, review is necessary is an existing practice for many agencies when they are considering whether to undergo chapter 343, HRS, environmental review or deciding whether an applicant must undergo chapter 343, HRS, environmental review.

Section 11-200.1-11 creates a consistent process and provides agencies with direction on what to consider when determining if a proposed activity is covered under a prior exemption, final EA, or accepted EIS. The rules also create a mechanism for agencies to publish a determination and brief rationale that a prior exemption, final EA, or accepted EIS satisfies the chapter 343, HRS, requirements for a proposed activity.

Subection (b) provides that when an agency determines that a prior exemption, final EA, or accepted EIS does not satisfy chapter 343, HRS, environmental review for a proposed activity, then the proposing agency or applicant should proceed to subchapter 7 to determine the level of environmental review necessary.

In subsection (d), the term "considerable" has been replaced with the term "careful" to describe the quality of the analysis. The term "pre-examination" has been replaced with "examination" to clarify the language. In the same subsection, the phrase "substantially similar to and relevant" is simplified to just say "substantially relevant."

Subchapter 7 Determination of Significance

Subchapter 7 (Determination of Significance) creates a distinct subchapter to provide direction to agencies in deciding the level of review necessary to satisfy chapter 343, HRS. This subchapter logically follows subchapter 6 because proposing agencies and approving agencies will have to determine the significance after determining applicability. This subchapter reorganizes the subchapter on determination of significance from the 1996 Rules to show the chronological process that an agency will follow when determining the appropriate level of review.

Section 11-200.1-12 addresses circumstances in which an agency may consider previous determinations and previously accepted EISs when deciding the appropriate level of review for a new action. Section 11-200.1-12 is distinguishable from section 11-200.1-11 because it describes the process to incorporate material from previous chapter 343, HRS, actions into a new action rather than the process to determine if an action is already covered by chapter 343, HRS.

Section 11-200.1-13 presents the significance criteria that agencies use as a basis for determining potential impacts. Section 11-200.1-14 provides that the proposing or approving agency use its judgment and experience to initially determine whether the appropriate level of environmental review is an exemption, preparation of an EA, or direct preparation of an EIS.

§ 11-200.1-12 Consideration of Previous Determinations and Accepted Statements

Section 11-200.1-12 replaces section 11-200-13, HAR (1996). The 1996 Rules section included three concepts: (1) the use of prior determinations and accepted EISs in place of chapter 343, HRS, review for a proposed action; (2) tiering an exemption, EA, or EIS for a proposed action on a prior determination or accepted EIS; and (3) incorporation of information from a prior determination or accepted EIS into an exemption, EA, or EIS for a proposed action.

In Final Proposed Rules, section 11-200.1-12 only address the first concept – the use of prior determinations and accepted EISs in place of further chapter 343, HRS, review. The Final Proposed Rules discuss tiering and incorporation by reference in sections 11-200.1-11 and 11-200.1-12. Section 11-200.1-12 incorporate language in section 343-5(g), HRS, regarding the types of previous determinations may be used, including exemption notices, EAs, EISPNs, and previously accepted EISs.

§ 11-200.1-13 Significance Criteria

Section 11-200.1-13 replaces, and is adapted from, section 11-200-12, HAR (1996). This section presents the criteria that an agency is to use for determining whether an exemption, FONSI, EISPN, or acceptance is appropriate.

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Section 11-200.1-13 provides: "In most instances, an action shall be determined to have a significant effect on the environment if it may . . ." The Council considered whether the term "likely" was appropriate in this context of the rules. The term "may" is used in section 343-5, HRS. The Hawai'i Supreme Court has interpreted the word "may" to mean "likely". For example, in *Kepoo v. Kane*, 103 P.3d 939, 958 (Haw. 2005) the Hawai'i Supreme Court held that the proper inquiry for determining the necessity of an EIS is whether the proposed action will "likely" have a significant effect on the environment. However, more recent court cases have offered additional perspectives on the meaning of "may" such that the use of "likely" did not seem so clearly warranted. The Council chose to replace the term "likely" with the term "may" in the Final Proposed Rules to maintain consistency with the statute.

The term "consequences" is replaced with the term "impacts" because the rules define "impacts" but not "consequences".

The term "adverse" is added to specific criteria where applicable. This language more closely matches the definition of "significant effect" in section 343-2, HRS, including mirroring the emphasis on "adverse" effects. Section 343-2, HRS, defines "significant effect" as:

the sum of effects on the quality of the environment, including actions that **irrevocably commit** a natural resource, **curtail** the range of beneficial uses of the environment, are **contrary** to the State's environmental policies or long-term environmental goals as established by law, or **adversely affect** the economic welfare, social welfare, or cultural practices of the community and State. (emphasis added)

Section 11-200.1-13 retains the word "substantial" from the 1996 Rules.

Combining "substantial" and "adverse" sets a higher standard and emphasizes negative effects. This change addresses whether an action having substantial beneficial effects would require the preparation of an EIS or make an action ineligible for an exemption. The introductory language of the section retains the requirement that agencies consider the sum of effects on the quality of the environment and the overall and cumulative effects of an action. For example, a proposed renewable energy project may have substantial beneficial effects with respect to energy and greenhouse gases but may also irrevocably commit to loss or destruction of a natural or cultural resource. In this case, an agency must still consider the sum of effects and the overall and cumulative effects, which could warrant the preparation of an EIS instead of issuing a FONSI.

In the Final Proposed Rules, Criterion (1) has been updated to reflect the statutory language in chapter 343, HRS. Specifically, Criterion (1) distinguishes between "cultural resources" and "historic resources" as potential triggers. This approach is distinguishable from NEPA which includes historic properties as a subset of cultural resources."

Criterion (3) references laws in addition to chapter 343, HRS, that define "significant effect" (e.g., the State Planning Act or Renewable Portfolio Standards). "Laws" may be broadly

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understood to include common law and executive orders so long as they establish long-term environmental policies or goals, but not to encompass all statutes, administrative rules, and court decisions.

Criterion (4) updates language to match the definition of "significance" in section 343-2, HRS. The statutory language was amended by Act 50 (2000) to include cultural practices as part of the definition of significance. Act 50, Session Laws of Hawai'i 2000 requires the consideration of impacts on cultural practices when making a determination of significance effect. It amended the definition of "significant effect" in section 343-2, HRS, to mean "the sum of effects on the quality of the environment, including actions that irrevocably commit a natural resource, curtail the range of beneficial uses of the environment, are contrary to the State's environmental policies or long-term environmental goals as established by law, or adversely affect the economic welfare, social welfare, or cultural practices of the community and State."

Act 50 also amended the definition of "environmental impact statement" or "statement" in section 343-2, HRS to include the disclosure of effects of a proposed action on cultural practices, as follows:

"environmental impact statement" or "statement" means an informational document prepared in compliance with the rules adopted under section 343-6 and which discloses the environmental effects of a proposed action, effects of a proposed action on the economic welfare, social welfare, and cultural practices of the community and State, effects of the economic activities arising out of the proposed action, measures proposed to minimize adverse effects, and alternatives to the action and their environmental effects.

The initial statement filed for public review shall be referred to as the draft statement and shall be distinguished from the final statement which is the document that has incorporated the public's comments and the responses to those comments. The final statement is the document that shall be evaluated for acceptability by the respective accepting authority.

Pursuant to Act 50, cultural practices are an integral component of the significance criteria and must be considered in making a significance determination.

Criterion (11) adds the sea level rise exposure area to the list of example areas that could be considered environmentally sensitive. The language is adapted from the December 2017 Climate Change Mitigation and Adaptation Commission report. This criterion addresses concerns related to climate change adaptation such as impacts from sea level rise, increased hurricane frequency and/or intensity, and endangered species migration. The list is not exhaustive and other areas may be considered environmentally sensitive, including areas likely to experience wave inundation, increased exposure to hurricanes, or flooding outside of a designated flood plain.

Criterion (12) provides that both the daytime and nighttime effects on scenic vistas and viewplanes must be considered when determining if an action is likely to have a significant effect. Bright lighting around a site at night, for example, may disrupt scenic vistas or viewplanes even though the site is not conspicuous and does not otherwise have a substantial adverse effect on the scenic vista or viewplane during the day.

Criterion (13) incorporate greenhouse gas emissions to reflect the well-established science that greenhouse gas emissions have a cumulative impact and have more sources beyond fossil fuel burning. A proposed action having substantial emissions (relative to the state of Hawai'i) may not be the result of energy use, especially as Hawai'i progresses toward its 100% renewable energy goal.

§ 11-200.1-14 Determination of Level of Environmental Review

Section 11-200.1-14 is a new section. Section 11-200.1-14 was introduced in the Final Proposed Rules to describes the pathways of chapter 343, HRS, environmental review: exemption, EA resulting in a FONSI or EISPN, "Direct-to-EIS", and EIS resulting in an acceptance or non-acceptance. Once an agency concludes that the proposed action is not covered by a previous determination or accepted statement (such as via the "green sheet"), the agency must then determine the appropriate review using its judgment and experience: exemption, EA, or EIS.

Section 11-200.1-14 adapts language from sections 11-200-5(a) and 11-200-9(b), HAR (1996) and from sections 343-5(b) and 343-5(e), HRS. Agencies have thirty (30) days to inform applicants what level of environmental review they must undertake. The time period begins when the agency deems the request for approval is complete.

Section 11-200.1-14 incorporates the exemption standard provided in section 11-200-8, HAR (1996) and section 343-6(a), HRS ("actions [that] will probably have minimal or no significant effects on the environment").

Where an action requires chapter 343, HRS, environmental review, preparation of an EA beginning with a draft EA is required unless one of two situations exist: (1) a proposing agency may begin with a final EA, or an approving agency may authorize an applicant to begin with a final EA, when more information is required to determine whether an EIS is required (this was the process prior to the "Direct-to-EIS" statutory change and agencies have expressed value in keeping it); or (2) an agency may follow the "Direct-to-EIS" route as provided for in section 343-5, HRS.

Subchapter 8 Exempt Actions, List, and Notice Requirements

Subchapter 8 (Exempt Actions, List, and Notice Requirements) creates a distinct subchapter addressing the matter of exemptions. This subchapter divides section 11-200-8, HAR (1996) into three distinct sections.

Section 11-200.1-15 establishes the general types of actions under which an exemption may be declared. Section 11-200.1-16 provides direction to agencies for the creation of an exemption list. Section 11-200.1-17 advises agencies on how to prepare an exemption notice

§ 11-200.1-15 General Types of Actions Eligible for Exemption

Section 11-200.1-15 replaces section 11-200-8, HAR (1996). Section 11-200.1-15 provides the general types of actions eligible for exemption. It incorporates the standard for declaring actions exempt provided in section 343-6(2), HRS. An action is eligible for exemption if it will probably individually and cumulatively have minimal or no significant effects.

Section 11-200.1-15 eliminates the language from the 1996 Rules regarding "classes of actions". The Council reasoned that chapter 343, HRS, does not use the term "classes" and therefore the term has the potential to cause confusion. The Final Proposed Rules instead use the term "General Types" provided in the statutory language. The "types" of exemptions on agency exemption lists include: (1) general types listed in agency rules; (2) general types listed in agency-specific exemption lists; and (3) exemptions listed in exemption notices.

Additionally, Section 11-200.1-15 eliminates "classes" 6 and 7 from the 1996 Rules. Classes 6 and 7 are now considered *de minimis* and therefore do warrant a specific class. *De minimis* actions that warrant an exemption are discussed in section 11-200.1-16.

The Final Proposed Rules retain the language from the 1996 Rules for general types (2), (4), and (7). The Final Proposed Rules provide modifications for the remaining general types. General Type (1) replaces the term "negligible" with the term "minor", and removes "or no" before "expansion or change". Activities that are "negligible" and require "no expansion" and "no change" are now considered *de minimis* and should be reflected in Part 1 of the agency's exemption list.

General Type (3) recognizes that agencies measure residence area differently and directs the proposing agency or approving agency to apply its own measurement approach. The term "persons" is replaced with "individuals" because the definition of person in chapter 343, HRS, and the Final Proposed Rules is inconsistent with the meaning here.

General Type (5) <u>incorporates infrastructure testing such as temporary interventions on</u> roadways to test new designs or effects on traffic patterns.

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General Type (6) provides an the exemption for demolition of structures. In Version 1.0 of the proposed rules, General Type (6) excluded structures that were listed or met the criteria for listing on the National Register of Historic Places or the Hawai'i Register of Historic Places. The Final Proposed Rules removed the exclusion for structures that met the criteria for listing because it introduces too much uncertainty into the exemption process. The determination of what meets the criteria for listing falls to the National Park Service and the State Historic Preservation Division. Under the Final Proposed Rules, structures that "meet the criteria for listing" can still be eligible for the exemption, however, once a structure is listed on either the federal or state register, the structure may no longer be exempted.

General Type (8) still applies to administrative activities. However, the reference to purchase of supplies and personnel-related activities has been deleted because they are *de minimis* and therefore should be included an agency's exemption list.

General Type (9) incorporates the 2007 amendment to the 1996 Rules to exempt the acquisition of land and structures for affordable housing.

General Type (10) was proposed by the Council to provide a means for the development of affordable housing in urbanized areas where it would have minimal to no significant impact and meet the criteria for exemption as well as four specific criteria for this exemption general type.

In developing this exemption general type and criteria, the Council considered the different agency definitions of affordable housing. The Council considered multiple approaches to affordable housing, ranging from requiring 100% affordable housing at various mixtures of area median income (AMI) percentages to the language as proposed. Setting a specific mixture or requiring 100% affordable housing would set a standard unlikely to be met. Creating a standard for an exemption under chapter 343, HRS, separate and distinct from a standard set by a proposing agency or approving agency but not grounded in a specific statute or policy goal would be difficult to justify. Because chapter 343, HRS, is about disclosure by agencies to the public prior to making a decision or implementing an action, the Council believes that the public is best served by the agency using its own standard when considering whether a proposed action meets the meaning of "affordable housing". This is also consistent with General Type (9), acquisition of affordable housing, which is not defined, and with the Council's direction in section 11-200.1-2 to agencies to use their own statutes and rules for understanding terms that are not defined in chapter 343, HRS, or the Final Proposed Rules.

In addition, the potential to integrate mixed-use (e.g., offices, retail) with affordable housing is an explicit goal of some state and county agencies. Allowing for the potential of mixed use while keeping the agency to its own criteria for affordable housing could promote better urban communities that are multi-income and multi-use. Therefore, this exemption directs agencies to use their respective affordable housing law.

For example, section 201H-36(a)(4), HRS, sets forth one standard:

affordable rental housing where at least fifty per cent of the available units are for households with incomes at or below eighty per cent of the area median family income as determined by the United States Department of Housing and Urban Development, of which at least twenty per cent of the available units are for households with incomes at or below sixty per cent of the area median family income as determined by the United States Department of Housing and Urban Development.

This standard applies when the Hawai'i Housing Finance and Development Corporation is approving a proposal related to that standard, whereas each county has its own county ordinance that would be the controlling law for the respective county agency making decisions about whether to use county lands or funds. Chapter 343, HRS, applies before chapter 201H, HRS, and the Final Proposed Rules do not alter that order.

To reinforce the purpose of this exemption, several additional criteria are included.

The affordable housing exemption only applies when one or both of two possible triggers apply: (1) the action involves the use of state or county lands or funds; and (2) the action occurs within Waikiki. The first limitation keeps the focus on the involvement of the state or county to support affordable housing development where the only reason someone would undergo environmental review is because government is subsidizing funding or leasing out land to assist the production of affordable housing. The second limitation is included because Waikiki is a developed, urbanized area that meets the other criteria of being classified state urban land and zoned to allow housing. The presence of other triggers such as use within a shoreline (including a Waikiki shoreline) or occurring within a designated historic site would mean this exemption would not be applicable.

The affordable housing exemption only applies to actions on land that has already been classified by the State Land Use Commission as urban. If the proposed action involves land classified as agriculture, conservation, or rural, or includes a boundary amendment to change the classification to urban, then the exemption would not be applicable.

The affordable housing exemption applies to land that has already been zoned by the county to a zoning classification that allows for housing, recognizing that each county has unique zoning regimes.

The affordable housing exemption does not apply to areas with shoreline setback variances. This exception alleviates pressure on environmentally sensitive areas such as sea level rise exposure areas and erosion-prone areas.

Subsection (d) provides exceptions under section 11-200.1-15 when exemptions, including for those listed in the *de minimis* category, are inapplicable when the cumulative impact over time is significant or when an action is being carried out in a particularly sensitive environment. For example, it may be routine groundwork to remove a small ailing tree outside an agency building,

but if the tree is designated as an Exceptional Tree pursuant to chapter 58, HRS, then the normally routine activity may be significant, and an exemption would be inapplicable.

Pursuant to section 11-200.1-15(d) in the Final Proposed Rules, the exceptions do not apply when: (1) the cumulative impact of planned successive actions in the same place, over time, is significant; or (2) when an action that is normally insignificant is conducted in a particularly sensitive environment.

§ 11-200.1-16 Exemption Lists

Section 11-200.1-16 replaces section 11-200-8, HAR (1996). Many agencies do not regularly conduct activities that require chapter 343, HRS, environmental review, and therefore do not maintain exemption lists. Nevertheless, these agencies may still be eligible for the exemptions listed in section 11-200.1-15. To capture the discretionary nature of developing an exemption list, subsection (a) provides an agency "may" develop an exemption list.

The Final Proposed Rules replace the term "class" with the term "General Types".

As discussed in more detail in the Topical Changes section, exemption lists include: (1) *de minimis* actions (i.e., routine operations and maintenance, ongoing administrative activities, etc.); and (2) general types of actions listed in section 11-200.1-15 and agency-specific actions recorded in exemption notices (see section 11-200.1-17).

Section 11-200.1-16 applies to both applicant and agency actions. A proposing agency or an approving agency may determine that a proposed activity does not rise to the level of an action that requires an exemption notice because the proposed activity likely will have no or negligible environmental impact (Part 1 of the agency's exemption list). The agency may also exempt a proposed action based on either Part 2 of the approving agency's exemption list, or in accordance with a general type under section 11-200.1-15.

Pursuant to section 11-200.1-16, agencies are to submit their exemption lists for review and concurrence by the Council every seven years.

§ 11-200.1-17 Exemption Notices

Section 11-200.1-17 replaces section 11-200-8, HAR (1996). Section 11-200.1-17 requires agencies to: (1) create exemption notices with the general types of exemptions listed in section 11-200.1-15, and agency-specific exemptions on the exemption list; (2) maintain exemption notices on file; and (3) provide a list of all exemption determinations to the OEQC for publication in the periodic bulletin on the eighth (8th) day of each month. Agencies are also required to electronically provide their exemption notices to the public upon request. Exemption notices should be prepared prior to undertaking an action, except in the case of an emergency action under section 11-200.1-8.

Agencies are generally required to consult with outside agencies or individuals that function within the jurisdiction or have expertise in the area. The Draft Proposed Rules considered requiring agencies to document any consultations in the exemption notice and publish it with the OEQC unless: (1) the agency has created an exemption list in accordance with the enacted rules; (2) the agency received Council concurrence within seven years of the proposed implementation of the proposed action; and (3) the action is consistent with the letter and intent of the agency's exemption list. Unpublished exemption notices would still be included in the list of exemption notices that the agency routinely provides to the office for publication in the bulletin pursuant to subsection (d).

However, the Council was concerned about the potential burden of publishing exemption determinations if agency lists lacked concurrence. Furthermore, OEQC was concerned about the unknown effects on applicants who obtain exemption declarations when the seven (7) years pass. Council members expressed concern that this amendment would keep the process we have currently, which is burdensome to the public. Currently, the public must request the exemption declaration from the agency. This process can be challenging for neighbor island residents who cannot visit the agency offices in person to pick up a hard copy of the file. Public records (UIPA) requests can be time consuming and are not always effective.

The Final Proposed Rules remove the publication requirement for exemption notices but still require agencies to obtain Council concurrence for their exemption list every seven (7) years, file lists of exemption notices monthly with the OEQC, and produce them electronically to the public and agencies upon request.

Subchapter 9 Preparation of Environmental Assessments

Subchapter 9 (Preparation of Environmental Assessments) creates a distinct subchapter addressing EAs. This subchapter provides direction to an agency when it has decided that preparation of an EA is the appropriate level of chapter 343, HRS, environmental review. The sections are ordered by process sequence, starting with the consultation requirement prior to beginning a draft EA, and ending with the determination to issue an EISPN or a FONSI.

Section 11-200.1-18 describes the requirement of early consultation, the scope of analysis and level of detail required in a draft EA, and the content requirements for a draft EA. Section 11-200.1-19 describes the process and content requirements for issuing a notice of an anticipated FONSI based on a draft EA. Section 11-200.1-20 describes the requirements for public review and response to comments for a draft EA. Section 11-200.1-21 describes the contents of a final EA. Section 11-200.1-22 describes the determination to issue an EISPN or FONSI and the FONSI content requirements.

§ 11-200.1-18 Preparation and Contents of a Draft Environmental Assessment

Section 11-200.1-18 replaces section 11-200-10, HAR (1996). The Final Proposed Rules retain the draft EA contents requirements, rearranged chronologically. The final EA content requirements were moved to section 11-200.1-21.

Section 11-200.1-18 describes how the distinction between a project and program influences the style of the document and the breadth and specificity of analysis and information contained therein.

In the Final Proposed Rules, subsection (a) requires the applicant to "conduct early consultation" to solicit input from the county, agencies, citizen groups, and the general public.

Subsection (b) is adapted from section 11-200-19, HAR (1996). Subsection (b) mirrors the language in section 11-200.1-24 for the contents of a draft EIS, and provides that the scope and specificity within an EA will be commensurate with the scope of the action and the degree of specificity to which impacts are discernible at the time of preparation. This section also applies to the style, breadth and specificity of analysis and information contained in a final EA.

Subsection (c) distinguishes between the level of detail and style of assessment for programs, which may be more broad and conceptual in nature, and projects, which are site-specific and discrete. By providing language on the level of detail and style of assessment for different types of actions, the rules give the proposing agency or applicant direction regarding how to address projects or programs at risk of segmentation and acknowledges the tension between the requirement to conduct environmental review at the earliest practicable time with the desire for

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project specificity. This paragraph mirrors the proposed paragraph in section 11-200.1-24 regarding the contents of a draft EIS.

A draft EA for a program may omit issues that are not ripe for discussion on a narrower scale. In the case of such an omission, a subsequent project may require its own chapter 343, HRS, determination (see subchapter 7).

Subsection (d) outlines the content requirements for a draft EA. A draft EA must include a summary description of the affected environment including relevant maps. The Final Proposed Rules include a new recommendation to include State sea level exposure maps as applicable. The Council recognized that the sea level exposure maps may be inaccurate at the parcel level. However, the Council concluded that the sea level exposure maps still provide value when considering indirect and cumulative impacts at a larger scale. Moreover, the maps listed in the Final Proposed Rules are only examples and therefore not required.

In previous versions, a draft EA had to include a "summary of the impacts". In the Final Proposed Rules, the requirement is changed to an "analysis of the impacts." The Council reasoned that "summaries" often identify an impact without providing a sufficient discussion to support a conclusion. By requiring an "analysis" instead of a "summary", the Council is requiring that the final EA both: (1) identify the impact; and (2) provide information to support a conclusion.

§ 11-200.1-19 Notice of Determination for Draft Environmental Assessments

Section 11-200.1-19 replaces section 11-200-11.1, HAR (1996). Section 11-200.1-19 reflects changes made to the EA process in chapter 343, HRS, that enable applicants to prepare their own EAs, as opposed to agencies preparing EAs on behalf of applicants. It separates language from the 1996 Rules into subsections to increase clarity.

Section 11-200.1-19 permits an electronic submission of the notice of determination and the final EA. Section 11-200.1-19 incorporates the filing requirements in subchapter 4, and clarifies that approving agencies have a responsibility to send their determination to the applicant directly, but not necessarily via postal mail (electronic distribution is preferred).

Section 11-200.1-19 requires the proposing agency or applicant provide the name and contact information of a specific individual with authority and knowledge to answer questions regarding the proposed action and the environmental review. A generic phone line or email address of the proposing agency or applicant without an individual identified will not satisfy this requirement.

The Final Proposed Rules replace the term "determination" to "FONSI" in section 11-200.1-19 because a FONSI is the only determination applicable in this context.

§ 11-200.1-20 Public Review and Response Requirements for Draft Environmental Assessments

Section 11-200.1-20 replaces section 11-200-9.1, HAR (1996). If an agency does not anticipate a FONSI, then it will likely move, to or authorize an applicant to directly move to, prepare an EIS. This determination requires the approving agency to use its judgment and expertise. In some cases, although an agency may anticipate a FONSI, the FONSI may not be issued until an EA is completed.

Section 11-200.1-20 is updated to reflect the practice that the applicant, rather than the approving agency, prepares the EA.

Pursuant to chapter 343, HRS, subsection (a) provides that the public review period is thirty (30) unless otherwise provided by statute. For example, the development or expansion of forensic facilities of the department of health or in-state correctional facilities have 60-day comment periods for draft EAs (and EISs), per sections 334-2.7 and 353-16.35, HRS, respectively.

Subsection (a) retains language from the 1996 Rules that comments received outside of the thirty-day comment period do not need to be considered. The Council considered whether to require agencies to respond to comments submitted after the 30-day comment period. Chapter 343, HRS does not provide specific guidance on extensions. On one hand, the 30-day period is a challenge for entities that meet monthly (e.g., O'ahu neighborhood boards). On the other hand, however, requiring agency response following the deadline would render the deadline meaningless. Moreover, agencies still have discretion to respond to comments received after the deadline (however these responses create ambiguity about legal standing). Ultimately, the Council decided not to update the rules to require agencies to respond to comments after the 30-day deadline. The Council reasoned that the opportunity for republication in section 11-200.1-6 provides ample opportunity for additional public comment. A comment received during the republication period is treated the same as a comment submitted during the initial publication period.

Pursuant to subsection (b) in the Final Proposed Rules, proposing agencies and applicants are no longer required to respond to each commenter individually. Instead, the Final Proposed Rules allow proposing agencies and applicants to respond to generally to the issues raised in the comments. The proposing agency or applicant must still identify the commenters in the final EA and notify commenters when the final EA is published. Commenters must still be identified in the response within the EA. The Council reasoned that responding to individual comments can be extremely burdensome for proposing agencies and applicants, particularly with the increasing number of form letters and petitions submitted during the public comment period. This approach reduces the burden on proposing agencies and applicants to respond to similar comments. This approach also focuses attention on the content of the comments and the issues raised, rather than on responding to each individual commenter separately. The Final Proposed Rules have incorporated the NEPA approach to group identical or similar comments and provide a response to the group as a whole (see e.g., United States Council on Environmental Quality's ("CEQ") Forty Most Asked Questions Concerning CEQ's National Environmental Policy Act Regulations").

Section 11-200.1-20 also incorporates language from section 11-200.1-26 providing guidance on how to distinguish between substantive from non-substantive comments, and the minimum level of detail a proposing agency or applicant should include in a response.

Section 11-200.1-20 now requires proposing agencies and applicants, rather than the approving agency, to prepare their own documents.

§11-200.1-21 Contents of a Final Environmental Assessment

Section 11-200.1-21 replaces section 11-200-10, HAR (1996). Section 11-200.1-21 lists the specific content requirements for a final EA. The regulatory language is updated to be consistent with section 11-200.1-18.

In previous drafts, a final EA had to include a "summary of the impacts". In the Final Proposed Rules, the requirement is changed to an "analysis of the impacts." The Council reasoned that "summaries" often identify an impact without providing a sufficient discussion to support a conclusion. By requiring an "analysis" instead of a "summary", the Council is requiring that the final EA both: (1) identify the impact; and (2) provide information to support a conclusion.

§ 11-200.1-22 Notice of Determination for Final Environmental Assessments

Section 11-200.1-22 replaces section 11-200-11.2, HAR (1996), and is adapted from section 11-200-9(b)(8), HAR (1996) in the 1996 Rules. Section 11-200.1-22 aligns the process with Act 172 (2012), "Direct-to-EIS", which requires the applicant to prepare documents instead of the approving agency. Section 11-200.1-22 references subchapter 9, which describes the process and requirements for preparation of an environmental assessment previously included in sections 11-200-9(a) and 11-200-9(b), HAR (1996).

Section 11-200.1-22 only requires the proposing agency or applicant to submit a single electronic copy of the notice of determination and final EA. The specific filing and publication requirements are set forth in subchapter 4.

Pursuant to section 11-200.1-22, approving agencies must send a determination directly to the applicant, but not necessarily via postal mail (electronic distribution is sufficient). For applicant actions, the agency to issue its determination within thirty (30) days of receiving the final EA.

Section 11-200.1-22 adds language regarding the approving agency for the case of applicants because the accepting authority is applicable only for EISs and, in the case of applicant EISs, the accepting authority and approving agency are the same.

Section 11-200.1-22 requires the proposing agency or applicant provide the name and contact information of a specific individual with authority and knowledge to answer questions regarding the proposed action and the environmental review. A generic phone line or email address of the proposing agency or applicant without an individual identified will not satisfy this requirement.

Section 11-200.1-22 further creates a standard set of content requirements for an EISPN regardless of whether the EISPN is a result of a final EA or a "Direct-to-EIS" determination.

Subchapter 10 Preparation of Environmental Impact Statements

Subchapter 10 (Preparation of Environmental Impact Statements) creates a distinct subchapter that addresses EISs. This subchapter provides direction to an agency when it has decided that an EIS is the appropriate level of review, whether by the "Direct-to-EIS" pathway as addressed in subchapter 7 (Determination of Significance) or by the issuance of an EISPN after a final EA, as addressed in subchapter 9 (Preparation of Environmental Assessments). The sections in this subchapter are ordered chronologically to show the process that will be followed, starting with the publication of an EISPN, and ending with the matter of supplemental EISs.

Section 11-200.1-23 describes the contents of an EISPN, as well as the requirement of full and complete consultation, the EIS public scoping meeting, and the comment period following the publication of an EISPN. Section 11-200.1-24 describes the content requirements for a draft EIS, the scope of analysis and level of detail required in a draft EIS, and the response requirements to comments submitted during the 30-day scoping period. Section 11-200.1-25 describes the public review requirements for a draft EIS. Section 11-200.1-26 sets forth the requirements for responding to comments submitted on a draft EIS.

Section 11-200.1-27 describes the content requirements for a final EIS. Section 11-200.1-28 specifies the criteria for deeming a final EIS an acceptable document and outlines the steps following an acceptance or non-acceptance determination. Section 11-200.1-29 describes how an applicant may appeal an agency determination of non-acceptance to the Council. Section 11-200.1-30 addresses circumstances when a supplemental EIS may be required after acceptance of an EIS.

§ 11-200.1-23 Consultation Prior to Filing a Draft Environmental Impact Statement

Section 11-200.1-23 replaces section 11-200-15, HAR (1996). Section 11-200.1-23 sets forth the content requirements for an EISPN. As discussed in the rationale for section 11-200.1-10, section 11-200.1-23 retains the 1996 Rules requirement for the identification of all permits and approvals expected for the project. Section 11-200.1-23 adds a new requirement for applicants to identify which specific discretionary approval necessitates the applicant to undergo environmental review. This requirement ensures that the public and decision-makers are provided this information in the absence of an EA in the "Direct-to-EIS" process. The content requirements for the EISPN are standard regardless of how one arrives at conducting an EIS (e.g., resulting from an EA or directly preparing an EIS).

Section 11-200.1-23 requires the proposing agency or applicant to provide the name and contact information of a specific individual with authority and knowledge to answer questions regarding the proposed action and the environmental review. A generic phone line or email

address of the proposing agency or applicant without an individual identified will not satisfy this requirement.

Section 11-200.1-23 removes the previous requirement for an individual to become a consulted party to engage directly in providing and receiving public documents and determinations related to the proposed action. All documents and determinations are now published online and available through the OEQC's website: <u>http://oeqc2.doh.hawaii.gov/EA_EIS_Library/</u>.

The 1996 Rules allow proposing agencies and applicants discretion to conduct public scoping meetings. The Council considered input from a wide range of stakeholders regarding this discretionary requirement. The Council recognized that public scoping meetings are a very valuable tool to determine the scope of the draft EIS. Ultimately, the Council decided to update the Final Proposed Rules to require public scoping meetings. Pursuant to chapter 343, HRS, proposing agencies and applicants should engage meaningfully with individuals, organizations, and agencies early and often throughout the environmental review process.

The Council discussed where public scoping meetings would be required to be held. The Council sought to balance community input and engagement with reducing the burden on proposing agencies and applicants. Different options were considered, including requiring a public scoping meeting in the "county", or "island" or on the "islands" where the action will have the greatest effect. The Council noted the importance of holding the scoping meeting closest to where there will be an effect and should be held on the island of those likely impacts. Therefore, the word "county" was inappropriate because public scoping meetings for actions proposed in Maui County could be held on an island different than that of the action.

The Council also considered but left for future guidance documents that accessibility must be considered when planning the scoping meeting. For example, an action that will have an impact on individuals in the Hilo area of the Island of Hawai'i should hold a meeting in the vicinity of Hilo, not Kona. The Council also considered that there may be instances where an action could adversely affect multiple communities on more than one island and accounts for this by pluralizing "island" in parenthesis: island(s).

Moreover, the Final Proposed Rules no longer require the proposing agency or applicant to transcribe individual oral comments. Instead, proposing agencies or applicants are required to record oral comments and provide a summary of the oral comments in the draft EIS. Proposing agencies and applicants must still provide written responses to written comments pursuant to section 11-200.1-24.

Section 11-200.1-23 allows the approving agency or accepting authority, with good cause, to extend the comment period on its own initiative or at the request of another party.

The draft EIS content requirements are now provided in section 11-200.1-24.

§ 11-200.1-24 Content Requirements; Draft Environmental Impact Statement

Section 11-200.1-24 replaces section 11-200-17, HAR (1996) and sets forth the content requirements for draft EISs. Section 11-200.1-24 includes language from sections 11-200-16 and 11-200-19, HAR (1996).

Section 11-200.1-24 was updated to be more consistent with the NEPA language. Section 11-200.1-24 provides that the scope and specificity within an EIS is to be commensurate with the scope of the action and the degree of specificity to which impacts are discernible at the time of preparation.

Section 11-200.1-24 distinguishes between project and program EISs. Version 0.3 proposed definitions for "project" and "program", and this section describes how the distinction between a project and program influences the style of the document and the breadth and specificity of analysis and information contained therein.

Section 11-200.1-24 provides the program EIS may omit issues that are not ripe for discussion on a narrower, project-specific level. In the case of such an omission, a subsequent project may require its own chapter 343, HRS, determination or environmental review (see subchapter 7).

Section 11-200.1-24 distinguishes between the level of detail and style of assessment for programs, which may be broader in nature than that for projects, which are site-specific and discrete. Most environmental review focuses on site-specific and discrete projects. By providing language on the level of detail and style of assessment for different types of actions, the rules describe how to address projects or programs at risk of being viewed as segmented and acknowledges the trade-off between earliest practicable time to begin environmental review and project specificity. This paragraph mirrors the proposed paragraph in section 11-200.1-18 regarding contents of a draft EA.

Section 11-200.1-24 amends the requirements for proposing agencies and applicants to respond to comments consistent with section 11-200.1-26. Proposing agencies and applicants are no longer required to respond to similar comments individually and instead can respond to grouped comments by issue. This approach allows proposing agencies and practitioners to focus attention on the content of the comments and the issues raised. The responses must be included in the draft EIS but do not need to be sent individually to each commenter. The preparer must include the names of the individual commenters who provided comments each issue to help commenters track the responses.

Proposing agencies and applicants are required to provide a written summary of oral comments from the public scoping meetings in the draft EIS. The purpose of the summary is to capture generally the comments made at the scoping meeting. Proposing agencies and practitioners do not have to respond directly to oral comments in the EIS, but issues raised in the comments

must be taken into consideration assessing potential significance. A court reporter or transcriber is not required at the public scoping meeting.

Section 11-200.1-24 requires the proposing agency or applicant to include copies of the handouts distributed at any public scoping meeting, including the agenda, in the draft EIS. Handouts not related to the action need not be included. For example, general promotional materials for the proposing agency or applicant are not required, but a fact sheet outlining the proposed action is required.

Section 11-200.1-24 distinguishes between: (1) a consultation in which an agency, citizen group, or individual provides comments to the proposing agency or applicant regarding the action; and (2) a consultation in which the proposing agency or applicant only provides information about the action to the agency, citizen group, or individual. Section 11-200.1-24 requires the proposing agency or applicant to list individuals, organizations, or agencies were "consulted with" but had "no comment". This can occur in at least two instances: (1) an agency responds to a written request for comments that it has "no comment"; and (2) a proposing agency or applicant provides information but does not solicit feedback. The Council incorporated this requirement in response to public concern that attendance at an EIS public scoping meeting did not necessarily imply input on an EIS. The Proposed Rules clarify that if the proposing agency or applicant desires to include attendees at informational meetings as those "consulted with" then it should indicate whether those individuals or organizations gave "no comment". This approach protects individuals and organizations who wish to gather more information through an informational session but are not be prepared to provide informed feedback at such a preliminary session.

Pursuant to section 11-200.1-24, proposing agencies or applicants are only required to provide one copy of the consultation letter in the EIS.

Pursuant to Section 11-200.1-24, the proposing agency or applicant does not need to provide a comprehensive analysis for all alternatives. Instead, the proposing agency or applicant is only required to analyze reasonable alternatives that could attain the objectives of the action. In developing this language, the Council considered the NEPA language provided in 40 CFR 1502.14(a). ("Rigorously explore and objectively evaluate all reasonable alternatives, and for alternatives which were eliminated from detailed study, briefly discuss the reasons for their having been eliminated.") However, proposing agencies and applicants are still required to explain why certain alternatives are not reasonable to obtain the objectives of the action.

Subsection (o) requires proposing agencies and applicants consider specific environmental laws, policies, goals, and guidelines, in the draft EIS. Section 11-200.1-24 includes an updated list of specific statutes and also requires proposing agencies and applicants to include any laws relevant to the significance criteria or criterion under section 11-200.1-13 that required preparation of the EIS.

Subsection (h) requires that a draft EIS describe the no action alternative, as well as other reasonable alternatives, that could attain the objectives of the proposed action. Subsections (i) and (j) include resources of "cultural" significance as part of the impacts to be analyzed in line with Act 50 (2000).

§ 11-200.1-25 Public Review Requirements for Draft Environmental Impact Statements

Section 11-200.1-26 replaces section 11-200-22, HAR (1996), which has been divided into two sections: section 11-200.1-25 and section 11-200.1-26. Section 11-200.1-25 encourages open and early consultation with interested agencies, citizen groups, and the general public. The approving authority and accepting agency are the same for an applicant submitting an EIS. Section 11-200.1-25 also relates back to section 11-200.1-1, which provides the spirit in which consultation should be conducted to align with the purpose of the chapter.

Pursuant to section 11-200.1-25, the standard comment period for a draft EIS is forty-five (45) days, however the review period may vary by statute. For example, the development or expansion of forensic facilities of the department of health or in-state correctional facilities have 60-day comment periods for draft EISs (and EAs), per sections 334-2.7 and 353-16.35, HRS, respectively.

Subsection (b) clarifies that commenters may send written comments to either the approving agency or applicant instead of requiring the comment to be sent to both.

§ 11-200.1-26 Comment Response Requirements for Draft Environmental Impact Statements

Section 11-200.1-26 replaces section 11-200-22, HAR (1996), which has been divided into two sections: section 11-200.1-25 and section 11-200.1-26. Section 11-200.1-26 more specifically addresses response requirements for written comments received during the 45-day public review and comment period.

The comment response requirements for an EIS mirror those for an EA, found in subchapter 9. Similarly, section 11-200.1-26 allows proposing agencies and applicants to batch comments and respond to issues rather than respond to each comment individually. This approach allows proposing agencies and applicants to focus on the content of the comments and the issues raised. If the batching option is used, the agencies, citizen groups, and the general public who commented on the specific topic to which the response is directed must be identified as part of the response. Responses to substantive comments must be included as part of the draft EIS. Section 11-200.1-26 describes the factors to be considered when determining whether a comment is substantive, and requires that comments deemed non-substantive and to which a response was not given must be clearly indicated (see section 11-200.1-27).

Previously, response letters reproduced in the text of the final EIS were required to indicate "verbatim" changes to the text of the draft EIS. The Council considered whether this requirement was necessary and determined that the tracking burden acted as a deterrent to preparers to make changes. In an effort to encourage agency responsiveness to public comments, the Council removed this requirement from section 11-200.1-25. Under the Final Proposed Rules, the response only need to indicate whether changes have been made to the text of the draft EIS.

§ 11-200.1-27 Content Requirements; Final Environmental Impact Statement

Section 11-200.1-27 replaces section 11-200-18, HAR (1996). Section 11-200.1-27 incorporates the content requirements for a final EIS from section 11-200.1-24, HAR (1996). Additionally, section 11-200.1-27 incorporates the requirement that the reproduction and response to comments on the draft EIS within the final EIS conform with the requirements set forth in section 11-200.1-26.

Subsection (a) amends the requirement for a final EIS to discuss all "relevant and feasible consequences" to "all reasonably foreseeable consequences". The Council proposed this revision because the phrase "reasonably foreseeable" is a phrase line from NEPA. Therefore, there is more case law history and federal guidance to assist in its interpretation and application to various circumstances.

Like section 11-200.1-24 for draft EISs, section 11-200.1-27 lists the specific content requirements for the final EIS. Section 11-200.1-27 also distinguishes between a consultation in which an agency, citizen group, or individual provides comments to the proposing agency or applicant regarding the action and a consultation in which the proposing agency or applicant only provides information about the action to the agency, citizen group, or individual. Section 11-200.1-27 requires an indication of when an agency, citizen group, or individual was "consulted with" but had "no comment" if that agency, citizen group, or individual is included as a "consulted" entity in the draft EIS.

Section 11-200.1-27 also specifies that proposing agencies or applicants must provide a summary of the oral comments made at any EIS public scoping meeting held pursuant to section 11-200.1-23.

Section 11-200.1-27 adds additional requirements specific to the preparation of the final EIS, including responses to comments received on the draft EIS and a list of persons or agencies consulted in preparing the final EIS.

§ 11-200.1-28 Acceptability

Section 11-200.1-28 replaces section 11-200-23, HAR (1996). The Final Proposed Rules introduce several minor clarifying amendments, including: (1) breaking up long paragraphs into subsections; (2) clarifying that the section applies to final EISs; (3) clarifying that the acceptability of the final EIS includes a review of acceptability of the full environmental review process from the proposal of the action to publication of the EIS; (4) clarifying that an acceptability determination requires the approving agency or accepting authority to assess whether the proposing agency or applicant classified comments as "substantive" and have included satisfactory responses to these comments in a manner commensurate with the level of detail included in the substantive comment; and (5) clarifying that comments must have been satisfactorily incorporated into the final EIS.

In the Final Proposed Rules, the subsections in section 11-200.1-28 have been reordered to consolidate the language specific to applicants into one place, language specific to agencies in one place, and language specific to both in one place.

In section 11-200.1-28, the term "satisfactorily" refers to the satisfaction of the accepting authority that the requirements have been met. The clarifications regarding the designation of "substantive" comments and the responses thereto are intended to address concerns that proposing agencies or applicants may intentionally or unintentionally disregard substantive comments as non-substantive. The EIS process must be satisfactory to the accepting authority, including the proposing agency or applicant's exercise of discretion in designating comments as substantive or non-substantive. Subsection (b) also requires that accepting authorities ensure that comments have been "appropriately incorporated into the final EIS". The addition of the word "appropriately" recognizes that not all comments will be incorporated into the final EIS, and that some comments, such as form letters or petitions, may not need to be appended if there is a representative sample included pursuant to the comment response provisions of this subchapter.

Subsection (c) authorizes the OEQC to submit a recommendation regarding acceptability or non-acceptability of a proposed action to the accepting authority, applicant, and proposing agency, as applicable. The Final Proposed Rules do not place a deadline on the OEQC's recommendation because chapter 343, HRS, does not impose a deadline on the determination of acceptability of agency actions. The Council took into consideration that the OEQC should endeavor to provide a recommendation as early as practicable, but that requiring a deadline may prevent the OEQC from providing a recommendation if an accepting authority takes longer than usual to make a determination.

Subsection (e) provides the accepting authority for an applicant action is the approving agency. Subsection (e) also clarifies that the 30-day period for an approving agency to determine the acceptability of an EIS begins with the submission of the final EIS to the approving agency or accepting authority, rather than publication of the final EIS in the bulletin. Further, subsection (e)

clarifies that the 30-day acceptance determination period may be extended at the request of the applicant for an additional fifteen (15) days.

Other minor changes were made in accordance with global edits throughout the Final Proposed Rules, such as updating section references, and replacing the term "statement" with EIS and clarifying that "state or county lands or funds" can include "state or county lands", "state or county funds" or both state and country lands and state and county funds.

Finally, minor changes are made to clarify the process for withdrawing an EIS.

§ 11-200.1-29 Appeals to the Council

Section 11-200.1-29 replaces section 11-200-24, HAR (1996). Section 11-200.1-29 describes the process by which the Council hears the appeal.

Pursuant to section 11-200.1-29, an applicant may file an appeal with the Council after the nonacceptance determination by the approving agency under the acceptability criteria in subchapter 10. Upon receipt of an appeal, the Council chairperson shall include the appeal on the agenda of the next council meeting. This connects the receipt of the notice of the appeal under section 343-5(e), HRS, with the timing of the next Council meeting.

Previous draft versions of the rules included provisions that an applicant may also seek judicial review of the non-acceptance pursuant to chapter 91, HRS, and that pursuing an appeal to the Council does not abrogate the applicant's right under section 343-7(c), HRS, to bring a judicial action. However, the Council later removed this provision in response to public feedback that such language was unnecessary and may be outside the scope of the Council's authority.

The Council also considered including a provision that an entity other than an applicant could appeal the non-acceptance of an EIS to the Council. However, the Council removed this provision from the Final Proposed Rules as well.

Finally, the Council considered increasing the 30-day time limit in which the Council must make a decision on an applicant's appeal to better accommodate the Council's monthly meeting schedule, among other things. The Council ultimately decided, however, that the Council would work to make a determination within the statutory prescribed period of time. This allows the Final Proposed Rules to be consistent with the statute, but also for flexibility in the future should the statutorily prescribed time period be changed.

§ 11-200.1-30 Supplemental Environmental Impact Statements

Section 11-200.1-30 consolidates sections 11-200-26 through 11-200-29, HAR (1996) into one section. Subsection (a) was formerly section 11-200-26, HAR (1996). Subsection (b) was formally section 11-200-27, HAR (1996). Subsection (c) was formerly section 11-200-28, HAR (1996). Subsection (d) was formerly 11-200-29, HAR (1996).

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In Version 0.1, the Council considered, but ultimately rejected, proposed changes to the sections dealing with supplemental EISs that would have: (1) added "new information" as a factor to consider when weighing the necessity of a supplemental EIS; (2) provided for which sources of new information should be considered when determining the necessity of a supplemental EIS; and (3) established a five-year review requirement of accepted EISs for actions that had not yet substantially commenced.

The public expressed concern about establishing "new information" as a factor for requiring preparation of a Supplemental EIS. Many practitioners expressed that this requirement was already clear in case law, particularly through *Unite Here! Local 5 v. City and County of Honolulu*, 231 P.3d 423, 430 (Haw. 2010) (the "Turtle Bay case"). Altering this section could conflict with Hawai'i Supreme Court precedent.

The public also expressed concern about requiring a five-year "re-evaluation" period based on that in NEPA. Some commenters interpreted this proposal as a "shelf-life" that a supplemental EIS would be required regardless of any or no changes. The proposed rules in Version 1.0 did not provide an expiration date. Instead the proposed rules provided a checkpoint for review so long as the action had not yet substantially commenced. The 1996 Rules provide that a supplemental EIS must be prepared in certain circumstances, but do not establish the time period or requirement for making that determination. The five-year review was intended to address that gap. The language of "substantial commencement" ensured that actions that were already well underway or completed were not subject to the uncertainty of a supplemental EIS review. This also posed interpretation challenges. A definition for "substantial commencement" was considered in conjunction with this section. It was deleted in Version 0.3 from the supplemental EIS provisions.

In support of the five-year review, some commenters provided that a clear checkpoint would help establish certainty. In the Turtle Bay case, a review for the necessity of a supplemental EIS took place because the developer sought a discretionary permit necessary to proceed with the completion of the proposed action. If only ministerial approvals were necessary for completion, then under the 1996 Rules the necessity of a supplemental EIS may not have been considered.

Taking those concerns into account, the Council decided to retain the original language from the 1996 Rules and only combine the sections into one section with housekeeping edits. The proposed requirement for five-year review was removed from subsequent drafts. As an alternative, the Final Proposed Rules require agencies to follow a process (e.g., the "green sheet") when considering issuing permits for actions with existing EAs and EISs (see section 11-200.1-12).

Subchapter 11 National Environmental Policy Act

Subchapter 11 (National Environmental Policy Act) creates a distinct subchapter to describe how to conduct environmental review for chapter 343, HRS, when federal National Environmental Policy Act (NEPA) environmental review is also applicable. Although this subchapter contains only one section, creating a new subchapter is in line with creating a new structure for chapter 11-200.1, HAR, providing a clear outline of the contents of the Chapter through the subchapter headings.

§ 11-200.1-31 National Environmental Policy Act Actions: Applicability to Chapter 343, HRS,

Section 11-200.1-31 replaces section 11-200-25, HAR (1996). The 1996 Rules allowed cooperation between federal and state agencies on actions requiring both NEPA and HEPA review. The Final Proposed Rules clarify that where an action triggers both NEPA and HEPA review, the NEPA document may be used to satisfy the HEPA requirements, so long as the document meets the required HEPA criteria.

The Council recognized that a particular level of review may be required under NEPA but not HEPA. For example, federal categorical exclusions (the federal equivalent of a state exemption) do not automatically result in exemptions under chapter 343, HRS. Conversely, the federal government may issue a FONSI for its purposes, but a state or county agency may require an EA or EIS be done for its purposes, or issue an exemption based on the federal FONSI. State and county agencies must still make a determination, through their own judgment and experience, that the action is exempt, requires an EA, or may proceed directly to preparing an EIS, under chapter 343, HRS, and assess the HEPA-specific content requirements, before determining whether the NEPA document satisfies the required level of review under HEPA.

To that end, subchapter 7 and section 11-200.1-11 (the "green sheet") provides a tool to guide agencies on how to prepare the evaluation of whether or not the NEPA document satisfies the requirements of chapter 343, HRS.

Similar environmental statutes in Massachusetts and Washington accept that federally-prepared EISs are sufficient so long as they meet the state's statutory requirements. The goal is to allow a federal EIS to meet the chapter 343, HRS, requirements provided that it addresses chapter 343, HRS, content and process requirements. In this case, state and county agencies can provide the information to the federal preparer for inclusion in its document rather than the state or county agency preparing a second document.

Section 11-200.1-31 provides which agency is responsible (federal, state, or county) for preparing the document, as well as delegation of that responsibility from the federal agency to a state or county agency.

Furthermore, section 11-200.1-31 addresses situations where federal regulations and state regulations may result in additional requirements for the proposing agency and applicant. For example, under a federal regulation, a public scoping meeting may be required prior to publishing a Notice of Intent to prepare an environmental impact statement, whereas state regulations would require a public scoping after the publication of an EISPN. This clause reduces the burden on the proposing agency or applicant to conduct two public scoping meetings.

Section 11-200.1-31 provides that in the case of joint documents, the preparation of any supplemental documentation would be due to federal requirements and that HEPA supplemental requirements would be satisfied by the federal requirements. Section 11-200.1-31 further clarifies who the accepting authority is for federal, state, and county actions.

Lastly, section 11-200.1-31 provides that any acceptance pursuant to this section satisfies chapter 343, HRS, and that no other EIS shall be required for the proposed action. If the NEPA process requires supplemental review, the responsible federal entity's supplemental review requirements would apply instead of requirements under chapter 343, HRS.

Subchapter 12 Retroactivity and Severability

Subchapter 12 (Retroactivity and Severability) creates a distinct subchapter addressing the retroactivity and severability of the Final Rules when enacted.

Section 11-200.1-32 describes when chapter 11-200.1, HAR takes effect. Section 11-200.1-33 includes the severability clause.

§ 11-200.1-32 Retroactivity

Section 11-200.1-32 is a new section that describes when the Final Rules take effect and how the Final Rules apply to actions that have already completed the environmental review process, or alternatively, are undergoing it at the time the Final Rules take effect. Section 11-200.1-32 was developed in response to public comments concerning actions currently pending. Section 11-200.1-32 ensures that an action is not prevented from proceeding under the 1996 Rules when it otherwise would but is delayed due to a judicial proceeding or other reasons.

Section 11-200.1-32 allows agencies time to update their existing exemption lists from "classes" to "types" of action, to designate those activities that would fall under Part 1 of the agency's exemption list, and to reassign exemptions to the appropriate general types.

As used in this section, publication by OEQC requires that the document was submitted and met all requirements for publication.

§ 11-200.1-33 Severability

Section 11-200.1-33 replaces section 11-200-30, HAR (1996). Section 11-200.1-33 provides that each provision is severable and that the invalidity of any provision in this chapter does not affect the validity of any other provision. The Final Proposed Rules do not update section 11-200-30, HAR (1996).

Appendix 1

Unofficial Ramseyer Format Showing Changes from the 1996 Rules (white) to the Draft Proposed Rules (pink) to the Final Proposed Rules (yellow)

December 2018

State of Hawaii Environmental Council Prepared with the assistance of the State Office of Environmental Quality Control

Introduction to Appendix 1

This is a companion document to assist the reader with understanding how the Environmental Council ("Council") made revisions from Version 1.0 to Version 1.1 for the Proposed Rules based on public testimony during the public hearings and incorporated by amendment at the Council meetings held on November 13 and 27, 2018. The purpose of this document is to show the changes involving three stages of the Council's rulemaking process:

- 1. The existing Chapter 11-200, Environmental Impact Statement (EIS) Rules, Hawaii Administrative Rules (HAR), referred to as the "1996 Rules";
- 2. The Draft Proposed Rules released for public hearing, referred to as Version 1.0; and
- 3. The Final Proposed Rules, incorporating amendments and referred to as Version 1.1.

This document uses a color scheme to help differentiate the changes as the language evolved from the 1996 Rules (white/no highlighting) to Version 1.0 (pink) to Version 1.1 (yellow). This document uses different colors from Appendix 2 to help the reader distinguish the difference in content.

Detailed information about the rulemaking process is available at the Office of Environmental Quality Control (OEQC) Rules Update webpage: <u>http://health.hawaii.gov/oeqc/rules-update/</u>.

How to Read Appendix 1

Version 1.1 shows the Council's revisions to Version 1.0 and the 1996 Rules. This document is based on the document "Version 1.0 Unofficial Ramseyer". It presents a similar organization and formatting.

This document presents Version 1.1 in a "Ramseyer-like" style of formatting to enhance readability.

- Defined terms are bolded throughout the text to draw the reader's attention to the fact that the term has a defined meaning within the context of the Proposed Rules.
- Underlining indicates language that is moved between sections (i.e.,1996 language from a section other than the one that the proposed section correlates to) and new language introduced in Version 1.0.
- Highlighting, in addition to underlining, distinguishes new language introduced in Version 1.1 from Version 1.0 and the 1996 Rules that has been moved. Version 1.0 uses the same pink highlight color and Version 1.1 changes are shown in yellow.
- Deletions of the 1996 Rules language are bracketed and struck-through.
- Bolded text indicates terms that are defined in Section 11-200.1-2.

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Examples of Formatting

Examples of Formatting	۰ ۲
Original 1996 Rules language that is in a proposed section that correlates with an existing 1996 rules section.	The original 1996 language looks like this without any formatting.
Original 1996 Rules language that has been moved from a section of the 1996 Rules that does not specifically correlate with the section it is now in, or is part of a new section combining provisions from existing sections of the 1996 Rules. This is referred to as "moved" language.	<u>Moved original 1996 language looks like this.</u>
Language proposed in Version 1.0, including language that was introduced and retained from versions 0.1, 0.2, 0.3, or 0.4.	Language proposed in Version 1.0 is underlined and highlighted in a light pink.
Original 1996 Rules language that is proposed to be deleted is bracketed and struck-through.	1996 Rules language that is to be deleted [looks like this].
Language proposed in Version 1.1, including language that was introduced and retained from Version 1.0.	Language proposed in Version 1.1 is underlined and highlighted in yellow.
Language to be deleted in Version 1.1, including language that was introduced in Version 1.0.	Language [proposed] to be deleted in Version 1.1 is underlined and highlighted in yellow.
Example #1: Original 1996 rules language that includes defined terms ("agencies", "persons", "environmental assessments", "environmental impact statements"), proposed language to be deleted ("of"), and new language ("(EAs)", "(EISs)").	The purpose of this chapter is to provide agencies and persons with procedures, specifications [of] regarding the contents of environmental assessments (EAs) and environmental impact statements (EISs), and criteria and definitions of statewide application.
Example #2: Moved 1996 rules language that includes a defined term ("office"), proposed words to be deleted ("agency" and "section 11-200-3") and new language inserted ("and the rationale" and "this subchapter").	The office shall publish notice of [agency] withdrawals and the rationale in accordance with [section 11-200-3] this subchapter.
Example #3: New language in Version 1.1 (<mark>yellow</mark>), including language from the 1996 Rules (white) and Version 1.0 (<mark>pink</mark>).	Agencies and applicants shall ensure that [statements] EAs and EISs are prepared at the earliest [opportunity in the planning and decision-making process] practicable time.

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Subchapter 1 Purpose

§ 11-200.1-1 Purpose

- (a) Chapter 343, <u>Hawaii Revised Statutes</u>, (HRS), establishes a system of environmental review at the state and county levels [which] that shall ensure that environmental concerns are given appropriate consideration in decision-making along with economic and technical considerations. The purpose of this chapter is to provide agencies and persons with procedures, specifications [ef] regarding the contents of environmental assessments and environmental impact statements, and criteria and definitions of statewide application.
- (b) [<u>IAn EIS] EAs and EISs [is] are meaningless without the conscientious application of the [EIS] environmental review process as a whole, and shall not be merely a self-serving recitation of benefits and a rationalization of the proposed action.] Agencies and applicants shall ensure that [statements] EAs and EISs are prepared at the earliest [opportunity in the planning and decision-making process] practicable time. This shall assure an early, open forum for discussion of adverse effects and available alternatives, and that the decision-makers will be enlightened to any environmental consequences of the proposed action prior to decision-making.</u>
- (c) EAs and EISs are meaningless without the conscientious application of the environmental review process as a whole, and shall not be merely a self-serving recitation of benefits and a rationalization of the proposed action. In preparing any [decument] EA or EIS, proposing agencies and applicants [shall] are to make every effort to:
 - (1) [<u>[make] Make every effort to convey</u>] Convey the required information succinctly in a form easily understood, both by members of the public and by government decision-makers, giving attention to the substance of the information conveyed rather than to the particular form, or length[, or detail] of the [statement] document;
 - (2) [[care shall be taken] Take care to concentrate] Concentrate on important issues and to ensure that the document remains an essentially self-contained document, capable of being understood by the reader without the need for undue crossreference; and
 - (3) <u>Conduct any required consultation as mutual, open and direct, two-way</u> communication, in good faith, to secure the meaningful participation of agencies and the public in the environmental review process.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-1, 343-6)

Subchapter 2 Definitions

§ 11-200.1-2 Definitions

As used in this chapter:

"Acceptance" means a formal determination [of acceptability] that the document required to be filed pursuant to chapter 343, HRS, fulfills the [definitions and] requirements of an environmental impact statement (EIS), [adequately describes identifiable environmental impacts, and satisfactorily responds to comments received during the review of the statement] as prescribed by section 11-200.1-28. Acceptance does not mean that the action is environmentally sound or unsound, but only that the document complies with chapter 343, HRS, and this chapter. [A determination of acceptance is required prior to implementing or approving the action.]

"Accepting authority" means, in the case of agency actions, the [[final] official who, or agency that, [determines the acceptability of the EIS document]] respective governor or mayor, or their authorized representative, and in the case of applicant actions, the agency that initially received and agreed to process the request for an approval, that a final EIS is required to be filed, pursuant to chapter 343, HRS, and] that the [final] EIS fulfills the [definitions and] requirements [of an EIS] for acceptance.

"Action" means any program or project to be initiated by an agency or applicant.

"Addendum" means an attachment to a draft [environmental assessment] **EA** or draft [environmental impact statement] **EIS**, prepared at the discretion of the **proposing agency**, [er] **applicant**, **accepting authority**, or **approving agency**, and distinct from a **supplemental EIS** [statement], for the purpose of disclosing and addressing clerical errors such as inadvertent omissions, corrections, or clarifications to information already contained in the **draft** [environmental assessment] **EA** or the draft [environmental impact statement] **EIS** already filed with the **office**.

"Agency" means any department, office, board, or commission of the state or county government [which] that is part of the executive branch of that government.

"Applicant" means any **person** [who] <u>that</u>, pursuant to statute, ordinance, or rule, officially requests **approval** from an **agency** for a proposed **action**.

"Approval" means a **discretionary consent** required from an **agency** prior to [actual] implementation of an **action**. [Discretionary consent means a consent, sanction, or recommendation from an agency for which judgment and free will may be exercised by the issuing agency, as distinguished from a ministerial consent. Ministerial consent means a

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consent, sanction, or recommendation from an agency upon a given set of facts, as prescribed by law or rule without the use of judgment or discretion.]

"Approving agency" means an **agency** that issues an **approval** prior to [actual] implementation of an **applicant** action.

"Council" [or "EC"] means the environmental council.

"Cumulative impact" means the impact on the environment [which] that results from the incremental impact of the action when added to other past, present, and reasonably foreseeable future actions regardless of what agency or person undertakes the [such] other actions. Cumulative impacts can result from individually minor but collectively significant actions taking place over a period of time.

"Discretionary consent" means a consent, sanction, or recommendation from an agency for which judgment and free will may be exercised by the issuing agency, as distinguished from a ministerial consent. Ministerial consent means a consent, sanction, or recommendation from an agency upon a given set of facts, as prescribed by law without the use of judgment or discretion.

"Draft environmental assessment" means the [environmental assessment] <u>EA</u> submitted by a proposing agency or an approving agency for public review and comment when that agency anticipates a [negative declaration] <u>finding of no significant impact (FONSI)</u> [determination].

"Effects" or "impacts" as used in this chapter are synonymous. Effects may include ecological effects (such as the effects on natural resources and on the components, structures, and functioning of affected ecosystems), aesthetic effects, historic effects, cultural effects, economic effects, social effects, or health effects, whether primary, secondary, or cumulative, immediate or delayed. Effects may also include those effects resulting from actions [which] that may have both beneficial and detrimental effects, even if on balance the agency believes that the effect will be beneficial.

"EIS preparation notice[,]", [or] <u>"EISPN"</u>, or "preparation notice" means a determination [based on an environmental assessment that the subject] that an action may have a significant effect on the environment and, therefore, will require the preparation of an [environmental impact statement] EIS, based on either an EA or an agency's judgment and experience that the proposed action may have a significant effect on the environment.

"EIS public scoping meeting" means a meeting in which agencies, citizen groups, and the general public assist the proposing agency or applicant in determining the range of actions, alternatives, impacts, and proposed mitigation measures to be considered in the draft EIS and the significant issues to be analyzed in depth in the draft EIS.
"Emergency action" means an **action** to prevent or mitigate loss or damage to life, health, property, or essential public services in response to a sudden unexpected occurrence demanding the [such] immediate **action**.

"Environment" means humanity's surroundings, inclusive of all the physical, economic, cultural, and social conditions that exist within the area affected by a proposed **action**, including land, human and animal communities, <u>health</u>, air, water, minerals, flora, fauna, ambient noise, and objects of historic, <u>cultural</u>, or aesthetic significance.

"Environmental assessment" or "EA" means a written evaluation [to determine whether an action may have a significant environmental effect] that serves to provide sufficient evidence and analysis to determine whether an action may have a significant effect.

["Environmental impact" means an effect of any kind, whether immediate or delayed, on any component of the environment.]

"Environmental impact statement[,]", "statement[,]", or "EIS" means an informational document prepared in compliance with chapter 343, HRS[, and this chapter and which fully complies with subchapter 7 of this chapter]. The initial [statement] **EIS** filed for public review shall be referred to as the draft [environmental impact statement] **EIS** and shall be distinguished from the final [environmental impact statement] **EIS**, which is the document that has incorporated the public's comments and the responses to those comments. The final [environmental impact statement] **EIS** is the document that shall be evaluated for acceptability by the [respective] **accepting authority**.

["Exempt classes of action" means exceptions from the requirements of chapter 343, HRS, to prepare environmental assessments, for a class of actions, based on a determination by the proposing agency or approving agency that the class of actions will probably have a minimal or no significant effect on the environment.]

"Exemption list" means a list prepared by an agency pursuant to subchapter 8. The list may contain in part one the types of routine activities and ordinary functions within the jurisdiction or expertise of the agency that by their nature do not have the potential to individually or cumulatively adversely affect the environment more than negligibly and that the agency considers to not rise to the level of requiring further chapter 343, HRS, environmental review. In part two, the list may contain the types of actions the agency finds fit into the general types of action enumerated in section 11-200.1-15.

"Exemption notice" means a [brief notice kept on file by the proposing agency, in the case of a [public action, or the agency with the power of approval, in the case of a private action, when it has determined that the proposed project is an exempt or emergency project] notice produced in accordance with subchapter 8 for an action that a proposing agency or approving agency on behalf of an applicant determines to be exempt from preparation of an EA.

"Final environmental assessment" means either the [environmental assessment] **EA** submitted by a **proposing agency** or an **approving agency** following the public review and comment period for the **draft** [environmental assessment] **EA** and in support of either a **FONSI** or [a preparation notice] an **EISPN**. [determination; or the environmental assessment submitted by a proposing agency or an approving agency subject to a public consultation period when such an agency clearly determines at the outset that the proposed action may have a significant effect and hence will require the preparation of a statement.]

<u>"Finding of no significant impact</u>" or "FONSI" means a determination by an agency based on an EA that an action not otherwise exempt will not have a significant effect on the environment and therefore does not require the preparation of an EIS. [A FONSI is required prior to implementing or approving the action.]

"Impacts" means the same as "effects".

"Issue date" means the date imprinted on the periodic bulletin required by section 343-3, HRS.

"National Environmental Policy Act" or "NEPA" means the National Environmental Policy Act of 1969, Public Law 91-190, 42 U.S.C. [§] sections 4321-4347, as amended.

["Negative declaration" or "finding of no significant impact" means a determination by an agency based on an environmental assessment that a given action not otherwise exempt does not have a significant effect on the environment and therefore does not require the preparation of an EIS. A negative declaration is required prior to implementing or approving the action.]

"Office" means the office of environmental quality control.

"**Periodic bulletin**" or <u>"bulletin"</u> means the document required by section 343-3, HRS, and published by the **office**.

"Person" includes any individual, partnership, firm, association, trust, estate, private corporation, or other legal entity other than an **agency**.

["Preparation notice" or "EIS preparation notice_means a determination based on an environmental assessment that the subject action may have a significant effect on the environment and, therefore, will require the preparation of an environmental impact statement.]

"Primary impact[,]**"**, [+] **"primary effect**[,]**"**, [+] **"direct impact**[,]**"**, or **"direct effect**" means **effects** [which] that are caused by the **action** and occur at the same time and place.

"**Project**" means a discrete, planned undertaking that [has a defined beginning and end time,] is site and time specific, [and] has a specific goal or purpose<mark>, and has potential **impact** to the environment</mark>.

"Program" means a series of one of more projects to be carried out concurrently or in phases within a general timeline, that may include multiple sites or geographic areas, and is undertaken for a broad goal or purpose. A program may include: a number of separate projects in a given geographic area which, if considered singly, may have minor impacts, but if considered together may have significant impacts; separate projects having generic or common impacts; an entire plan having wide application or restricting the range of future alternative policies or actions, including new significant changes to existing land use plans, development plans, zoning regulations, or agency comprehensive resource management plans; implementation [of a single project over a large geographic area.

"Proposing agency" means any state or county agency that proposes an action under chapter 343, HRS.

"Secondary impact[$_{T}$]", [$_{er}$] "secondary effect[$_{T}$]", [$_{er}$] "indirect impact[$_{T}$]", or "indirect effect" means an [effects] effect [which] that [$_{are}$] is caused by the action and [$_{are}$] is later in time or farther removed in distance, but [$_{are}$] is still reasonably foreseeable. [Indirect] An indirect [effects] effect may include a growth-inducing [effects] effect and other effects related to induced changes in the pattern of land use, population density or growth rate, and related effects on air, [and] water, and other natural systems, including ecosystems.

"Significant effect" or "significant impact" means the sum of effects on the quality of the environment, including actions that irrevocably commit a natural resource, curtail the range of beneficial uses of the environment, are contrary to the [state's] <u>State's</u> environmental policies or long-term environmental goals and guidelines as established by law, [er] adversely affect the economic welfare, [er] social welfare, or cultural practices of the community and State, or are otherwise set forth in section [11-200-12] <u>11-200-1-14</u> [of this chapter].

"Supplemental [statement] <u>EIS</u>" means an [additional environmental impact statement] <u>updated</u> <u>EIS</u> prepared for an **action** for which [a statement] <u>an EIS</u> was previously accepted, but which has since changed substantively in size, scope, intensity, use, location, or timing, among other things.

"Trigger" means any use or activity listed in section 343-5(a), HRS, requiring [preparation of an environmental assessment] environmental review.

Unless defined in this section, elsewhere within this chapter, or in chapter 343, HRS, a proposing agency or approving agency may use its administrative rules or statutes that they implement to interpret undefined terms.

[Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-2, 343-6)

Subchapter 3 Computation of Time

§ 11-200.1-3 Computation of Time

[In computing any period of time prescribed or allowed by this chapter, order of the council, or by any applicable statute, the day of the act, event, or default after which the designated period of time is to run, shall not be included.] The time in which any act prescribed or allowed by this chapter, order of the council, or by applicable statute, is computed by excluding the first day and including the last. The last day of the period so computed shall be included unless it is a Saturday, Sunday, or state holiday, in which case the last day shall be the next business day.

[Eff] (Auth: HRS §§1-29, 8-1, 343-6) (Imp: HRS §§1-29, 8,-1, 343-6)

Subchapter 4 Filing and Publication in the Periodic Bulletin

§ 11-200.1-4 Periodic Bulletin

- (a) <u>The **periodic bulletin** shall be issued electronically</u> on the eighth and twenty-third days of each month.
- (b) [The office shall inform the public through the publication of a periodic bulletin of the following:] When filed in accordance with section 11-200.1-5, the office shall publish the following in the periodic bulletin to inform the public of actions undergoing chapter 343, HRS, environmental review and the associated public comment periods provided here or elsewhere by statute:
 - (1) <u>Determinations that an existing exemption</u>, **FONSI**, or accepted **EIS** satisfies chapter 343, HRS, for a proposed [activity] action;
 - (2) **Exemption notices** and lists of actions an agency has determined to be exempt;
 - (3) [Notices filed by agencies of the availability of environmental assessments] Draft EAs and appropriate addendum documents for public review and [comments] thirty-day comment period, including notice of an anticipated FONSI;
 - (4) **Final EAs**, including notice of a **FONSI**, or an **EISPN** with thirty-day comment period and notice of **EIS public scoping meeting**, and appropriate **addendum** documents;
 - (5) Notice of an **EISPN** with thirty-day comment period and notice of **EIS public** scoping meeting, and appropriate addendum documents;
 - (6) [Notices filed by agencies of] Evaluations and determinations that supplemental [statements] EISs are required or not required;
 - (7) [<u>The availability of statements</u>] <u>Draft EISs, draft supplemental [statements</u>] EISs, and appropriate addendum documents for public review and forty-five day <u>comment period</u>;
 - (8) Final EISs, final supplemental EISs, and appropriate addendum documents;
 - (9) [The] Notice of acceptance or non-acceptance of [statements] EISs and supplemental EISs;
 - (10) Republication of any chapter 343, HRS, notices, documents, or determinations;
 - (11) <u>Notices of withdrawal of any chapter 343, HRS, notices, documents, or</u> <u>determinations;</u> and
 - (12) Other notices required by the rules of the **council**.
- (c) <u>When filed in accordance with this subchapter, the **office** shall publish other notices</u> required by statute or rules, including those not specifically related to chapter 343, HRS.

- (d) <u>The office may, on a space or time available basis, publish other notices not specifically</u> related to chapter 343, HRS.
- [Eff] (Auth: HRS §§341-3, 343-5, 343-6) (Imp: HRS §§341-3, 343-3, 343-6)

§ 11-200.1-5 Filing Requirements for Publication and Withdrawal

- (a) <u>Anything required to be published in the **bulletin** shall be submitted <u>electronically</u> to the office before the close of business [four] five business days prior to the issue date, which shall be the issue date deadline.</u>
- (b) All submittals to the office for publication in the bulletin shall be accompanied by a completed informational form [which] that provides whatever information the office needs to properly notify the public. The information requested may include the following: the title of the action; the islands affected by the proposed action; tax map key numbers; street addresses; nearest geographical landmarks; latitudinal and longitudinal coordinates or other geographic data; applicable permits, including for applicants, the approval requiring chapter 343, HRS, environmental review; whether the proposed action is an agency or an applicant action; a citation of the applicable federal or state statutes requiring preparation of the document; the type of document prepared; the names, addresses, email addresses, phone numbers and contact persons as applicable of the accepting authority, the proposing agency, the approving agency, the applicant, and the consultant; and a brief narrative summary of the proposed action to the public.
- (c) <u>The office shall not accept untimely submittals or revisions thereto after the **issue date deadline** for which the submittal was originally filed has passed.</u>
- (d) In accordance with the agency's rules or, in the case of an applicant EA or EIS, the applicant's judgment, anything filed with the office may be withdrawn by the agency or applicant that filed the submittal with the office. To withdraw a submittal, the agency or applicant shall submit to the office a written letter informing the office of the withdrawal. The office shall publish notice of [agency] withdrawals and the rationale in accordance with [section 11-200-3] this subchapter.
- (e) <u>To be published in the bulletin, all submittals to the office shall meet the filing</u> requirements in subsections (a) to (c) and be prepared in accordance with this chapter and chapter 343, HRS, as appropriate. The following shall meet additional filing requirements:
 - (1) When the document is a **draft EA** with an anticipated **FONSI**, the **proposing agency** or **approving agency** shall:
 - (A) File the document and determination with the office;

- (B) Deposit, or require the applicant to deposit, concurrently with the filing [paragraph (5)] to the office, one paper copy of the draft [environmental assessment] EA at the nearest state library in each county in which the proposed action is to occur and one paper copy at the Hawaii Documents Center; and
- (C) Distribute, or require the applicant to distribute, concurrently [with the filing in paragraph (5),] with its publication, the draft [environmental assessment] EA to other agencies having jurisdiction or expertise as well as citizen groups and individuals [which] that the proposing agency reasonably believes to be affected;
- (2) When the document is a **final EA** with a **FONSI**, the **proposing agency** or **approving agency** shall:
 - (A) Incorporate, or require the applicant to incorporate, the FONSI into the contents of the final EA, as prescribed in sections 11-200.1-21 and 11-200.1-22;
 - (B) File the final EA and the incorporated FONSI with the office; and
 - (C) <u>Deposit, or require the **applicant** to deposit, concurrently with the filing to</u> the **office**, one paper copy of the **final EA** with the Hawaii Documents <u>Center</u>;
- (3) When the document is a **final EA** with an **EISPN**, the **proposing agency** or **approving agency** shall:
 - (A) Incorporate, or require the **applicant** to incorporate, the **EISPN** into the contents of the **final EA**, as prescribed in sections 11-200.1-21, 11-200.1-22, and 11-200.1-23;
 - (B) File the incorporated EISPN with the final EA; and
 - (C) <u>Deposit, or require the **applicant** to deposit, concurrently with the filing to</u> the **office**, one paper copy of the **final EA** with the Hawaii Documents <u>Center</u>;
- (4) When the notice is an **EISPN** without the preparation of an **EA**, the **proposing agency** or **approving agency** shall:
 - (A) File the EISPN with the office; and
 - (B) <u>Deposit, or require the applicant to deposit, concurrently with the filing to</u> the office, one paper copy of the EISPN at the nearest state library in each county in which the proposed action is to occur and one paper copy at the Hawaii Documents Center;
- (5) When the document is a draft **EIS**, the **proposing agency** or **applicant** shall:
 - (A) [sign] Sign and date [the original copy of] the draft [or final] EIS [and shall];
 - (B) Indicate that the draft [statement] EIS and all ancillary documents were prepared under the signatory's direction or supervision and that the information submitted, to the best of the signatory's knowledge fully addresses document content requirements as set forth in [sections 11-200-17 and 11-200-18, as appropriate] subchapter 10;

- (C) File the draft **EIS** with the **accepting authority** and the **office** simultaneously; and
- (D) <u>Deposit, or require the **applicant** to deposit, concurrently with the filing to</u> the **office**, one paper copy of the draft **EIS** at the nearest state library in each county in which the proposed **action** is to occur and one paper copy at the Hawaii Documents Center; and
- (E) Submit to the **office** one true and correct copy of the original audio file, at standard quality, of all oral comments received at the time designated within the **EIS public scoping meeting**(s) for receiving oral comments;
- (6) When the document is a final **EIS**, the **proposing agency** or **applicant** shall:
 - (A) [sign] Sign and date [the original copy of] the [draft or] final EIS [and shall];
 - (B) Indicate that the final [statement] EIS and all ancillary documents were prepared under the signatory's direction or supervision and that the information submitted, to the best of the signatory's knowledge fully addresses document content requirements as set forth in [sections 11-200-17 and 11-200-18, as appropriate] subchapter 10; and
 - (C) File the final **EIS** with the **accepting authority** and the **office** simultaneously;
- (7) When the notice is an **acceptance** or non-acceptance of a final **EIS**, the **accepting authority** shall:
 - (A) File the notice of acceptance or non-acceptance of a final EIS with the office; and
 - (B) Simultaneously transmit the notice to the proposing agency or applicant;
- (8) When the notice is of the withdrawal of an anticipated FONSI, FONSI, or EISPN, the proposing agency or approving agency shall include a rationale of the withdrawal specifying any associated documents to be withdrawn;
- (9) When the notice is of the withdrawal of a draft EIS or final EIS, the proposing agency or applicant shall simultaneously file the notice with the office and submit the notice with the accepting authority; and
- (10) When the submittal is a changed version of a notice, document, or determination previously published and withdrawn, the submittal shall be filed as the "second" submittal, or "third" or "fourth", as appropriate. Example: A draft EIS is withdrawn and changed. It is then filed with the office for publication as the "second draft EIS" for the particular action.
- [Eff] (Auth: HRS §§343-3, 343-5, 343-6) (Imp: HRS §§341-3, 343-3, 343-6)

§ 11-200.1-6 Republication of Notices, Documents, and Determinations

- (a) <u>An agency or applicant responsible for filing a chapter 343, HRS, notice, document, or</u> determination may file an unchanged, previously published submittal in the **bulletin** provided that the filing requirements of this subchapter and any other publication requirements set forth in this chapter or chapter 343, HRS, are satisfied.
- (b) <u>When the publication of a previously published chapter 343, HRS, notice, document, or</u> determination involves a public comment period under this chapter or chapter 343, HRS:
 - (1) The public comment period shall be as required for that notice, document, or determination pursuant to this chapter or chapter 343, HRS, or as otherwise statutorily mandated (for example, publication of an unchanged draft EIS initiates a forty-five day public comment period upon publication in the bulletin); and
 - (2) Any comments received during the comment period must be considered in the same manner as set forth in this chapter and chapter 343, HRS, for that notice, document, or determination type, in addition to comments received in any other comment period associated with the publication of the notice, document, or determination.
- [Eff] (Auth: HRS §§341-3, 343-5, 343-6) (Imp: HRS §§341-3, 343-3, 343-5, 343-6)

Subchapter 5 Responsibilities

§ 11-200.1-7 Identification of Approving Agency and Accepting Authority

- Whenever an agency proposes an action, the [final] authority to accept [a statement] an EIS shall rest with:
 - (1) The governor, or [an] the governor's authorized representative, whenever an action proposes the use of state lands or [the use of] state funds or[,] whenever a state agency proposes an action [within] under section [11-200-6(b)] 11-200.1-8; or
 - (2) The mayor, or [an] the mayor's authorized representative, of the respective county whenever an action proposes only the use of county lands or county funds. If an action involves state and county lands, state and county funds, or both state and county lands and funds, the governor or the governor's authorized representative shall have the authority to accept the EIS.
- (b) Whenever an applicant proposes an action, the authority for requiring an <u>EA or</u> [statements] <u>EIS</u>, [and for] making a determination regarding any required EA, and accepting any required [statements] <u>EIS</u> [that have been prepared] shall rest with the approving agency [initially receiving and agreeing] that initially received and agreed to process the request for an approval. <u>With respect to EISs</u>, this approving agency is also called the accepting authority.
- (c) If [In the event that there is] more than one agency [that] is proposing the action or, in the case of applicants, more than one agency has jurisdiction over the action, and these agencies are unable to agree as to which agency has the responsibility for complying with [section 343-5(c)] chapter 343, HRS, [the office, after consultation with] the agencies involved, shall consult with one another to determine which agency is responsible for compliance. In making the [determination] decision, the [office] agencies shall take into consideration, including, but not limited to, the following factors:
 - (1) [The] Which agency [with the] has the greatest responsibility for supervising or approving the action as a whole;
 - (2) [The] Which agency [that] can most adequately fulfill the requirements of chapter 343, HRS, and this chapter;
 - (3) [The] <u>Which</u> agency [that] has special expertise or <u>greatest</u> access to information relevant to the action's implementation and impacts; [and]
 - (4) The extent of participation of each **agency** in the **action**[-]; and
 - (5) In the case of an **action** with proposed use of state or county lands or funds, which **agency** has the most land or funds involved in the **action**.

- (d) If [In the event that] there is more than one agency that is proposing the action, or in the case of applicants, more than one agency has jurisdiction over the action, and after applying the criteria in subsection (c) these agencies are unable to agree as to which agency has the responsibility for complying with chapter 343, HRS, the office, after consultation with the agencies involved, shall apply the same considerations in subsection (c) to decide which agency is responsible for compliance.
- (e) <u>The office shall not serve as the accepting authority for any [proposed] agency or</u> <u>applicant action</u>.
- (f) <u>The office may provide recommendations to the agency or applicant responsible for the</u> <u>[environmental assessment]</u> **EA** or **EIS** regarding any applicable administrative content requirements set forth in this chapter.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

Subchapter 6 Applicability

§ 11-200.1-8 Applicability of Chapter 343, HRS, to Agency Actions

- (a) <u>Chapter 343, HRS, environmental review shall be required for any **agency action** that includes one or more **triggers** as identified in section 343-5(a), HRS.</u>
 - (1) <u>Under section 343-5(a), HRS</u>, use of state or county funds shall include any form of funding assistance flowing <u>from</u> the State or <u>a</u> county, and use of state or county lands includes any use (title, lease, permit, easement, license[s], etc.) or entitlement to those lands.
 - (2) [For agency actions, chapter 343, HRS, exempts from applicability] Under section 343-5(a), HRS, any feasibility or planning study for possible future programs or projects [which] that the agency has not approved, adopted, or funded are exempted from chapter 343, HRS, environmental review. Nevertheless, if an agency is studying the feasibility of a proposal, it shall consider environmental factors and available alternatives and disclose these in any future [assessment] EA or [subsequent statement] EIS. [If [, however,] the planning and feasibility studies involve testing or other actions [which] that may have a significant impact on the environment, [then] an [environmental assessment] EA or EIS shall be prepared.]
 - [(3)] [Under section 343-5(a)(1), HRS, actions involving agricultural tourism under section 205-2(d)(11), HRS, or section 205-4.5(a)(13), HRS, must perform environmental review only when required under section 205-5(b), HRS.]
- (b) When an agency proposes an action during a governor-declared state of emergency, the proposing agency shall document in its records that the emergency action was undertaken pursuant to a specific emergency proclamation. If the emergency action has not substantially commenced within sixty days of the emergency proclamation, the action will be subject to chapter 343, HRS.
- (c) In the event of a sudden unexpected emergency causing or likely to cause loss or damage to life, health, property, or essential public service, but for which a declaration of a state of emergency has not been made, a proposing agency undertaking an emergency action shall document in its records that the emergency action was undertaken pursuant to a specific emergency and shall include the emergency action on its list of exemption notices for publication by the office in the bulletin pursuant to section 11-200.1-17(d) and subchapter 4.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-9 Applicability of Chapter 343, HRS, to Applicant Actions

- (a) <u>Chapter 343, HRS, environmental review shall be required for any **applicant action** that:</u>
 - (1) Requires one or more [agency] approvals prior to implementation; and
 - (2) Includes one or more triggers identified in section 343-5(a), HRS.
 - (A) Under section 343-5(a), HRS, use of state or county funds shall include any form of funding assistance flowing from the State or a county, and use of state or county lands includes any use (title, lease, permit, easement, license[s], etc.) or entitlement to those lands.
 - (B) Under section 343-5(a)(1), HRS, actions involving agricultural tourism under section 205-2(d)(11) or section 205-4.5(a)(13), HRS, [must perform] are subject to environmental review [only] when the respective county [required under] requires environmental review under an ordinance adopted pursuant to section 205-5(b), HRS.
- (b) Chapter 343, HRS, does not require environmental review for applicant actions when:
 - (1) Notwithstanding any other law to the contrary, for any primary action that requires a permit or approval that is not subject to a discretionary consent and that involves a secondary action that is ancillary and limited to the installation, improvement, renovation, construction, or development of infrastructure within an existing public right-of-way or highway, that secondary action shall be exempt from this chapter; provided that the **applicant** for the primary action shall submit documentation from the appropriate **agency** confirming that no further discretionary approvals are required.
 - (2) As used in this subsection:
 - (A) "Discretionary consent" means an action as defined in section 343-2; or an approval from a decision-making authority in an agency, which approval is subject to a public hearing.
 - (B) "Infrastructure" includes waterlines and water facilities, wastewater lines and wastewater facilities, gas lines and gas facilities, drainage facilities, electrical, communications, telephone, and cable television utilities, and highway, roadway, and driveway improvements.
 - (C) "Primary action" means an **action** outside of the highway or public right-ofway that is on private property.
 - (D) "Secondary action" means an **action** involving infrastructure within the highway or public right-of-way.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§ 343-5, 343-6)

§ 11-200.1-10 Multiple or Phased Actions

A group of **actions** [proposed by an **agency** or an **applicant**] shall be treated as a single **action** when:

- The component actions are phases or increments of a larger total [undertaking]
 program;
- An individual [project] <u>action</u> is a necessary precedent [for] to a larger [project] <u>action</u>;
- An individual [project] <u>action</u> represents a commitment to a larger [project] <u>action</u>; or
- (4) The actions in question are essentially identical and a single <u>EA or</u> [statement] <u>EIS</u> will adequately address the **impacts** of each individual action and those of the group of actions as a whole.

[Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §343-6)

§ 11-200.1-11 Use of Prior Exemptions, Findings of No Significant Impact, or Accepted Environmental Impact Statements to Satisfy Chapter 343, HRS, for Proposed [Activities] Actions

- (a) When an agency is considering whether a prior exemption, FONSI, or an accepted EIS satisfies chapter 343, HRS, for a proposed [activity] action, the agency may determine that additional environmental review is not required because:
 - (1) The proposed [activity] action was a component of, or is substantially similar to, an action that received an exemption, FONSI, or an accepted EIS (for example, a project that was analyzed in a [programmatic] program EIS);
 - (2) The proposed [activity] action is anticipated to have direct, indirect, and cumulative effects similar to those analyzed in a prior exemption, final EA, or accepted EIS; and
 - (3) In the case of a **final EA** or an accepted **EIS**, the proposed [activity] action was analyzed within the range of alternatives.
- (b) When an agency determines that a prior exemption, FONSI, or an accepted EIS satisfies chapter 343, HRS, for a proposed [activity] action, the agency may submit a brief written determination explaining its rationale to the office for publication pursuant to section 11-200.1-4 and the proposed [activity] action may proceed without further chapter 343, HRS, environmental review.
- (c) When an agency determines that the proposed [activity] action warrants environmental review, the agency may submit a brief written determination explaining its rationale to the office for publication pursuant to section 11-200.1-4 and the agency shall proceed to comply with subchapter 7.

- (d) Agencies shall not, without [considerable pre-examination] careful examination and comparison, use past determinations and previous EISs to apply to the action at hand. The action for which a determination is sought shall be thoroughly reviewed prior to the use of previous determinations and previously accepted EISs. Further, when previous determinations and previously accepted by reference, they shall be substantially [similar to and] relevant to the action then being considered.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

Subchapter 7 Determination of Significance

§ 11-200.1-12 Consideration of Previous Determinations and Accepted Statements

- [(a)] [Chapter 343, HRS, provides that whenever an agency proposes to implement an action or receives a request for approval, the agency may consider and, when applicable and appropriate, incorporate by reference, in whole or in part, previous determinations of whether a statement is required, and previously accepted statements.]
- [(b)] A proposing agency or applicant may incorporate information or analysis from a relevant [Previous] prior [determinations] exemption notice, final EA. [and previously accepted statements may be incorporated] or accepted EIS into an exemption notice, EA, EISPN, or EIS, [by applicants and agencies] for a proposed action whenever the information or analysis [contained therein] is pertinent [to the decision at hand] and has logical relevancy and bearing to the proposed action [being considered] (for example, a project that was broadly considered as part of an accepted [programmatic] program EIS may incorporate relevant portions from the accepted [programmatic] program EIS by reference).
- [(c)] [Agencies shall not, without considerable pre-examination and comparison, use past determinations, and previous statement to apply to the action at hand. The action for which a determination is sought shall be thoroughly reviewed prior to the use of previous determinations and previously accepted statements. Further, when previous determinations and previous statements are considered or incorporated by reference, they shall be substantially similar to and relevant to the action then being considered.]
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-13 Significance Criteria

- (a) In considering the significance of potential environmental effects, agencies shall consider <u>and evaluate</u> the sum of effects <u>of the proposed action</u> on the quality of the environment[[,] and shall evaluate the overall and cumulative effects of an action].
- (b) In determining whether an action may have a significant effect on the environment, the agency shall consider every phase of a proposed action, the expected [consequences] impacts, [both primary and secondary, and the cumulative as well as the short-term and long-term effects of the action] and the proposed mitigation measures. In most instances, an action shall be determined to have a significant effect on the environment if it [is likely to] may:
 - (1) [Involves an irrevocable commitment to loss or destruction of any natural or cultural resource] Irrevocably commit a natural, cultural, or historic resource;
 - (2) [Curtails] Curtail the range of beneficial uses of the environment;
 - (3) [Conflicts] Conflict with the [state's] State's [long-term] environmental policies or long-term environmental goals [and guidelines as expressed in chapter 344, HRS, or other laws.] established by law [and any revisions thereof and amendments thereto, court decisions, or executive orders];
 - (4) [Substantially affects] Have a substantial adverse effect on the economic welfare,
 [er] social welfare, or cultural practices of the community [er] and State;
 - (5) [Substantially affects] Have a substantial adverse effect on public health;
 - (6) [Involves] Involve adverse secondary impacts, such as population changes or effects on public facilities;
 - (7) [Involves] Involve a substantial degradation of environmental quality;
 - (8) Is individually limited but cumulatively has [considerable] substantial adverse
 effect upon the environment or involves a commitment for larger actions;
 - (9) [Substantially affects] <u>Have a substantial adverse effect on</u> a rare, threatened, or endangered species, or its habitat;
 - (10) [Detrimentally affects] Have a substantial adverse **effect** on air or water quality or ambient noise levels;
 - (11) [Affects] <u>Have a substantial adverse effect on</u> or is likely to suffer damage by being located in an environmentally sensitive area such as a flood plain, tsunami zone, <u>sea level rise exposure area</u>, beach, erosion-prone area, geologically hazardous land, estuary, fresh water, or coastal waters;
 - (12) [Substantially affects] Have a substantial adverse **effect** on scenic vistas and viewplanes, during day or night, identified in county or state plans or studies; or
 - (13) [Requires] Require substantial energy consumption or emit substantial greenhouse gases.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-2, 343-6)

§ 11-200.1-14 Determination of Level of Environmental Review

- (a) For an agency action, through its judgment and experience, a proposing agency shall assess the significance of the potential impacts of the action[, including the overall cumulative impact in light of related past, present,] and reasonably foreseeable actions in the area affected, to determine the level of environmental review necessary for the action.
- (b) For an applicant action, within thirty days from the receipt of the applicant's complete request for approval to the approving agency, through its judgment and experience, an approving agency shall assess the significance of the potential impacts of the action[, including the overall cumulative impact in light of related past, present, and reasonably foreseeable actions in the area affected.] to determine the level of environmental review necessary for the action.
- (c) If the proposing agency or approving agency determines, through its judgment and experience, that the action will individually and cumulatively probably have minimal or no significant effects, and the action is one that is eligible for exemption under subchapter 8, then the agency or the approving agency in the case of an applicant may prepare an exemption notice in accordance with subchapter 8.
- (d) If the proposing agency or approving agency determines, through its judgment and experience, that the action is not eligible for an exemption, then the proposing agency shall prepare or the approving agency shall require the applicant to prepare an EA beginning with a draft EA in accordance with subchapter 9, unless:
 - (1) In the course of preparing the draft EA, the proposing agency or approving agency determines, through its judgment and experience, that the action may have a significant effect and therefore require preparation of an EIS, then the proposing agency may prepare, or the approving agency may authorize the applicant to prepare an EA as a final EA to support the determination prior to preparing or requiring preparation of an EIS in accordance with subchapter 10; or
 - (2) The proposing agency or approving agency determines, through its judgment and experience that an EIS is likely to be required, then the proposing agency may choose, or an approving agency may authorize an applicant to prepare an EIS in accordance with subchapter 10, beginning with preparation of an EISPN.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

Subchapter 8 Exempt Actions, List, and Notice Requirements

§ 11-200.1-15 General Types of Actions Eligible for Exemption

- (a) [Chapter 343, HRS, states that a list of classes of actions shall be drawn up which, because they will probably have minimal or no significant effect on the environment, may be declared exempt by the proposing agency or approving agency from the preparation of an environmental assessment provided that agencies declaring an action exempt under this section shall obtain the advice of other outside agencies or individuals having jurisdiction or expertise as to the propriety of the exemption.] Some actions, because they will individually and cumulatively probably have minimal or no significant effects, can be declared exempt from the preparation of an EA.
- (b) Actions declared exempt from the preparation of an [environmental assessment] **EA** under this [section] subchapter are not exempt from complying with any other applicable statute or rule.
- (c) The following [list represents exempt classes of action] general types of actions are eligible for exemption:
 - Operations, repairs, or maintenance of existing structures, facilities, equipment, or topographical features, involving [negligible or no] minor expansion or minor change of use beyond that previously existing;
 - (2) Replacement or reconstruction of existing structures and facilities where the new structure will be located generally on the same site and will have substantially the same purpose, capacity, density, height, and dimensions as the structure replaced;
 - (3) Construction and location of single, new, small facilities or structures and the alteration and modification of the <u>facilities or structures [same]</u> and installation of new, small, equipment <u>or [and]</u> facilities and the alteration and modification of <u>the equipment or facilities</u> [same], including, but not limited to:
 - Single-family residences less than 3,500 square feet, as measured by the controlling law under which the proposed action is being considered, if not in conjunction with the building of two or more such units;
 - (B) Multi-unit structures designed for not more than four dwelling units if not in conjunction with the building of two or more such structures;
 - (C) Stores, offices, and restaurants designed for total occupant load of twenty [persons] individuals or [less] fewer per structure, if not in conjunction with the building of two or more such structures; and
 - (D) Water, sewage, electrical, gas, telephone, and other essential public utility services extensions to serve such structures or facilities; accessory or

appurtenant structures including garages, carports, patios, swimming pools, and fences; and, acquisition of utility easements;

- (4) Minor alterations in the conditions of land, water, or vegetation;
- (5) Basic data collection, research, experimental management, and resource and infrastructure testing and evaluation activities [which] that do not result in a serious or major disturbance to an environmental resource;
- [(6)] Construction or placement of minor structures accessory to existing facilities;
- [(7)] Interior alterations involving things such as partitions, plumbing, and electrical conveyances;
- ([8]6) Demolition of structures, except those structures [located on any historic site as designated in] that are listed on [or that meet the criteria for listing on] the national register or Hawaii [register as provided for in the National Historic Preservation Act of 1966, Public Law 89-665, 16 U.S.C. §470, as amended, or chapter 6E, HRS] Register of Historic Places;
- ([9]7) Zoning variances except shoreline [set-back] setback variances; [and]
- ([10]8) Continuing administrative activities including, but not limited to purchase of supplies and personnel-related actions;
- ([11]9) Acquisition of land and existing structures, including single or multi-unit dwelling units, for the provision of affordable housing, involving no material change of use beyond [that] previously existing <u>uses</u>, and for which the legislature has appropriated or otherwise authorized funding [-]; and
- (10) New construction of affordable housing, where affordable housing is defined by the controlling law applicable for the state or county proposing agency or approving agency, that meets the following:
 - (A) Has the use of state or county lands or funds or is within Waikiki as the sole **triggers** for compliance with chapter 343, HRS;
 - (B) As proposed conforms with the existing state urban land use classification;
 - (C) <u>As proposed is consistent with the existing county zoning classification that</u> <u>allows housing; and</u>
 - (D) <u>As proposed does not require variances for shoreline setbacks or siting in</u> <u>an environmentally sensitive area, as stated in section 11-200.1-13(b)(11)</u>.
- (d) All exemptions under [the classes in this section.] subchapter 8 are inapplicable when the cumulative impact of planned successive actions in the same place, over time, is significant, or when an action that is normally insignificant in its impact on the environment may be significant in a particularly sensitive environment.
- (e) Any agency, at any time, may request that a new exemption [class] type be added, or that an existing one be amended or deleted. The request shall be submitted to the council, in writing, and contain detailed information to support the request as set forth in section 11-201-16, HAR, environmental council rules.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-16 Exemption Lists

- Each agency, through time and experience, [shall] may develop its own exemption list consistent with both the letter and intent expressed in this subchapter and in chapter 343, HRS, of:
 - (1) Routine activities and ordinary functions within the jurisdiction or expertise of the agency that by their nature do not have the potential to individually or cumulatively adversely affect the environment more than negligibly and that the agency considers to not rise to the level of requiring chapter 343, HRS, environmental review. Examples of routine activities and ordinary functions may include, among others: routine repair, routine maintenance, purchase of supplies, and continuing administrative activities involving personnel only, nondestructive data collection, installation of routine signs and markers, financial transactions, personnel-related matters, construction or placement of minor structures accessory to existing facilities; interior alterations involving things such as partitions, plumbing, and electrical conveyances; and
 - (2) [specific types of actions which fall within the exempt classes as long as these lists are consistent with both the letter and intent expressed in these exempt classes and chapter 343, HRS] Types of actions that the **agency** considers to be included within the exempt general types listed in section 11-200.1-15.
- (b) An agency may use part one of its exemption list, developed pursuant to subsection (a)(1), to exempt a specific activity from preparation of an EA and the requirements of section 11-200.1-17 because the agency considers the specific activity to be de minimis.
- (c) An agency may use part two if its exemption list, developed pursuant to subsection (a)(2), to exempt from preparation of an EA a specific action that the agency determines to be included under the types of actions in its exemption list, provided that the agency fulfills the exemption notice requirements set forth in section 11-200.1-17 and chapter 343, HRS.
- (d) These exemption lists and any amendments to the <u>exemption</u> lists shall be submitted to the council for review and concurrence <u>no later than seven years after the previous</u> <u>concurrence</u>; provided that in the event the <u>council</u> is unable to meet due to quorum when a <u>concurrence for an agency exemption list</u> is seven years or older, the <u>agency</u> may submit a letter to the <u>council</u> acknowledging that the existing <u>exemption list</u> is still valid. Upon attaining quorum, the <u>council</u> shall review the <u>exemption list for</u> <u>concurrence</u>. [The lists shall be reviewed periodically by the <u>council</u>.] <u>The council may</u> review <u>agency exemption lists</u> periodically.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-17 Exemption Notices

- (a) Each agency shall [maintain records of] create an exemption notice for an action that it has found to be exempt from the requirements for preparation of an [environmental assessment] EA pursuant to section 11-200.1-16(a)(2) or that an agency considers to be included within a general type of action pursuant to section 11-200.1-15. [and each agency shall produce the exemption notices for review upon request]. An agency may create an exemption notice for an [activity] action that [is] it has found to be exempt from the requirements for preparation of an EA pursuant to section 11-200.1-16(a)(1) or that an agency considers to be a routine activity and ordinary function within the jurisdiction or expertise of the agency that by its nature does not have the potential to individually or cumulatively adversely affect the environment more than negligibly.
- (b) To declare an exemption prior to implementing an action, an agency shall undertake an analysis to determine whether the action merits exemption pursuant to section 11-200.1-15 and is consistent with one or several of the general types listed in section 11-200.1-15 or the agency's exemption list produced in accordance with section 11-200.1-16, and whether significant cumulative impacts or particularly sensitive environments would make the exemption inapplicable. An agency shall obtain the advice of other outside agencies or individuals having jurisdiction or expertise on the propriety of the exemption. This analysis and consultation shall be documented in an exemption notice. [Unless consultation and publication are not required under subsection (c), the agency shall publish the exemption notice with the office through the filing process set forth in subchapter 4.]
- [(c)] [Consultation regarding and publication of an exemption notice is not required when:
 - (1) The agency has created an exemption list pursuant to section 11-200.1-16;
 - (2) The council has concurred with the agency's exemption list no more than seven years before the agency implements the action or authorizes an applicant to implement the action;
 - (3) The action is consistent with the letter and intent of the agency's exemption list; and
 - (4) The action does not have any potential, individually or cumulatively, to produce significant impacts.]
- ([d]c) Each agency shall [produce] [the] electronically provide its exemption notices for review upon request by the public or an agency, and shall submit a list of exemption notices that the agency has created to the office for publication in the bulletin on the eighth day of each month pursuant to subchapter 4.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

Subchapter 9 Preparation of Environmental Assessments

§ 11-200.1-18 Preparation and Contents of a Draft Environmental Assessment

- (a) <u>A proposing agency shall, or an approving agency shall require an applicant to [Seek]</u> [seek] conduct early consultation, seeking, at the earliest practicable time, the advice and input of the county agency responsible for implementing the county's general plan for each county in which the proposed action is to occur, and consult with other agencies having jurisdiction or expertise as well as those citizen groups and individuals [which] that the proposing agency or applicant reasonably believes [te] may be affected.
- (b) The scope of the draft EA may vary with the scope of the proposed action and its impact, taking into consideration whether the action is a project or a program. Data and analyses in a draft EA shall be commensurate with the importance of the impact, and less important material may be summarized, consolidated, or simply referenced. A draft EA shall indicate at appropriate points in the text any underlying studies, reports, and other information obtained and considered in preparing the draft EA, including cost benefit analyses and reports required under other legal authorities.
- (c) The level of detail in a draft EA may be more broad for programs or components of a program for which site-specific impacts are not discernible, and shall be more specific for components of the program for which site-specific, project-level impacts are discernible. A draft EA for a program may, where necessary, omit evaluating issues that are not yet ready for decision at the project level. Analysis of the program may [be based on conceptual information in some cases and may] discuss in general terms the constraints and sequences of events likely to result in any narrowing of future options. It may present and analyze in general terms hypothetical scenarios that are likely to occur.
- (d) A draft EA shall contain, but not be limited to, the following information:
 - (1) Identification of the applicant or proposing agency;
 - (2) For applicant actions, [Identification] identification of the approving agency[, if applicable];
 - (3) List of all <u>required</u> permits and **approvals** (<u>state</u>], federal, <u>and</u> county) [required] <u>and</u>, for **applicants**, identification of which **approval** necessitates chapter 343, HRS, environmental review;
 - (4) Identification of agencies, citizen groups, and individuals consulted in [making] preparing the draft [assessment] EA;

- (5) <u>General description of the **action's** technical, economic, social, cultural, historical,</u> <u>and environmental characteristics;</u>
- (6) <u>Summary description of the affected environment, including suitable and adequate regional, location and site maps such as Flood Insurance Rate Maps, Floodway Boundary Maps, [er] United States Geological Survey topographic maps, or State sea level rise exposure maps;</u>
- (7) Identification and [summary] analysis of impacts and alternatives considered;
- (8) <u>Proposed mitigation measures;</u>
- (9) Proposing Agency or approving agency [determination or, for draft environmental assessments only an] anticipated determination, including findings and reasons supporting the anticipated FONSI, if applicable; and
- (10) Written comments, if any, and responses to the comments [under] received, if any, and made pursuant to the early consultation provisions of [sections 11-200-9(a)(1), 11-200-9(b)(1), or 11-200-15,] subsection (a) and statutorily prescribed public review periods.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-19 Notice of Determination for Draft Environmental Assessments

- (a) <u>After:</u>
 - (1) [preparing] Preparing, or causing to be prepared, [an environmental assessment] a draft EA; [and]
 - (2) [reviewing] Reviewing any public and agency comments[, if any,]; and

(3) [applying] Applying the significance criteria in section [11-200-12] 11-200.1-13[-]; if the proposing agency or the approving agency anticipates that the proposed action is not likely to have a significant effect, [it] the proposing agency or approving agency shall issue a notice of [determination which shall be] an anticipated [negative declaration] FONSI subject to the public review provisions of section [11-200-9.1] 11-200.1-20.

(b) The proposing agency or approving agency shall [also] file [such] the notice of anticipated [determination when applicable] FONSI and supporting draft EA with the office as early as possible in accordance with subchapter 4 after the determination is made pursuant to and in accordance with [section 11-200-9] this subchapter and the requirements in subsection (c). [along with four copies of the supporting environmental assessment. In addition to the above, the anticipated negative declaration determination for any applicant action shall be mailed to the requesting applicant by the approving agency.] For applicant actions, the approving agency shall also send the anticipated FONSI to the applicant.

- (c) <u>The notice of an anticipated FONSI determination shall [indicate] include in a concise</u> <u>manner:</u>
 - (1) Identification of the [applicant or] proposing agency or applicant;
 - (2) For applicant actions, [Identification] identification of the approving agency [or accepting authority];
 - (3) [Brief] A brief description of the [proposed] action;
 - (4) [Determination] The anticipated FONSI;
 - (5) Reasons supporting the anticipated FONSI [determination]; and
 - (6) [Name] The name, title, email address, physical address, and phone number of [a contact person] an individual representative of the proposing agency or applicant who may be contacted for further information.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-20 Public Review and Response Requirements for Draft Environmental Assessments

- (a) This section shall apply only if a proposing agency or an approving agency anticipates a [negative declaration] FONSI determination for a proposed action and [that] the proposing agency or the applicant proposing the action has completed the draft EA requirements of [section 11-200-7(a) paragraphs (1), (2), (3), (4), (5), (6) and (7), or section 11-200-9(b), paragraphs (1), (2), (3), (4), (5) and (6), as appropriate] sections 11-200.1-18 and 11-200.1-19.
- (b) [The period for public review and for submitting written comments for both agency actions and applicant actions shall begin as of the initial issue date that notice of availability of the draft environmental assessment was published in the periodic bulletin and shall continue for a period of thirty days.] Unless mandated otherwise by statute, the period for public review and for submitting written comments shall be thirty days from the date of publication of the draft EA in the bulletin. Written comments [to the proposing agency or approving agency, whichever is applicable, with a copy of the comments to the applicant or proposing agency] shall be received by or postmarked to the proposing agency, or in the case of applicants, to either the approving agency [and] or applicant[,] within the thirty-day period. Any comments outside of the thirty-day period need not be [considered or] responded to nor considered in the final EA.
- (c) For agency actions, the proposing agency shall, and for applicant actions, the applicant shall: respond in [writing] the final EA in the manner prescribed in this section to all substantive comments received or postmarked during the [thirty-day] statutorily mandated review period, incorporate comments into the final EA as appropriate[-], and [append] include the comments and responses in the final [environmental assessment]
 EA. [Each response shall be sent directly to the person commenting, with copies of the

response also sent to the office.] In deciding whether a written comment is substantive, the **proposing agency** or **applicant** shall give careful consideration to the validity, significance, and relevance of the comment to the scope, analysis, or process of the **EA**, bearing in mind the purpose of this chapter and chapter 343, HRS. Written comments deemed by the **proposing agency** or **applicant** as non-substantive and to which no response was provided shall be clearly indicated.

- [(d)] [For applicant actions, the applicant shall respond in writing to all comments received or postmarked during the thirty-day review period and the approving agency shall incorporate or append the comments and responses in the final environmental assessment. Each response shall be sent directly to the person commenting with a copy to the office. A copy of each response shall be sent to the approving agency for its timely preparation of a determination and notice thereof pursuant to sections 11-200-9(b) and 11-200-11.1 or 11-200-11.2.]
- (d) Proposing agencies and applicants shall respond in the final EA to all substantive written comments in one of two ways, or a combination of both, so long as each substantive comment has clearly received a response:
 - (1) By grouping comment responses under topic headings and addressing each substantive comment raised by an individual commenter under that topic heading by issue. When grouping comments by topic and issue, the names of commenters who raised an issue under a topic heading shall be clearly identified in a distinctly labeled section with that topic heading. All substantive comments within a single comment letter must be addressed, but may be addressed throughout the applicable topic areas with the commenter identified in each applicable topic area. All comments, except those described in subsection (e), must be appended in full to the final [document] EA; or
 - (2) By providing a separate and distinct response to each comment clearly identifying the commenter and the comment receiving a response for each comment letter submitted. All comments, except those described in subsection (e), must either be included with the response or appended in full to the **final** [document] EA.
- (e) For comments that are form letters or petitions, that contain identical or near-identical language, and that raise the same issues on the same topic:
 - (1) The response may be grouped under subsection (d)(1) with the response to other comments under the same topic and issue with all commenters identified in the distinctly labelled section identifying commenters by topic; or
 - (2) A single response may be provided that addresses all substantive comments within the form letter or petition and that includes a distinct section listing the individual commenters who submitted the form letter or petition. At least one representative sample of the form letter or petition shall be appended to the final [document] EA;

Provided that, if a commenter adds a distinct substantive comment to a form letter or petition, that comment must be responded to pursuant to subsection (d).

- (f) In responding to substantive written comments, proposing agencies and applicants shall endeavor to resolve conflicts[,] or inconsistencies in information and address specific environmental[,-or] concerns identified by the commenter, providing [and to provide] a response that is commensurate with the substantive content of those comments. [The response shall indicate changes that have been made to the text of the draft EA.] The response shall describe the disposition of significant environmental issues raised (for example, the response may point to revisions to the proposed action to mitigate anticipated impacts or objections raised in the comment, or may refute all or part of the comment). In particular, the issues raised when the proposing agency's or applicant's position is at variance with recommendations and objections raised in the comments shall be addressed in detail, giving reasons why specific comments and suggestions were not accepted, and factors of overriding importance warranting an override of the suggestions. The response shall indicate changes that have been made to the text of the text of the text of the draft EA.
- ([e]g) An addendum document to a draft [environmental assessment] EA shall reference the original draft [environmental assessment] EA it attaches to and shall comply with all applicable filing, public review and comment requirements set forth in [sections 11-200-3] and 11-200-9] subchapters 4 and 9.
- [Eff] (Auth: HRS §§343-3, 343-5, 343-6) (Imp: HRS §§343-3, 343-5, 343-6)

§ 11-200.1-21 Contents of a Final Environmental Assessment

[The proposing agency or approving agency shall prepare any draft or final environmental assessment of each proposed action and determine whether the anticipated effects constitute a significant effect in the context of chapter 343, HRS, and section 11-200-12. The environmental assessment] <u>A final EA</u> shall contain, but not be limited to, the following information:

- (1) Identification of **applicant** or **proposing agency**;
- (2) For applicant actions, [Identification] identification of the approving agency[, if applicable];
- Identification of **agencies**, citizen groups, and individuals consulted in [making]
 <u>preparing</u> the [assessment] <u>EA</u>;
- General description of the action's technical, economic, social, <u>cultural</u> and environmental characteristics;
- Summary description of the affected **environment**, including suitable and adequate regional, location and site maps such as Flood Insurance Rate Maps, Floodway Boundary Maps, or United States Geological Survey topographic maps;
- (6) Identification and [summary] analysis of impacts and alternatives considered;

- (7) Proposed mitigation measures;
- (8) <u>The</u> [Agency] agency determination and the findings and reasons supporting the determination [or, for draft environmental assessments only, an anticipated determination];
- [(9)] [Findings and reasons supporting the agency determination or anticipated determination;]
- [(10)] [Agencies to be consulted in the preparation of the EIS, if an EIS is to be prepared];
- (9) List of all <u>required</u> permits and **approvals** (<u>state</u> [State], federal, <u>and</u> county) [required] and, for **applicants**, identification of which **approval** necessitates <u>chapter 343, HRS, environmental review</u>; and
- (10) Written comments, if any, and responses to the comments [under] received, if any, pursuant to the early consultation provisions [of sections 11-200-9(a)(1), 11-200-9(b)(1), or 11-200-15] of section 11-200.1-18(a), and statutorily prescribed public review periods in accordance with section 11-200.1-20.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-22 Notice of Determination for Final Environmental Assessments

- (a) After:
 - (1) [preparing] Preparing, or causing to be prepared, a final [environmental assessment,] **EA**;
 - (2) [reviewing] <u>Reviewing any</u> public and **agency** comments, [if any,]; and

(3) [applying] Applying the significance criteria in section [11-200-12,] 11-200.1-13; the proposing agency or the approving agency shall issue [one of the following notices] a notice of [determination] a FONSI or EISPN in accordance with [section 11-200-9(a) or 11-200-9(b)] subchapter 9, and file the notice with the office in accordance with subchapter 4. [addressing the requirements in subsection (c), along with four copies of the supporting final environmental assessment, provided that in addition to the above, all notices of determination for any applicant action shall be mailed to the requesting applicant by the approving agency:] For applicant actions, the approving agency shall issue a determination within thirty days of receiving the final EA.

(b) [Negative declaration] If the proposing agency or approving agency determines that a proposed action is not likely to have a significant effect, it shall issue a notice of [determination which shall be] a [negative declaration,] FONSI [and the proposing agency or approving agency shall file such notice with the office as early as possible after the determination is made pursuant to and in accordance with section 11-200-9].

- (c) [Environmental impact statement preparation notice] If the proposing agency or approving agency determines that a proposed action may have a significant effect, it shall issue [a notice of] [determination which shall be] an [environmental impact statement preparation notice] EISPN [and such notice shall be filed as early as possible after the determination is made pursuant to and in accordance with section 11-200-9].
- (d) The proposing agency or approving agency shall file in accordance with subchapter 4 the notice and the supporting final EA with the office as early as possible after the determination is made, addressing the requirements in subsection (e). For applicant actions, the approving agency shall send the notice of determination for an EISPN or FONSI to the applicant.
- (e) [The office shall publish the appropriate notice of determination in the periodic bulletin following receipt of the documents in subsection (a) by the office in accordance with section 11-200-3.]
- (e) The notice of determination for an EISPN shall be prepared pursuant to section 11-200.1 23. The notice of [determination] a FONSI shall indicate in a concise manner:
 - (1) Identification of the applicant or proposing agency;
 - For applicant actions, [Identification] identification of the approving agency [or accepting authority];
 - (3) [Brief] <u>A brief</u> description of <u>the</u> proposed **action**;
 - (4) [Determination] The determination;
 - (5) Reasons supporting <u>the</u> determination; and
 - (6) [Name] <u>The name</u>, <u>title</u>, <u>email address</u>, <u>physical</u> address, and phone number of [a contact person] an individual representative of the **proposing agency** or **applicant** who may be contacted for further information.
- [(e)] [The notice of determination for an EISPN shall be prepared pursuant to section 11-200.1-23.]
- [(d)] [When an agency withdraws a determination pursuant to its rules, the agency shall submit to the office a written letter informing the office of its withdrawal. The office shall publish notice of agency withdrawals in accordance with section 11-200-3.]
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

Subchapter 10 Preparation of Environmental Impact Statements

§ 11-200.1-23 Consultation Prior to Filing a Draft Environmental Impact Statement

- (a) <u>An EISPN, including one resulting from an agency authorizing the preparation of an EIS</u> without first requiring an **EA**, shall indicate in a concise manner:
 - (1) Identification of the proposing agency or applicant;
 - (2) Identification of the accepting authority;
 - (3) <u>List of all required permits and approvals (state, federal, and county) and, for</u> <u>applicants</u>, identification of which <u>approval</u> necessitates chapter 343, HRS, <u>environmental review</u>;
 - (4) <u>The determination to prepare an **EIS**</u>;
 - (5) Reasons supporting the determination to prepare an EIS:
 - (6) <u>A description of the proposed **action** and its location;</u>
 - (7) <u>A description of the affected **environment** and include regional, location, and site maps;</u>
 - (8) Possible alternatives to the proposed action;
 - (9) The proposing agency's or applicant's proposed scoping process, including when and where the EIS public scoping meeting or meetings will be held; and
 - (10) <u>The name, title, email address, physical address, and phone number of an</u> <u>individual representative of the **proposing agency** or **applicant** who may be <u>contacted for further information.</u></u>
- (b) In the preparation of a draft EIS, proposing agencies and applicants shall consult all appropriate agencies, [noted in section 11-200-10(10), and other] including the county agency responsible for implementing the county's general plan for each county in which the proposed action is to occur and agencies having jurisdiction or expertise, as well as those citizen groups, and concerned individuals [as noted in sections 11-200-9 and 11-200-9.1] that the [proposing agency] accepting authority reasonably believes to be affected. To this end, agencies and applicants shall endeavor to develop a fully acceptable draft EIS prior to the time the draft EIS is filed with the office, through a full and complete consultation process, and shall not rely solely upon the review process to expose environmental concerns.
- (c) Upon publication of [a preparation notice] an EISPN in the periodic bulletin, agencies, groups, or individuals shall have a period of thirty days from the initial [issue] publication date [in which to request to become a consulted party and] to make written comments regarding the environmental effects of the proposed action. [Upon written request by

the consulted party and upon good cause shown,] With [good cause] explanation, the [approving agency or] accepting authority may extend the period for comments for a period not to exceed thirty additional days. Written comments and responses to the substantive comments shall be included in the draft EIS pursuant to section 11-200.1-24. For purposes of the scoping meeting, substantive comments shall be those pertaining to the scope of the EIS.

- (d) [At the discretion of the proposing agency or an applicant, a] No fewer than one EIS public scoping meeting [to receive comments on the final environmental assessment (for the EIS preparation notice determination) setting forth] addressing the scope of the draft EIS [may] shall be held on the island(s) most affected by the proposed action, within the public review and comment period in subsection [(b)] (c) [, provided that the proposing agency or applicant shall treat oral and written comments received at such a meeting as indicated in subsection (d)]. The EIS public scoping meeting shall include a separate portion reserved for oral public comments and that portion of the EIS public scoping meeting shall be audio recorded.
- [(c)] [Upon receipt of the request, the proposing agency or applicant shall provide the consulted party with a copy of the environmental assessment or requested portions thereof and the environmental impact statement preparation notice Additionally, the proposing agency or applicant may provide any other information it deems necessary. The proposing agency or applicant may also contact other agencies, groups, or individuals which it feels may provide pertinent additional information.]
- [(d)] [Any substantive comments received by the proposing agency or applicant pursuant to this section shall be responded to in writing and as appropriate, incorporated into the draft EIS by the proposing agency or applicant prior to the filing of the draft EIS with the approving agency or accepting authority. Letters submitted which contain no comments on the projects but only serve to acknowledge receipt of the document do not require a written response. Acknowledgement of receipt of these items must be included in the final environmental assessment or final statement.]
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §343-6)

§ 11-200.1-24 Content Requirements; Draft Environmental Impact Statement

(a) The draft EIS, at a minimum, shall contain the information required in this section. <u>The</u> contents shall fully declare the environmental implications of the proposed action and shall discuss all [relevant and feasible] reasonably foreseeable consequences of the action. In order that the public can be fully informed and that the accepting authority can make a sound decision based upon the full range of responsible opinion on

environmental effects, [a statement] an EIS shall include responsible opposing views, if any, on significant environmental issues raised by the proposal.

- (b) [In the developing the EIS preparers shall make every effort to convey the required information succinctly in a form easily understood, both by members of the public and by public decision-makers, giving attention to the substance of the information conveyed rather than to the particular form, or length, or detail of the statement.] The scope of the [statement] draft EIS may vary with the scope of the proposed action and its impact, taking into consideration whether the action is a project or a program. Data and analyses in a [statement] draft EIS shall be commensurate with the importance of the impact, and less important material may be summarized, consolidated, or simply referenced. [Statements] A draft EIS shall indicate at appropriate points in the text any underlying studies, reports, and other information obtained and considered in preparing the [statement] draft EIS, including cost benefit analyses and reports required under other legal authorities.
- (c) The level of detail in a draft EIS may be more broad for programs or components of a program for which site-specific impacts are not discernible, and shall be more specific for components of the program for which site-specific, project-level impacts are discernible. A draft EIS for a program may, where necessary, omit evaluating issues that are not yet ready for decision at the project level. Analysis of the program may be based on conceptual information in some cases and may discuss in general terms the constraints and sequences of events likely to result in any narrowing of future options. It may present and analyze in general terms hypothetical scenarios that are likely to occur.
- (d) The draft **EIS** shall contain a summary sheet [which] that concisely discusses the following:
 - (1) Brief description of the **action**;
 - Significant beneficial and adverse impacts [(including cumulative impacts and secondary impacts)];
 - (3) Proposed mitigation measures;
 - (4) Alternatives considered;
 - (5) Unresolved issues; [and]
 - (6) Compatibility with land use plans and policies, and listing of permits or approvals[-]; and
 - (7) <u>A list of relevant [documents for actions]</u> EAs and EISs considered in the analysis of the preparation of the EIS.
- (e) The draft **EIS** shall contain a table of contents.
- (f) The draft **EIS** shall contain a separate and distinct section that includes [a statement of] the purpose and need for the proposed **action**.

- (g) The draft EIS shall contain a [project] description of the action [which] that shall include the following information, but need not supply extensive detail beyond that needed for evaluation and review of the environmental impact:
 - A detailed map (preferably a United States Geological Survey topographic map, Flood Insurance Rate Maps, [or] Floodway Boundary Maps, or State sea level rise
 <u>exposure area maps</u>, as applicable) and a related regional map;
 - (2) [Statement of objectives] Objectives of the proposed action;
 - (3) General description of the **action's** technical, economic, social, <u>cultural</u>, and environmental characteristics;
 - (4) Use of [public] state or county funds or lands for the action;
 - (5) Phasing and timing of the action;
 - (6) Summary technical data, diagrams, and other information necessary to [permit] enable an evaluation of potential environmental impact by commenting agencies and the public; and
 - (7) Historic perspective.
- (h) The draft EIS shall describe in a separate and distinct section <u>discussion of the</u> <u>alternative of no action as well as</u> <u>reasonable</u> alternatives [which] <u>that</u> could attain the objectives of the action [regardless of cost, in sufficient detail to explain why they were rejected]. The section shall include a rigorous exploration and objective evaluation of the environmental **impacts** of all such alternative actions. Particular attention shall be given to alternatives that might enhance environmental quality or avoid, reduce, or minimize some or all of the adverse environmental effects, costs, and risks <u>of the action</u>. Examples of alternatives include:
 - [(1)] [The alternative of no action;]
 - ([2]1) Alternatives requiring **actions** of a significantly different nature [which] <u>that</u> would provide similar benefits with different environmental **impacts**;
 - ([3]2) Alternatives related to different designs or details of the proposed **actions** [which] <u>that</u> would present different environmental **impacts**; <u>and</u>

[(4)] [The alternative of postponing action pending further study; and]

([5]3) Alternative locations for the proposed [project] action.

In each case, the analysis shall be sufficiently detailed to allow the comparative evaluation of the environmental benefits, costs, and risks of the proposed **action** and each reasonable alternative. For alternatives that were eliminated from detailed study, the section shall contain a brief discussion of the reasons for not studying those alternatives in detail. For any **agency actions**, the discussion of alternatives shall include, where relevant, those alternatives not within the existing authority of the **agency**.

(i) The draft **EIS** shall include a description of the environmental setting, including a description of the **environment** in the vicinity of the **action**, as it exists before commencement of the **action**, from both a local and regional perspective. Special emphasis shall be placed on environmental resources that are rare or unique to the region and the **action** site (including natural or human-made resources of historic,

<u>cultural</u>, archaeological, or aesthetic significance); specific reference to related **actions**, public and private, existent or planned in the region shall also be included for purposes of examining the possible overall **cumulative impacts** of such **actions**. **Proposing agencies** and **applicants** shall also identify, where appropriate, population and growth characteristics of the affected area [-and], any population and growth assumptions used to justify the <u>proposed</u> **action**, and [determine] any secondary population and growth **impacts** resulting from the proposed **action** and its alternatives. [In any event, it] It is essential that the sources of data used to identify, qualify, or evaluate any and all environmental consequences be expressly noted in the draft **EIS**.

- (j) The draft EIS shall include a [statement] description of the relationship of the proposed action to land use and natural or cultural resource plans, policies, and controls for the affected area. Discussion of how the proposed action may conform or conflict with objectives and specific terms of approved or proposed land use and resource plans, policies, and controls, if any, for the area affected shall be included. Where a conflict or inconsistency exists, the [statement] draft EIS shall describe the extent to which the agency or applicant has reconciled its proposed action with the plan, policy, or control, and the reasons why the agency or applicant has decided to proceed, notwithstanding the absence of full reconciliation.
- (k) The draft EIS shall also contain a list of necessary approvals, required for the action, from governmental agencies, boards, or commissions or other similar groups having jurisdiction. The status of each identified approval shall also be described.
- (I) The draft **EIS** shall include [a statement] an analysis of the probable impact of the proposed **action** on the **environment**, and **impacts** of the natural or human environment on the [project] action. [, which] This analysis shall include consideration of all phases of the **action** and consideration of all consequences on the **environment**[-], including direct and indirect effects [shall be included]. The interrelationships and cumulative environmental impacts of the proposed action and other related [projects] actions shall be discussed in the draft EIS. [It should be realized] The draft EIS should recognize that several actions, in particular those that involve the construction of public facilities or structures (e.g., highways, airports, sewer systems, water resource [projects] actions, etc.) may well stimulate or induce secondary effects. These secondary effects may be equally important as, or more important than, primary effects, and shall be thoroughly discussed to fully describe the probable **impact** of the proposed **action** on the environment. The population and growth impacts of an action shall be estimated if expected to be significant, and an evaluation shall be made of the effects of any possible change in population patterns or growth upon the resource base, including but not limited to land use, water, and public services, of the area in guestion. Also, if the proposed action constitutes a direct or indirect source of pollution as determined by any governmental **agency**, necessary data regarding these **impacts** shall be incorporated
into the **EIS**. The significance of the **impacts** shall be discussed in terms of <u>subsections</u> [(ij), (k), (l), and (m)] (m), (n), (o), and (p).

- (m) The draft EIS shall include in a separate and distinct section a description of <u>the</u> relationship between local short-term uses of humanity's **environment** and the maintenance and enhancement of long-term productivity. The extent to which the proposed **action** involves trade-offs among short-term and long-term gains and losses shall be discussed. The discussion shall include the extent to which the proposed **action** forecloses future options, narrows the range of beneficial uses of the **environment**, or poses long-term risks to health or safety. In this context, short-term and long-term do not necessarily refer to any fixed time periods, but shall be viewed in terms of the environmentally significant consequences of the proposed **action**.
- (n) The draft EIS shall include in a separate and distinct section a description of all irreversible and irretrievable commitments of resources that would be involved in the proposed action should it be implemented. Identification of unavoidable impacts and the extent to which the action makes use of non-renewable resources during the phases of the action, or irreversibly curtails the range of potential uses of the environment shall also be included. The possibility of environmental accidents resulting from any phase of the action shall also be considered. [Agencies shall avoid construing the term "resources" to mean only the labor and materials devoted to an action. "Resources" also means the natural and cultural resources committed to loss or destruction by the action.]
- (0) The draft EIS shall address all probable adverse environmental effects [which] that cannot be avoided. Any adverse effects such as water or air pollution, urban congestion, threats to public health, or other consequences adverse to environmental goals and quidelines established by environmental response laws, coastal zone management laws, pollution control and abatement laws, and environmental policy [such as that] including those found in chapters 128D (Environmental Response Law), 205A (Coastal Zone Management), 342B (Air Pollution Control), 342C (Ozone Layer Protection), 342D (Water Pollution), 342E (Nonpoint Source Pollution Management and Control), 342F (Noise Pollution), 342G (Integrated Solid Waste Management), 342H (Solid Waste Recycling), 342I (Special Wastes Recycling), 342J (Hazardous Waste, including Used Oil), 342L (Underground Storage Tanks), [342N,] 342P (Asbestos and Lead), and 344 (State Environmental Policy), HRS, [shall be included, including] and those effects discussed in [other actions of] this [paragraph] section [which] that are adverse and unavoidable under the proposed action must be addressed in the draft EIS. Also, the rationale for proceeding with a proposed action, notwithstanding unavoidable effects, shall be clearly set forth in this section. The draft EIS shall indicate what other interests and considerations of governmental policies are thought to offset the adverse environmental effects of the proposed action. The draft [statement] EIS shall also indicate the extent to which these stated countervailing benefits could be realized by following reasonable

alternatives to the proposed **action** that would avoid some or all of the adverse environmental **effects**.

- (p) The draft EIS shall consider mitigation measures proposed to avoid, minimize, rectify, or reduce [impact] impacts, including provision for compensation for losses of cultural, community, historical, archaeological, fish and wildlife resources, including the acquisition of land, waters, and interests therein. Description of any mitigation measures included in the action plan to reduce significant, unavoidable, adverse impacts to insignificant levels, and the basis for considering these levels acceptable shall be included. Where a particular mitigation measure has been chosen from among several alternatives, the measures shall be discussed and reasons given for the choice made. [Included] The draft EIS shall include, where possible [and appropriate], [should be] specific reference to the timing of each step proposed to be taken in [the] any mitigation process, what performance bonds, if any, may be posted, and what other provisions are proposed to ensure [assure] that the mitigation measures will in fact be taken in the event the action is implemented.
- (q) The draft **EIS** shall include a separate and distinct section that summarizes unresolved issues and contains either a discussion of how such issues will be resolved prior to commencement of the **action**, or what overriding reasons there are for proceeding without resolving the [problems] issues.
- (r) The draft EIS shall include a separate and distinct section that contains a list identifying all governmental agencies, other organizations and private individuals consulted in preparing the [statement] draft EIS, and shall disclose the identity of the persons, firms, or agency preparing the [statement] draft EIS, by contract or other authorization[, shall be disclosed].
- (s) The draft **EIS** shall include a separate and distinct section that contains:
 - [reproductions] Reproductions of all [substantive] written comments [and responses made] submitted during the [consultation process] consultation period required in section 11-200.1-23;
 - (2) Responses to all substantive written comments made during the consultation period required in section 11-200.1-23. Proposing agencies and applicants shall respond in the draft EIS to all substantive written comments in one of two ways, or a combination of both, so long as each substantive comment has clearly received a response:
 - (A) By grouping comment responses under topic headings and addressing each substantive comment raised by an individual commenter under that topic heading by issue. When grouping comments by topic and issue, the names of commenters who raised an issue under a topic heading shall be clearly identified in a distinctly labeled section with that topic heading. All substantive comments within a single comment letter must be addressed,

but may be addressed throughout the applicable different topic areas with the commenter identified in each applicable topic area. All comments, except those described in paragraph (3), must be appended in full to the final document; or

- (B) By providing a separate and distinct response to each comment clearly identifying the commenter and the comment receiving a response being responded to for each comment letter submitted. All comments, except those described in paragraph (3), must either be included with the response, or appended in full to the final document;
- (3) For comments that are form letters or petitions, that contain identical or nearidentical language, and that raise the same issues on the same topic:
 - (A) The response may be grouped under paragraph (2)(A) with the response to other comments under the same topic and issue with all commenters identified in the distinctly labeled section identifying commenters by topic; or
 - (B) A single response may be provided that addresses all substantive comments within the form letter or petition and that includes a distinct section listing the individual commenters who submitted the form letter or petition. At least one representative sample of the form letter or petition shall be appended to the final document; and
 - (C) Provided that, if a commenter adds a distinct substantive comment to a form letter or petition, then that comment must be responded to pursuant to paragraph (2);
- (4) A summary of any EIS public scoping meetings, including a written general summary of the oral comments made, and a representative sample of any handout provided by the proposing agency or applicant related to the action provided at the EIS public scoping meeting(s);
- (5) A list of those persons or agencies who were consulted and had no comment [shall be included in the draft EIS] in a manner indicating that no comment was provided; and
- (6) A representative sample of the [agency] consultation request letter.
- (t) <u>An addendum [document] to a draft [environmental impact statement] EIS shall</u> reference the original draft [environmental impact statement] EIS to which it attaches [to] and comply with all applicable filing, public review, and comment requirements set forth in subchapter [7] 10.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-2, 343-5, 343-6)

§ 11-200.1-25 Public Review Requirements for Draft Environmental Impact Statements

- (a) Public review shall not substitute for early and open discussion with interested persons and agencies[,] concerning the environmental impacts of a proposed action. Review of the draft EIS shall serve to provide the public and other agencies an opportunity to discover the extent to which a proposing agency or applicant has examined environmental concerns and available alternatives.
- (b) The period for public review and for submitting written comments shall commence [as of] from the date that notice of availability of the draft EIS is initially [issued] published in the periodic bulletin and shall continue for a period of forty-five days, unless mandated otherwise by statute. Written comments [to the [approving agency or] accepting authority[, whichever is applicable,] with a copy of the comments to the [applicant or] proposing agency or applicant,] shall be received by or postmarked to the [approving agency or] accepting authority or the applicant, and in the case of applicants, to either the accepting authority or the applicant, within [said] the forty-five-day comment period. Any comments outside of the forty-five day comment period need not be [considered or] responded to nor considered.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-26 Comment Response Requirements for Draft Environmental Impact Statements

- (a) In accordance with the content requirements of section 11-200.1-27, [The] the proposing agency or applicant shall respond [in writing] within the final EIS to [the] all substantive written comments received [by or postmarked to the approving agency during the forty-five-day review period] pursuant to section 11-200.1-25 [and incorporate the comments and responses in the final EIS]. [The response to comments shall include:] In deciding whether a written comment is substantive, the proposing agency or applicant shall give careful consideration to the validity, significance, and relevance of the comment to the scope, analysis, or process of the EIS, bearing in mind the purpose of this chapter and chapter 343, HRS. Written comments deemed by the proposing agency or applicant as non-substantive and to which no response was provided shall be clearly indicated.
- (b) **Proposing agencies** and **applicants** shall respond in the final **EIS** to all substantive written comments in one of two ways, or a combination of both, so long as each substantive comment has clearly received a response:
 - (1) By grouping comment responses under topic headings and addressing each substantive comment raised by an individual commenter under that topic heading by issue. When grouping comments by topic and issue, the names of

commenters who raised an issue under a topic heading shall be clearly identified in a distinctly labeled section with that topic heading. All substantive comments within a single comment letter must be addressed, but may be addressed throughout the applicable topic areas with the commenter identified in each applicable topic area. All comments, except those described in subsection (c), must be appended in full to the final document; or

- (2) By providing a separate and distinct response to each comment clearly identifying the commenter and the comment receiving a response for each comment letter submitted. All comments, except those described in subsection (c), must either be included with the response or appended in full to the final document.
- (c) For comments that are form letters or petitions, that contain identical or near-identical language, and that raise the same issues on the same topic:
 - (1) The response may be grouped under subsection (b)(1) with the response to other comments under the same topic and issue with all commenters identified in the distinctly labeled section identifying commenters by topic; or
 - (2) A single response may be provided that addresses all substantive comments within the form letter or petition and that includes a distinct section listing the individual commenters who submitted the form letter or petition. At least one representative sample of the form letter or petition shall be appended to the final document;

Provided that if a commenter adds a distinct substantive comment to a form letter or petition, then that comment must be responded to pursuant to subsection (d).

- (d) In responding to substantive written comments, proposing agencies and applicants [Responses] shall endeavor to resolve conflicts[,] or inconsistencies[, er] in information and address specific environmental concerns identified [and to provide] by the commenter, providing a response that is commensurate with the substantive content of those comments. [Response letters reproduced in the text of the final EIS] [The response shall indicate [verbatim] changes that have been made to the text of the draft EIS.] The response shall describe the disposition of significant environmental issues raised[. (e.g.,] (for example, the response may point to revisions to the proposed [project] action to mitigate anticipated impacts or objections raised in the comment[, etc.]). In particular, the issues raised when the [applicant's or] proposing agency's or applicant's position is at variance with recommendations and objections raised in the comments shall be addressed in detail, giving reasons why specific comments and suggestions were not accepted, and factors of overriding importance warranting an override of the suggestions. The response shall indicate changes that have been made to the text of the draft EIS.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-27 Content Requirements; Final Environmental Impact Statement

- (a) <u>The final EIS, at a minimum, shall contain the information required in this section</u>. <u>The contents shall fully declare the environmental implications of the proposed action and shall discuss all [relevant and feasible] reasonably foreseeable consequences of the action. In order that the public can be fully informed and that the accepting authority can make a sound decision based upon the full range of responsible opinion on environmental effects, [a statement] an EIS shall include responsible opposing views, if any, on significant environmental issues raised by the proposal.</u>
- (b) The final **EIS** shall consist of:
 - (1) The draft EIS prepared in compliance with this subchapter, as revised to incorporate substantive comments received during the [consultation and] review processes in conformity with section 11-200.1-26, including reproduction of all comments and responses to substantive written comments;
 - [(2)] [Reproductions of all letters received containing substantive questions, comments, or recommendations and, as applicable, summaries of any scoping meetings held;]
 - [(3)](2) A list of **persons**, organizations, and public **agencies** commenting on the draft **EIS**;
 - (3) A list of those persons or agencies who were consulted with in preparing the final EIS and those who had no comment shall be included in a manner indicating that no comment was provided;
 - (4) [The responses of the applicant or proposing agency to each substantive question, comment, or recommendation received in the review and consultation processes,] <u>A written general summary of oral comments made at any EIS public</u> scoping meetings; and
 - (5) The text of the final **EIS** [which shall be] written in a format [which] that allows the reader to easily distinguish changes made to the text of the draft **EIS**.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-2, 343-5, 343-6)

§ 11-200.1-28 Acceptability

- (a) Acceptability of [a statement] a final EIS shall be evaluated on the basis of whether the [statement] final EIS, in its completed form, represents an informational instrument [which] that fulfills the [definition of an EIS] intent and provisions of chapter 343, HRS, and adequately discloses and describes all identifiable environmental impacts and satisfactorily responds to review comments.
- (b) A [statement] final EIS shall be deemed to be an acceptable document by the accepting authority [or approving agency] only if all of the following criteria are satisfied:
 - (1) The procedures for assessment, consultation process, review, and the preparation and submission of the [statement] <u>EIS</u>, from proposal of the <u>action</u> to <u>publication</u> <u>of the final EIS</u>, have all been completed satisfactorily as specified in this chapter;
 - (2) The content requirements described in this chapter have been satisfied; and
 - (3) Comments submitted during the review process have received responses satisfactory to the accepting authority[, or approving agency], including properly identifying comments as substantive and responding in a way commensurate to the comment, and have been appropriately incorporated [in] into the [statement] final EIS.
- (c) [For actions proposed by agencies, the] The proposing agency, applicant, or accepting authority may request the office to make a recommendation regarding the acceptability or non-acceptability of the EIS. If the office decides to make a recommendation, it shall submit the recommendation to the proposing agency, applicant, and accepting authority [and proposing agency], as applicable. [If the] For applicant actions, the office [decides to make a recommendation, it] shall submit the recommendation to the applicant and the [approving agency] accepting authority within the [thirty-day] period [requiring an approving agency] for the accepting authority to determine the acceptability of the final EIS [and described in section 343-5(c), HRS].
- (d) [In all cases] For agency actions involving state funds or lands, the governor or [an] the governor's authorized representative shall have final authority to accept the EIS. In cases involving only county funds or lands, the mayor of the respective county or [an] the mayor's authorized representative shall have final authority to accept the EIS. The accepting authority shall take prompt measures to determine the acceptability or non-acceptability of the proposing agency's [statement] EIS. If [In the event that] the action involves [both] state and county lands [er], state or county funds, or both state and county lands and state and county funds, the governor or [an] the governor's authorized representative shall have final authority to accept the EIS.
- ([d]e) Upon acceptance or non-acceptance of the EIS[-;]:

- (1) For agency actions, a notice shall be filed by the appropriate accepting authority with both the proposing agency and the office. For any non-accepted EIS, the notice shall contain specific findings and reasons for non-acceptance. The office shall publish notice of the determination of acceptance or non-acceptance in the periodic bulletin in accordance with [section 11-200-3] subchapter 4. Acceptance of a required statement shall be a condition precedent to the use of state or county lands or funds in implementing the proposed action.
- (2) [Upon acceptance or non-acceptance by the approving agency, the agency] For applicant actions, the accepting authority shall:
 - (A) [netify] Notify the applicant of its determination, and provide specific findings and reasons. The [agency] accepting authority shall also provide a copy of this determination to the office for publication [of a notice] in the periodic bulletin. Acceptance of the required EIS shall be a condition precedent to approval of the request and commencement of the proposed action. [An approving agency shall take prompt measures to determine the acceptability or non-acceptability of the applicant's statement.]
 - [The agency shall notify] Notify the applicant and the office of the <mark>(B)</mark> acceptance or non-acceptance of the final EIS within thirty days of the final **EIS** submission to the **agency**[,]; provided that the thirty-day period may, at the request of the **applicant**, be extended [at the request of the applicant] for a period not to exceed fifteen days. The request shall be made to the accepting authority in writing. Upon receipt of an applicant's written request for an extension of the thirty-day acceptance period, the accepting authority shall notify the office and applicant in writing of its decision to grant or deny the request. The notice shall be accompanied by a copy of the **applicant's** request. An extension of the thirty-day **acceptance** period shall not be [allowed] granted merely for the convenience of the accepting authority. If [In the event that] the agency fails to make a determination of acceptance or non-acceptance [for] of the [statement] **EIS** within thirty days of the receipt of the final **EIS**, then the statement shall be deemed accepted.
- ([e]) [For actions proposed by applicants requiring approval from an agency, the applicant or accepting authority, which is the approving agency, may request the office to make a recommendation regarding the acceptability or non-acceptability of the [statement] <u>EIS</u>. If the office decides to make a recommendation, it shall submit the recommendation to the applicant and the approving agency within the [thirty-day] period requiring an approving agency to determine the acceptability of the final EIS [and described in section 343-5(c), HRS].] [Upon acceptance or non-acceptance by the approving agency, the agency shall notify the applicant of its determination, and provide specific findings and reasons. The agency shall also provide a copy of this determination to the

office for publication [of a notice] in the periodic bulletin. Acceptance of the required EIS shall be a condition precedent to approval of the request and commencement of the proposed action.] [An approving agency shall take prompt measures to determine the acceptability or non-acceptability of the applicant's statement.] [The agency shall notify the **applicant** and the **office** of the acceptance or non-acceptance of the final **EIS** within thirty days of the final EIS submission to the agency[,]; provided that the thirty-day period may, at the request of the applicant, be extended [at the request of the applicant] for a period not to exceed fifteen days. The request shall be made to the **accepting authority** in writing. Upon receipt of an **applicant's** written request for an extension of the thirtyday acceptance period, the accepting authority shall notify the office and applicant in writing of its decision to grant or deny the request. The notice shall be accompanied by a copy of the **applicant's** request. An extension of the thirty-day **acceptance** period shall not be [allowed] granted merely for the convenience of the accepting authority. If [In the event that] the agency fails to make a determination of acceptance or nonacceptance [for] of the [statement] EIS within thirty days of the receipt of the final EIS, then the statement shall be deemed accepted.]

- (f) A non-accepted EIS may be revised by a proposing agency or applicant. The revision shall take the form of a revised draft EIS [document] which shall fully address the inadequacies of the non-accepted EIS and shall completely and thoroughly discuss the changes made. The requirements for filing, distribution, publication of availability for review, acceptance or non-acceptance, and notification and publication of acceptability shall be the same as the requirements prescribed by [sections 11-200-20, 11-200-21, 11-200-22, and 11-200-23] subchapters 4 and 10 for an EIS submitted for acceptance. In addition, the [revised draft EIS] subsequent revised final EIS shall be evaluated for acceptability on the basis of whether it satisfactorily addresses the findings and reasons for non-acceptance.
- (g) A proposing agency or applicant may withdraw an EIS by <u>simultaneously</u> sending a [letter] written <u>notification</u> to the office and to the accepting authority informing the office of the proposing agency's or applicant's withdrawal. Subsequent resubmittal of the EIS shall meet all requirements for filing, distribution, publication, review, acceptance, and notification as a [new] <u>draft</u> EIS.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-29 Appeals to the Council

An **applicant**, within sixty days after <u>a</u> non-acceptance <u>determination by the [approving agency]</u> <u>accepting authority under section 11-200.1-28</u> of [<u>a statement</u>] <u>a final EIS</u> [by an agency], may appeal the non-acceptance to the **council**, which within [thirty days of] <u>the statutorily mandated</u> <u>period after</u> receipt of the appeal, shall notify the **applicant** appealing of its determination <u>to</u> <u>affirm the [approving agency's] accepting authority's non-acceptance or to reverse it</u>. <u>The</u> <u>council</u> chairperson shall include the appeal on the agenda of the next <u>council</u> meeting <u>following receipt of the appeal</u>. In any affirmation or reversal of an appealed non-acceptance, the <u>council</u> shall provide the <u>applicant</u> and the [<u>agency</u>] <u>accepting authority</u> with specific findings and reasons for its determination. The [<u>agency</u>] <u>accepting authority</u> shall abide by the <u>council's</u> decision.

[Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-30 Supplemental Environmental Impact Statements

- [A statement] An EIS that is accepted with respect to a particular action is usually qualified by the size, scope, location, intensity, use, and timing of the action, among other things. [A statement] An EIS that is accepted with respect to a particular action shall satisfy the requirements of this chapter and no [other] supplemental [statement]
 EIS for that proposed action shall be required, to the extent that the action has not changed substantively in size, scope, intensity, use, location or timing, among other things. If there is any change in any of these characteristics which may have a significant effect, the original statement that was changed shall no longer be valid because an essentially different action would be under consideration and a supplemental [statement] EIS shall be prepared and reviewed as provided by this chapter. As long as there is no change in a proposed action resulting in individual or cumulative impacts not originally disclosed, the [statement] EIS associated with that action shall be deemed to comply with this chapter.
- (b) The accepting authority or approving agency in coordination with the original accepting authority shall be responsible for determining whether a supplemental [statement] EIS is required. This determination will be submitted to the office for publication in the periodic bulletin. Proposing agencies or applicants shall prepare for public review supplemental [statements] EISs whenever the proposed action for which [a] an [statement] EIS was accepted has been modified to the extent that new or different environmental impacts are anticipated. A supplemental [statement] EIS shall be warranted when the scope of an action has been substantially increased, when the intensity of environmental impacts will be increased, when the mitigating measures originally planned [are] will not to be implemented, or where new circumstances or evidence have brought to light different or likely increased environmental impacts not previously dealt with.

- (c) The contents of the supplemental [statement] EIS shall be the same as required by this chapter for the EIS and may incorporate by reference unchanged material from the same; however, in addition, it shall fully document the proposed changes from the original EIS, including changes in ambient conditions or available information that have a bearing on a proposed action or its impacts, the positive and negative aspects of these changes, and shall comply with the content requirements of [section 11-200-16] subchapter 10 as they relate to the changes.
- (d) The requirements of the thirty-day consultation, [filing] public notice filing, distribution, the forty-five-day public review, comments and response, and acceptance procedures, shall be the same for the supplemental [statement] EIS as is prescribed by this chapter for an EIS.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

Subchapter 11 National Environmental Policy Act

§ 11-200.1-31 National Environmental Policy Act Actions: Applicability to Chapter 343, HRS

When [the situation occurs where] a certain **action** will be subject both to the **National Environmental Policy Act** of 1969 [(Public Law 91-190, as amended by Public Law 94-52 and Public Law 94-83; 42 U.S.C. § 4321-4347)] (P.L. 91-190, 42 U.S.C. sections 4321-4347, as amended by P.L. 94-52, July 3, 1975, P.L. 94-83, Aug. 9, 1975, and P.L. 97-258 section 4(b), Sept. 13, 1982) and chapter 343, HRS, the following shall occur:

- (1) The applicant or agency, upon discovery of its proposed action being subject to both chapter 343, HRS, and the [National Environmental Policy Act] <u>NEPA</u>, shall notify the responsible federal [agency] <u>entity</u>, the office, and any agency with a definite interest in the action (as prescribed by chapter 343, HRS) [of the situation].
- (2) When a federal entity determines that the proposed action is exempt from review under the NEPA, this determination does not automatically constitute an exemption for the purposes of this chapter. In these cases, state and county agencies remain responsible for compliance with this chapter. However, the federal exemption may be considered in the state or county agency determination.
- (3) When a federal entity issues a FONSI and concludes that an EIS is not required under the NEPA, this determination does not automatically constitute compliance with this chapter. In these cases, state and county agencies remain responsible for compliance with this chapter. However, the federal FONSI may be considered in the state or county agency determination.
- (4) The [National Environmental Policy Act] NEPA requires that [draft statements] EISs be prepared by the responsible federal [agency] entity. In the case of actions for which an EIS pursuant to the NEPA has been prepared by the responsible federal entity, the draft and final federal EIS may be submitted to comply with this chapter, so long as the federal EIS satisfies the EIS content requirements of this chapter, including cultural impacts, and is not found to be inadequate under the NEPA: by a court; by the Council on Environmental Quality (or is at issue in pre-decision referral to Council on Environmental Quality) under the NEPA regulations; or by the administrator of the United States Environmental Protection Agency under section 309 of the Clean Air Act, title 41 United States Code section 7609.

- (5) When the responsibility of preparing an EIS is delegated to a state or county agency, this chapter shall apply in addition to federal requirements under the [National Environmental Policy Act] <u>NEPA</u>. The office and <u>state or county</u> agencies shall cooperate with federal [agencies] <u>entities</u> to the fullest extent possible to reduce duplication between federal and state requirements. This cooperation, to the fullest extent possible, shall include joint [environmental impact statements] <u>EISs</u> with concurrent public review and processing at both levels of government. Where federal law has [environmental impact statement] <u>EIS</u> requirements in addition to but not in conflict with this chapter, the office and agencies shall cooperate in fulfilling the requirements so that one document shall comply with all applicable laws.
- (6) Where the NEPA process requires earlier or more stringent public review,[and processing] filing, and distribution than under this chapter, then that NEPA process shall satisfy this chapter so that duplicative consultation or review do not occur. The responsible federal entity's supplemental EIS requirements shall apply in these cases in place of this chapter's supplemental EIS requirements.
- (7) In all actions where the use of state land or funds is proposed, the final [statement] EIS shall be submitted to the governor or an authorized representative. In all actions when the use of county land or funds is proposed and no use of state land or funds is proposed, the final [statement] EIS shall be submitted to the mayor, or an authorized representative. The final [statement] EIS in these instances shall first be accepted by the governor or mayor (or an authorized representative), prior to the submission of the same to the [Environmental Protection Agency or] responsible federal [agency] entity.
- (8) Any acceptance obtained pursuant to [paragraphs (1) to (3)] this section shall satisfy chapter 343, HRS, and no other [statement] EIS for the proposed action shall be required.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

Subchapter 12 Retroactivity and Severability

- § 11-200.1-32 Retroactivity
- (a) This chapter shall apply immediately upon taking effect, except as otherwise provided below.
- (b) Chapter 11-200 shall continue to apply to environmental review of **agency** and **applicant actions** which began prior to the adoption of HAR chapter 11-200.1, provided that:
 - (1) For EAs, if the draft EA was published by the office prior to the adoption of this chapter and has not received a determination within a period of five years from the implementation of this chapter, then the proposing agency or applicant must comply with the requirements of this chapter. All subsequent environmental review, including an EISPN must comply with this chapter.
 - (2) For **EISs**, if the **EISPN** was published by the **office** prior to the adoption of this chapter and the final **EIS** has not been accepted within five years from the implementation of this chapter, then the **proposing agency** or **applicant** must comply with the requirements of this chapter.
 - (3) A judicial proceeding pursuant to section 343-7, HRS, shall not count towards the five-year time period.
- (c) Exemption lists that have received concurrence under chapter 11-200 may be used for a period of seven years after the adoption of this chapter, during which time the agency must revise its list and obtain concurrence from the council in conformance with this chapter 11-200.1.
- [Eff] (Auth: HRS §343-6) (Imp: HRS §343-6)

§ 11-200.1-33 Severability

If any provision of this chapter or the application thereof to any person or circumstance is held invalid, the invalidity shall not affect other provisions or applications of this chapter which can be given effect without the invalid provision or application; and to this end, the provisions of this chapter are declared to be severable.

[Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-6, 343-8)

Note

Historical Note: Chapter 11-200, HAR, is based substantially on the **Environmental Impact Statement** Regulations of the Environmental Quality Commission. [Eff 6/2/75; R 12/6/85] Amendments to and compilation of chapter 200, title 11, Hawaii Administrative Rules, and the repeal of § 11-200-11, Hawaii Administrative Rules were adopted on March 27, 1996 following public hearings held on November 14, 1995, November 16, 1995, November 17, 1995, November 20, 1995 and November 21, 1995 after public notice was given in the Honolulu Advertiser, Honolulu Star-Bulletin, Maui News, The Garden Island, West Hawaii Today, Hawaii Tribune-Herald and Molokai Dispatch on October 12, 1995.

Amendment in 2007 to section 11-200-8 to include an exemption class for affordable housing. It has not been compiled.

This note will be updated pending adoption of the Proposed Rules.

Appendix 2

Unofficial Ramseyer Format Showing Changes from the 1996 Rules (white) to the Final Proposed Rules (blue)

December 2018

State of Hawaii Environmental Council Prepared with the assistance of the State Office of Environmental Quality Control

Introduction to Appendix 2

This is a companion document to assist the reader with understanding how the Environmental Council ("Council") made revisions from Version 1.0 to Version 1.1 for the Proposed Rules based on public testimony during the public hearings and incorporated by amendment at the Council meetings held on November 13 and 27, 2018. The purpose of this document is to show the changes involving three stages of the Council's rulemaking process:

- 1. The existing Chapter 11-200, Environmental Impact Statement (EIS) Rules, Hawaii Administrative Rules (HAR), referred to as the "1996 Rules"; and
- 2. The Final Proposed Rules, incorporating amendments and referred to as Version 1.1.

This document uses a color scheme to help differentiate the changes as the language evolved from the 1996 Rules (white/no highlighting) to Version 1.1 (blue). This document uses different colors from Appendix 1 to help the reader distinguish the difference in content.

Detailed information about the rulemaking process is available at the Office of Environmental Quality Control (OEQC) Rules Update webpage: <u>http://health.hawaii.gov/oeqc/rules-update/</u>.

How to Read Appendix 2

Version 1.1 shows the Council's revisions to the 1996 Rules. This document is based on the document "Version 1.0 Unofficial Ramseyer". It presents a similar organization and formatting.

This document presents Version 1.1 in a "Ramseyer-like" style of formatting to enhance readability.

- Defined terms are bolded throughout the text to draw the reader's attention to the fact that the term has a defined meaning within the context of the Proposed Rules.
- Underlining indicates language that is moved between sections (i.e.,1996 language from a section other than the one that the proposed section correlates to) and new language introduced in Version 1.1.
- Highlighting, in addition to underlining, distinguishes new language introduced in Version 1.1 from the 1996 Rules that has been moved. Version 1.1 uses a light blue color to differentiate it from the 1996 Rules and Appendix 1.
- Deletions of the 1996 Rules language are bracketed and struck-through.
- Bolded text indicates terms that are defined in Section 11-200.1-2.

Examples of Formatting

Original 1996 rules language that is in a proposed section that correlates with an existing 1996 rules section.	The original 1996 language looks like this without any formatting.
Original 1996 rules language that has been moved from a section of the 1996 Rules that does not specifically correlate with the section it is now in, or is part of a new proposed section combining provisions from existing sections of the 1996 Rules. This is referred to as "moved" language.	<u>Moved original 1996 language looks like this.</u>
Language proposed in Version 1.1, including language that was introduced and retained from Version 1.0.	New language in Version 1.1 is underlined and highlighted in a light blue.
Original 1996 rules language that is proposed to be deleted is bracketed and struck-through.	1996 Rules language that is to be deleted [looks l ike this].
Example #1: Original 1996 rules language that includes defined terms ("agencies", "persons", "environmental assessments", "environmental impact statements"), proposed language to be deleted ("of"), and new language ("(EAs)", "(EISs)").	The purpose of this chapter is to provide agencies and persons with procedures, specifications [of] <u>regarding the</u> contents of environmental assessments (EAs) and environmental impact statements (EISs) , and criteria and definitions of statewide application.
Example #2: Moved 1996 rules language that includes a defined term ("office"), proposed words to be deleted ("agency" and "section 11-200-3") and new language inserted ("and the rationale" and "this subchapter").	The office shall publish notice of [agency] withdrawals and the rationale in accordance with [section 11-200-3] this subchapter.

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Subchapter 1 Purpose

§ 11-200.1-1 Purpose

- (a) Chapter 343, <u>Hawaii Revised Statutes</u>, (HRS), establishes a system of environmental review at the state and county levels [which] that shall ensure that environmental concerns are given appropriate consideration in decision-making along with economic and technical considerations. The purpose of this chapter is to provide agencies and persons with procedures, specifications [ef] regarding the contents of environmental assessments and environmental impact statements, and criteria and definitions of statewide application.
- (b) <u>Agencies and applicants shall ensure that [statements] EAs and EISs are prepared at the earliest [opportunity in the planning and decision-making process] practicable time. This shall assure an early, open forum for discussion of adverse effects and available alternatives, and that the decision-makers will be enlightened to any environmental consequences of the proposed action prior to decision-making.</u>
- (c) [An EIS] EAs and EISs are meaningless without the conscientious application of the environmental review process as a whole, and shall not be merely a self-serving recitation of benefits and a rationalization of the proposed action. In preparing any EA or EIS, proposing agencies and applicants are to make every effort to:
 - (1) [make every effort to convey] Convey the required information succinctly in a form easily understood, both by members of the public and by government decisionmakers, giving attention to the substance of the information conveyed rather than to the particular form, or length[, or detail] of the [statement] document;
 - (2) [Care shall be taken to concentrate] Concentrate on important issues and to ensure that the document remains an essentially self-contained document, capable of being understood by the reader without the need for undue crossreference; and
 - (3) <u>Conduct any required consultation as mutual, open and direct, two-way</u> <u>communication, in good faith, to secure the meaningful participation of **agencies** and the public in the environmental review process.</u>
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-1, 343-6)

Subchapter 2 Definitions

§ 11-200.1-2 Definitions

As used in this chapter:

"Acceptance" means a formal determination [of acceptability] that the document required to be filed pursuant to chapter 343, HRS, fulfills the [definitions and] requirements of an environmental impact statement (EIS), [adequately describes identifiable environmental impacts, and satisfactorily responds to comments received during the review of the statement] as prescribed by section 11-200.1-28. Acceptance does not mean that the action is environmentally sound or unsound, but only that the document complies with chapter 343, HRS, and this chapter. [A determination of acceptance is required prior to implementing or approving the action.]

"Accepting authority" means, in the case of agency actions, the [final official or agency that [determines the acceptability of the EIS document] respective governor or mayor, or their authorized representative, and in the case of applicant actions, the agency that initially received and agreed to process the request for an approval, that makes the determination that the EIS fulfills the requirements for acceptance.

"Action" means any program or project to be initiated by an agency or applicant.

"Addendum" means an attachment to a draft [environmental assessment] <u>EA</u> or draft [environmental impact statement] <u>EIS</u>, prepared at the discretion of the proposing agency, [er] <u>applicant, accepting authority, or</u> approving agency, and distinct from a supplemental EIS [statement], for the purpose of disclosing and addressing clerical errors such as inadvertent omissions, corrections, or clarifications to information already contained in the draft [environmental assessment] <u>EA</u> or the draft [environmental impact statement] <u>EIS</u> already filed with the office.

"Agency" means any department, office, board, or commission of the state or county government [which] that is part of the executive branch of that government.

"Applicant" means any **person** [who] <u>that</u>, pursuant to statute, ordinance, or rule, officially requests **approval** from an **agency** for a proposed **action**.

"Approval" means a **discretionary consent** required from an **agency** prior to [actual] implementation of an **action**. [Discretionary consent means a consent, sanction, or recommendation from an agency for which judgment and free will may be exercised by the issuing agency, as distinguished from a ministerial consent. Ministerial consent means a

consent, sanction, or recommendation from an agency upon a given set of facts, as prescribed by law or rule without the use of judgment or discretion.]

"Approving agency" means an **agency** that issues an **approval** prior to [actual] implementation of an **applicant** action.

"Council" [or "EC"] means the environmental council.

"Cumulative impact" means the impact on the environment [which] that results from the incremental impact of the action when added to other past, present, and reasonably foreseeable future actions regardless of what agency or person undertakes the [such] other actions. Cumulative impacts can result from individually minor but collectively significant actions taking place over a period of time.

"Discretionary consent" means a consent, sanction, or recommendation from an agency for which judgment and free will may be exercised by the issuing agency, as distinguished from a ministerial consent. Ministerial consent means a consent, sanction, or recommendation from an agency upon a given set of facts, as prescribed by law without the use of judgment or discretion.

"Draft environmental assessment" means the [environmental assessment] <u>EA</u> submitted by a proposing agency or an approving agency for public review and comment when that agency anticipates a [negative declaration] <u>finding of no significant impact (FONSI)</u> [determination].

"Effects" or "impacts" as used in this chapter are synonymous. Effects may include ecological effects (such as the effects on natural resources and on the components, structures, and functioning of affected ecosystems), aesthetic effects, historic effects, cultural effects, economic effects, social effects, or health effects, whether primary, secondary, or cumulative, immediate or delayed. Effects may also include those effects resulting from actions [which] that may have both beneficial and detrimental effects, even if on balance the agency believes that the effect will be beneficial.

"EIS preparation notice[,]", [or] <u>"EISPN", or</u> "preparation notice" means a determination [based on an environmental assessment that the subject] that an action may have a significant effect on the environment and, therefore, will require the preparation of an [environmental impact statement] EIS, based on either an EA or an agency's judgment and experience that the proposed action may have a significant effect on the environment.

"EIS public scoping meeting" means a meeting in which agencies, citizen groups, and the general public assist the proposing agency or applicant in determining the range of actions, alternatives, impacts, and proposed mitigation measures to be considered in the draft EIS and the significant issues to be analyzed in depth in the draft EIS.

"Emergency action" means an action to prevent or mitigate loss or damage to life, health, property, or essential public services in response to a sudden unexpected occurrence demanding [such] the immediate action.

"Environment" means humanity's surroundings, inclusive of all the physical, economic, cultural, and social conditions that exist within the area affected by a proposed **action**, including land, human and animal communities, <u>health</u>, air, water, minerals, flora, fauna, ambient noise, and objects of historic, <u>cultural</u>, or aesthetic significance.

"Environmental assessment" or "EA" means a written evaluation [to determine whether an action may have a significant environmental effect] that serves to provide sufficient evidence and analysis to determine whether an action may have a significant effect.

["Environmental impact" means an effect of any kind, whether immediate or delayed, on any component of the environment.]

"Environmental impact statement[,]", "statement[,]", or "EIS" means an informational document prepared in compliance with chapter 343, HRS[, and this chapter and which fully complies with subchapter 7 of this chapter]. The initial [statement] **EIS** filed for public review shall be referred to as the draft [environmental impact statement] **EIS** and shall be distinguished from the final [environmental impact statement] **EIS**, which is the document that has incorporated the public's comments and the responses to those comments. The final [environmental impact statement] **EIS** is the document that shall be evaluated for acceptability by the [respective] **accepting authority**.

["Exempt classes of action" means exceptions from the requirements of chapter 343, HRS, to prepare environmental assessments, for a class of actions, based on a determination by the proposing agency or approving agency that the class of actions will probably have a minimal or no significant effect on the environment.]

"Exemption list" means a list prepared by an agency pursuant to subchapter 8. The list may contain in part one the types of routine activities and ordinary functions within the jurisdiction or expertise of the agency that by their nature do not have the potential to individually or cumulatively adversely affect the environment more than negligibly and that the agency considers to not rise to the level of requiring further chapter 343, HRS, environmental review. In part two, the list may contain the types of actions the agency finds fit into the general types of action enumerated in section 11-200.1-15.

"Exemption notice" means a [brief notice kept on file by the proposing agency, in the case of a [public action, or the agency with the power of approval, in the case of a private action, when it has determined that the proposed project is an exempt or emergency project] notice produced in accordance with subchapter 8 for an action that a proposing agency or approving agency on behalf of an applicant determines to be exempt from preparation of an EA.

"Final environmental assessment" means either the [environmental assessment] **EA** submitted by a **proposing agency** or an **approving agency** following the public review and comment period for the **draft** [environmental assessment] **EA** and in support of either a **FONSI** or [a preparation notice] an **EISPN**. [determination; or the environmental assessment submitted by a proposing agency or an approving agency subject to a public consultation period when such an agency clearly determines at the outset that the proposed action may have a significant effect and hence will require the preparation of a statement.]

<u>"Finding of no significant impact</u>" or "FONSI" means a determination by an agency based on an EA that an action not otherwise exempt will not have a significant effect on the environment and therefore does not require the preparation of an EIS. [A FONSI is required prior to implementing or approving the action.]

"Impacts" means the same as "effects".

"Issue date" means the date imprinted on the periodic bulletin required by section 343-3, HRS.

"National Environmental Policy Act" or "NEPA" means the National Environmental Policy Act of 1969, Public Law 91-190, 42 U.S.C. [§] sections 4321-4347, as amended.

["Negative declaration" or "finding of no significant impact" means a determination by an agency based on an environmental assessment that a given action not otherwise exempt does not have a significant effect on the environment and therefore does not require the preparation of an EIS. A negative declaration is required prior to implementing or approving the action.]

"Office" means the office of environmental quality control.

"**Periodic bulletin**" or <u>"bulletin"</u> means the document required by section 343-3, HRS, and published by the **office**.

"Person" includes any individual, partnership, firm, association, trust, estate, private corporation, or other legal entity other than an **agency**.

["Preparation notice" or "EIS preparation notice_means a determination based on an environmental assessment that the subject action may have a significant effect on the environment and, therefore, will require the preparation of an environmental impact statement.]

"Primary impact[,]", [or] "primary effect[,]", [or] "direct impact[,]", or "direct effect" means effects [which] that are caused by the action and occur at the same time and place.

"Project" means a discrete, planned undertaking that is site and time specific, has a specific goal or purpose, and has potential **impact** to the **environment**.

"Program" means a series of one of more projects to be carried out concurrently or in phases within a general timeline, that may include multiple sites or geographic areas, and is undertaken for a broad goal or purpose. A program may include: a number of separate projects in a given geographic area which, if considered singly, may have minor impacts, but if considered together may have significant impacts; separate projects having generic or common impacts; an entire plan having wide application or restricting the range of future alternative policies or actions, including new significant changes to existing land use plans, development plans, zoning regulations, or agency comprehensive resource management plans; implementation multiple projects over a long timeframe; or implementation of a single project over a large geographic area.

"Proposing agency" means any state or county agency that proposes an action under chapter 343, HRS.

"Secondary impact[$_{1}$]", [$_{0}$ +] "secondary effect[$_{1}$]", [$_{0}$ +] "indirect impact[$_{1}$]", or "indirect effect" means an [effects] effect [which] that [$_{a}$ +e] is caused by the action and [$_{a}$ +e] is later in time or farther removed in distance, but [$_{a}$ +e] is still reasonably foreseeable. [Indirect effects] An indirect effect may include a growth-inducing [effects] effect and other effects related to induced changes in the pattern of land use, population density or growth rate, and related effects on air, [$_{a}$ +nd] water, and other natural systems, including ecosystems.

"Significant effect" or "significant impact" means the sum of effects on the quality of the environment, including actions that irrevocably commit a natural resource, curtail the range of beneficial uses of the environment, are contrary to the [state's] <u>State's</u> environmental policies or long-term environmental goals and guidelines as established by law, [er] adversely affect the economic welfare, [er] social welfare, or cultural practices of the community and State, or are otherwise set forth in section [11-200-12] <u>11-200-1-14</u> [of this chapter].

"Supplemental [statement] <u>EIS</u>" means an [additional environmental impact statement] <u>updated</u> <u>EIS</u> prepared for an **action** for which [a statement] an <u>EIS</u> was previously accepted, but which has since changed substantively in size, scope, intensity, use, location, or timing, among other things.

"Trigger" means any use or activity listed in section 343-5(a), HRS, requiring environmental review.

<u>Unless defined in this section, elsewhere within this chapter, or in chapter 343, HRS, a</u> **proposing agency** or **approving agency** may use its administrative rules or statutes that they implement to interpret undefined terms.

[Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-2, 343-6)

Subchapter 3 Computation of Time

§ 11-200.1-3 Computation of Time

The time in which any act prescribed or allowed by this chapter, order of the **council**, or by applicable statute, is computed by excluding the first day and including the last. The last day of the period so computed shall be included unless it is a Saturday, Sunday, or state holiday, in which case the last day shall be the next business day.

[Eff] (Auth: HRS §§1-29, 8-1, 343-6) (Imp: HRS §§1-29, 8,-1, 343-6)

Subchapter 4 Filing and Publication in the Periodic Bulletin

§ 11-200.1-4 Periodic Bulletin

- (a) The **periodic bulletin** shall be issued electronically on the eighth and twenty-third days of each month.
- (b) [The office shall inform the public through the publication of a periodic bulletin of the following:] When filed in accordance with section 11-200.1-5, the office shall publish the following in the periodic bulletin to inform the public of actions undergoing chapter 343, HRS, environmental review and the associated public comment periods provided here or elsewhere by statute:
 - (1) <u>Determinations that an existing exemption</u>, **FONSI**, or accepted **EIS** satisfies chapter 343, HRS, for a proposed **action**;
 - (2) **Exemption notices** and lists of actions an agency has determined to be exempt;
 - (3) [Notices filed by agencies of the availability of environmental assessments] Draft EAs and appropriate addendum documents for public review and [comments] thirty-day comment period, including notice of an anticipated FONSI;
 - (4) **Final EAs**, including notice of a **FONSI**, or an **EISPN** with thirty-day comment period and notice of **EIS public scoping meeting**, and appropriate **addendum** documents;
 - (5) Notice of an **EISPN** with thirty-day comment period and notice of **EIS public** scoping meeting, and appropriate addendum documents;
 - (6) [Notices filed by agencies of] Evaluations and determinations that supplemental [statements] EISs are required or not required;
 - (7) [<u>The availability of statements</u>] Draft EISs, draft supplemental [statements] EISs, and appropriate addendum documents for public review and forty-five day comment period;
 - (8) Final EISs, final supplemental EISs, and appropriate addendum documents;
 - (9) [The] Notice of acceptance or non-acceptance of [statements] EISs and supplemental EISs;
 - (10) Republication of any chapter 343, HRS, notices, documents, or determinations;
 - (11) <u>Notices of withdrawal of any chapter 343, HRS, notices, documents, or</u> <u>determinations</u>; and
 - (12) Other notices required by the rules of the **council**.
- (c) <u>When filed in accordance with this subchapter, the **office** shall publish other notices</u> required by statute or rules, including those not specifically related to chapter 343, HRS.

- (d) <u>The office may, on a space or time available basis, publish other notices not specifically</u> related to chapter 343, HRS.
- [Eff] (Auth: HRS §§341-3, 343-5, 343-6) (Imp: HRS §§341-3, 343-3, 343-6)

§ 11-200.1-5 Filing Requirements for Publication and Withdrawal

- (a) <u>Anything required to be published in the **bulletin** shall be submitted electronically to the office before the close of business five business days prior to the **issue date**, which shall be the **issue date** deadline.</u>
- (b) All submittals to the office for publication in the bulletin shall be accompanied by a completed informational form [which] that provides whatever information the office needs to properly notify the public. The information requested may include the following: the title of the action; the islands affected by the proposed action; tax map key numbers; street addresses; nearest geographical landmarks; latitudinal and longitudinal coordinates or other geographic data; applicable permits, including for applicants, the approval requiring chapter 343, HRS, environmental review; whether the proposed action is an agency or an applicant action; a citation of the applicable federal or state statutes requiring preparation of the document; the type of document prepared; the names, addresses, email addresses, phone numbers and contact persons as applicable of the accepting authority, the proposing agency, the approving agency, the applicant, and the consultant; and a brief narrative summary of the proposed action to the public.
- (c) <u>The office shall not accept untimely submittals or revisions thereto after the issue date</u> deadline for which the submittal was originally filed has passed.
- (d) In accordance with the agency's rules or, in the case of an applicant EA or EIS, the applicant's judgment, anything filed with the office may be withdrawn by the agency or applicant that filed the submittal with the office. To withdraw a submittal, the agency or applicant shall submit to the office a written letter informing the office of the withdrawal. The office shall publish notice of [agency] withdrawals and the rationale in accordance with [section 11-200-3] this subchapter.
- (e) <u>To be published in the **bulletin**, all submittals to the **office** shall meet the filing requirements in subsections (a) to (c) and be prepared in accordance with this chapter and chapter 343, HRS, as appropriate. The following shall meet additional filing requirements:</u>
 - (1) When the document is a **draft EA** with an anticipated **FONSI**, the **proposing** <u>agency or approving agency shall:</u>
 - (A) File the document and determination with the office;

- (B) Deposit, or require the applicant to deposit, concurrently with the filing [paragraph (5)] to the office, one paper copy of the draft [environmental assessment] EA at the nearest state library in each county in which the proposed action is to occur and one paper copy at the Hawaii Documents Center; and
- (C) Distribute, or require the applicant to distribute, concurrently [with the filing in paragraph (5),] with its publication, the draft [environmental assessment] EA to other agencies having jurisdiction or expertise as well as citizen groups and individuals [which] that the proposing agency reasonably believes to be affected;
- (2) When the document is a **final EA** with a **FONSI**, the **proposing agency** or **approving agency** shall:
 - (A) Incorporate, or require the applicant to incorporate, the FONSI into the contents of the final EA, as prescribed in sections 11-200.1-21 and 11-200.1-22;
 - (B) File the final EA and the incorporated FONSI with the office; and
 - (C) <u>Deposit, or require the **applicant** to deposit, concurrently with the filing to</u> the **office**, one paper copy of the **final EA** with the Hawaii Documents <u>Center</u>;
- (3) When the document is a **final EA** with an **EISPN**, the **proposing agency** or **approving agency** shall:
 - (A) Incorporate, or require the **applicant** to incorporate, the **EISPN** into the contents of the **final EA**, as prescribed in sections 11-200.1-21, 11-200.1-22, and 11-200.1-23;
 - (B) File the incorporated EISPN with the final EA; and
 - (C) <u>Deposit, or require the **applicant** to deposit, concurrently with the filing to</u> the **office**, one paper copy of the **final EA** with the Hawaii Documents <u>Center</u>;
- (4) When the notice is an **EISPN** without the preparation of an **EA**, the **proposing agency** or **approving agency** shall:
 - (A) File the EISPN with the office; and
 - (B) <u>Deposit, or require the applicant to deposit, concurrently with the filing to</u> the office, one paper copy of the EISPN at the nearest state library in each county in which the proposed action is to occur and one paper copy at the Hawaii Documents Center;
- (5) When the document is a draft **EIS**, the **proposing agency** or **applicant** shall:
 - (A) [sign] Sign and date [the original copy of] the draft [or final] EIS [and shall];
 - (B) Indicate that the draft [statement] EIS and all ancillary documents were prepared under the signatory's direction or supervision and that the information submitted, to the best of the signatory's knowledge fully addresses document content requirements as set forth in [sections 11-200-17 and 11-200-18, as appropriate] subchapter 10;

- (C) File the draft **EIS** with the **accepting authority** and the **office** simultaneously; and
- (D) Deposit, or require the **applicant** to deposit, concurrently with the filing to the **office**, one paper copy of the draft **EIS** at the nearest state library in each county in which the proposed **action** is to occur and one paper copy at the Hawaii Documents Center; and
- (E) Submit to the **office** one true and correct copy of the original audio file, at standard quality, of all oral comments received at the time designated within the **EIS public scoping meeting**(s) for receiving oral comments;
- (6) When the document is a final **EIS**, the **proposing agency** or **applicant** shall:
 - (A) [sign] Sign and date [the original copy of] the [draft or] final EIS [and shall];
 - (B) Indicate that the final [statement] EIS and all ancillary documents were prepared under the signatory's direction or supervision and that the information submitted, to the best of the signatory's knowledge fully addresses document content requirements as set forth in [sections 11-200-17 and 11-200-18, as appropriate] subchapter 10; and
 - (C) File the final **EIS** with the **accepting authority** and the **office** simultaneously;
- (7) When the notice is an **acceptance** or non-acceptance of a final **EIS**, the **accepting authority** shall:
 - (A) File the notice of acceptance or non-acceptance of a final EIS with the office; and
 - (B) Simultaneously transmit the notice to the proposing agency or applicant;
- (8) When the notice is of the withdrawal of an anticipated FONSI, FONSI, or EISPN, the proposing agency or approving agency shall include a rationale of the withdrawal specifying any associated documents to be withdrawn;
- (9) When the notice is of the withdrawal of a draft EIS or final EIS, the proposing agency or applicant shall simultaneously file the notice with the office and submit the notice with the accepting authority; and
- (10) When the submittal is a changed version of a notice, document, or determination previously published and withdrawn, the submittal shall be filed as the "second" submittal, or "third" or "fourth", as appropriate. Example: A draft EIS is withdrawn and changed. It is then filed with the office for publication as the "second draft EIS" for the particular action.
- [Eff] (Auth: HRS §§343-3, 343-5, 343-6) (Imp: HRS §§341-3, 343-3, 343-6)

§ 11-200.1-6 Republication of Notices, Documents, and Determinations

- (a) <u>An agency or applicant responsible for filing a chapter 343, HRS, notice, document, or</u> determination may file an unchanged, previously published submittal in the **bulletin** provided that the filing requirements of this subchapter and any other publication requirements set forth in this chapter or chapter 343, HRS, are satisfied.
- (b) <u>When the publication of a previously published chapter 343, HRS, notice, document, or</u> determination involves a public comment period under this chapter or chapter 343, HRS:
 - (1) The public comment period shall be as required for that notice, document, or determination pursuant to this chapter or chapter 343, HRS, or as otherwise statutorily mandated (for example, publication of an unchanged draft EIS initiates a forty-five day public comment period upon publication in the bulletin); and
 - (2) Any comments received during the comment period must be considered in the same manner as set forth in this chapter and chapter 343, HRS, for that notice, document, or determination type, in addition to comments received in any other comment period associated with the publication of the notice, document, or determination.
- [Eff] (Auth: HRS §§341-3, 343-5, 343-6) (Imp: HRS §§341-3, 343-3, 343-5, 343-6)

Subchapter 5 Responsibilities

§ 11-200.1-7 Identification of Approving Agency and Accepting Authority

- Whenever an agency proposes an action, the [final] authority to accept [a statement] an EIS shall rest with:
 - (1) The governor, or [an] the governor's authorized representative, whenever an action proposes the use of state lands or [the use of] state funds or[,] whenever a state agency proposes an action [within] under section [11-200-6(b)] 11-200.1-8; or
 - (2) The mayor, or [an] the mayor's authorized representative, of the respective county whenever an action proposes only the use of county lands or county funds. If an action involves state and county lands, state and county funds, or both state and county lands and funds, the governor or the governor's authorized representative shall have the authority to accept the EIS.
- (b) Whenever an applicant proposes an action, the authority for requiring an <u>EA or</u> [statements] <u>EIS</u>, [and for] making a determination regarding any required EA, and accepting any required [statements] <u>EIS</u> [that have been prepared] shall rest with the approving agency [initially receiving and agreeing] that initially received and agreed to process the request for an approval. <u>With respect to EISs</u>, this approving agency is also called the accepting authority.
- (c) [In the event that there is] If more than one agency [that] is proposing the action or, in the case of applicants, more than one agency has jurisdiction over the action, and these agencies are unable to agree as to which agency has the responsibility for complying with [section 343-5(c)] chapter 343, HRS, [the office, after consultation with] the agencies involved, shall consult with one another to determine which agency is responsible for compliance. In making the [determination] decision, the [office] agencies shall take into consideration, including, but not limited to, the following factors:
 - (1) [The] Which agency [with the] has the greatest responsibility for supervising or approving the action as a whole;
 - (2) [The] Which agency [that] can most adequately fulfill the requirements of chapter 343, HRS, and this chapter;
 - (3) [The] <u>Which</u> agency [that] has special expertise or <u>greatest</u> access to information <u>relevant to the action's implementation and impacts</u>; [and]
 - (4) The extent of participation of each **agency** in the **action**[-]; and
 - (5) In the case of an **action** with proposed use of state or county lands or funds, which **agency** has the most land or funds involved in the **action**.

- (d) [In the event that] If there is more than one agency that is proposing the action, or in the case of applicants, more than one agency has jurisdiction over the action, and after applying the criteria in subsection (c) these agencies are unable to agree as to which agency has the responsibility for complying with chapter 343, HRS, the office, after consultation with the agencies involved, shall apply the same considerations in subsection (c) to decide which agency is responsible for compliance.
- (e) <u>The office shall not serve as the accepting authority for any agency or applicant</u> <u>action</u>.
- (f) <u>The office may provide recommendations to the agency or applicant responsible for the</u> [environmental assessment] **EA** or **EIS** regarding any applicable administrative content requirements set forth in this chapter.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

Subchapter 6 Applicability

§ 11-200.1-8 Applicability of Chapter 343, HRS, to Agency Actions

- (a) <u>Chapter 343, HRS, environmental review shall be required for any **agency action** that includes one or more **triggers** as identified in section 343-5(a), HRS.</u>
 - (1) <u>Under section 343-5(a), HRS</u>, use of state or county funds shall include any form of funding assistance flowing <u>from</u> the State or <u>a</u> county, and use of state or county lands includes any use (title, lease, permit, easement, license[s], etc.) or entitlement to those lands.
 - (2) [For agency actions, chapter 343, HRS, exempts from applicability] Under section 343-5(a), HRS, any feasibility or planning study for possible future programs or projects [which] that the agency has not approved, adopted, or funded are exempted from chapter 343, HRS, environmental review. Nevertheless, if an agency is studying the feasibility of a proposal, it shall consider environmental factors and available alternatives and disclose these in any future [assessment] EA or [subsequent statement] EIS. [If, however, the planning and feasibility studies involve testing or other actions which may have a significant impact on the environment, then an environmental assessment shall be prepared.]
- (b) When an agency proposes an action during a governor-declared state of emergency, the proposing agency shall document in its records that the emergency action was undertaken pursuant to a specific emergency proclamation. If the emergency action has not substantially commenced within sixty days of the emergency proclamation, the action will be subject to chapter 343, HRS.
- (c) In the event of a sudden unexpected emergency causing or likely to cause loss or damage to life, health, property, or essential public service, but for which a declaration of a state of emergency has not been made, a proposing agency undertaking an emergency action shall document in its records that the emergency action was undertaken pursuant to a specific emergency and shall include the emergency action on its list of exemption notices for publication by the office in the bulletin pursuant to section 11-200.1-17(d) and subchapter 4.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)
§ 11-200.1-9 Applicability of Chapter 343, HRS, to Applicant Actions

- (a) <u>Chapter 343, HRS, environmental review shall be required for any **applicant action** that:</u>
 - (1) <u>Requires one or more [agency]</u> approvals prior to implementation; and
 - (2) Includes one or more **triggers** identified in section 343-5(a), HRS.
 - (A) Under section 343-5(a), HRS, use of state or county funds shall include any form of funding assistance flowing from the State or a county, and use of state or county lands includes any use (title, lease, permit, easement, license[s], etc.) or entitlement to those lands.
 - (B) Under section 343-5(a)(1), HRS, actions involving agricultural tourism under section 205-2(d)(11) or section 205-4.5(a)(13), HRS, are subject to environmental review when the respective county requires environmental review under an ordinance adopted pursuant to section 205-5(b), HRS.
- (b) Chapter 343, HRS, does not require environmental review for applicant actions when:
 - (1) Notwithstanding any other law to the contrary, for any primary action that requires a permit or approval that is not subject to a discretionary consent and that involves a secondary action that is ancillary and limited to the installation, improvement, renovation, construction, or development of infrastructure within an existing public right-of-way or highway, that secondary action shall be exempt from this chapter; provided that the **applicant** for the primary action shall submit documentation from the appropriate **agency** confirming that no further discretionary approvals are required.
 - (2) As used in this subsection:
 - (A) "Discretionary consent" means an action as defined in section 343-2; or an approval from a decision-making authority in an agency, which approval is subject to a public hearing.
 - (B) <u>"Infrastructure" includes waterlines and water facilities, wastewater lines and wastewater facilities, gas lines and gas facilities, drainage facilities, electrical, communications, telephone, and cable television utilities, and highway, roadway, and driveway improvements.</u>
 - (C) <u>"Primary action" means an **action** outside of the highway or public right-ofway that is on private property.</u>
 - (D) <u>"Secondary action" means an **action** involving infrastructure within the highway or public right-of-way.</u>
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§ 343-5, 343-6)

§ 11-200.1-10 Multiple or Phased Actions

A group of **actions** [proposed by an **agency** or an **applicant**] shall be treated as a single **action** when:

- The component actions are phases or increments of a larger total [undertaking]
 program;
- An individual [project] <u>action</u> is a necessary precedent [for] to a larger [project] <u>action</u>;
- An individual [project] <u>action</u> represents a commitment to a larger [project] <u>action</u>; or
- (4) The actions in question are essentially identical and a single [statement] EA or EIS will adequately address the impacts of each individual action and those of the group of actions as a whole.

[Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §343-6)

§ 11-200.1-11 Use of Prior Exemptions, Findings of No Significant Impact, or Accepted Environmental Impact Statements to Satisfy Chapter 343, HRS, for Proposed Actions

- (a) When an **agency** is considering whether a prior exemption, **FONSI**, or an accepted **EIS** satisfies chapter 343, HRS, for a proposed **action**, the **agency** may determine that additional environmental review is not required because:
 - (1) <u>The proposed action was a component of, or is substantially similar to, an action that received an exemption</u>, FONSI, or an accepted EIS (for example, a project that was analyzed in a program EIS);
 - (2) The proposed action is anticipated to have direct, indirect, and cumulative effects similar to those analyzed in a prior exemption, final EA, or accepted EIS; and
 - (3) In the case of a **final EA** or an accepted **EIS**, the proposed **action** was analyzed within the range of alternatives.
- (b) When an agency determines that a prior exemption, FONSI, or an accepted EIS satisfies chapter 343, HRS, for a proposed action, the agency may submit a brief written determination explaining its rationale to the office for publication pursuant to section 11-200.1-4 and the proposed action may proceed without further chapter 343, HRS, environmental review.
- (c) When an agency determines that the proposed action warrants environmental review, the agency may submit a brief written determination explaining its rationale to the office for publication pursuant to section 11-200.1-4 and the agency shall proceed to comply with subchapter 7.

- (d) <u>Agencies shall not, without [considerable pre-examination] careful examination and comparison, use past determinations and previous [statements] EISs to apply to the action at hand. The action for which a determination is sought shall be thoroughly reviewed prior to the use of previous determinations and previously accepted [statements] EISs. Further, when previous determinations and previous [statements] EISs are considered or incorporated by reference, they shall be substantially [similar to and] relevant to the action then being considered.</u>
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

Subchapter 7 Determination of Significance

§ 11-200.1-12 Consideration of Previous Determinations and Accepted Statements

- [(a)] [Chapter 343, HRS, provides that whenever an agency proposes to implement an action or receives a request for approval, the agency may consider and, when applicable and appropriate, incorporate by reference, in whole or in part, previous determinations of whether a statement is required, and previously accepted statements.]
- [(b)] A proposing agency or applicant may incorporate information or analysis from a relevant [Previous] prior [determinations] exemption notice, final EA, [and previously accepted statements may be incorporated] or accepted EIS into an exemption notice, EA, EISPN, or EIS, [by applicants and agencies] for a proposed action whenever the information or analysis [contained therein] is pertinent [to the decision at hand] and has logical relevancy and bearing to the proposed action [being considered] (for example, a project that was broadly considered as part of an accepted program EIS may incorporate relevant portions from the accepted program EIS by reference).
- [(c)] [Agencies shall not, without considerable pre-examination and comparison, use past determinations, and previous statement to apply to the action at hand. The action for which a determination is sought shall be thoroughly reviewed prior to the use of previous determinations and previously accepted statements. Further, when previous determinations and previous statements are considered or incorporated by reference, they shall be substantially similar to and relevant to the action then being considered.]
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-13 Significance Criteria

- (a) In considering the significance of potential environmental effects, agencies shall consider <u>and evaluate</u> the sum of effects <u>of the proposed action</u> on the quality of the environment[, and shall evaluate the overall and cumulative effects of an action].
- (b) In determining whether an action may have a significant effect on the environment, the agency shall consider every phase of a proposed action, the expected [consequences] impacts, [both primary and secondary, and the cumulative as well as the short-term and long-term effects of the action] and the proposed mitigation measures. In most instances, an action shall be determined to have a significant effect on the environment if it may:
 - (1) [Involves an irrevocable commitment to loss or destruction of any natural or cultural resource] Irrevocably commit a natural, cultural, or historic resource;
 - (2) [Curtails] Curtail the range of beneficial uses of the environment;
 - (3) [Conflicts] Conflict with the [state's] State's [long-term] environmental policies or long-term environmental goals [and guidelines as expressed in chapter 344, HRS, or other laws,] established by law [and any revisions thereof and amendments thereto, court decisions, or executive orders];
 - (4) [Substantially affects] <u>Have a substantial adverse effect on</u> the economic <u>welfare</u>,
 [or] social welfare, or cultural practices of the community [or] and State;
 - (5) [Substantially affects] Have a substantial adverse effect on public health;
 - (6) [Involves] Involve adverse secondary impacts, such as population changes or effects on public facilities;
 - (7) [Involves] Involve a substantial degradation of environmental quality;
 - (8) Is individually limited but cumulatively has [considerable] substantial adverse
 effect upon the environment or involves a commitment for larger actions;
 - (9) [Substantially affects] <u>Have a substantial adverse **effect** on</u> a rare, threatened, or endangered species, or its habitat;
 - (10) [Detrimentally affects] Have a substantial adverse effect on air or water quality or ambient noise levels;
 - (11) [Affects] <u>Have a substantial adverse effect on</u> or is likely to suffer damage by being located in an environmentally sensitive area such as a flood plain, tsunami zone, <u>sea level rise exposure area</u>, beach, erosion-prone area, geologically hazardous land, estuary, fresh water, or coastal waters;
 - (12) [Substantially affects] <u>Have a substantial adverse effect on</u> scenic vistas and viewplanes, <u>during day or night</u>, identified in county or state plans or studies; or
 - (13) [Requires] Require substantial energy consumption or emit substantial greenhouse gases.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-2, 343-6)

§ 11-200.1-14 Determination of Level of Environmental Review

- (a) For an agency action, through its judgment and experience, a proposing agency shall assess the significance of the potential impacts of the action and reasonably foreseeable actions in the area affected, to determine the level of environmental review necessary for the action.
- (b) For an applicant action, within thirty days from the receipt of the applicant's complete request for approval to the approving agency, through its judgment and experience, an approving agency shall assess the significance of the potential impacts of the action to determine the level of environmental review necessary for the action.
- (c) If the proposing agency or approving agency determines, through its judgment and experience, that the action will individually and cumulatively probably have minimal or no significant effects, and the action is one that is eligible for exemption under subchapter 8, then the agency or the approving agency in the case of an applicant may prepare an exemption notice in accordance with subchapter 8.
- (d) If the proposing agency or approving agency determines, through its judgment and experience, that the action is not eligible for an exemption, then the proposing agency shall prepare or the approving agency shall require the applicant to prepare an EA beginning with a draft EA in accordance with subchapter 9, unless:
 - (1) In the course of preparing the draft EA, the proposing agency or approving agency determines, through its judgment and experience, that the action may have a significant effect and therefore require preparation of an EIS, then the proposing agency may prepare, or the approving agency may authorize the applicant to prepare an EA as a final EA to support the determination prior to preparing or requiring preparation of an EIS in accordance with subchapter 10; or
 - (2) The proposing agency or approving agency determines, through its judgment and experience that an EIS is likely to be required, then the proposing agency may choose, or an approving agency may authorize an applicant to prepare an EIS in accordance with subchapter 10, beginning with preparation of an EISPN.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

Subchapter 8 Exempt Actions, List, and Notice Requirements

§ 11-200.1-15 General Types of Actions Eligible for Exemption

- (a) [Chapter 343, HRS, states that a list of classes of actions shall be drawn up which, because they will probably have minimal or no significant effect on the environment, may be declared exempt by the proposing agency or approving agency from the preparation of an environmental assessment provided that agencies declaring an action exempt under this section shall obtain the advice of other outside agencies or individuals having jurisdiction or expertise as to the propriety of the exemption.] Some actions, because they will individually and cumulatively probably have minimal or no significant effects, can be declared exempt from the preparation of an EA.
- (b) Actions declared exempt from the preparation of an [environmental assessment] **EA** under this [section] subchapter are not exempt from complying with any other applicable statute or rule.
- (c) The following [list represents exempt classes of action] general types of actions are eligible for exemption:
 - Operations, repairs, or maintenance of existing structures, facilities, equipment, or topographical features, involving [negligible or no] minor expansion or minor change of use beyond that previously existing;
 - (2) Replacement or reconstruction of existing structures and facilities where the new structure will be located generally on the same site and will have substantially the same purpose, capacity, density, height, and dimensions as the structure replaced;
 - (3) Construction and location of single, new, small facilities or structures and the alteration and modification of the [same] facilities or structures and installation of new, small, equipment [and] or facilities and the alteration and modification of [same] the equipment or facilities, including, but not limited to:
 - Single-family residences less than 3,500 square feet, as measured by the controlling law under which the proposed action is being considered, if not in conjunction with the building of two or more such units;
 - (B) Multi-unit structures designed for not more than four dwelling units if not in conjunction with the building of two or more such structures;
 - (C) Stores, offices, and restaurants designed for total occupant load of twenty [persons] individuals or [less] fewer per structure, if not in conjunction with the building of two or more such structures; and
 - (D) Water, sewage, electrical, gas, telephone, and other essential public utility services extensions to serve such structures or facilities; accessory or

appurtenant structures including garages, carports, patios, swimming pools, and fences; and, acquisition of utility easements;

- (4) Minor alterations in the conditions of land, water, or vegetation;
- (5) Basic data collection, research, experimental management, and resource and infrastructure testing and evaluation activities [which] that do not result in a serious or major disturbance to an environmental resource;
- [(6)] Construction or placement of minor structures accessory to existing facilities;
- [(7)] Interior alterations involving things such as partitions, plumbing, and electrical conveyances;
- ([8]6) Demolition of structures, except those structures [located on any historic site as designated in] that are listed on the national register or Hawaii [register as provided for in the National Historic Preservation Act of 1966, Public Law 89-665, 16 U.S.C. §470, as amended, or chapter 6E, HRS] Register of Historic Places;
- ([9]7) Zoning variances except shoreline [set-back] setback variances; [and]
- ([10]8) Continuing administrative activities including, but not limited to purchase of supplies and personnel-related actions;
- ([11]9) Acquisition of land and existing structures, including single or multi-unit dwelling units, for the provision of affordable housing, involving no material change of use beyond [that] previously existing <u>uses</u>, and for which the legislature has appropriated or otherwise authorized funding[-]; and
- (10) <u>New construction of affordable housing, where affordable housing is defined by</u> the controlling law applicable for the state or county proposing agency or approving agency, that meets the following:
 - (A) <u>Has the use of state or county lands or funds or is within Waikiki as the</u> sole **triggers** for compliance with chapter 343, HRS;
 - (B) As proposed conforms with the existing state urban land use classification;
 - (C) <u>As proposed is consistent with the existing county zoning classification that</u> <u>allows housing; and</u>
 - (D) <u>As proposed does not require variances for shoreline setbacks or siting in</u> an environmentally sensitive area, as stated in section 11-200.1-13(b)(11).
- (d) All exemptions under [the classes in this section] subchapter 8 are inapplicable when the cumulative impact of planned successive actions in the same place, over time, is significant, or when an action that is normally insignificant in its impact on the environment may be significant in a particularly sensitive environment.
- (e) Any agency, at any time, may request that a new exemption [class] type be added, or that an existing one be amended or deleted. The request shall be submitted to the council, in writing, and contain detailed information to support the request as set forth in section 11-201-16, <u>HAR</u>, environmental council rules.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-16 Exemption Lists

- (a) Each agency, through time and experience, [shall] may develop its own exemption list consistent with both the letter and intent expressed in this subchapter and in chapter 343, <u>HRS</u>, of:
 - (1) Routine activities and ordinary functions within the jurisdiction or expertise of the agency that by their nature do not have the potential to individually or cumulatively adversely affect the environment more than negligibly and that the agency considers to not rise to the level of requiring chapter 343, HRS, environmental review. Examples of routine activities and ordinary functions may include, among others: routine repair, routine maintenance, purchase of supplies, and continuing administrative activities involving personnel only, nondestructive data collection, installation of routine signs and markers, financial transactions, personnel-related matters, construction or placement of minor structures accessory to existing facilities; interior alterations involving things such as partitions, plumbing, and electrical conveyances; and
 - (2) [specific types of actions which fall within the exempt classes as long as these lists are consistent with both the letter and intent expressed in these exempt classes and chapter 343, HRS] Types of actions that the **agency** considers to be included within the exempt general types listed in section 11-200.1-15.
- (b) An agency may use part one of its exemption list, developed pursuant to subsection (a)(1), to exempt a specific activity from preparation of an EA and the requirements of section 11-200.1-17 because the agency considers the specific activity to be de minimis.
- (c) An agency may use part two if its exemption list, developed pursuant to subsection (a)(2), to exempt from preparation of an EA a specific action that the agency determines to be included under the types of actions in its exemption list, provided that the agency fulfills the exemption notice requirements set forth in section 11-200.1-17 and chapter 343, HRS.
- (d) These exemption lists and any amendments to the <u>exemption</u> lists shall be submitted to the council for review and concurrence <u>no later than seven years after the previous</u> <u>concurrence</u>; provided that in the event the <u>council</u> is unable to meet due to quorum when a <u>concurrence for an agency exemption list</u> is seven years or older, the <u>agency</u> may submit a letter to the <u>council</u> acknowledging that the existing <u>exemption list</u> is still valid. Upon attaining quorum, the <u>council</u> shall review the <u>exemption list for</u> <u>concurrence</u>. [The lists shall be reviewed periodically by the <u>council</u>.] The <u>council</u> may review <u>agency exemption lists</u> periodically.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-17 Exemption Notices

- (a) Each agency shall [maintain records of] create an exemption notice for an action that it has found to be exempt from the requirements for preparation of an [environmental assessment] EA pursuant to section 11-200.1-16(a)(2) or that an agency considers to be included within a general type of action pursuant to section 11-200.1-15. [and each agency shall produce the exemption notices for review upon request]. An agency may create an exemption notice for an action that it has found to be exempt from the requirements for preparation of an EA pursuant to section 11-200.1-16(a)(1) or that an agency considers to be a routine activity and ordinary function within the jurisdiction or expertise of the agency that by its nature does not have the potential to individually or cumulatively adversely affect the environment more than negligibly.
- (b) To declare an exemption prior to implementing an action, an agency shall undertake an analysis to determine whether the action merits exemption pursuant to section 11-200.1-15 and is consistent with one or several of the general types listed in section 11-200.1-15 or the agency's exemption list produced in accordance with section 11-200.1-16, and whether significant cumulative impacts or particularly sensitive environments would make the exemption inapplicable. An agency shall obtain the advice of other outside agencies or individuals having jurisdiction or expertise on the propriety of the exemption. This analysis and consultation shall be documented in an exemption notice.
- (c) <u>Each</u> agency shall <u>electronically provide its</u> exemption notices for review upon request by the public or an agency, and shall submit a list of exemption notices that the agency has created to the office for publication in the bulletin on the eighth day of each month pursuant to subchapter 4.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

Subchapter 9 Preparation of Environmental Assessments

§ 11-200.1-18 Preparation and Contents of a Draft Environmental Assessment

- (a) A proposing agency shall, or an approving agency shall require an applicant to [Seek] conduct early consultation, seeking, at the earliest practicable time, the advice and input of the county agency responsible for implementing the county's general plan for each county in which the proposed action is to occur, and consult with other agencies having jurisdiction or expertise as well as those citizen groups and individuals [which] that the proposing agency or applicant reasonably believes [te] may be affected.
- (b) The scope of the draft EA may vary with the scope of the proposed action and its impact, taking into consideration whether the action is a project or a program. Data and analyses in a draft EA shall be commensurate with the importance of the impact, and less important material may be summarized, consolidated, or simply referenced. A draft EA shall indicate at appropriate points in the text any underlying studies, reports, and other information obtained and considered in preparing the draft EA, including cost benefit analyses and reports required under other legal authorities.
- (c) The level of detail in a draft EA may be more broad for programs or components of a program for which site-specific impacts are not discernible, and shall be more specific for components of the program for which site-specific, project-level impacts are discernible. A draft EA for a program may, where necessary, omit evaluating issues that are not yet ready for decision at the project level. Analysis of the program may discuss in general terms the constraints and sequences of events likely to result in any narrowing of future options. It may present and analyze in general terms hypothetical scenarios that are likely to occur.
- (d) A draft EA shall contain, but not be limited to, the following information:
 - (1) Identification of the applicant or proposing agency;
 - (2) For applicant actions, [Identification] identification of the approving agency [, if applicable];
 - (3) List of all <u>required</u> permits and **approvals** (<u>state</u>], federal, <u>and</u> county) [required] <u>and</u>, for **applicants**, identification of which **approval** necessitates <u>chapter 343</u>, HRS, environmental review;
 - (4) Identification of agencies, citizen groups, and individuals consulted in [making] preparing the draft [assessment] EA;

- (5) <u>General description of the **action's** technical, economic, social, cultural, historical, and environmental characteristics;</u>
- (6) <u>Summary description of the affected environment, including suitable and adequate regional, location and site maps such as Flood Insurance Rate Maps, Floodway Boundary Maps, [er] United States Geological Survey topographic maps, or State sea level rise exposure maps;</u>
- (7) Identification and [summary] analysis of impacts and alternatives considered;
- (8) <u>Proposed mitigation measures;</u>
- (9) **Proposing Agency** or **approving agency** [determination or, for draft environmental assessments only an] anticipated determination, including findings and reasons supporting the anticipated **FONSI**, if applicable; and
- (10) Written comments, if any, and responses to the comments [under] received, if any, and made pursuant to the early consultation provisions of [sections 11-200-9(a)(1), 11-200-9(b)(1), or 11-200-15,] subsection (a) and statutorily prescribed public review periods.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-19 Notice of Determination for Draft Environmental Assessments

- (a) <u>After:</u>
 - (1) [preparing] Preparing, or causing to be prepared, [an environmental assessment] a draft EA; [and]
 - (2) [reviewing] Reviewing any public and agency comments[, if any,]; and

(3) [applying] Applying the significance criteria in section [11-200-12] 11-200.1-13[-]; if the proposing agency or the approving agency anticipates that the proposed action is not likely to have a significant effect, [it] the proposing agency or approving agency shall issue a notice of [determination which shall be] an anticipated [negative declaration] FONSI subject to the public review provisions of section [11-200-9.1] 11-200.1-20.

- (b) The proposing agency or approving agency shall [also] file [such] the notice of anticipated FONSI and supporting draft EA with the office as early as possible in accordance with subchapter 4 after the determination is made pursuant to and in accordance with [section 11-200-9] this subchapter and the requirements in subsection (c). [along with four copies of the supporting environmental assessment. In addition to the above, the anticipated negative declaration determination for any applicant action shall be mailed to the requesting applicant by the approving agency.] For applicant actions, the approving agency shall also send the anticipated FONSI to the applicant.
- (c) <u>The notice of an anticipated **FONSI** determination shall [indicate] include in a concise manner:</u>

- (1) Identification of the [applicant or] proposing agency or applicant;
- (2) For applicant actions, [Identification] identification of the approving agency [or accepting authority];
- (3) [Brief] A brief description of the [proposed] action;
- (4) [Determination] The anticipated FONSI;
- (5) Reasons supporting the anticipated FONSI [determination]; and
- (6) [Name] The name, title, email address, physical address, and phone number of [a contact person] an individual representative of the proposing agency or applicant who may be contacted for further information.

[Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-20 Public Review and Response Requirements for Draft Environmental Assessments

- (a) This section shall apply only if a proposing agency or an approving agency anticipates a [negative declaration] FONSI determination for a proposed action and [that] the proposing agency or the applicant proposing the action has completed the draft EA requirements of [section 11-200-7(a) paragraphs (1), (2), (3), (4), (5), (6) and (7), or section 11-200-9(b), paragraphs (1), (2), (3), (4), (5) and (6), as appropriate] sections 11-200.1-18 and 11-200.1-19.
- (b) [The period for public review and for submitting written comments for both agency actions and applicant actions shall begin as of the initial issue date that notice of availability of the draft environmental assessment was published in the periodic bulletin and shall continue for a period of thirty days.] Unless mandated otherwise by statute, the period for public review and for submitting written comments shall be thirty days from the date of publication of the draft EA in the bulletin. Written comments [to the proposing agency or approving agency, whichever is applicable, with a copy of the comments to the applicant or proposing agency] shall be received by or postmarked to the proposing agency, or in the case of applicants, to either the approving agency or applicant[-] within the thirtyday period. Any comments outside of the thirty-day period need not be [considered or] responded to nor considered in the final EA.
- (c) For agency actions, the proposing agency shall, and for applicant actions, the applicant shall: respond in [writing] the final EA in the manner prescribed in this section to all substantive comments received or postmarked during the [thirty-day] statutorily mandated review period, incorporate comments into the final EA as appropriate[-], and [append] include the comments and responses in the final [environmental assessment] EA. [Each response shall be sent directly to the person commenting, with copies of the response also sent to the office.] In deciding whether a written comment is substantive, the proposing agency or applicant shall give careful consideration to the validity.

significance, and relevance of the comment to the scope, analysis, or process of the **EA**, bearing in mind the purpose of this chapter and chapter 343, HRS. Written comments deemed by the **proposing agency** or **applicant** as non-substantive and to which no response was provided shall be clearly indicated.

- [(d)] [For applicant actions, the applicant shall respond in writing to all comments received or postmarked during the thirty-day review period and the approving agency shall incorporate or append the comments and responses in the final environmental assessment. Each response shall be sent directly to the person commenting with a copy to the office. A copy of each response shall be sent to the approving agency for its timely preparation of a determination and notice thereof pursuant to sections 11-200-9(b) and 11-200-11.1 or 11-200-11.2.]
- (d) Proposing agencies and applicants shall respond in the final EA to all substantive written comments in one of two ways, or a combination of both, so long as each substantive comment has clearly received a response:
 - (1) By grouping comment responses under topic headings and addressing each substantive comment raised by an individual commenter under that topic heading by issue. When grouping comments by topic and issue, the names of commenters who raised an issue under a topic heading shall be clearly identified in a distinctly labeled section with that topic heading. All substantive comments within a single comment letter must be addressed, but may be addressed throughout the applicable topic areas with the commenter identified in each applicable topic area. All comments, except those described in subsection (e), must be appended in full to the final EA; or
 - (2) By providing a separate and distinct response to each comment clearly identifying the commenter and the comment receiving a response for each comment letter submitted. All comments, except those described in subsection (e), must either be included with the response or appended in full to the **final EA**.
- (e) For comments that are form letters or petitions, that contain identical or near-identical language, and that raise the same issues on the same topic:
 - (1) The response may be grouped under subsection (d)(1) with the response to other comments under the same topic and issue with all commenters identified in the distinctly labelled section identifying commenters by topic; or
 - (2) <u>A single response may be provided that addresses all substantive comments</u> within the form letter or petition and that includes a distinct section listing the individual commenters who submitted the form letter or petition. At least one representative sample of the form letter or petition shall be appended to the final EA;

Provided that, if a commenter adds a distinct substantive comment to a form letter or petition, that comment must be responded to pursuant to subsection (d).

- (f) In responding to substantive written comments, proposing agencies and applicants shall endeavor to resolve conflicts or inconsistencies in information and address specific environmental concerns identified by the commenter, providing a response that is commensurate with the substantive content of those comments. The response shall describe the disposition of significant environmental issues raised (for example, the response may point to revisions to the proposed action to mitigate anticipated impacts or objections raised in the comment, or may refute all or part of the comment). In particular, the issues raised when the proposing agency's or applicant's position is at variance with recommendations and objections raised in the comments shall be addressed in detail, giving reasons why specific comments and suggestions were not accepted, and factors of overriding importance warranting an override of the suggestions. The response shall indicate changes that have been made to the text of the draft EA.
- ([e]g) An addendum document to a draft [environmental assessment] EA shall reference the original draft [environmental assessment] EA it attaches to and shall comply with all applicable <u>filing</u>, public review and comment requirements set forth in [sections 11-200-3] and 11-200-9] subchapters 4 and 9.
- [Eff] (Auth: HRS §§343-3, 343-5, 343-6) (Imp: HRS §§343-3, 343-5, 343-6)

§ 11-200.1-21 Contents of a Final Environmental Assessment

[The proposing agency or approving agency shall prepare any draft or final environmental assessment of each proposed action and determine whether the anticipated effects constitute a significant effect in the context of chapter 343, HRS, and section 11-200-12. The environmental assessment] <u>A final **EA**</u> shall contain, but not be limited to, the following information:

- (1) Identification of **applicant** or **proposing agency**;
- (2) <u>For applicant actions</u>, [Identification] identification of the approving agency[, if applicable];
- (3) Identification of **agencies**, citizen groups, and individuals consulted in [making] preparing the [assessment] EA;
- (4) General description of the **action's** technical, economic, social, <u>cultural</u> and environmental characteristics;
- Summary description of the affected environment, including suitable and adequate regional, location and site maps such as Flood Insurance Rate Maps, Floodway Boundary Maps, or United States Geological Survey topographic maps;
- (6) Identification and [summary] analysis of impacts and alternatives considered;
- (7) Proposed mitigation measures;
- (8) <u>The</u> [Agency] agency determination and the findings and reasons supporting the determination [or, for draft environmental assessments only, an anticipated determination];

- [(9)] [Findings and reasons supporting the agency determination or anticipated determination;]
- [(10)] [Agencies to be consulted in the preparation of the EIS, if an EIS is to be prepared];
- (9) List of all <u>required</u> permits and **approvals** (<u>state</u> [<u>State</u>], federal, <u>and</u> county) [required] <u>and</u>, for **applicants**, identification of which **approval** necessitates <u>chapter 343</u>, <u>HRS</u>, <u>environmental review</u>; and
- (10) Written comments, if any, and responses to the comments [under] received, if any, pursuant to the early consultation provisions [of sections 11-200-9(a)(1), 11-200-9(b)(1), or 11-200-15] of section 11-200.1-18(a), and statutorily prescribed public review periods in accordance with section 11-200.1-20.

[Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-22 Notice of Determination for Final Environmental Assessments

- (a) After<u>:</u>
 - (1) [preparing] Preparing, or causing to be prepared, a final [environmental assessment,] **EA**;
 - (2) [reviewing] <u>Reviewing any</u> public and **agency** comments, [if any,]; and

(3) [applying] Applying the significance criteria in section [11-200-12,] 11-200.1-13; the proposing agency or the approving agency shall issue [one of the following notices] a notice of [determination] a FONSI or EISPN in accordance with [section 11-200-9(a) or 11-200-9(b)] subchapter 9, and file the notice with the office in accordance with subchapter 4. [addressing the requirements in subsection (c), along with four copies of the supporting final environmental assessment, provided that in addition to the above, all notices of determination for any applicant action shall be mailed to the requesting applicant by the approving agency:] For applicant actions, the approving agency shall issue a determination within thirty days of receiving the final EA.

- (b) [Negative declaration] If the proposing agency or approving agency determines that a proposed action is not likely to have a significant effect, it shall issue a notice of [determination which shall be] a [negative declaration,] FONSI [-and the proposing agency or approving agency shall file such notice with the office as early as possible after the determination is made pursuant to and in accordance with section 11-200-9].
- (c) [Environmental impact statement preparation notice] If the proposing agency or approving agency determines that a proposed action may have a significant effect, it shall issue [a notice of] [determination which shall be] an [environmental impact statement preparation notice] EISPN [and such notice shall be filed as early as possible after the determination is made pursuant to and in accordance with section 11-200-9].

- (d) The proposing agency or approving agency shall file in accordance with subchapter 4 the notice and the supporting final EA with the office as early as possible after the determination is made, addressing the requirements in subsection (e). For applicant actions, the approving agency shall send the notice of determination for an EISPN or FONSI to the applicant.
- (e) [The office shall publish the appropriate notice of determination in the periodic bulletin following receipt of the documents in subsection (a) by the office in accordance with section 11-200-3.]
- (e) <u>The notice of determination for an EISPN shall be prepared pursuant to section 11-200.1-</u>
 23. The notice of [determination] a FONSI shall indicate in a concise manner:
 - (1) Identification of the applicant or proposing agency;
 - (2) <u>For applicant actions</u>, [Identification] identification of the approving agency [or accepting authority];
 - (3) [Brief] <u>A brief</u> description of <u>the</u> proposed **action**;
 - (4) [Determination] The determination;
 - (5) Reasons supporting <u>the</u> determination; and
 - (6) [Name] <u>The name, title, email address, physical</u> address, and phone number of [a contact person] an individual representative of the proposing agency or applicant who may be contacted for further information.
- [(e)] [<u>The notice of determination for an EISPN shall be prepared pursuant to section 11-</u> 200.1-23.]
- [(d)] [When an agency withdraws a determination pursuant to its rules, the agency shall submit to the office a written letter informing the office of its withdrawal. The office shall publish notice of agency withdrawals in accordance with section 11-200-3.]
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

Subchapter 10 Preparation of Environmental Impact Statements

§ 11-200.1-23 Consultation Prior to Filing a Draft Environmental Impact Statement

- (a) <u>An EISPN, including one resulting from an agency authorizing the preparation of an EIS</u> without first requiring an EA, shall indicate in a concise manner:
 - (1) Identification of the proposing agency or applicant;
 - (2) Identification of the accepting authority;
 - (3) List of all required permits and approvals (state, federal, and county) and, for applicants, identification of which approval necessitates chapter 343, HRS, environmental review;
 - (4) <u>The determination to prepare an **EIS**</u>;
 - (5) Reasons supporting the determination to prepare an EIS:
 - (6) <u>A description of the proposed **action** and its location;</u>
 - (7) <u>A description of the affected **environment** and include regional, location, and site maps;</u>
 - (8) Possible alternatives to the proposed action;
 - (9) The proposing agency's or applicant's proposed scoping process, including when and where the EIS public scoping meeting or meetings will be held; and
 - (10) <u>The name, title, email address, physical address, and phone number of an</u> <u>individual representative of the **proposing agency** or **applicant** who may be <u>contacted for further information.</u></u>
- (b) In the preparation of a draft EIS, proposing agencies and applicants shall consult all appropriate agencies, [noted in section 11-200-10(10), and other] including the county agency responsible for implementing the county's general plan for each county in which the proposed action is to occur and agencies having jurisdiction or expertise, as well as those citizen groups, and concerned individuals [as noted in sections 11-200-9 and 11-200-9.1] that the accepting authority reasonably believes to be affected. To this end, agencies and applicants shall endeavor to develop a fully acceptable draft EIS prior to the time the draft EIS is filed with the office, through a full and complete consultation process, and shall not rely solely upon the review process to expose environmental concerns.
- (c) Upon publication of [a preparation notice] an EISPN in the periodic bulletin, agencies, groups, or individuals shall have a period of thirty days from the initial [issue] publication date [in which to request to become a consulted party and] to make written comments regarding the environmental effects of the proposed action. [Upon written request by

the consulted party and upon good cause shown,] With explanation, the [**approving agency** or] **accepting authority** may extend the period for comments for a period not to exceed thirty <u>additional</u> days. Written comments and responses to the substantive comments shall be included in the draft **EIS** pursuant to section 11-200.1-24. For purposes of the **scoping meeting**, substantive comments shall be those pertaining to the scope of the **EIS**.

- (d) [At the discretion of the proposing agency or an applicant, a] No fewer than one EIS public scoping meeting [to receive comments on the final environmental assessment (for the EIS preparation notice determination) setting forth] addressing the scope of the draft EIS [may] shall be held on the island(s) most affected by the proposed action, within the public review and comment period in subsection [(b)] (c) [, provided that the proposing agency or applicant shall treat oral and written comments received at such a meeting as indicated in subsection (d)]. The EIS public scoping meeting shall include a separate portion reserved for oral public comments and that portion of the EIS public scoping meeting shall be audio recorded.
- [(c)] [Upon receipt of the request, the proposing agency or applicant shall provide the consulted party with a copy of the environmental assessment or requested portions thereof and the environmental impact statement preparation notice Additionally, the proposing agency or applicant may provide any other information it deems necessary. The proposing agency or applicant may also contact other agencies, groups, or individuals which it feels may provide pertinent additional information.]
- [(d)] [Any substantive comments received by the proposing agency or applicant pursuant to this section shall be responded to in writing and as appropriate, incorporated into the draft EIS by the proposing agency or applicant prior to the filing of the draft EIS with the approving agency or accepting authority. Letters submitted which contain no comments on the projects but only serve to acknowledge receipt of the document do not require a written response. Acknowledgement of receipt of these items must be included in the final environmental assessment or final statement.]
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §343-6)

§ 11-200.1-24 Content Requirements; Draft Environmental Impact Statement

(a) The draft EIS, at a minimum, shall contain the information required in this section. <u>The</u> contents shall fully declare the environmental implications of the proposed action and shall discuss all [relevant and feasible] reasonably foreseeable consequences of the action. In order that the public can be fully informed and that the accepting authority can make a sound decision based upon the full range of responsible opinion on

environmental effects, [a statement] an EIS shall include responsible opposing views, if any, on significant environmental issues raised by the proposal.

- (b) [In the developing the EIS preparers shall make every effort to convey the required information succinctly in a form easily understood, both by members of the public and by public decision-makers, giving attention to the substance of the information conveyed rather than to the particular form, or length, or detail of the statement.] The scope of the [statement] draft EIS may vary with the scope of the proposed action and its impact, taking into consideration whether the action is a project or a program. Data and analyses in a [statement] draft EIS shall be commensurate with the importance of the impact, and less important material may be summarized, consolidated, or simply referenced. [Statements] A draft EIS shall indicate at appropriate points in the text any underlying studies, reports, and other information obtained and considered in preparing the [statement] draft EIS, including cost benefit analyses and reports required under other legal authorities.
- (c) The level of detail in a draft EIS may be more broad for programs or components of a program for which site-specific impacts are not discernible, and shall be more specific for components of the program for which site-specific, project-level impacts are discernible. A draft EIS for a program may, where necessary, omit evaluating issues that are not yet ready for decision at the project level. Analysis of the program may be based on conceptual information in some cases and may discuss in general terms the constraints and sequences of events likely to result in any narrowing of future options. It may present and analyze in general terms hypothetical scenarios that are likely to occur.
- (d) The draft **EIS** shall contain a summary sheet [which] that concisely discusses the following:
 - (1) Brief description of the **action**;
 - Significant beneficial and adverse impacts [(including cumulative impacts and secondary impacts)];
 - (3) Proposed mitigation measures;
 - (4) Alternatives considered;
 - (5) Unresolved issues; [and]
 - (6) Compatibility with land use plans and policies, and listing of permits or approvals[.]; and
 - (7) <u>A list of relevant EAs and EISs considered in the analysis of the preparation of the EIS.</u>
- (e) The draft **EIS** shall contain a table of contents.
- (f) The draft **EIS** shall contain a separate and distinct section that includes [a statement of] the purpose and need for the proposed **action**.

- (g) The draft EIS shall contain a [project] description of the action [which] that shall include the following information, but need not supply extensive detail beyond that needed for evaluation and review of the environmental impact:
 - A detailed map (preferably a United States Geological Survey topographic map, Flood Insurance Rate Maps, [or] Floodway Boundary Maps, or State sea level rise <u>exposure area maps</u>, as applicable) and a related regional map;
 - [Statement of objectives] Objectives of the proposed action;
 - (3) General description of the **action's** technical, economic, social, <u>cultural</u>, and environmental characteristics;
 - (4) Use of [public] state or county funds or lands for the action;
 - (5) Phasing and timing of the action;
 - (6) Summary technical data, diagrams, and other information necessary to [permit] enable an evaluation of potential environmental impact by commenting agencies and the public; and
 - (7) Historic perspective.
- (h) The draft EIS shall describe in a separate and distinct section <u>discussion of the</u> <u>alternative of no action as well as reasonable</u> alternatives [which] that could attain the objectives of the action [regardless of cost, in sufficient detail to explain why they were rejected]. The section shall include a rigorous exploration and objective evaluation of the environmental impacts of all such alternative actions. Particular attention shall be given to alternatives that might enhance environmental quality or avoid, reduce, or minimize some or all of the adverse environmental effects, costs, and risks of the action. Examples of alternatives include:
 - [(1)] [The alternative of no action;]
 - ([2]1) Alternatives requiring **actions** of a significantly different nature [which] <u>that</u> would provide similar benefits with different environmental **impacts**;
 - ([3]2) Alternatives related to different designs or details of the proposed **actions** [which] <u>that</u> would present different environmental **impacts**; <u>and</u>
 - [(4)] [The alternative of postponing action pending further study; and]
 - ([5]3) Alternative locations for the proposed [project] action.

In each case, the analysis shall be sufficiently detailed to allow the comparative evaluation of the environmental benefits, costs, and risks of the proposed **action** and each reasonable alternative. For alternatives that were eliminated from detailed study, the section shall contain a brief discussion of the reasons for not studying those alternatives in detail. For any **agency actions**, the discussion of alternatives shall include, where relevant, those alternatives not within the existing authority of the **agency**.

(i) The draft EIS shall include a description of the environmental setting, including a description of the environment in the vicinity of the action, as it exists before commencement of the action, from both a local and regional perspective. Special emphasis shall be placed on environmental resources that are rare or unique to the region and the action site (including natural or human-made resources of historic,

<u>cultural</u>, archaeological, or aesthetic significance); specific reference to related **actions**, public and private, existent or planned in the region shall also be included for purposes of examining the possible overall **cumulative impacts** of such **actions**. **Proposing agencies** and **applicants** shall also identify, where appropriate, population and growth characteristics of the affected area [-and], any population and growth assumptions used to justify the <u>proposed</u> **action**, and [determine] any secondary population and growth **impacts** resulting from the proposed **action** and its alternatives. [In any event, it] It is essential that the sources of data used to identify, qualify, or evaluate any and all environmental consequences be expressly noted in the draft **EIS**.

- (j) The draft EIS shall include a [statement] description of the relationship of the proposed action to land use and natural or cultural resource plans, policies, and controls for the affected area. Discussion of how the proposed action may conform or conflict with objectives and specific terms of approved or proposed land use and resource plans, policies, and controls, if any, for the area affected shall be included. Where a conflict or inconsistency exists, the [statement] draft EIS shall describe the extent to which the agency or applicant has reconciled its proposed action with the plan, policy, or control, and the reasons why the agency or applicant has decided to proceed, notwithstanding the absence of full reconciliation.
- (k) The draft EIS shall also contain a list of necessary approvals, required for the action, from governmental agencies, boards, or commissions or other similar groups having jurisdiction. The status of each identified approval shall also be described.
- (I) The draft **EIS** shall include [a statement] an analysis of the probable impact of the proposed **action** on the **environment**, and **impacts** of the natural or human environment on the [project] action. [, which] This analysis shall include consideration of all phases of the **action** and consideration of all consequences on the **environment**[-], including direct and indirect effects [shall be included]. The interrelationships and cumulative environmental impacts of the proposed action and other related [projects] actions shall be discussed in the draft EIS. [It should be realized] The draft EIS should recognize that several actions, in particular those that involve the construction of public facilities or structures (e.g., highways, airports, sewer systems, water resource [projects] actions, etc.) may well stimulate or induce secondary effects. These secondary effects may be equally important as, or more important than, primary effects, and shall be thoroughly discussed to fully describe the probable **impact** of the proposed **action** on the environment. The population and growth impacts of an action shall be estimated if expected to be significant, and an evaluation shall be made of the effects of any possible change in population patterns or growth upon the resource base, including but not limited to land use, water, and public services, of the area in question. Also, if the proposed action constitutes a direct or indirect source of pollution as determined by any governmental agency, necessary data regarding these impacts shall be incorporated

into the **EIS**. The significance of the **impacts** shall be discussed in terms of subsections [(j), (k), (l), and (m)] (m), (o), and (p).

- (m) The draft EIS shall include in a separate and distinct section a description of <u>the</u> relationship between local short-term uses of humanity's **environment** and the maintenance and enhancement of long-term productivity. The extent to which the proposed **action** involves trade-offs among short-term and long-term gains and losses shall be discussed. The discussion shall include the extent to which the proposed **action** forecloses future options, narrows the range of beneficial uses of the **environment**, or poses long-term risks to health or safety. In this context, short-term and long-term do not necessarily refer to any fixed time periods, but shall be viewed in terms of the environmentally significant consequences of the proposed **action**.
- (n) The draft EIS shall include in a separate and distinct section a description of all irreversible and irretrievable commitments of resources that would be involved in the proposed action should it be implemented. Identification of unavoidable impacts and the extent to which the action makes use of non-renewable resources during the phases of the action, or irreversibly curtails the range of potential uses of the environment shall also be included. The possibility of environmental accidents resulting from any phase of the action shall also be considered. [Agencies shall avoid construing the term "resources" to mean only the labor and materials devoted to an action. "Resources" also means the natural and cultural resources committed to loss or destruction by the action.]
- (o) The draft EIS shall address all probable adverse environmental effects [which] that cannot be avoided. Any adverse effects such as water or air pollution, urban congestion, threats to public health, or other consequences adverse to environmental goals and quidelines established by environmental response laws, coastal zone management laws, pollution control and abatement laws, and environmental policy [such as that] including those found in chapters 128D (Environmental Response Law), 205A (Coastal Zone Management), 342B (Air Pollution Control), 342C (Ozone Layer Protection), 342D (Water Pollution), 342E (Nonpoint Source Pollution Management and Control), 342F (Noise Pollution), 342G (Integrated Solid Waste Management), 342H (Solid Waste Recycling), 342I (Special Wastes Recycling), 342J (Hazardous Waste, including Used Oil), 342L (Underground Storage Tanks), [342N,] 342P (Asbestos and Lead), and 344 (State Environmental Policy), HRS, [shall be included, including] and those effects discussed in [other actions of] this [paragraph] section [which] that are adverse and unavoidable under the proposed action must be addressed in the draft EIS. Also, the rationale for proceeding with a proposed action, notwithstanding unavoidable effects, shall be clearly set forth in this section. The draft EIS shall indicate what other interests and considerations of governmental policies are thought to offset the adverse environmental effects of the proposed action. The draft [statement] EIS shall also indicate the extent to which these stated countervailing benefits could be realized by following reasonable

alternatives to the proposed **action** that would avoid some or all of the adverse environmental **effects**.

- (p) The draft EIS shall consider mitigation measures proposed to avoid, minimize, rectify, or reduce [impact] impacts, including provision for compensation for losses of cultural, community, historical, archaeological, fish and wildlife resources, including the acquisition of land, waters, and interests therein. Description of any mitigation measures included in the action plan to reduce significant, unavoidable, adverse impacts to insignificant levels, and the basis for considering these levels acceptable shall be included. Where a particular mitigation measure has been chosen from among several alternatives, the measures shall be discussed and reasons given for the choice made. [Included] The draft EIS shall include, where possible [and appropriate], [should be] specific reference to the timing of each step proposed to be taken in [the] any mitigation process, what performance bonds, if any, may be posted, and what other provisions are proposed to ensure [assure] that the mitigation measures will in fact be taken in the event the action is implemented.
- (q) The draft **EIS** shall include a separate and distinct section that summarizes unresolved issues and contains either a discussion of how such issues will be resolved prior to commencement of the **action**, or what overriding reasons there are for proceeding without resolving the [problems] issues.
- (r) The draft EIS shall include a separate and distinct section that contains a list identifying all governmental agencies, other organizations and private individuals consulted in preparing the [statement] draft EIS, and shall disclose the identity of the persons, firms, or agency preparing the [statement] draft EIS, by contract or other authorization[, shall be disclosed].
- (s) The draft **EIS** shall include a separate and distinct section that contains:
 - [reproductions] Reproductions of all [substantive] written comments [and responses made] submitted during the [consultation process] consultation period required in section 11-200.1-23;
 - (2) <u>Responses to all substantive written comments made during the consultation</u> period required in section 11-200.1-23. **Proposing agencies** and **applicants** shall respond in the **draft EIS** to all substantive written comments in one of two ways, or a combination of both, so long as each substantive comment has clearly received a response:
 - (A) By grouping comment responses under topic headings and addressing each substantive comment raised by an individual commenter under that topic heading by issue. When grouping comments by topic and issue, the names of commenters who raised an issue under a topic heading shall be clearly identified in a distinctly labeled section with that topic heading. All substantive comments within a single comment letter must be addressed,

but may be addressed throughout the applicable different topic areas with the commenter identified in each applicable topic area. All comments, except those described in paragraph (3), must be appended in full to the final document; or

- (B) By providing a separate and distinct response to each comment clearly identifying the commenter and the comment receiving a response being responded to for each comment letter submitted. All comments, except those described in paragraph (3), must either be included with the response, or appended in full to the final document;
- (3) For comments that are form letters or petitions, that contain identical or nearidentical language, and that raise the same issues on the same topic:
 - (A) The response may be grouped under paragraph (2)(A) with the response to other comments under the same topic and issue with all commenters identified in the distinctly labeled section identifying commenters by topic; or
 - (B) <u>A single response may be provided that addresses all substantive</u> comments within the form letter or petition and that includes a distinct section listing the individual commenters who submitted the form letter or petition. At least one representative sample of the form letter or petition shall be appended to the final document; and
 - (C) Provided that, if a commenter adds a distinct substantive comment to a form letter or petition, then that comment must be responded to pursuant to paragraph (2);
- (4) <u>A summary of any EIS public scoping meetings</u>, including a written general summary of the oral comments made, and a representative sample of any handout provided by the proposing agency or applicant related to the action provided at the EIS public scoping meeting(s);
- (5) A list of those persons or agencies who were consulted and had no comment [shall be included in the draft EIS] in a manner indicating that no comment was provided; and
- (6) <u>A representative sample of the consultation request letter.</u>
- (t) <u>An addendum [document] to a draft [environmental impact statement] EIS shall</u> reference the original draft [environmental impact statement] EIS to which it attaches [to] and comply with all applicable filing, public review, and comment requirements set forth in subchapter [7] 10.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-2, 343-5, 343-6)

§ 11-200.1-25 Public Review Requirements for Draft Environmental Impact Statements

- (a) Public review shall not substitute for early and open discussion with interested persons and agencies[,] concerning the environmental impacts of a proposed action. Review of the draft EIS shall serve to provide the public and other agencies an opportunity to discover the extent to which a proposing agency or applicant has examined environmental concerns and available alternatives.
- (b) The period for public review and for submitting written comments shall commence [as of] from the date that notice of availability of the draft EIS is initially [issued] published in the periodic bulletin and shall continue for a period of forty-five days, unless mandated otherwise by statute. Written comments [to the approving agency or accepting authority, whichever is applicable, with a copy of the comments to the applicant or proposing agency,] shall be received by or postmarked to the [approving agency or] accepting authority, and in the case of applicants, to either the accepting authority or the applicant, within [said] the forty-five-day comment period. Any comments outside of the forty-five day comment period need not be [considered or] responded to nor considered.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-26 Comment Response Requirements for Draft Environmental Impact Statements

- (a) In accordance with the content requirements of section 11-200.1-27, [The] the proposing agency or applicant shall respond [in writing] within the final EIS to [the] all substantive written comments received [or postmarked to the approving agency during the forty-fiveday review period] pursuant to section 11-200.1-25 [and incorporate the comments and responses in the final EIS]. [The response to comments shall include:] In deciding whether a written comment is substantive, the proposing agency or applicant shall give careful consideration to the validity, significance, and relevance of the comment to the scope, analysis, or process of the EIS, bearing in mind the purpose of this chapter and chapter 343, HRS. Written comments deemed by the proposing agency or applicant as non-substantive and to which no response was provided shall be clearly indicated.
- (b) Proposing agencies and applicants shall respond in the final EIS to all substantive written comments in one of two ways, or a combination of both, so long as each substantive comment has clearly received a response:
 - (1) By grouping comment responses under topic headings and addressing each substantive comment raised by an individual commenter under that topic heading by issue. When grouping comments by topic and issue, the names of

commenters who raised an issue under a topic heading shall be clearly identified in a distinctly labeled section with that topic heading. All substantive comments within a single comment letter must be addressed, but may be addressed throughout the applicable topic areas with the commenter identified in each applicable topic area. All comments, except those described in subsection (c), must be appended in full to the final document; or

- (2) By providing a separate and distinct response to each comment clearly identifying the commenter and the comment receiving a response for each comment letter submitted. All comments, except those described in subsection (c), must either be included with the response or appended in full to the final document.
- (c) For comments that are form letters or petitions, that contain identical or near-identical language, and that raise the same issues on the same topic:
 - (1) The response may be grouped under subsection (b)(1) with the response to other comments under the same topic and issue with all commenters identified in the distinctly labeled section identifying commenters by topic; or
 - (2) <u>A single response may be provided that addresses all substantive comments</u> within the form letter or petition and that includes a distinct section listing the individual commenters who submitted the form letter or petition. At least one representative sample of the form letter or petition shall be appended to the final document;

Provided that if a commenter adds a distinct substantive comment to a form letter or petition, then that comment must be responded to pursuant to subsection (d).

- (d) In responding to substantive written comments, proposing agencies and applicants [Responses] shall endeavor to resolve conflicts[-;] or inconsistencies[-, or] in information and address specific environmental concerns identified by the commenter, providing a response that is commensurate with the substantive content of those comments. [Response letters reproduced in the text of the final EIS shall indicate verbatim changes that have been made to the text of the draft EIS-] The response shall describe the disposition of significant environmental issues raised[- (e.g.-,] (for example, the response may point to revisions to the proposed [project] action to mitigate anticipated impacts or objections raised in the comment[-, etc-]). In particular, the issues raised when the [applicant's or] proposing agency's or applicant's position is at variance with recommendations and objections raised in the comments shall be addressed in detail, giving reasons why specific comments and suggestions were not accepted, and factors of overriding importance warranting an override of the suggestions. The response shall indicate changes that have been made to the text of the draft EIS.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-27 Content Requirements; Final Environmental Impact Statement

- (a) <u>The final EIS, at a minimum, shall contain the information required in this section</u>. <u>The contents shall fully declare the environmental implications of the proposed action and shall discuss all [relevant and feasible] reasonably foreseeable consequences of the action. In order that the public can be fully informed and that the accepting authority can make a sound decision based upon the full range of responsible opinion on environmental effects, [a statement] an EIS shall include responsible opposing views, if any, on significant environmental issues raised by the proposal.</u>
- (b) The final **EIS** shall consist of:
 - (1) The draft EIS prepared in compliance with this subchapter, as revised to incorporate substantive comments received during the [consultation and] review processes in conformity with section 11-200.1-26, including reproduction of all comments and responses to substantive written comments;
 - [(2)] [Reproductions of all letters received containing substantive questions, comments, or recommendations and, as applicable, summaries of any scoping meetings held;]
 - [(3)](2) A list of **persons**, organizations, and public **agencies** commenting on the draft **EIS**;
 - (3) A list of those persons or agencies who were consulted with in preparing the final EIS and those who had no comment shall be included in a manner indicating that no comment was provided;
 - (4) [The responses of the applicant or proposing agency to each substantive question, comment, or recommendation received in the review and consultation processes,] <u>A written general summary of oral comments made at any EIS public</u> scoping meetings; and
 - (5) The text of the final **EIS** [which shall be] written in a format [which] that allows the reader to easily distinguish changes made to the text of the draft **EIS**.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-2, 343-5, 343-6)

§ 11-200.1-28 Acceptability

- (a) Acceptability of [a statement] a final EIS shall be evaluated on the basis of whether the [statement] final EIS, in its completed form, represents an informational instrument [which] that fulfills the [definition of an EIS] intent and provisions of chapter 343, HRS, and adequately discloses and describes all identifiable environmental impacts and satisfactorily responds to review comments.
- (b) A [statement] final EIS shall be deemed to be an acceptable document by the accepting authority [or approving agency] only if all of the following criteria are satisfied:
 - (1) The procedures for assessment, consultation process, review, and the preparation and submission of the [statement] <u>EIS</u>, from proposal of the <u>action</u> to <u>publication</u> <u>of the final EIS</u>, have all been completed satisfactorily as specified in this chapter;
 - (2) The content requirements described in this chapter have been satisfied; and
 - (3) Comments submitted during the review process have received responses satisfactory to the accepting authority[, or approving agency], including properly identifying comments as substantive and responding in a way commensurate to the comment, and have been appropriately incorporated [in] into the [statement] final EIS.
- (c) [For actions proposed by agencies, the] The proposing agency, applicant, or accepting authority may request the office to make a recommendation regarding the acceptability or non-acceptability of the EIS. If the office decides to make a recommendation, it shall submit the recommendation to the proposing agency, applicant, and accepting authority, as applicable. [If] For applicant actions, the office [decides to make a recommendation, it] shall submit the recommendation to the applicant and the [approving agency] accepting authority within the [thirty-day] period [requiring an approving agency] for the accepting authority to determine the acceptability of the final EIS [and described in section 343-5(c), HRS].
- (d) [In all cases] For agency actions involving state funds or lands, the governor or [an] the governor's authorized representative shall have final authority to accept the EIS. In cases involving only county funds or lands, the mayor of the respective county or [an] the mayor's authorized representative shall have final authority to accept the EIS. The accepting authority shall take prompt measures to determine the acceptability or non-acceptability of the proposing agency's [statement] EIS. If [In the event that] the action involves [both] state and county lands [er], state or county funds, or both state and county lands and state and county funds, the governor or [an] the governor's authorized representative shall have final authority to accept the EIS.
- ([d]e) Upon **acceptance** or non-acceptance of the **EIS**[,].
 - (1) <u>For agency actions</u>, a notice <u>shall</u> be filed by the appropriate **accepting authority** with both the **proposing agency** and the **office**. For any non-accepted

EIS, the notice shall contain specific findings and reasons for non-acceptance. The **office** shall publish notice of the determination of **acceptance** or non-acceptance in the **periodic bulletin** in accordance with [section 11-200-3] subchapter 4. Acceptance of a required statement shall be a condition precedent to the use of state or county lands or funds in implementing the proposed **action**.

(2) [Upon acceptance or non-acceptance by the approving agency, the agency] For applicant actions, the accepting authority shall:

- (A) [notify] Notify the applicant of its determination, and provide specific findings and reasons. The [agency] accepting authority shall also provide a copy of this determination to the office for publication [of a notice] in the periodic bulletin. Acceptance of the required EIS shall be a condition precedent to approval of the request and commencement of the proposed action. [An approving agency shall take prompt measures to determine the acceptability or non-acceptability of the applicant's statement.]
- (B) [The agency shall notify] Notify the applicant and the office of the acceptance or non-acceptance of the final EIS within thirty days of the final **EIS** submission to the **agency**[-]; provided that the thirty-day period may, at the request of the applicant, be extended [at the request of the applicant] for a period not to exceed fifteen days. The request shall be made to the accepting authority in writing. Upon receipt of an applicant's written request for an extension of the thirty-day acceptance period, the accepting authority shall notify the office and applicant in writing of its decision to grant or deny the request. The notice shall be accompanied by a copy of the **applicant's** request. An extension of the thirty-day **acceptance** period shall not be [allowed] granted merely for the convenience of the accepting authority. If [In the event that] the agency fails to make a determination of acceptance or non-acceptance [for] of the [statement] EIS within thirty days of the receipt of the final EIS, then the statement shall be deemed accepted.
- ([e]) [For actions proposed by applicants requiring approval from an agency, the applicant or accepting authority may request the office to make a recommendation regarding the acceptability or non-acceptability of the statement. If the office decides to make a recommendation, it shall submit the recommendation to the applicant and the approving agency within the thirty day period requiring an approving agency to determine the acceptability of the final EIS and described in section 343-5(c), HRS. Upon acceptance or non-acceptance by the approving agency, the agency shall notify the applicant of its determination, and provide specific findings and reasons. The agency shall also provide a copy of this determination to the office for publication of a notice in the periodic bulletin. Acceptance of the required EIS shall be a condition precedent to approval of the request and commencement of the proposed action. An approving agency shall take

prompt measures to determine the acceptability or non-acceptability of the applicant's statement. The **agency** shall notify the **applicant** and the **office** of the **acceptance** or non-acceptance of the final **EIS** within thirty days of the final **EIS**, provided that the thirty-day period may be extended at the request of the applicant for a period not to exceed fifteen days. The request shall be made to the **accepting authority** in writing. Upon receipt of an **applicant's** request for an extension of the thirty-day **acceptance** period, the **accepting authority** shall notify the **office** and **applicant** in writing of its decision to grant or deny the request. The notice shall be accompanied by a copy of the **applicant's** request. An extension of the thirty-day **acceptance** period shall not be allowed merely for the convenience of the **accepting authority**. In the event that the **agency** fails to make a determination of **acceptance** or non-acceptance for the statement within thirty days of the receipt of the final **EIS**, then the **statement** shall be deemed accepted.]

- (f) A non-accepted EIS may be revised by a proposing agency or applicant. The revision shall take the form of a revised draft EIS [document] which shall fully address the inadequacies of the non-accepted EIS and shall completely and thoroughly discuss the changes made. The requirements for filing, distribution, publication of availability for review, acceptance or non-acceptance, and notification and publication of acceptability shall be the same as the requirements prescribed by [sections 11-200-20, 11-200-21, 11-200-22, and 11-200-23] subchapters 4 and 10 for an EIS submitted for acceptance. In addition, the [revised draft EIS] subsequent revised final EIS shall be evaluated for acceptability on the basis of whether it satisfactorily addresses the findings and reasons for non-acceptance.
- (g) A proposing agency or applicant may withdraw an EIS by <u>simultaneously</u> sending a [letter] written <u>notification</u> to the office and to the accepting authority informing the office of the <u>proposing</u> agency's or applicant's withdrawal. Subsequent resubmittal of the EIS shall meet all requirements for filing, distribution, publication, review, acceptance, and notification as a [new] <u>draft</u> EIS.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-29 Appeals to the Council

An **applicant**, within sixty days after <u>a</u> non-acceptance <u>determination by the **accepting**</u> <u>**authority** under section 11-200.1-28</u> of [<u>a statement</u>] <u>a final **EIS**</u> [by an agency], may appeal the non-acceptance to the **council**, which within [thirty days of] <u>the statutorily mandated period after</u> receipt of the appeal, shall notify the **applicant** appealing of its determination <u>to affirm the</u> <u>**accepting authority's** non-acceptance or to reverse it</u>. The **council** chairperson shall include <u>the appeal on the agenda of the next **council** meeting following receipt of the appeal. In any affirmation or reversal of an appealed non-acceptance, the **council** shall provide the **applicant** and the [agency] <u>accepting authority</u> with specific findings and reasons for its determination. The [agency] <u>accepting authority</u> shall abide by the **council's** decision.</u>

[Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

§ 11-200.1-30 Supplemental Environmental Impact Statements

- (a) [A statement] An EIS that is accepted with respect to a particular action is usually qualified by the size, scope, location, intensity, use, and timing of the action, among other things. [A statement] An EIS that is accepted with respect to a particular action shall satisfy the requirements of this chapter and no [other] supplemental [statement] EIS for that proposed action shall be required, to the extent that the action has not changed substantively in size, scope, intensity, use, location or timing, among other things. If there is any change in any of these characteristics which may have a significant effect, the original statement that was changed shall no longer be valid because an essentially different action would be under consideration and a supplemental [statement] EIS shall be prepared and reviewed as provided by this chapter. As long as there is no change in a proposed action resulting in individual or cumulative impacts not originally disclosed, the [statement] EIS associated with that action shall be deemed to comply with this chapter.
- (b) The accepting authority or approving agency in coordination with the original accepting authority shall be responsible for determining whether a supplemental [statement] EIS is required. This determination will be submitted to the office for publication in the periodic bulletin. Proposing agencies or applicants shall prepare for public review supplemental [statements] EISs whenever the proposed action for which [a] an [statement] EIS was accepted has been modified to the extent that new or different environmental impacts are anticipated. A supplemental [statement] EIS shall be warranted when the scope of an action has been substantially increased, when the intensity of environmental impacts will be increased, when the mitigating measures originally planned [are] will not to be implemented, or where new circumstances or evidence have brought to light different or likely increased environmental impacts not previously dealt with.

- (c) The contents of the supplemental [statement] EIS shall be the same as required by this chapter for the EIS and may incorporate by reference unchanged material from the same; however, in addition, it shall fully document the proposed changes from the original EIS, including changes in ambient conditions or available information that have a bearing on a proposed action or its impacts, the positive and negative aspects of these changes, and shall comply with the content requirements of [section 11-200-16] subchapter 10 as they relate to the changes.
- (d) The requirements of the thirty-day consultation, [filing] public notice filing, distribution, the forty-five-day public review, comments and response, and acceptance procedures, shall be the same for the supplemental [statement] EIS as is prescribed by this chapter for an EIS.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

Subchapter 11 National Environmental Policy Act

§ 11-200.1-31 National Environmental Policy Act Actions: Applicability to Chapter 343, HRS

When [the situation occurs where] a certain **action** will be subject both to the **National Environmental Policy Act** of 1969 [(Public Law 91-190, as amended by Public Law 94-52 and Public Law 94-83; 42 U.S.C. § 4321-4347)] <u>(P.L. 91-190, 42 U.S.C. sections 4321-4347, as amended by P.L. 94-52, July 3, 1975, P.L. 94-83, Aug. 9, 1975, and P.L. 97-258 section 4(b), Sept. 13, 1982) and chapter 343, HRS, the following shall occur:</u>

- (1) The applicant or agency, upon discovery of its proposed action being subject to both chapter 343, HRS, and the [National Environmental Policy Act] <u>NEPA</u>, shall notify the responsible federal [agency] <u>entity</u>, the office, and any agency with a definite interest in the action (as prescribed by chapter 343, HRS) [of the situation].
- (2) When a federal entity determines that the proposed action is exempt from review under the NEPA, this determination does not automatically constitute an exemption for the purposes of this chapter. In these cases, state and county agencies remain responsible for compliance with this chapter. However, the federal exemption may be considered in the state or county agency determination.
- (3) When a federal entity issues a FONSI and concludes that an EIS is not required under the NEPA, this determination does not automatically constitute compliance with this chapter. In these cases, state and county agencies remain responsible for compliance with this chapter. However, the federal FONSI may be considered in the state or county agency determination.
- (4) The [National Environmental Policy Act] <u>NEPA</u> requires that [draft statements] <u>EISs</u> be prepared by the responsible federal [agency] entity. In the case of actions for which an EIS pursuant to the NEPA has been prepared by the responsible federal entity, the draft and final federal EIS may be submitted to comply with this chapter, so long as the federal EIS satisfies the EIS content requirements of this chapter, including cultural impacts, and is not found to be inadequate under the NEPA: by a court; by the Council on Environmental Quality (or is at issue in pre-decision referral to Council on Environmental Quality) under the NEPA regulations; or by the administrator of the United States Environmental Protection Agency under section 309 of the Clean Air Act, title 41 United States Code section 7609.

- (5) When the responsibility of preparing an EIS is delegated to a state or county agency, this chapter shall apply in addition to federal requirements under the [National Environmental Policy Act] <u>NEPA</u>. The office and <u>state or county</u> agencies shall cooperate with federal [agencies] entities to the fullest extent possible to reduce duplication between federal and state requirements. This cooperation, to the fullest extent possible, shall include joint [environmental impact statements] <u>EISs</u> with concurrent public review and processing at both levels of government. Where federal law has [environmental impact statement] <u>EIS</u> requirements in addition to but not in conflict with this chapter, the office and agencies shall cooperate in fulfilling the requirements so that one document shall comply with all applicable laws.
- (6) Where the NEPA process requires earlier or more stringent public review,[and processing] filing, and distribution than under this chapter, then that NEPA process shall satisfy this chapter so that duplicative consultation or review do not occur. The responsible federal entity's supplemental EIS requirements shall apply in these cases in place of this chapter's supplemental EIS requirements.
- (7) In all actions where the use of state land or funds is proposed, the final [statement] EIS shall be submitted to the governor or an authorized representative. In all actions when the use of county land or funds is proposed and no use of state land or funds is proposed, the final [statement] EIS shall be submitted to the mayor, or an authorized representative. The final [statement] EIS in these instances shall first be accepted by the governor or mayor (or an authorized representative), prior to the submission of the same to the [Environmental Protection Agency or] responsible federal [agency] entity.
- (8) Any acceptance obtained pursuant to [paragraphs (1) to (3)] this section shall satisfy chapter 343, HRS, and no other [statement] EIS for the proposed action shall be required.
- [Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-5, 343-6)

Subchapter 12 Retroactivity and Severability

§ 11-200.1-32 Retroactivity

- (a) <u>This chapter shall apply immediately upon taking effect, except as otherwise provided</u> <u>below.</u>
- (b) <u>Chapter 11-200 shall continue to apply to environmental review of **agency** and **applicant actions** which began prior to the adoption of HAR chapter 11-200.1, provided that:</u>
 - (1) For EAs, if the draft EA was published by the office prior to the adoption of this chapter and has not received a determination within a period of five years from the implementation of this chapter, then the proposing agency or applicant must comply with the requirements of this chapter. All subsequent environmental review, including an EISPN must comply with this chapter.
 - (2) For EISs, if the EISPN was published by the office prior to the adoption of this chapter and the final EIS has not been accepted within five years from the implementation of this chapter, then the proposing agency or applicant must comply with the requirements of this chapter.
 - (3) <u>A judicial proceeding pursuant to section 343-7, HRS, shall not count towards the five-year time period.</u>
- (c) Exemption lists that have received concurrence under chapter 11-200 may be used for a period of seven years after the adoption of this chapter, during which time the agency must revise its list and obtain concurrence from the council in conformance with this chapter 11-200.1.
- [Eff] (Auth: HRS §343-6) (Imp: HRS §343-6)

§ 11-200.1-33 Severability

If any provision of this chapter or the application thereof to any person or circumstance is held invalid, the invalidity shall not affect other provisions or applications of this chapter which can be given effect without the invalid provision or application; and to this end, the provisions of this chapter are declared to be severable.

[Eff] (Auth: HRS §§343-5, 343-6) (Imp: HRS §§343-6, 343-8)

Note

Historical Note: Chapter 11-200, HAR, is based substantially on the **Environmental Impact Statement** Regulations of the Environmental Quality Commission. [Eff 6/2/75; R 12/6/85] Amendments to and compilation of chapter 200, title 11, Hawaii Administrative Rules, and the repeal of § 11-200-11, Hawaii Administrative Rules were adopted on March 27, 1996 following public hearings held on November 14, 1995, November 16, 1995, November 17, 1995, November 20, 1995 and November 21, 1995 after public notice was given in the Honolulu Advertiser, Honolulu Star-Bulletin, Maui News, The Garden Island, West Hawaii Today, Hawaii Tribune-Herald and Molokai Dispatch on October 12, 1995.

Amendment in 2007 to section 11-200-8 to include an exemption class for affordable housing. It has not been compiled.

This note will be updated pending adoption of the Proposed Rules.