



DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
Business Registration Division

DCCA News Release

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STATE ORDERS LARRY GOTO dba FINANCIAL MANAGEMENT SERVICES TO CEASE SALE OF UNREGISTERED SECURITIES

HONOLULU – Hawaii Commissioner of Securities Tung Chan, issued a preliminary order to cease and desist and notice of right to hearing against:

- Larry Goto dba Financial Management Services

The preliminary order alleges that Goto solicited, offered, sold or transacted in unregistered securities in the State of Hawaii.

The preliminary order seeks to prohibit Goto from transacting in unregistered securities in or from the State of Hawaii and seeks to impose an administrative penalty of \$10,000.00.

State securities laws require securities, such as viatical settlement contracts as well as individuals or entities soliciting or transacting in securities, to be registered with the state.

Anyone who has been solicited by or transacted business with Larry Goto dba Financial Management Services with respect to viatical settlement contracts or who may have information regarding this matter are urged to contact the State Securities Enforcement Branch.

Securities Enforcement Branch:

Oahu 586-2744
Kauai: 274-3141, followed by 62744 and the # sign
Maui: 984-2400, followed by 62744 and the # sign
Hawaii: 974-4000, followed by 62744 and the # sign
Lanai & Molokai: 1-800-468-4644 (toll free)
Email: seb@dcca.hawaii.gov

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