State of Hawaii DEPARTMENT OF LAND AND NATURAL RESOURCES Division of Aquatic Resources Honolulu, Hawaii 96813

June 8, 2012

Board of Land and Natural Resources State of Hawaii Honolulu, Hawaii

Request for Authorization and Approval to Issue a Papahānaumokuākea Marine National

Monument Research Permit to Dr. Christopher Winn and Dr. Samuel Kahng, Assistant

Professors, Hawaii Pacific University, Oceanic Institute, for Access to State Waters to Conduct

Ocean Carbon Research Activities

The Division of Aquatic Resources (DAR) hereby submits a request for your authorization and approval for issuance of a Papahānaumokuākea Marine National Monument research permit to Dr. Christopher Winn and Dr. Samuel Kahng, Assistant Professors, Hawaii Pacific University, Oceanic Institute, pursuant to § 187A-6, Hawaii Revised Statutes (HRS), Chapter 13-60.5, Hawaii Administrative Rules (HAR), and all other applicable laws and regulations.

The research permit, as described below, would allow entry and research activities to occur in Papahānaumokuākea Marine National Monument (Monument), including the NWHI State Marine Refuge and the waters (0-3 nautical miles) surrounding the following sites:

- Nihoa Island
- Necker Island
- French Frigate Shoals
- Gardner Pinnacles
- Maro Reef
- Laysan Island
- Lisianski Island, Neva Shoal
- Pearl and Hermes Atoll
- Kure Atoll

The activities covered under this permit would occur between July 15, 2012 and June 30, 2013.

The proposed activities are largely a renewal of work previously permitted and conducted in the Monument. New activities include collecting water samples off small boats and from NOAA ship HI'IALAKAI's flow through system.

INTENDED ACTIVITIES

The Applicants propose to collect water samples at various ocean depths in order to implement a long-term monitoring program that would assess the impact of ocean acidification on the

Monument. They aim to assess and monitor calcite saturate state in the waters surrounding the monument and detail the concentrations of carbon system parameters in the Monument in order to demonstrate variation in space and time. This would provide the applicant and scientific community with detailed knowledge of the state of the seawater C02 system at the current time in the history of global anthropogenic impacts on the environment.

To carry out this activity, the Applicants are proposing to collect water samples from the NOAA ship HI'IALAKAI (separately permitted under PMNM-2012-009) from the surface to depths of 1000 meters. The samples would be collected using the ship's Conductivity Temperature and Depth (CTD) recorder with an attached rosette containing water sampling bottles along "transects" from shallow water to distances of up to 15 kilometers from the reef starting at the 60 meter contour, the safe operational depth for the research vessel. Additionally, they also plan to collect water samples using the following methods: 1) using the ships flow through system that would dispense water in the ship's wet lab; and 2) swimming in shallow water (<10m depth) off small boats and manually collecting water. All of the sampling would be done in the water column and the seafloor would not be disturbed at any location. The Applicants propose collecting no more than 800 samples (~53 gallons) of water.

Many calcium carbonate producing organisms are threatened by the ongoing decrease in ocean pH and some evidence suggests that corals, even at low latitudes, may have already begun to be impacted. This proposed activity would attempt to provide the baseline information on carbon system dynamics within the Monument so that a well-planned and effective long-term monitoring program can be put in place.

The activities proposed by the Applicant directly support the Monument Management Plan's priority management needs 3.1 -Understanding and Interpreting the NWHI (through action plan 3.1.1- Marine Conservation Science).

The activities described above may require the following regulated activities to occur in State waters:

\boxtimes	Removing, movi	ing, taking,	harvesting,	possessing,	injuring,	disturbing,	or damagin
	living or nonliv						

Swimming, snorkeling, or closed or open circuit SCUBA diving within any Special Preservation Area or Midway Atoll Special Management Area

REVIEW PROCESS:

The permit application was sent out for review and comment to the following scientific and cultural entities: Hawaii Division of Aquatic Resources, Hawaii Division of Forestry and Wildlife, Papahānaumokuākea Marine National Monument (NOAA/NOS), NOAA Pacific Islands Regional Office (NOAA-PIRO), United States Fish and Wildlife Service Hawaiian and Pacific Islands National Wildlife Refuge Complex Office, and the Office of Hawaiian Affairs (OHA). In addition, the permit application has been posted on the Monument Web site since March 21st, giving the public an opportunity to comment. The application was posted within 40 days of its receipt, in accordance with the Monument's Public Notification Policy.

Comments received from the scientific community are summarized as follows:

Scientific reviews support the acceptance of this application. The following concerns were raised during the review process. The applicant's responses are noted below.

1. How will shallow-water samples be taken, at what depths will they be taken, and at what depths will the small boats be operating?

They may need to swim away from the small boat a bit, depending on the activities that are being conducted from the boat. However, the sampling is very simple. The process includes dipping a bucket into the surface water and collecting one or two 250 ml subsamples from the bucket in glass bottles, measuring the temperature and salinity of the water in the bucket using a hand-held device, and returning the extra water to the ocean. They express that they cannot be specific about the depths at which the boats will be operating because they are simply using these excursions as an opportunity to sample in water that is too shallow for ship operations. However, the applicants anticipate sampling in water less than 10 meters deep. The research vessel cannot safely operate in less than 20 to 60 meters depth depending on local bathymetric features and weather conditions.

2. Why is it necessary for students to swim or snorkel in order to collect water samples? Please articulate the conditions that will require samples to be taken "near, but not directly from, small boats."

The applicants respond that they included this request simply because they do not know exactly what operations are going to be conducted from the small boats. It is important to collect water that is uncontaminated by engine exhaust or other impacts from activities on the small boats. They are not certain of the number of people on the small boat and the activities that will be taking place over the side of the boat. They have therefore requested that they be allowed to swim a short distance away from the boat to ensure that they will be able to collect samples uncontaminated by boat engine exhaust without interfering with other small boat operations.

3. Is the PI working with current USGS climate change staff (e.g. Michelle Reynolds staff) to ensure that data collected is effective for seabird and ecosystem modeling?

The applicant confirms that they have not, but would be happy to make all of the data available to Ms. Reynolds or any other interested parties.

4. How possible is it for the PI to work with FWS on-island staff to develop non-technical year-round monitoring methods at FFS.

The applicants express that they can easily do this and would like to work with FWS to set up a routine sampling program. The sampling work is simple and quick. They say that it would take little to no time to show a FWS staff member how to conduct routine

sampling. In addition, they note that this sampling work would be tremendously beneficial to their efforts to develop a method to use integrated reef metabolism to monitor change within monument coral reef ecosystems.

5. Natural resources are cultural resources, and the applicant's intentions to learn more about PMNM resources in order to better protect them, using thorough measures to prevent damaging them in the process, is commendable and actually results in the proposed activities have a potential positive effect on the sacred natural and cultural resources of Papahānaumokuākea.

The applicants thank the reviewer for the comment, and state that they hope that their work helps preserve and maintain these precious marine resources.

Comments received from the Native Hawaiian community are summarized as follows:

Are there other relevant/necessary permits or environmental reviews that have or will be issued

Yes 🖂

No \square

Cultural reviews support the acceptance of this application. No concerns were raised.

Comments received from the public are summarized as follows:

No comments were received from the public on this application.

Additional reviews and permit history:

with regard to this project? (e.g. MMPA, ESA, EA)

If so, please list or explain:
 The proposed activities are in compliance with the National Environmental Policy Act. The Department has made an exemption determination for this permit in accordance with chapter 343, HRS and Chapter 11-200 HAR. See Attachment ("Declaration of Exemption from the Preparation of an Environmental Assessment under the Authority of Chapter 343, HRS and Chapter 11-200, HAR, for Papahānaumokuākea Marine National Monument Research Permit to Dr. Christopher Winn and Dr. Samuel Kahng, Assistant Researchers, Hawaii Pacific University, Oceanic Institute, for Access to State Waters to Conduct Ocean Carbon Research Activities under Permit PMNM-2012-041").
Has Applicant been granted a permit from the State in the past? Yes No If so, please summarize past permits:
 The applicant was granted permit PMNM-2009-045 in 2009, PMNM-2010-039 in 2010, and PMNM-2011-021 in 2011 to conduct similar work.
Have there been any a) violations:

b) Late/incomplete post-activity reports: Yes

Are there any other relevant concerns from previous permits?	Yes		No	\boxtimes
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STAFF OPINION:

DAR staff is of the opinion that Applicant has properly demonstrated valid justifications for his application and should be allowed to enter the NWHI State waters and to conduct the activities therein as specified in the application with certain special instructions and conditions, which are in addition to the Papahānaumokuākea Marine National Monument Research Permit General Conditions. All suggested special conditions have been vetted through the legal counsel of the Co-Trustee agencies (see Recommendation section).

MONUMENT MANAGEMENT BOARD OPINION:

The MMB is of the opinion that the Applicant has met the findings of Presidential Proclamation 8031 and this activity may be conducted subject to completion of all compliance requirements. The MMB concurs with the special conditions recommended by DAR staff.

RECOMMENDATION:

Based on the attached proposed declaration of exemption prepared by the department after consultation with and advice of those having jurisdiction and expertise for the proposed permit actions:

- 1. That the Board declare that the actions which are anticipated to be undertaken under this permit will have little or no significant effect on the environment and is therefore exempt from the preparation of an environmental assessment.
- 2. Upon the finding and adoption of the department's analysis by the Board, that the Board delegate and authorize the Chairperson to sign the declaration of exemption for purposes of recordkeeping requirements of Chapter 343, HRS, and Chapter 11-200, HAR.
- 3. That the Board authorize and approve a research permit to Dr. Christopher Winn and Dr. Samuel Kahng, Assistant Researchers, Hawaii Pacific University, with the following special conditions:
 - a. This permit is not to be used for nor does it authorize the sale of collected organisms. Under this permit, the authorized activities must be for noncommercial purposes not involving the use or sale of any organism, by-products, or materials collected within the Monument for obtaining patent or intellectual property rights.
 - b. The permittee may not convey, transfer, or distribute, in any fashion (including, but not limited to, selling, trading, giving, or loaning) any coral, live rock, or organism collected under this permit without the express written permission of the Co-Trustees.

- c. To prevent introduction of disease or the unintended transport of live organisms, the permittee must comply with the disease and transport protocol attached to this permit.
- d. Tenders and small vessels must be equipped with engines that meet EPA emissions requirements.
- e. Refueling of tenders and all small vessels must be done at the support ships and outside the confines of lagoons or near-shore waters in the State Marine Refuge.
- f. No fishing is allowed in State Waters except as authorized under State law for subsistence, traditional and customary practices by Native Hawaiians.

Respectfully submitted,

GUY KAULUKUKUI Acting Administrator

APPROVED FOR SUBMITTAL

WILLIAM J. AILA, JR.

Chairperson

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Papahānaumokuākea Marine National Monument

RESEARCH Permit Application

NOTE: This Permit Application (and associated Instructions) are to propose activities to be conducted in the Papahānaumokuākea Marine National Monument. The Co-Trustees are required to determine that issuing the requested permit is compatible with the findings of Presidential Proclamation 8031. Within this Application, provide all information that you believe will assist the Co-Trustees in determining how your proposed activities are compatible with the conservation and management of the natural, historic, and cultural resources of the Papahānaumokuākea Marine National Monument (Monument).

ADDITIONAL IMPORTANT INFORMATION:

- Any or all of the information within this application may be posted to the Monument website informing the public on projects proposed to occur in the Monument.
- In addition to the permit application, the Applicant must either download the Monument Compliance Information Sheet from the Monument website OR request a hard copy from the Monument Permit Coordinator (contact information below). The Monument Compliance Information Sheet must be submitted to the Monument Permit Coordinator after initial application consultation.
- Issuance of a Monument permit is dependent upon the completion and review of the application and Compliance Information Sheet.

INCOMPLETE APPLICATIONS WILL NOT BE CONSIDERED

Send Permit Applications to:

Papahānaumokuākea Marine National Monument Permit Coordinator 6600 Kalaniana'ole Hwy. # 300

Honolulu, HI 96825

nwhipermit@noaa.gov

PHONE: (808) 397-2660 FAX: (808) 397-2662

SUBMITTAL VIA ELECTRONIC MAIL IS PREFERRED BUT NOT REQUIRED. FOR ADDITIONAL SUBMITTAL INSTRUCTIONS, SEE THE LAST PAGE.

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Papahānaumokuākea Marine National Monument Permit Application Cover Sheet

This Permit Application Cover Sheet is intended to provide summary information and status to the public on permit applications for activities proposed to be conducted in the Papahānaumokuākea Marine National Monument. While a permit application has been received, it has not been fully reviewed nor approved by the Monument Management Board to date. The Monument permit process also ensures that all environmental reviews are conducted prior to the issuance of a Monument permit.

Summary Information

Applicant Name: Dr. Christopher Winn and Dr. Samuel E. Kahng

Affiliation: Hawaii Pacific University

Permit Category: Research

Proposed Activity Dates: July 23, 2012 through August 20, 2012

Proposed Method of Entry (Vessel/Plane): vessel

Proposed Locations: The waters surrrounding several islands within the Monument including Nihoa, Necker, French Frigate, Gardner Pinnacles, Maro Reef, Laysan, Lisianski, Pearl and

Hermes, Midway and Kure Atoll

Estimated number of individuals (including Applicant) to be covered under this permit:

7

Estimated number of days in the Monument: 30

Description of proposed activities: (complete these sentences):

a.) The proposed activity would...

help Monument managers monitor the monument to assess and understand the impact of ocean acidification. As a part of that work we are assessing calcite saturate state in the waters surrounding the monument as well as the concentrations of carbon system parameters and their variation in space and time. This will provide detailed knowledge of the state of the seawater CO2 system at the current time, and, with repeated sampling, will monitor the impact of changing chemistry on monument ecosystems as a result of anthropogenic activity.

Standard hydrographic methods will be employed to collect water samples and physical data of seawater temperature, salinity and oxgen concentrations, as well as some optical qualities of the water column. Titration alkalinity and pH will be measured on all of the water samples collected and these two chemical parameters will be used to compute the remaining two parameters of the seawater CO2 system (i.e., total dissolved inorganic carbon and the partial pressure of CO2) as well as several other parameters including calcite and aragonite saturation state and bicarbonate and carbonate concentrations.

At the present time our goals are two-fold. First, we would assess the impact of organic matter production and coral reef calcification on the waters surrounding the archipelago.

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Second, we would characterize reef metabolism by assessing diural changes in both DIC and alkalinity over the reef crests.

b.) To accomplish this activity we would

collect water samples from the research vessel and small boats. Samples would be collected from the research vessel along "transects" from shallow water to distances of up to 15 kilometers from the reef. In this context shallow water is at a depth of about 60 meters. We are limited to this as a minimum depth given the safe operational limits of the research vessel. Our sampling takes advantage of available time on the research vessel and our CTD operations are therefore conducted at night. However, our specific sampling locations are dependent upon the islands that the research vessel will visit and therefore we cannot specify exact station locations at this time. All of our sampling will be done in the water column and we will not disturb the seafloor at any location.

In addition, we would use small boats during the day to collect discrete water samples at various locations over the reef. These water samples would also be measured for titration alkalinity and pH and these data would be used to characterize reef metabolism thoroughout the monument. We would also measure water temperature and salinity at the time of sample collection. Our sampling would be from the water column only and will not disturb the seafloor in any way.

We anticipate collecting no more than 600 250 ml water samples during the month-long cruise to the NWHI. The exact number will depend on the sampling opportunities that other ship operations will allow.

c.) This activity would help the Monument by ... assessing and documenting changing seawater carbonate chemistry with the monument. It is well known that many calcium carbonate producing organisms are threatened by the ongoing decrease in ocean pH and some evidence suggests that corals, even at low latitudes, may have already begun to be impacted. However, sufficient data to assess the rate of change in carbonate chemistry in the monument is not available. At present, our research is attempting to provide the

chemistry in the monument is not available. At present, our research is attempting to provide the baseline information on carbon system dynamics within the monument so that a well planned and effective long-term monitoring program can be implemented.

Other information or background:

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Section A - Applicant Information

1. Applicant Name (last, first, middle initial): Christopher D. Winn and Samuel E. Kahng Title: Associate Professor of Oceanography 1a. Intended field Principal Investigator (See instructions for more information): Christopher D. Winn/Sam Kahng 2. Mailing address (street/P.O. box, city, state, country, zip): Oceanic Institute Phone: Fax: Email: For students, major professor's name, telephone and email address:

3. Affiliation (institution/agency/organization directly related to the proposed project):

Hawaii Pacific University

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4. Additional persons to be covered by permit. List all personnel roles and names (if known at time of application) here (e.g. John Doe, Research Diver; Jane Doe, Field Technician):

Ms. Andrea Kealoha, HPU Graduate Student

Ms. Jessica Hellenbeck, HPU Graduate Student

Dr. Sam Kahng, HPU Faculty

Dr. Christopher Winn, HPU Faculty

unnamed undergradaute student

unanmed gradaute student

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Section B: Project Information

5a. Project location(s):		Ocean Based	<u>l</u>
Nihoa Island	Land-based	Shallow water	Deep water
Necker Island (Mokumanamana)	Land-based	Shallow water	Deep water
	Land-based	Shallow water	Deep water
☐ Gardner Pinnacles	Land-based	Shallow water	Deep water
Maro Reef			
□ Laysan Island	Land-based	Shallow water	Deep water
🔀 Lisianski Island, Neva Shoal	Land-based	Shallow water	Deep water
Pearl and Hermes Atoll	Land-based	Shallow water	Deep water
Midway Atoll	Land-based	Shallow water	Deep water
	Land-based	Shallow water	Deep water
⊠ Other			
NOTE: There is a fee schedule for povessel and aircraft. Location Description:	eople visiting Midw	ay Atoll National Wildli	te Refuge via
Our sampling will be restricted to	the water column	We will not be collec	ting live
Our sampling will be restricted to	the water column	. We will not be collect	ung nve
animals or collecting other types of	of samples within	the monument.	
5b. Check all applicable regulated	activities proposed	l to be conducted in the	Monument:
Removing, moving, taking, harve	sting, possessing, in	njuring, disturbing, or da	maging any
living or nonliving Monument resour			•
Drilling into, dredging, or otherw	ise altering the sub	nerged lands other than l	by anchoring a
vessel; or constructing, placing, or ab	andoning any struc	ture, material, or other m	natter on the
submerged lands			
Anchoring a vessel			
Deserting a vessel aground, at and			
Discharging or depositing any ma	terial or matter into	the Monument	
Touching coral, living or dead			
Possessing fishing gear except wh		available for immediate	use during
passage without interruption through			
Attracting any living Monument r	esource		
Sustenance fishing (Federal water		pecial Preservation Area	s, Ecological
Reserves and Special Management A			
Subsistence fishing (State waters		TTD A 41 1	
Swimming, snorkeling, or closed			pecial
Preservation Area or Midway Atoll S	pecial Managemen	t Area	

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6 Purpose/Need/Scope State purpose of proposed activities:

Our research is designed to evaluate the impact of the calcium carbonate banks on the carbon chemistry of the surrounding ocean and to assess the impact of ocean acidification on the carbonate structures within the monument. Ocean acidification is a result of the accumulation of anthropogenic carbon dioxide that is added to the sea. Although mankind's activities inject carbon primarily into the atmosphere, as atmospheric concentrations rise, a portion of this carbon dioxide dissolves in the sea. At present about half of the carbon that has been added to the atmosphere has already entered the ocean and eventually all of the carbon added to the atmosphere is projected to be sequestered in the sea. The addition of this carbon alters the pH of the sea and threatens to impact the growth and viability of calcite producing organisms like corals.

The ultimate purpose of our research is to monitor chemical changes in waters of the Northwestern Hawaiian Islands and the impact of these changes on the coral communities within the monument. This work is critical to protecting the long-term viability of these precious environments. The scope of our research encompasses the entire island chain from waters offshore of the reefs to waters within the reef environments themselves. However, our sampling program is restricted to the water above and around the reefs and will not impact any of the organisms in the monument (see below).

7. Answer the Findings below by providing information that you believe will assist the Co-Trustees in determining how your proposed activities are compatible with the conservation and management of the natural, historic, and cultural resources of the Monument:

The Findings are as follows:

a. How can the activity be conducted with adequate safeguards for the cultural, natural and historic resources and ecological integrity of the Monument?

Our collection of small volumes of seawater from the water column surrounding the islands will not impact the emergent islands or submerged reefs in any way given that these samples are collected kiolmeters away from any emergent reefs.

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Water samples collected from small boats near the reefs comprising the atolls are prescreened to remove particles larger than bacteria and therefore no animal life will be collected as part of our work. The mercuric chloide we use is needed to preserve water samples until they are analyzed in the laboratory upon return to Honolulu. One tenth of one milliliter of mecuric chloride will be added to each sample bottle after the sample is collected and no mecuric chloride will be released into monument waters. Given that we are collecting water samples in 250 ml aliquots and no substrate or animal life will be collected, our sampling protocols will have no impart on any cultural or historic resources within the monument.

b. How will the activity be conducted in a manner compatible with the management direction of this proclamation, considering the extent to which the conduct of the activity may diminish or enhance Monument cultural, natural and historic resources, qualities, and ecological integrity, any indirect, secondary, or cumulative effects of the activity, and the duration of such effects?

We are aware of the cultural importance of the Northwestern Hawaiian Islands to Native Hawaiians as being a sacred place. It is understood that Native Hawaiians have always depended on the ocean as a resource (especially in the NWHI) for both sustenance and cultural activities. We look forward to expanding our knowledge on this topic at the required cultural briefing. Coral reefs and the ecosystem surrounding the Hawaiian Archipelago are an important natural resource as well as a cultural asset for Native Hawaiians and all U.S. citizens. These huge calcium carbonate structures may be severely damaged or even completely destroyed by the slowly declining pH in the global ocean. Our research is designed to better understand the carbon chemistry of the ocean waters in and around the Monument and will hopefully be useful in designing strategies for protecting these resources for future generations of Native Hawaiians as well as all people of the Pacific region.

Our sampling work will be compatible with management practices in the monument in that our work will have no impact on any cultural, historic or natural resources in the monument. Water samples will be collected only from the water column and will not affect any cultural, biological or physical features within the monument.

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c. Is there a practicable alternative to conducting the activity within the Monument? If not, explain why your activities must be conducted in the Monument.

Our samples must be collected from monument waters in order to improve our understanding of the chemical processes within the monument.

d. How does the end value of the activity outweigh its adverse impacts on Monument cultural, natural and historic resources, qualities, and ecological integrity?

One of the most fundamental processes within the monument is the precipitation of calcium carbonate by reef building organisms. Calcium carbonate is precipitated by a variety of reef organisms and calcium carbonate also dissolves through both biological and abiotic processes. At the present time, the rate of precipitation is almost certainly greater than the rate of dissolution throughout most of the Monument. However, as the carbon chemistry of the reef environments change it is anticipated that precipitation will decline and dissolution will increase. This is a result of the changes in the solubility of calcium carbonate resulting from a decrease in ocean pH and a simultaneous decrease the concentration of the carbonate ion. Our research will improve our understanding of the precipitation and dissolution of calcium carbonate and help to anticipate the impact of ocean acidification on these processes within the monument.

e. Explain how the duration of the activity is no longer than necessary to achieve its stated purpose.

We will participate in month-long expeditions to the monument. Our visits will be part of ongoing observation and research by the Papahānaumokuākea Marine National Monument. We need to be in the monument for an entire month because we will be passengers on the ship that will return to Honolulu after approximately a month at sea.

f. Provide information demonstrating that you are qualified to conduct and complete the activity and mitigate any potential impacts resulting from its conduct.

Dr. Winn and Dr. Kahng have considerable experience in this area of research. Dr. Winn has participated in research programs focusing on oceanic carbon chemistry for decades. Relevant experience includes participation as principle investigator on the Department of Energy's Global Carbon Survey as part of the International World Ocean Circulation Experiment (WOCE) and the National Science Foundation's Joint Global

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Ocean Flux (JGOFS) program. These large scale research programs have included similar research on research cruises in virtually all of the world's oceans.

Dr. Kahng has been working in Hawaii's coral reef environment for many years. He has worked on mesopelagic coral species and has extensive experience and expertise in coral reef biology and Ecology. In addition, Dr. Kahng has been conduting a timeseries carbon system measurement program in near-shore Hawaiian waters for the past year and has provided some of the first data on carbon system dynamics on exposed coral reef environments in Hawaii.

The students listed on this application have research vessel, CTD operation, and carbon chemistry experience.

g. Provide information demonstrating that you have adequate financial resources available to conduct and complete the activity and mitigate any potential impacts resulting from its conduct. We are working closely with the Papahānaumokuākea Marine National Monument on this research effort and the monument is funding our participation.

h. Explain how your methods and procedures are appropriate to achieve the proposed activity's goals in relation to their impacts to Monument cultural, natural and historic resources, qualities, and ecological integrity.

Our sample collection and analysis procedures have been developed over years of similar research throughout the global oceans. Our research will not involve the collection of any live animals or other materials from the monument and we will collect a total of less than 40 gallons of seawater from monument waters during our month-long sampling program in the summer. Our students do not need to use scuba for sample collection and samples can generally be obtained from small boats. In some circumstances however, we anticipate that it may be necessary for sample collection to be conducted a short distance from the small boat. We are therefore requesting that our students be allowed to swim with snorkle gear when it is desirable to collect samples near, but not directly from, small boats.

i. Has your vessel has been outfitted with a mobile transceiver unit approved by OLE and complies with the requirements of Presidential Proclamation 8031?

Yes

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j. Demonstrate that there are no other factors that would make the issuance of a permit for the activity inappropriate.

We cannot foresee any conditions that would make issuance of this permit inappropriate.

8. Procedures/Methods:

Our research work involves collecting water samples from monument waters. Water samples will be collected from the research vessel using standard hydrograhic methods and no live animals will be collected. Standard hydrography generally includes deploying a CTD, a Conductivity, Temperature and Depth measuring instrument, deployed on a hydrowire attached to a high-power winch onboard the ship. As the CTD is lowered through the water, continuous measurements are made by electronic sensors. The output from these sensors are transmitted to the ship via a conducting wire embedded in the hydrowire supporting the instrument. Onboard the research vessel, these output voltages are converted to physical and chemical measurements via complex calibration algorithms. In addition, a rosette is generally attached to the CTD in a circular arrangement surrounding the CTD. The rosette supports water sampling niskin bottles that can be closed at desired depths. Using this system, we will collect water at depth below the ship and obtain the necessary physical information to determine the precise position within the water column as well as the physical conditions at the depth where the sample was collected.

Our sampling plan involves collecting water along transects (i.e., sampling stations arranged in straight lines). Water samples will be collected from several depths from the surface to near the seafloor at each sampling location. 10 to 20 depths will be sampled at each location depending on the time we have available for sampling as well as the water depth. Water samples are collected in carefully cleaned 250ml glass bottles and will be returned to Honolulu for analysis. No waste of any kind will be introduced into monument waters.

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In addition to the sampling work from the research vessel using a CTD, we will also collect water samples from small boats above coral reefs. This sampling will be done by hand. We will not disturb the seafloor or any living resources in the monument.

NOTE: If land or marine archeological activities are involved, contact the Monument Permit Coordinator at the address on the general application form before proceeding, as a customized application will be needed. For more information, contact the Monument office on the first page of this application.

9a. Collection of specimens - collecting activities (would apply to any activity): organisms or objects (List of species, if applicable, attach additional sheets if necessary):

Common name:

We will not collect any living specimens but we will be collecting seawater samples from the water column around and over monument reefs. We will collect roughly six hundred 250 ml samples for a total of less than 40 gallons of ocean water. These 600 samples include those collected from the ship in connnection with standard hydrograhic work and those collected from small boats over the reefs. All of these samples will be returned to Honolulu for analysis and no chemicals will be released in mounment waters.

Scientific name:	
# & size of specimens:	
Collection location:	
☐ Whole Organism ☐ Partial Organism	
9b. What will be done with the specimens after the project has ended?	
9c. Will the organisms be kept alive after collection? Yes No	

RESEARCH

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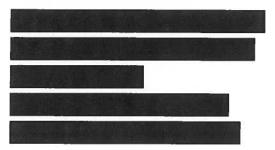
• General site/location for collections:
Water column above the substrate from the surface to 1000 meters and to roughly with
15 kilometers of any emergent reefs.
• Is it an open or closed system? Open Closed
I don't believe that our sampling fits into either definition. All of our water samples will
be drawn from the water column, preserved and returned to Honolulu for analysis
so drawn from the water column, preserved and returned to Honolidia for analysis
• Is there an outfall? Yes No
• Will these organisms be housed with other organisms? If so, what are the other organisms?
e de la company
• Will organisms be released?
10. If applicable, how will the collected samples or specimens be transported out of the Monument?
Samples will be returned to Honolulu with the research vessel
11. Describe collaborative activities to share samples, reduce duplicative sampling, or duplicative research:
We anticipate exchanging a few water samples with other laboratories to ensure
analytical accuracy and precision. Dr. Andrew Dickson's laboratory at Scripps
Institution of Oceanography.
Contact Information:
Dr. Andrew Dickson
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In addition, we may share samples with Dr. Andreas Andersson, also at Scripps institution of oceanography.

Dr. Andreas Andersson



12a. List all specialized gear and materials to be used in this activity:

We will utilize the ship's CTD, rosette, winch and hydrowire for sample collection. Use of the small boats during the day will allow us to collect discrete water samples closer to the atolls.

12b. List all Hazardous Materials you propose to take to and use within the Monument: Small amounts of Mercuric Chloride. This chemical will be used for sample preservation only and will not be released into monument waters. We add this chemical to our water samples into order to preserve them for analysis in shore-based laboratories. This chemical is also used sparingly. We will use less than 30 milliliters during the entire month-long expedition

13. Describe any fixed installations and instrumentation proposed to be set in the Monument:

No fixed instruments will be deployed

14. Provide a time line for sample analysis, data analysis, write-up and publication of information:

Complete analysis and interpretation will require approximately one year following the completion of the cruise. Our data will be compiled in a data report that will be submitted to the NOAA Monument program. The data report for our 2009 sampling

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season is now complete and the report for 2010 and 2011 will be completed once the analytical work for that year is complete. Our data reports will be submitted to the monument approximately 12 months after the completion of our annual sampling. We have already presented the results of our work at several scientific conferences and will be writing a manuscipt for publication in the peer-reviewed literature after the completion of our sample analysis.

15. List all Applicants' publications directly related to the proposed project: Please see attached C.V

RESEARCH

15

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With knowledge of the penalties for false or incomplete statements, as provided by 18 U.S.C. 1001, and for perjury, as provided by 18 U.S.C. 1621, I hereby certify to the best of my abilities under penalty of perjury of that the information I have provided on this application form is true and correct. I agree that the Co-Trustees may post this application in its entirety on the Internet. I understand that the Co-Trustees will consider deleting all information that I have identified as "confidential" prior to posting the application.

Signature	Date	

SEND ONE SIGNED APPLICATION VIA MAIL TO THE MONUMENT OFFICE BELOW:

Papahānaumokuākea Marine National Monument Permit Coordinator 6600 Kalaniana'ole Hwy. # 300 Honolulu, HI 96825

FAX: (808) 397-2662

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	Applicant CV/Resume/Biography
	Intended field Principal Investigator CV/Resume/Biography
X	Electronic and Hard Copy of Application with Signature
	Statement of information you wish to be kept confidential
\boxtimes	Material Safety Data Sheets for Hazardous Materials

Papahānaumokuākea Marine National Monument Compliance Information Sheet

- 1. Updated list of personnel to be covered by permit. List all personnel names and their roles here (e.g. John Doe, Diver; Jane Doe, Field Technician, Jerry Doe, Medical Assistant): Andrea Kealoha, Jessica Hallenbeck, Martin Guo, Christopher Winn, Sam Kahng, other graduate or undergraduates students to be named
- 2. Specific Site Location(s): (Attach copies of specific collection locations): Shallow water areas (< 10 m.) near all islands and atolls depending on cruise logisitics. Transects from 60 meters depth to 15 km from all islands and atolls. Islands and atolls include: Nihoa, Necker, French Frigate Shoals, Gardner Pinnacles, Maro Reef, Laysan Island, Lisianski Island Pearl and Hermes Atoll, Midway Atoll and Kure Atoll
- 3. Other permits (list and attach documentation of all other related Federal or State permits): None
- 3a. For each of the permits listed, identify any permit violations or any permit that was suspended, amended, modified or revoked for cause. Explain the circumstances surrounding the violation or permit suspension, amendment, modification or revocation. None
- 4. Funding sources (Attach copies of your budget, specific to proposed activities under this permit and include funding sources. See instructions for more information): Papahānaumokuākea Marine National Monument

5. Time frame:

Activity start: TBD

Activity completion: TBD

Dates actively inside the Monument:

From: TDB To: TBD

Describe any limiting factors in declaring specific dates of the proposed activity at the time of application: Precise ship schedule is yet to be determined

Papahānaumokuākea Marine National Monument Compliance Information Sheet OMB Control # 0648-0548 Page 2 of 4

Personnel schedule in the Monument: TBD

6. Indicate (with attached documentation) what insurance policies, bonding coverage, and/or financial resources are in place to pay for or reimburse the al

Monument trustees for the necessary search and rescue, evacuation, and/or remove of any or all persons covered by the permit from the Monument: Hawaii Pacific University covers insurance for students participating in research activities.
7. Check the appropriate box to indicate how personnel will enter the Monument:
X Vessel Aircraft
Provide Vessel and Aircraft information: RV Hi'ialakai
8. The certifications/inspections (below) must be completed prior to departure for vessels (and associated tenders) entering the Monument. Fill in scheduled date (attach documentation):
Rodent free, Date: Tender vessel, Date: Ballast water, Date: Gear/equipment, Date: Hull inspection, Date:
9. Vessel information (NOTE: if you are traveling aboard a National Oceanic and Atmospheric Administration vessel, skip this question): Vessel name: Vessel owner: Captain's name: IMO#: Vessel ID#: Flag: Vessel type: Call sign: Embarkation port: Last port vessel will have been at prior to this embarkation: Length: Gross tonnage:

Papahānaumokuākea Marine National Monument Compliance Information Sheet OMB Control # 0648-0548 Page 3 of 4

Total ballast water capacity volume (m3):
Total number of ballast water tanks on ship:
Total fuel capacity:
Total number of fuel tanks on ship:
Marine Sanitation Device:
Type:

Explain in detail how you will comply with the regulations regarding discharge in the Monument. Describe in detail. If applicable, attach schematics of the vessel's discharge and treatment systems:

Other fuel/hazardous materials to be carried on board and amounts:

Provide proof of a National Oceanic and Atmospheric Administration (NOAA) Office of Law Enforcement-approved Vessel Monitoring System (VMS). Provide the name and contact information of the contractor responsible for installing the VMS system. Also describe VMS unit name and type:

VMS Email: Inmarsat ID#:

- * Individuals MUST ENSURE that a type-approved VMS unit is installed and that its automatic position reports are being properly received by the NOAA OLE system prior to the issuance of a permit. To make sure your VMS is properly configured for the NOAA OLE system, please contact NOAA OLE at (808) 203-2503 or (808) 203-2500.
- * PERMITS WILL NOT BE ISSUED TO INDIVIDUALS ENTERING THE MONUMENT VIA VESSEL UNTIL NOAA OLE HAS CONTACTED THE MONUMENT PERMIT COORDINATOR WITH A 'POSITIVE CHECK' READING.

10. Tender information:

On what workboats (tenders) will personnel, gear and materials be transported within the Monument? List the number of tenders/skiffs aboard and specific types of motors:

Papahānaumokuākea Marine National Monument Compliance Information Sheet OMB Control # 0648-0548 Page 4 of 4

Additional Information for Land Based Operations

11. Proposed movement of personnel, gear, materials, and, if applicable, samples:
12. Room and board requirements on island:
13. Work space needs:
DID YOU INCLUDE THESE?
Map(s) or GPS point(s) of Project Location(s), if applicable
Funding Proposal(s)
Funding and Award Documentation, if already received
Documentation of Insurance, if already received
Documentation of Inspections
Documentation of all required Federal and State Permits or applications for permits

NEIL ABERCROMBIE GOVERNOR OF HAWAII





STATE OF HAWAII DEPARTMENT OF LAND AND NATURAL RESOURCES **DIVISION OF AQUATIC RESOURCES**

1151 PUNCHBOWL STREET, ROOM 330 HONOLULU, HAWAII 96813

June 8, 2012

TO:

Division of Aquatic Resources File

THROUGH: William J. Aila, Jr., Chairperson War Jane &

FROM:

Guy Kaulukukui, First Deputy and Acting Administrator

Division of Aquatic Resources

DECLARATION OF EXEMPTION FROM THE PREPARATION OF AN ENVIRONMENTAL ASSESSMENT UNDER THE AUTHORITY OF CHAPTER 343, HRS, AND CHAPTER 11-200, HAR, FOR PAPAHĀNAUMOKUĀKEA MARINE NATIONAL MONUMENT RESEARCH PERMIT TO DR. CHRISTOPHER WINN AND DR. SAMUEL KAHNG, ASSISTANT RESEARCHERS, HAWAII PACIFIC UNIVERSITY, OCEANIC INSTITUTE, FOR ACCESS TO STATE WATERS TO CONDUCT OCEAN CARBON RESEARCH ACTIVITIES UNDER PERMIT PMNM-2012-041.

The following permitted activities are found to be exempted from preparation of an environmental assessment under the authority of Chapter 343, HRS and Chapter 11-200, HAR:

Project Title:

Papahānaumokuākea Marine National Monument Research Permit to Dr. Christopher Winn and Dr. Samuel Kahng, Assistant Researchers, Hawaii Pacific University, Oceanic Institute, for Access to State Waters to Conduct Ocean Carbon Research Activities.

Permit Number: PMNM-2012-041

Project Description:

The research activities, as described below, would allow entry and activities to occur in Papahānaumokuākea Marine National Monument (Monument), including the NWHI State waters between July 15, 2012 and June 30, 2013.

The applicant proposes to collect water samples from various depths and locations within the Monument to analyze and characterize the carbonate chemical make-up of the water surrounding the atoll systems in Papahānaumokuākea Marine National Monument.

To conduct this activity, Conductivity, Temperature, and Depth (CTD) casts would be performed, using the shipboard rosette on the NOAA Ship Hi'ialakai. In addition to the data collected by the CTD, the rosette would carry Niskin bottles for water sampling. Water samples

WILLIAM J. AILA, JR. CHARPERSON BOARD OF LAND AND NATURAL RESOURCES MMISSION ON WATER RESOURCE MANAGEMENT

GUY KAULUKUKUI FIRST DEPUTY WILLIAM M. TAM DEPUTY DIRECTOR - WATER

AQUATIC RESOURCES
BOATING AND OCEAN RECREATION
BUREAU OF CONVEYANCES
COMMISSION ON WATER RESOURCE MANAGEMENT
CONSERVATION AND COASTAL LANDS
CONSERVATION AND RESOURCES ENFORCEMENT
ENGINEERING
FORESTRY AND WILDLIPE
HISTORIC PRESERVATION
KAHOOLAWE ISLAND RESERVE COMMISSION
LAND RESERVATION

LAND STATE PARKS

would be brought onboard, preserved, and returned to Honolulu for analysis. Samples would be used to determine water column carbon chemistry. Additionally, the applicant's also plan to collect water samples using the following methods: 1) using the ships flow through system that would dispense water in the ship's wet lab; and 2) swimming in shallow water (<10m depth) off small boats and manually collecting water. All of the sampling would be done in the water column and the seafloor would not be disturbed at any location. The applicant anticipates collecting no more than 800 samples (250 mls each), for a total of approximately 53 gallons of water collected. The proposed work would more clearly define the potential impact of changes in ocean pH (ocean acidification) on the Monument ecosystem.

The proposed activities are in direct support of the Monument Management Plan's priority management need 3.1 -Understanding and Interpreting the NWHI (through action plan 3.1.1 - Marine Conservation Science). This action plan calls for further understanding of "functional linkages of marine organisms and their habitats." Activities to support this understanding, such as the carbon cycling research to be carried out by the permittee, are also addressed in the Monument Management Plan Environmental Assessment (December 2008) which resulted in a FONSI. This EA specifically covers field activities, such as those being proposed, that will "characterize shallow-water and deepwater marine habitats" (PMNM MMP Vol. 2, p.70).

Consulted Parties:

The permit application was sent out for review and comment to the following scientific and cultural entities: Hawaii Division of Aquatic Resources, Hawaii Division of Forestry and Wildlife, Papahānaumokuākea Marine National Monument (NOAA/NOS), NOAA Pacific Islands Regional Office (NOAA-PIRO), United States Fish and Wildlife Service Hawaiian and Pacific Islands National Wildlife Refuge Complex Office, and the Office of Hawaiian Affairs (OHA). In addition, the permit application has been posted on the Monument Web site since March 21st, giving the public an opportunity to comment. The application was posted within 40 days of its receipt, in accordance with the Monument's Public Notification Policy.

Exemption Determination:

After reviewing \$11-200-8, HAR, including the criteria used to determine significance under- \$11-200-12, HAR, DLNR has concluded that the activities under this permit would have minimal or no significant effect on the environment and that issuance of the permit is categorically exempt from the requirement to prepare an environmental assessment based on the following analysis:

1. All activities associated with this permit, including monitoring ocean chemistry by the collection of water samples, have been evaluated as a single action. As a preliminary matter, multiple or phased actions, such as when a group of actions are part of a larger undertaking, or when an individual project is precedent to or represents a commitment to a larger project, ocean chemistry monitoring, must be grouped together and evaluated as a single action. § 11-200-7, HAR. Since this permit involves an activity that is precedent to a later planned activity, i.e. the continuation of ocean chemistry monitoring activities, the categorical exemption determination here will treat all planned activities as a single action.

2. The Exemption Class for Scientific Research with no Serious or Major Environmental Disturbance Appears to Apply. Chapter 343, HRS, and § 11-200-8, HAR, provide for a list of classes of actions exempt from environmental assessment requirements. §11-200-8(A)(.5), HAR, exempts the class of actions which involve "basic data collection, research, experimental management, and resource evaluation activities which do not result in a serious or major disturbance to an environmental resource." This exemption class has been interpreted to include collection for "water quality analysis," such as those being proposed.

The proposed collection activities here appear to fall squarely under the exemption class #5, exempt item #5 as described under the former Fish and Game Division exemption list published in January 19, 1976. As discussed below, no significant disturbance to any environmental resource is anticipated in the sampling of Monument resources. Thus, so long as the below considerations are met, an exemption class should include the action now contemplated.

3. Cumulative Impacts of Actions in the Same Place and Impacts with Respect to the Potentially Particularly Sensitive Environment Will Not be Significant. Even where a categorical exemption appears to include a proposed action, the action cannot be declared exempt if "the cumulative impact of planned successive actions in the same place, over time, is significant, or when an action that is normally insignificant in its impact on the environment may be significant in a particularly sensitive environment." § 11-200-8(B), HAR. To gauge whether a significant impact or effect is probable, an exempting agency must consider every phase of a proposed action, any expected primary and secondary consequences, the long-term and short-term effects of the action, the overall and cumulative effect of the action, and the sum effects of an action on the quality of the environment. § 11-200-12, HAR. Examples of actions which commonly have a significant effect on the environment are listed under § 11-200-12, HAR.

This project would be a continuation of work previously conducted by the applicant. Specifically, further monitoring of ocean chemistry of Monument waters would be conducted. Other projects may include the collection of water samples, but no other studies are looking specifically at carbon chemistry and the potential impact of changes in ocean pH on the Monument ecosystem. Other permits that have involved collection of water samples have had no deleterious effects on Monument resources. No significant impacts are anticipated as a result of the proposed collection techniques especially since these techniques have been utilized by this Applicant in the years 2009-2011. There have been no impact issues resulting from this applicant's technique and activities in the past. All activities will be conducted in a manner compatible with the management direction of the Monument Proclamation in that the activities do not diminish monument resources, qualities, and ecological integrity, or have any indirect, secondary, cultural, or cumulative effects. The joint permit review process did not reveal any anticipated indirect or cumulative impacts, nor did it raise any cultural concerns, that would occur as a result of these activities.

The activities would be conducted from the NOAA Ship HI'IALAKAI (PMNM-2012-009), during its July, August and September cruises. The first two cruises would only involve collecting water samples via the ship's flow through system. Collecting water samples off of small boats and by using the ship's CTD would not occur until the September cruise. The

following tables list additional activities that are anticipated to take place on these cruises pending approval of permit applications, and that may occur concurrently.

Table 1. Concurrent Projects Aboard NOAA SHIP HI'IALAKAI During the July and August Cruises.

Permit	Purpose and Scope	Location
PMNM-2012-009 Ellis	The permit allows NOAA Ship HI'IALAKAI entry into PMNM. Personnel aboard the vessel will be permitted under separate permits.	All locations
PMNM-2011-018 Meyer	This permit allows collection of reef fish and tagging of top predators as well as acoustic receiver deployment.	All locations
PMNM-2012-036 Gleason (proposed)	The proposed action is to allow maritime heritage site survey and monitoring activities and collection of a single artifact from the Two Brothers shipwreck.	All locations
PMNM-2012-035 Godwin (proposed)	The proposed action is to allow alien marine invertebrate voucher specimen collections and monitoring.	All locations
PMNM-2012-040 Aeby (proposed)	The proposed action is to allow coral disease monitoring and research activities.	All locations
PMNM-2012-030 Karl (proposed)	The proposed action is to allow coral disease research activities and fish connectivity studies.	All locations
PMNM-2012-032 Thomas (proposed)	The proposed action is to allow deployment of environmental data sensors.	All locations
PMNM-2012-033 Donahue (proposed)	The proposed action is to allow collection of (dead) corals, and to retrieve and deploy coral settlement blocks.	All locations
PMNM-2012-034 Godwin (proposed)	The proposed action is to allow coral reef assessment and monitoring activities.	All locations

During the July and August cruises, the Applicant proposes to only take water samples using the ship's flow through system. There are eight other permits would potentially be active in the Monument concurrently with the proposed activities. Most of those would be dealing with

different organisms or habitat types and would not overlap, and none of the proposed activities involved the collection of water samples.

Table 2. Concurrent projects aboard NOAA SHIP OSCAR ELTON SETTE.

Permit	Purpose and Scope	Location
PMNM-2012-008 Dreflak	The permit allows NOAA Ship OSCAR ELTON SETTE entry into PMNM. Personnel aboard the vessel will be permitted under separate permits.	Nihoa, Mokumanamana, FFS, Laysan, Lisianski, Pearl and Hermes, Kure
PMNM-2012-001 Co-Trustee	The proposed action is to facilitate the needs of the monk seal field camp, marine debris work, and gear transport for the Google-PMNM Educational project.	All locations
PMNM-2012-013 Parrish/ Van Atta (proposed)	The proposed action is conduct selected removal of predatory sharks from Hawaiian monk seal pupping sites at French Frigate Shoals.	FFS

During the July cruise, the NOAA Ship OSCAR ELTON SETTE (PMNM-2012-008) may also be in the Monument during this time frame. However, none of the activities overlap with the proposed actions of the Applicant.

Table 3. Concurrent Projects Aboard NOAA SHIP HI'IALAKAI During the September Cruise.

Permit	Purpose and Scope	Location
PMNM-2012-009 NOAA Ship HIʻIALAKAI	The permit allows NOAA Ship HI'IALAKAI entry into PMNM. Personnel aboard the vessel will be permitted under separate permits.	All locations
PMNM-2012-025 Kosaki (proposed)	The proposed action is to use conventional and technical SCUBA to survey biodiversity of NWHI deep reefs and the presence/absence of alien species in these ecosystems.	All locations
PMNM-2012-020 Anthony (proposed)	The proposed action is to videotape animals and cultural sites for a cultural briefing video.	All locations
PMNM-2012-033 Bowen (proposed)	The proposed action is to collect shallow reef fish, plus mesophotic reef fish, invertebrates and one plant species for genetic surveys.	All locations

Permit	Purpose and Scope	Location
PMNM-2012-028 Lemus (proposed)	The proposed action is to allow interviews with research scientists during the course of their field work, and filming of both the natural resources under study and the scientists conducting the studies to develop multimedia resources for distance learning.	All locations
PMNM-2012-029 Lammers (proposed)	The proposed action is to allow deployment of acoustic recording equipment.	All locations

During the September cruise, the Applicant proposes to do water sampling via small boats and by using the ship's CTD. None of the other activities that are proposed during this cruise would involve the collection of water samples, and would not overlap with the Applicant's collections.

The culmination of all these permits, and their disparate activities, occurring throughout the Monument over a 12-week period, is not anticipated to have significant cumulative impacts. Since no significant cumulative impacts or significant impacts with respect to any particularly sensitive aspect of the project area are anticipated, the categorical exemptions identified above should remain applicable.

4. Overall Impacts will Probably be Minimal and Insignificant Any foreseeable impacts from the proposed activity will probably be minimal, and further mitigated by general and specific conditions attached to the permit. Specifically, all research activities covered by this permit will be carried out with strict safeguards for the natural, historic, and cultural resources of the Monument as required by Presidential Proclamation 8031, other applicable law and agency policies and standard operating procedures.

<u>Conclusion</u>. Upon consideration of the permit to be approved by the Board of Land and Natural Resources, the potential effects of the above listed project as provided by Chapter 343, HRS, and Chapter 11-200, HAR, have been determined to be of probable minimal or no significant effect on the environment and exempt from the preparation of an environmental assessment.

WILLIAM J. AILA, JR.	Date	-
Chairperson, Board of Land and Natural Resources		