

**STATE OF HAWAI'I
DEPARTMENT OF LAND AND NATURAL RESOURCES
OFFICE OF CONSERVATION AND COASTAL LANDS
Honolulu, Hawai'i**

September 28, 2012

**Board of Land and
Natural Resources
State of Hawai'i
Honolulu, Hawai'i**

REGARDING: Conservation District Enforcement File MA 09-54
Alleged Unauthorized Seawall and Stairs

BY: Henry and Diane Schweitzer
4885 Lower Honoapi'ilani Road
Lahaina, Hawai'i 96761

**LOCATION/
Tax Map Key:** Keonenui Beach, 'Alaeloa, Island of Maui
(2) 4-3-015:001

SUBZONE: Resource

This item was deferred by the Board of Land and Natural Resources at its May 25, 2012 meeting.

DESCRIPTION OF AREA:

The subject area is located on the shore of Keonenui Beach, 'Alaeloa, West Maui, TMK: (2) 4-3-015:001 (**Exhibits 1, 2 & 3**). This is a northwest-facing coastline just south of Kapalua Resort. The property is located in the State Land Use Urban District up to the highest wash of the waves. Lands seaward of the shoreline are located in the Conservation District, Resource subzone.

The U.S. Geological Survey's *Atlas of Natural Hazards in the Hawaiian Coastal Zone* publication describes this area as a Rocky Beach Embayed Coast and notes the subject area has an overall high hazard assessment rating of 5 on a scale of 1 to 7 (**Exhibit 4**). Erosion and tsunami potential are within the highest hazard assessment rating.

Keonenui Beach has a narrow, wet beach with a low slope in the middle section of the bay. The beach shows signs of long-term erosion and has disappeared at the north end of the bay. The shoreline has eroded at a rate of around 1.15 feet per year in front of the subject parcel (**Exhibit 5**). The middle and south end of the bay has a transient, wet beach, which is submerged at high tide (**Exhibit 6**).

Coastal armoring has fixed the shoreline location along much of the bay. Upland substrate is generally a basalt base with overlying clay bank. The basalt base has numerous wave cut notches and caves, where coastal armoring has not covered them. There is no significant sandy resource mauka of the shoreline such as dune systems.

Though the coastal armoring is not impounding any significant sand resource, it is nonetheless serving as a wave reflective surface. Reflective surfaces tend to have a negative impact on sandy beaches. Additionally, the beach area is a known turtle haul out location, with numerous turtles found in the bay on most days.

CHRONOLOGY:

March 19, 1979 & February 4, 1980 – Shoreline certified and re-certified for subject property. There was no evidence of a seawall or stairs. The photographs and shoreline survey show that the only structures near the shoreline at that time are a wooden bathhouse and a covered picnic area (**Exhibit 7**).

1987 – An aerial photograph of the subject shoreline documents the existence of a seawall (**Exhibit 8**).

November 21, 2003 – Maui Planning Department issued the Landowner, Henry Schweitzer, a Notice of Warning (NOW) for building a seawall without a permit. The NOW has not been resolved.

March 2, 2009 – The Maui District Land Office (MDLO) received a complaint regarding a concrete and rock wall with stairway fronting the subject property that appears to be extending out beyond the property's seaward boundary and onto the sand shoreline. MDLO conducted a site inspection, but was unable to find the subject property's seaward boundary pins (**Exhibit 9**).

April 14, May 26, and June 30, 2009 – The Landowner was sent letters requesting their response to provide authorizing documentation for the seawall and stairs (**Exhibit 10**). There had been no response to our letter for several years.

July 14, 2009 – MDLO conducted another site inspection and attempted to locate the owner; however, there was no response at the doorbell. MDLO noted additional observations: 1) Concrete stairway leading to a smaller concrete and rock stairs, which leads down to the shoreline; 2) Terraced rock walls within the SMA area; and 3) A construction gazebo within the first terraced area that has also been filled with sand (**Exhibit 11**).

July 23, 2009 – Maui Planning Department issued another two (2) NOWs for noncompliance with SMA rules and shoreline rules for the Maui Planning Commission (**Exhibit 12**).

On April 17, 2012 the OCCL received a letter from Paul Mancini (his legal counsel), which chronicles events between April 1979 and November 1984 (**Exhibit 13**). In his chronology, he alleges that the seawall was permitted. There is a document from the Maui County Board of Realtors (April, 1979) that makes a reference to a "permit obtained for the construction of a retaining wall." Staff is unsure what this documents means (from the perspective of land use entitlements), but the County of Maui continues to believe that the structure is not permitted.

On August 29, 2012, the County of Maui issued Notice of Violations to the Schweitzers for the seawall and stairs (**Exhibit 14**).

ALLEGED UNAUTHORIZED LAND USE:

Survey, photographic, and documented evidence of the shoreline provides evidence that the construction of the subject seawall and stairs may have occurred between 1980 and 1984.

The highest wash of the waves currently washes against the seawall and stairs. We know of no State or County approvals for the seawall/stairway.

The current owner, Henry Schweitzer, purchased the property in 1984¹, although it is clear from Mr. Mancini's chronology that he was involved to some extent in the efforts to build the seawall and stairs, as far back as 1979.

The Department and Board of Land and Natural Resources has jurisdiction over land lying makai of the shoreline as evidenced by the upper reaches of the wash of the waves other than storm and seismic waves, at high tide during the season of the year in which the highest wash of the waves occurs, usually evidenced by the edge of vegetation growth, or the upper limits of debris left by the wash of the waves, pursuant to §205A-1, Hawai'i Revised Statutes (HRS).

Staff believes that the unauthorized land uses occurred within the Conservation District based upon the wave run up and historic photographs, and also based on the location of the 1980 shoreline as evidenced by a 1980 shoreline certification (See Exhibit 7). The OCCL believes there is sufficient cause to bring this matter to the Board since it is evident that the unauthorized land uses are within the Conservation District pursuant to the Hawai'i Administrative Rules (HAR), §15-15-20 Standards for determining "C" conservation district boundaries:

It shall include lands having an elevation below the shoreline as stated by §205A-1, HRS, marine waters, fishponds, and tidepools of the State, and accreted portions of lands pursuant to §501-33, HRS, unless otherwise designated on the district maps. All offshore and outlying islands of the State are classified conservation unless otherwise designated on the land use district maps.

Chapter 13-5, HAR and Chapter 183C, HRS, regulate land uses in the Conservation District by identifying a list of uses that may be allowed by a Conservation District Use Permit (CDUP). The chapters also provide for penalties, collection of administrative costs and damages to state land for uses that are not allowed or for which no permit has been obtained. HAR §13-5-2 defines "land use" as follows:

The placement or erection of any solid material on land if that material remains on the land more than fourteen days, or which causes a permanent change in the land area on which it occurs.

Hawai'i Coastal Erosion Management Plan

On August 27, 1999, the Board adopted the Hawai'i Coastal Erosion Management Plan (COEMAP) as an internal policy for managing shoreline issues including erosion and coastal development in Hawai'i. COEMAP still serves as the primary shoreline policy for the DLNR and recommends a number of strategies to improve our State's management of coastal erosion and beach resources.

However, COEMAP's scope is of a general nature, more focused on broader government policy than erosion management practice. The COEMAP effort is guided by the doctrine of sustainability,

¹ According to Maui County Property Tax Department

promoting the conservation, sustainability, and restoration of Hawai'i's beaches for future generations. When assessing cases involving unauthorized shoreline structures that affect the shoreline that are constructed after the 1999, there is a "no tolerance" policy and the customary policy is to remove the structure before other actions are considered².

DISCUSSION:

Coastal erosion occurs as a result of the following phenomena: 1) Seasonal changes in waves and currents that shift sand within the system; 2) Long-term (chronic) erosion due to natural deficits in sand supply or oceanographic processes such as sea level rise; and 3) Human impacts to sand availability through sand impoundment and supply disruption as a result of shoreline modifications including seawalls.

Development on beaches and dunes has contributed to serious erosion of these areas, resulting in loss of recreational areas, habitat, and the storm protection that 'healthy' beaches and dunes provide. Beach narrowing and loss, and shoreline hardening (the construction of vertical seawalls or sloping stone revetments along a shoreline to protect coastal lands from marine erosion), also severely restrict public access to State Conservation land and the natural resources. In heavily armored sectors, sand impoundment mauka of walls can lead to reduction in sand supply increasing regional erosion trends³.

Unfortunately, many of Hawai'i's beaches have been degraded or lost from a combination of natural erosion and inappropriate coastal development including shoreline armoring, shallow beachfront lot subdivisions, and development too close to the shoreline.

In a 2012 study published by Romine/Fletcher in the Journal of Coastal Research, 70 percent of all beaches measured in the Hawaiian Islands (244 km) indicated an erosion trend. More than 21 km or 9 percent of the total length of the beaches studied were lost to erosion. In nearly all cases, the beaches were replaced with seawalls or other coastal structures.

In this case as in many, but not in all cases, the delineation between the State Land Use Conservation/Urban District is the shoreline. The shoreline for the Schweitzer property was certified in 1979 and 1980 when there was no seawall present, and it appears that the wall was constructed seaward of the shoreline in the early 1980s. The attorney for the landowner submitted a recent survey map that clearly shows the improvements seaward of the 1980 shoreline certification (**Exhibit 15**). Since the seawall and stairway qualify as a land use under the Conservation District definition (HAR §13-5-2), some type of permit or approval should have been obtained by the alleged.

Under the Penalty Guideline Framework (**Exhibit 16**) this action is considered "Major" since the identified land use would require a Board Permit under the permit prefix "D". This violation follows a penalty range of \$10,000 to \$15,000. The comparable identified use in the Hawaii Administrative Rules (HAR-13-5) would be "Shoreline Erosion Control" for which a Board Permit is normally required.

² Because the structure was established prior to the BLNR's "No Tolerance" policy, the landowner may apply for an after-the-fact CDUP, or alternatively, remove the structure.

³ In some cases, sandy beaches in Hawaii are located in areas of predominant basalt or clay substrate. With rising sea levels, beaches found in these types of settings will eventually disappear if there is no significant sand resource in the backshore area. This is because the beach has no place to recede to.

Therefore under the Penalty Guideline Framework this unauthorized land use is considered:

1. a *Major* harm to resource or potential harm to resource; and
2. a *Major* comparable harm to resource.

Based on the information including pre-project photographs taken for the shoreline certification, more recent photographs and information provided by Mr. Mancini, we believe that the seawall is within the Conservation District. In addition, the portions of the stairs that extend beyond the wall are also within the Conservation District.

Staff believes that the landowner should be fined one time for the unauthorized land use. Staff will recommend a fine of \$10,000 (maximum is \$15,000/violation) because the violation occurred over 30 years ago and the attorney for the landowner has been very cooperative. He provided OCCL with a copy of the survey map that clearly delineates the extent of the violation, which greatly facilitates this matter. Staff will also recommend administrative penalties.

This submittal and notice of the Board's meeting shall be sent to the property's landowners by certified mail to the address on record.

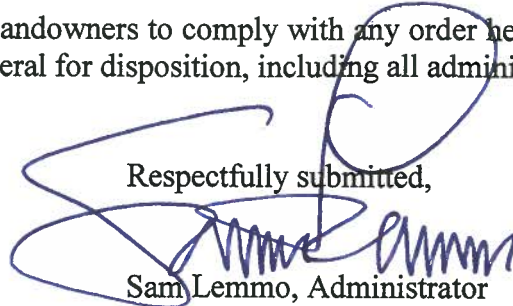
AS SUCH, STAFF RECOMMENDS:

That pursuant to Chapter 183C, HRS, the Board finds the Landowner of TMK: (2) 4-3-015:001 at Keonenui Beach, 'Alaeloa, Maui, in violation of Chapter 183C-7, HRS and Chapter 13-5-6, HAR, subject to the following:

1. The Landowner is fined \$10,000.00 for the Conservation District violation, pursuant to Chapter 183C, HRS;
2. The Landowner is fined an additional \$1,000.00 for administrative costs associated with the subject violation;
3. The Landowner shall pay all fines (total \$11,000.00) within sixty (60) days of the date of the Board's action;
4. The Landowner shall either remove the wall and stairs within three (3) months of the date of the Board's decision on this matter, or alternatively, apply for an after-the-fact permit for the seawall;
5. That in the event of failure of the landowners to comply with any order herein, the landowner shall be fined an additional \$11,000.00 per day until the order is complied with; and

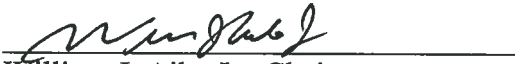
6. That in the event of failure of the landowners to comply with any order herein, the matter shall be turned over to the Attorney General for disposition, including all administrative costs.

Respectfully submitted,



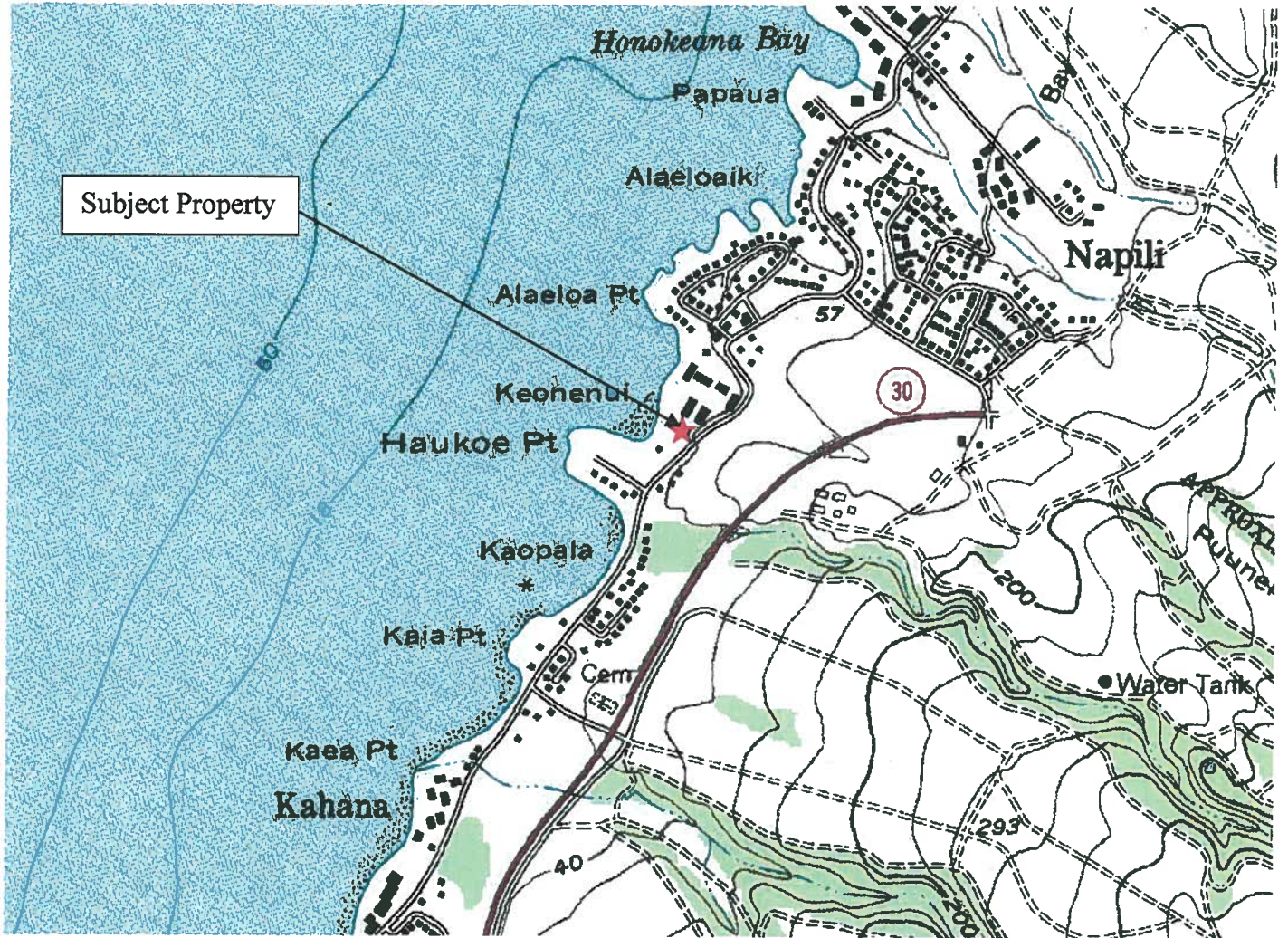
Sam Lemmo, Administrator
Office of Conservation and Coastal Lands

Approved for submittal:



William J. Aila, Jr., Chairperson
Board of Land and Natural Resources

LOCATION MAP



Source: USGS

TAX MAP KEY (2) 4-3-015:001



DEPARTMENT OF TAXATION TAX MAPS BRANCH STATE OF HAWAII	
ZONE	4
SECTION	3
PLAT	15
SCALE 1 IN. = 100 FT.	

FOR PROPERTY ASSESSMENT PURPOSES
SUBJECT TO CHANGE

33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100

EXHIBIT 3

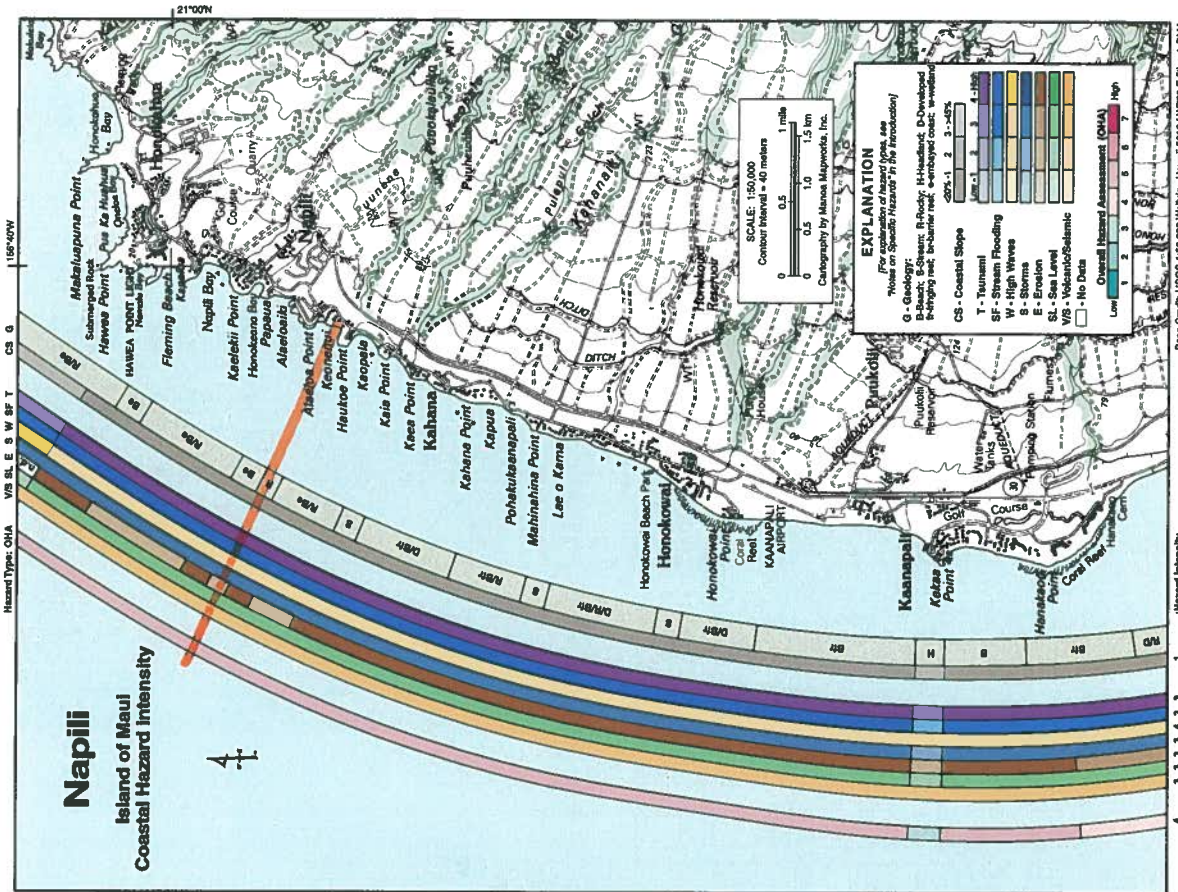
Napili

The highly developed coast of Napili is famous for its luxurious resorts, hotels, and golf courses that are built directly alongside one of Hawaii's most scenic shorelines. The relatively low coastal plain rises only slightly near Napili and Honokahua, where isolated steep areas are partly protected from refracting trade wind waves and northerly winter swell by steep rocky outcrops and points. Offshore, a fringing reef partly dissipates wave energy, acting as a buffer for the beaches that extend along south Kaanapali and within the bays of Kahana, Napili, and Honokahua. Numerous small streams originating in the West Maui Mountains flow across this gently sloping coastal terrace.

The Overall Hazard Assessment (OHA) for the Napili coast is moderate to high (5) and is largely influenced by high tsunami, stream flooding, and erosion hazards and moderately high storm, sea-level rise, and seismicity threats on this Maui coastline. Historically, there have been few tsunamis recorded at Kaanapali. However, a 15 ft tsunami that made landfall there in 1946 supports the high tsunami hazard ranking in this region, except at Kekaa Point, where it is reduced to moderately high. Flash floods and heavy rains, such as in March of 1968, when 24 inches fell in 48 hours, support a high stream-flooding hazard ranking, except at Kekaa Point, where it is moderately low. The threat from high waves is moderately low along the Napili coast, which is partly sheltered from approaching northwest swell by the island of Molokai. Storm and sea-level rise hazards are ranked moderately high, except at the steep Kekaa Point headland, where they are reduced to moderately low. High rates of erosion have recently led to the proliferation of seawalls and revetments to protect coastal property which in turn has exacerbated beach loss. As a result, the erosion hazard is ranked high except at the rocky headlands at Kekaa, Hauko, Alaeolu, and Kaelekii Points, where it is moderately low. The volcanic/seismic hazard is ranked moderately high along the Napili coast due to its location in seismic hazard zone 2. The OHA is reduced to moderate to low (3) at Kekaa Point, while south of Hanakoo Point it is increased to moderate (4), reflecting the greater hazards associated with the lower coastal slope there.



Extensive development has occurred along the small and narrow beaches of the Napili coast, while fossil beachrock ridges near Honokowai, marking the position of the former shoreline, lie submerged offshore as evidence of rapid sea-level rise and erosion.



Napili Island of Maui Coastal Hazard Intensity

EXHIBIT 4



Alaeloa, Maui, Hawaii

Smoothed Erosion Rates

Scale 1:3000



Alaeloa

Maui

The Alaeloa study area extends from Haukoe Point in the south to Namalu Bay in the north. This area is a series of bays and coves with small white sand and cobble pocket beaches interspersed. Offshore is basaltic hard bottom and sand.

As a whole, the area has experienced moderate to high erosion since 1912 with an average AEHR of -0.9 ft/yr. Keonenui Beach (transects 1 - 14) is partially backed by a revetment constructed prior to 1960 to protect private property. The beach has experienced moderate erosion over time with an average AEHR of -1.0 ft/yr. To the north, Alaeloa Beach (transects 17 and 18) occupies a small cove and has experienced moderate erosion with an average AEHR of -1.0 ft/yr. Honokeana Bay (transects 25 - 28) is comprised primarily of cobble beach. It has experienced moderate erosion with an average AEHR of -0.8 ft/yr. Napili Bay (transects 32 - 47) has experienced moderate erosion over time with an average AEHR of -0.8 ft/yr. Kapalua Bay (transects 53 - 62) has experienced moderate erosion since 1912 with an average AEHR of -1.2 ft/yr.

Trends identified in this study generally agree with those found by Sea Engineering, 1991*. At Kapalua Bay, Sea Engineering found this beach to be relatively stable. Rate differences may be attributed to methodology, specifically this study's inclusion of the 1912 and 1960 T-sheet shorelines.

Average beach width, the average horizontal distance from the vegetation line to the low water mark, within the Alaeloa area has decreased 38% between 1949 and 1997. At Keonenui Beach, average beach width has decreased 43% between 1949 and 1997, while average beach width at Alaeloa Beach has decreased 42% for the same time period. Average beach width at both Honokeana and Napili Bays has decreased 33% between 1949 and 1997. Average beach width at Kapalua Bay has decreased 44% between 1949 and 1997.

* Makai Ocean Engineering and Sea Engineering, 1991. Aerial Photograph Analysis of Coastal Erosion on the Islands of Kauai, Molokai, Lanai, Maui and Hawaii. State of Hawaii, Office of Planning Coastal Zone Management Program.

HISTORICAL SHORELINES

- 1912
- Nov 1949
- 1960
- Mar 1975
- Aug 1987
- Mar 1988
- Nov 1992
- May 1997
- Erosion rate measurement locations (shore normal transects)

Historical beach positions, color coded by year, are determined using ortho-rectified and georeferenced aerial photographs and National Ocean Survey (NOS) topographic survey charts. The low water mark is used as the historical shoreline, or shoreline change reference feature (SCRF).

For situations in which there is coastal armoring or rocky shoreline seaward of any vegetation, the vegetation line is drawn along the seaward side of the rock or armoring. If there is no sandy beach in these areas, both the vegetation line and the SCRF are delineated along the mean high water line.

Movement of the SCRF is used to calculate erosion rates along shore-normal transects spaced every 20 m (66 ft) along the shoreline. The 1987 SCRF is not used in the calculation of the AEHR, however it provides a gauge of seasonal uncertainty.

EROSION RATES

Annual Erosion Hazard Rates (AEHR)

Erosion rates are measured every 20 m along the shoreline. These sites are denoted by yellow shore normal transects. The Annual Erosion Hazard Rate (AEHR) is a spatially smoothed center weighted average of calculated erosion rates. Five contiguous transects are incorporated in the smoothing process. The transects are weighted: 1-3-5-3-1 with the smoothed rate assigned to the center transect. The AEHRs are shown on the shore-parallel histogram graph. Colored bars on the graph correspond to shore-normal transects; approximately every fifth transect and bar are numbered. Where necessary, some transects have been purposely deleted during data processing; as a result, transect numbering is not consecutive everywhere. Where complete beach loss has occurred, erosion rate calculations apply only to the time period when a beach existed.

AEHRs for the Alaeloa area were calculated using all data available between 1912 and 1987. Despite some scatter, shorelines between 1912 and 1997 show a reasonably consistent trend and are used to calculate AEHRs for this area.



Prepared for the County of Maui by:
Coastal Geology Group
Department of Geology and Geophysics
School of Ocean and Earth Science and Technology
University of Hawaii at Manoa
1686 East-West Road
Honolulu, Hawaii 96822

Published under
Contract No. GC685

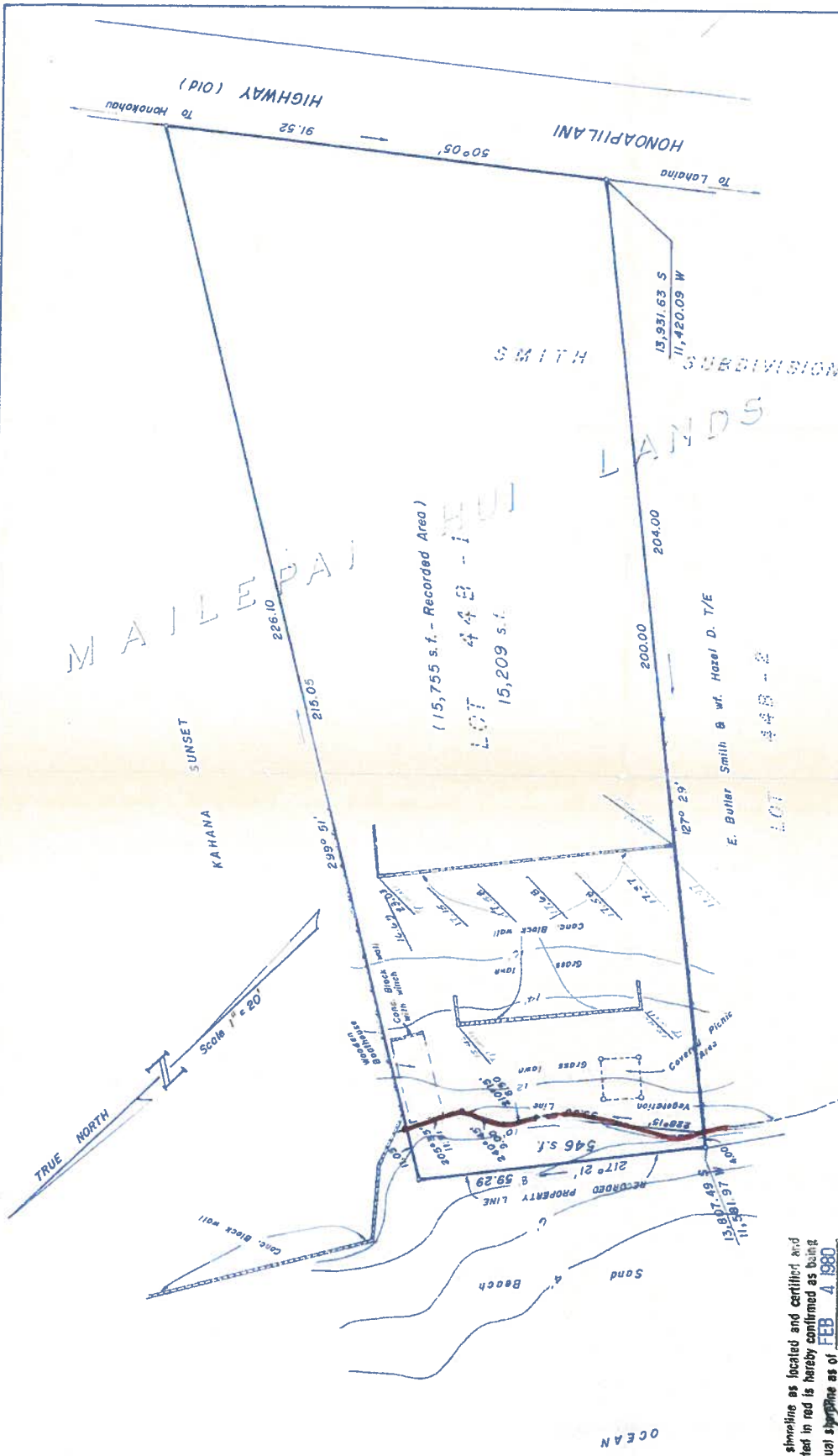
741300m E UTM coordinates
156°40'40" W latitude/longitude coordinates



2003 Oblique Photo

11-29-03

EXHIBIT 6



The shoreline as located and certified and delineated in red is hereby confirmed as being the actual shoreline as of **FEB 4, 1980**

William Taylor
 Chairman, Board of Land and Natural Resources

TOPOGRAPHIC AND SHORELINE SURVEY
 OF
LOT 44B-1 OF THE SMITH SUBDIVISION, MAILEPAI HUI LANDS
 PORTION OF R.R. 1663, L.C. Aw. 5524 TO L. KONIA
 AT ALAELOA, KAAHAPALI, MAUI, HAWAII

SCALE: 1 inch = 20 feet

PREPARED AT REQUESTED OF:

William Taylor
 1853 Anapa Place
 Kihei, Maui, Hawaii 96753

PREPARED BY:
 GEORGE F. NEWCOMER
 LAND SURVEYOR
 Kahului Building, Suite 350
 Kahului, Maui, Hawaii

This work done by me or under my direction.

George F. Newcomer
 REGISTERED PROFESSIONAL LAND SURVEYOR
 CERTIFICATE NO. 2715 - 5

NOTES

1. Adjoining owners from Tax Map Records.
2. Coordinates referred to "MALO 2".
3. Actual field survey done January 4, 1979 at 11:00 A.M.
4. Pipes set of all corners.
5. Shoreline field inspected January 21, 1980, no appreciable change.

Previously approved 3/19/79
 See folder 597-4(4)
 TAX MAP KEY: 4-3-15.1

SURVEY OFFICE COPY

1979



N.W. Corner.
Taken on conc. wall - Kahana Sunset



S.W. Corner.
Taken at edge vegetation line



S.W. Corner
Taken on Property Corner.



N.W. Corner taken on P.

1979



S.W. corner -- looking Northerly
toward Kahana Sunset



N.W. Corner - looking S.W. erly
Taken at concrete wall (Kahana Sunset)

AERIAL PHOTOGRAPH: 1987



Source: Coastal Geology Group

Photos taken: 3/2/09

Henry & Diane Schweitzer, TMK: (2) 4-3-015:001



Shoreline area fronting the Schweitzer property at TMK: (2) 4-3-015:001. Possible encroaching stairway onto the sand shoreline.

U.S. Postal Service
CERTIFIED MAIL RECEIPT
(Domestic Mail Only; No Insurance Coverage Provided)

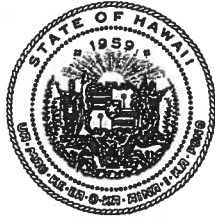
For delivery information visit our website at www.usps.com

OFFICIAL USE

Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$

Postmark
Here

APR 14 2009
dh



**TATE OF HAWAII
OF LAND AND NATURAL RESOURCES**

ONSERVATION AND COASTAL LAND
POST OFFICE BOX 621
ONOLULU, HAWAII 96809

LAURA H. THIELEN
CHAIRPERSON
BOARD OF LAND AND NATURAL RESOURCES
COMMISSION ON WATER RESOURCE MANAGEMENT

RUSSELL Y. TSUJI
FIRST DEPUTY

KEN C. KAWAHARA
DEPUTY DIRECTOR - WATER

AQUATIC RESOURCES
BOATING AND OCEAN RECREATION
BUREAU OF CONVEYANCES
COMMISSION ON WATER RESOURCE MANAGEMENT
CONSERVATION AND COASTAL LANDS
CONSERVATION AND RESOURCES ENFORCEMENT
ENGINEERING

FORESTRY AND WILDLIFE
HISTORIC PRESERVATION
KAHOOLAWE ISLAND RESERVE COMMISSION
LAND
STATE PARKS

Sent To
Henry H. Schweitzer

Street, Apt. No.,
or PO Box No. **4885 Lower Honoapiilani Rd.**

City, State, ZIP+4
Lahaina, HI. 96761

PS Form 3800, August 2006 See Reverse for Instructions

7008 1140 0001 0730 7403

Henry H. Schweitzer
4885 Lower Honoapi'ilani Road
Lahaina, Hawai'i 96761

ENF: MA 09-25

APR 14 2009

**SUBJECT: ALLEGED UNAUTHORIZED SHORELINE USE WITHIN THE
CONSERVATION DISTRICT LOCATED AT TMK: (2) 4-3-015:001**

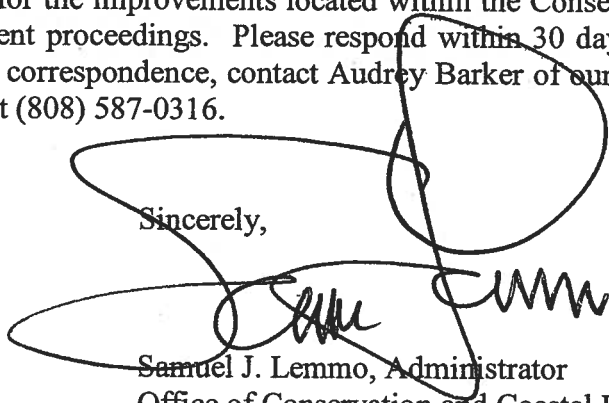
Dear Mr. Schweitzer:

The Office of Conservation and Coastal Lands (OCCL) has received a complaint regarding a concrete and rock stairway extending from the seawall down into the ocean waters fronting the condominium complex. It also appears that a portion of the stairs may be encroaching on land within the Conservation District, under the jurisdiction of the State of Hawai'i.

According to the Maui Planning Department, there was a Notice of Warning issued in 2003 to the property regarding a seawall construction without a permit. OCCL also has no records of approval for the seawall or the stairway.

Please provide authorizing documentation and construction date for the seawall and stairways. Should there be no authorization for the improvements located within the Conservation District, the OCCL may initiate enforcement proceedings. Please respond within 30 days. Should you have any questions regarding this correspondence, contact Audrey Barker of our State Office of Conservation and Coastal Lands at (808) 587-0316.

Sincerely,



Samuel J. Lemmo, Administrator
Office of Conservation and Coastal Lands

C: Chairperson
MDLO
County of Maui, Department of Planning

EXHIBIT 10,

U.S. Postal Service™
CERTIFIED MAIL™ RECEIPT
(Domestic Mail Only; No Insurance Coverage Provided)

For delivery information visit our website at www.usps.com

OFFICIAL USE

Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$

Postmark
Here

MAY 26 2009

ab



STATE OF HAWAII
DEPARTMENT OF LAND AND NATURAL RESOURCES

OFFICE OF CONSERVATION AND COASTAL LAND
POST OFFICE BOX 621
HONOLULU, HAWAII 96809

Laura H. Thiele
CHAIRPERSON
BOARD OF LAND AND NATURAL RESOURCES
COMMISSION ON WATER RESOURCE MANAGEMENT

Russell Y. Tsuji
FIRST DEPUTY

Ken C. Kawahara
DEPUTY DIRECTOR - WATER

AQUATIC RESOURCES
BOATING AND OCEAN RECREATION
BUREAU OF CONVEYANCES
COMMISSION ON WATER RESOURCE MANAGEMENT
CONSERVATION AND COASTAL LANDS
CONSERVATION AND RESOURCES ENFORCEMENT
ENGINEERING
FORESTRY AND WILDLIFE
HISTORIC PRESERVATION
KAHOOLAWE ISLAND RESERVE COMMISSION
LAND
STATE PARKS

Sent To	Henry H. Schweitzer
Street, Apt. No., or PO Box No.	4885 Lower Honoapiilani Rd.
City, State, ZIP+4	Lahaina, Hawaii 96761

PS Form 3800, August 2006 See Reverse for Instructions
7008 1140 0001 0730 7564

Complaint: MA 09-25

MAY 26 2009

Henry H. Schweitzer
4885 Lower Honoapi'ilani Road
Lahaina, Hawai'i 96761

**SUBJECT: ALLEGED UNAUTHORIZED SHORELINE USE WITHIN THE
CONSERVATION DISTRICT LOCATED AT TMK: (2) 4-3-015:001**

Dear Mr. Schweitzer:

The Office of Conservation and Coastal Lands (OCCL) received a complaint regarding a concrete and rock stairway extending from your property down into the ocean waters (see attachment). It also appears that a portion of the stairs may be encroaching on land within the Conservation District, under the jurisdiction of the State of Hawai'i.

According to the Maui Planning Department, there was a Notice of Warning issued in 2003 to the property regarding a seawall construction without a permit. OCCL also has no records of approval for the seawall or the stairway. Please provide authorizing documentation and construction date for the seawall and stairways.

On April 14, 2009, the OCCL sent you a letter regarding this matter, and requested you respond within 30 days. The OCCL has received no response to date.

Please respond within **15 days** of receiving this letter. Should we not receive a response from you, the Department intends to bring this matter to the attention of the Board of Land and Natural Resources (BLNR) as an alleged violation of Hawai'i Revised Statute Chapter 183C-7 and rules promulgated pursuant to that chapter.

Should you have any questions, contact Audrey Barker of OCCL at (808) 587-0316 or audrey.t.barker@hawaii.gov.

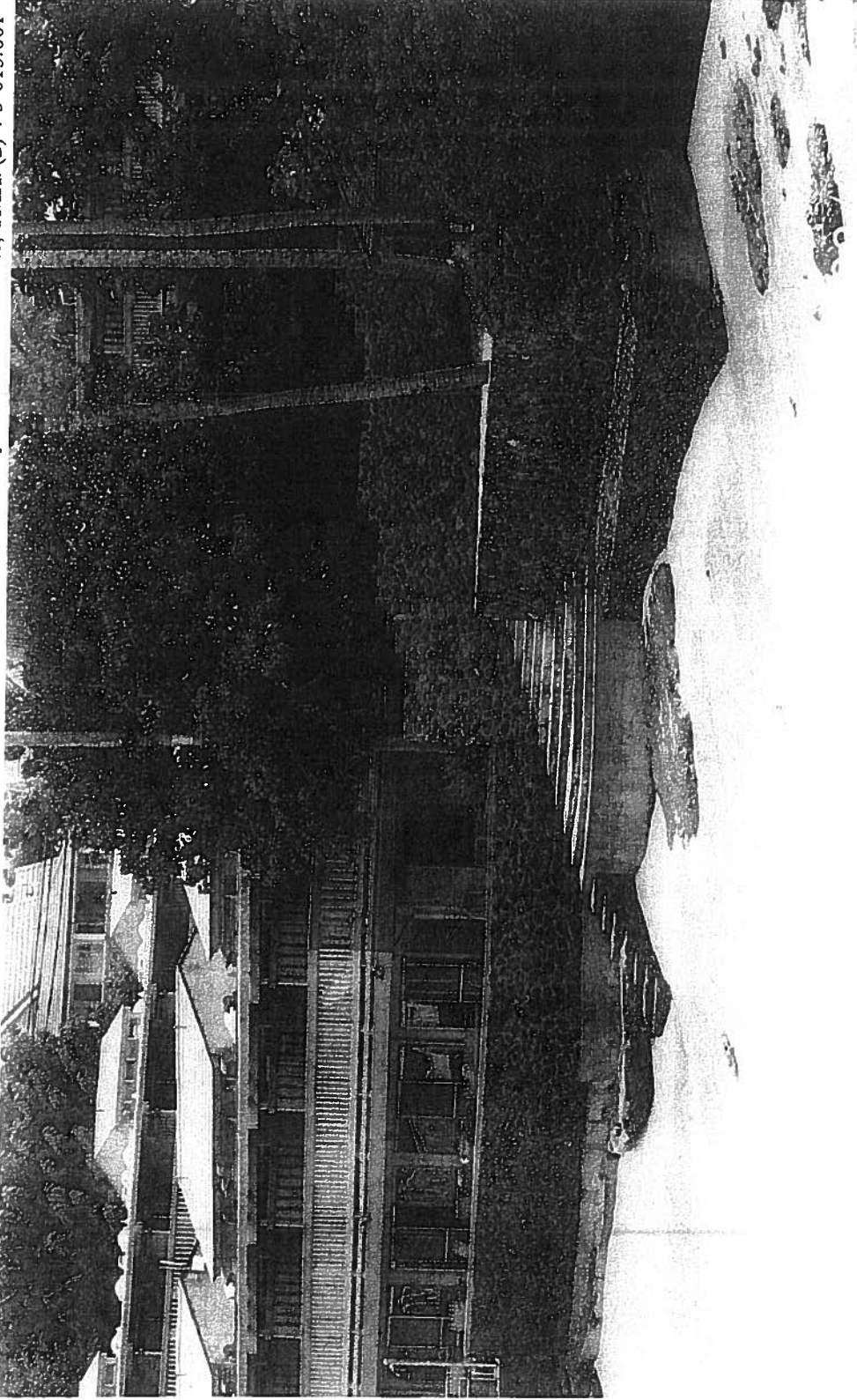
Sincerely,

Samuel J. Lemmo, Administrator
Office of Conservation and Coastal Lands

EXHIBIT 10₂

Photos taken: 3/2/09

Henry & Diane Schweitzer, TMK: (2) 4-3-015:001



Shoreline area fronting the Schweitzer property at TMK: (2) 4-3-015:001. Possible encroaching stairway onto the sand shoreline.

U.S. Postal Service
CERTIFIED MAIL RECEIPT
(Domestic Mail Only; No Insurance Coverage Provided)
 For delivery information visit our website at www.usps.com
OFFICIAL USE

Postage	\$	Postmark Here JUN 30 2009
Certified Fee		
Return Receipt Fee (Endorsement Required)		
Restricted Delivery Fee (Endorsement Required)		
Total Postage & Fees	\$	



STATE OF HAWAII
DEPARTMENT OF LAND AND NATURAL RESOURCES
Conservation and Coastal Lands
 POST OFFICE BOX 621
 HONOLULU, HAWAII 96809

LAURA H. THIELEN
 CHAIRPERSON
 BOARD OF LAND AND NATURAL RESOURCES
 COMMISSION ON WATER RESOURCE MANAGEMENT

RUSSELL Y. TSUJI
 FIRST DEPUTY

KEN C. KAWAHARA
 DEPUTY DIRECTOR - WATER

AQUATIC RESOURCES
 BOATING AND OCEAN RECREATION
 BUREAU OF CONVEYANCES
 COMMISSION ON WATER RESOURCE MANAGEMENT
 CONSERVATION AND COASTAL LANDS
 CONSERVATION AND RESOURCES ENFORCEMENT
 ENGINEERING
 FORESTRY AND WILDLIFE
 HISTORIC PRESERVATION
 KAHOOLOAWE ISLAND RESERVE COMMISSION
 LAND
 STATE PARKS

Sent to Henry H. Schweitzer

Street, Apt. No., or PO Box No. 4885 Lower Honoapiilani Rd.

City, State, ZIP+4 Lahaina, HI. 96761

PS Form 3800, August 2006 See Reverse for Instructions

ENF: MA-09-54
 JUN 30 2009

CERTIFIED MAIL
 7008 1140 0001 0730 7663

Henry H. Schweitzer
 4885 Lower Honoapi'ilani Road
 Lahaina, Hawai'i 96761

SUBJECT: Alleged Unauthorized Land Use within the Conservation District, Located at Kahana, Maui, TMK: (2) 4-3-015:001

Dear Mr. Schweitzer:

The Department of Land and Natural Resources (DLNR) Office of Conservation and Coastal Lands (OCCL) has reviewed the complaint regarding a concrete and rock stairway extending from your property down into the ocean waters.

On April 14, 2009, the OCCL sent you a letter and requested your response within 30 days. On May 26, 2009, the OCCL sent you a follow-up letter and requested your response within 15 days. To date, the OCCL has received no response from you.

According to our records, the shoreline was previously certified in 1979. The shoreline survey and photographs from this record provide evidence that the current seawall and concrete stairs were not there in 1979.

Both the Maui Planning Department and OCCL have no records of approval for construction of the subject seawall or stairs. In addition, the Maui Planning Department issued a Notice of Warning in 2003 to your property regarding seawall construction without a permit.

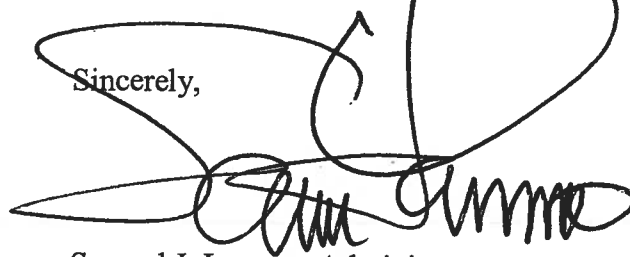
It appears that a portion of the stairs are encroaching on land within the Conservation District, under the jurisdiction of the State of Hawai'i, and OCCL believes that a Conservation District use violation has occurred. The construction of a seawall and concrete stairs requires authorization, which you did not receive. At a minimum, seawalls in the Conservation District require a Board permit. The subject unauthorized land uses must be resolved.

EXHIBIT 10₃

We shall be forwarding this matter to the attention of the Board of Land and Natural Resources (BLNR) as an alleged violation of Hawai'i Revised Statute Chapter 171-6(12) and Chapter 183C-7, and rules promulgated pursuant to these chapters

A staff report with recommendations shall be forwarded to you by certified mail notifying you of the date, time, and place of the Board meeting regarding this Conservation District enforcement action. Should you have any questions, contact Audrey Barker of OCCL at (808) 587-0316 or audrey.t.barker@hawaii.gov.

Sincerely,

A handwritten signature in black ink, appearing to read 'Samuel J. Lemmo', written over a large, loopy scribble.

Samuel J. Lemmo, Administrator
Office of Conservation and Coastal Lands

c: Chairperson
MDLO
DOCARE
Thorne Abbott, Maui Planning Department

Photos taken: 7/14/09

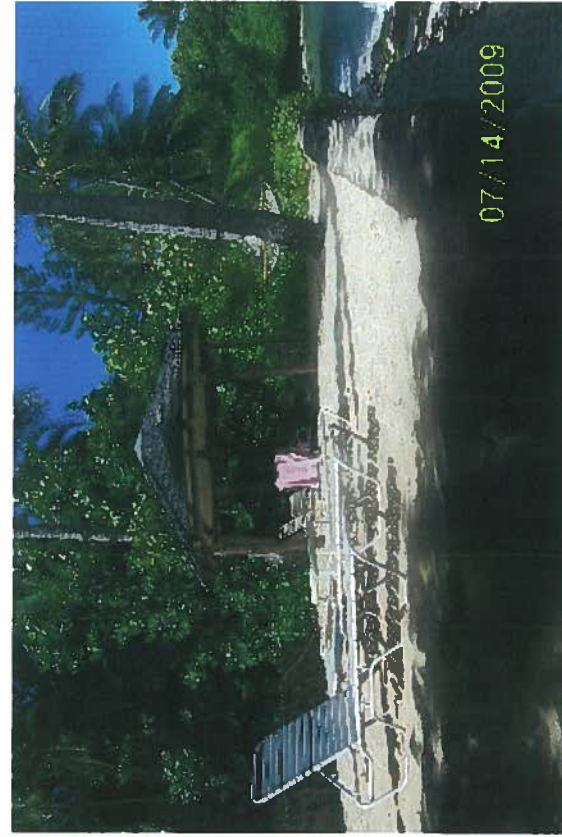
Henry Schweitzer, TMK: (2) 4-3-015:001



Photos of improvements made along the shoreline and within the SMA area at the Schweitzer property at 4885 Lower Honoapiilani Road, Lahaina HI.



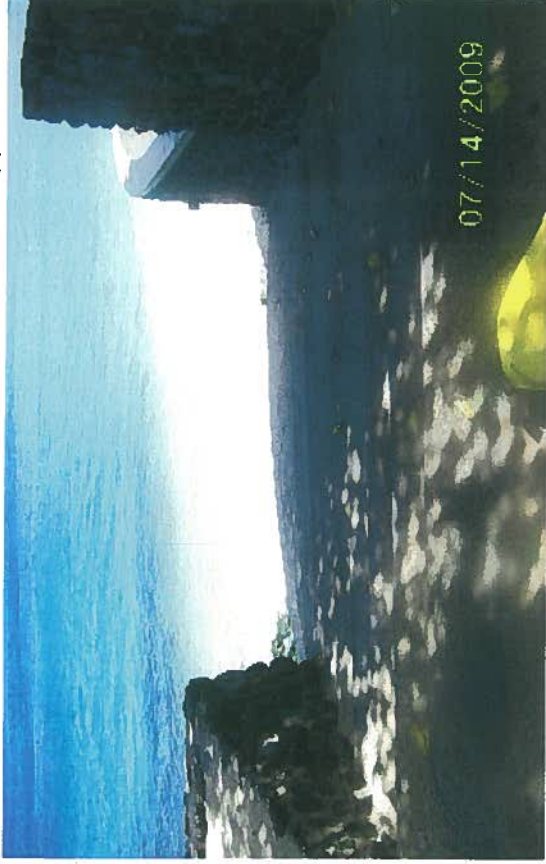
Concrete stairway leading to the shoreline line of Kahana Bay.



Terraced area filled with sand with a wood gazebo.

Photos taken: 7/14/09

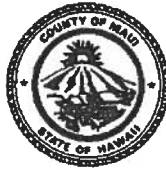
Henry Schweitzer, TMK: (2) 4-3-015:001



Improvements at the makai side of the Schweitzer property. Property overlooks Kahana Bay.



CHARMAINE TAVARES
Mayor
JEFFREY S. HUNT
Director
KATHLEEN ROSS AOKI
Deputy Director



COUNTY OF MAUI
DEPARTMENT OF PLANNING

July 23, 2009

Certified Receipt No. (7008 3230 001 1256 5849)

Mr. and Mrs. Henry Schweitzer
4885 Lower Honoapiilani Road
Lahaina, Hawaii 96761

Dear Mr. and Mrs. Schweitzer:

**RE: FIRST (1ST) REQUEST FOR CORRECTION FOR A CONFORMITY WITHIN
THE SHORELINE AREA**

TMK: (2) 4-3-015:001-0000
RFS No.: 09-0002157
Description: Failure to obtain shoreline setback determination for a property located at 4885 Lower Honoapiilani Road, Maui, Lahaina, Hawaii

Based on the evidence collected on **July 7, 2009**, we find that the construction of concrete seawall and concrete stairs leading to the ocean, does not comply with §§12-203-10, 12-203-11, 12-203-12(a)(8), 12-203-12(b), 12-203-12(c) and 12-203-13(a), Shoreline Rules for the Maui Planning Commission, as amended, and shall be removed by **August 7, 2009**. Evidence of the aforementioned non-conformity includes photos and county/state records.

Please be advised that a follow-up investigation will be performed, and if not in compliance, you will be subject to civil and criminal enforcement action.

Should you have any questions concerning this notice, you may contact me at Sonny.Huh@mauicounty.gov or (808)270-7810.

Sincerely,

A handwritten signature in black ink, appearing to read "Sonny Huh".

Sonny Huh
Zoning Inspector

xc: Thorne Abbott, Staff Planner
Jay Arakawa, Supervising Zoning Inspector (via e-mail)
Sonny Huh, Zoning Inspector (via e-mail)
RFS No. 09-0002157 (KIVA related document; RFS Project File)
09/General File

AHS:SH:ckk

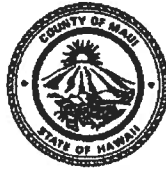
S:\ZONING\RFS\2009\2157_SCHWEITZER_SEAWALL\NOW\NOW1_2.DOC (rev. 05.09)

EXHIBIT 12₁

CHARMAINE TAVARES
Mayor

JEFFREY S. HUNT
Director

KATHLEEN ROSS AOKI
Deputy Director



COUNTY OF MAUI
DEPARTMENT OF PLANNING

July 23, 2009

Certified Receipt No. (7008 3230 0001 1256 5849)

Mr. and Mrs. Henry Schweitzer
4885 Lower Honoapiilani Road
Lahaina, Hawaii 96761

Dear Mr. and Mrs. Schweitzer:

**RE: FIRST (1ST) REQUEST FOR CORRECTION FOR A NON-CONFORMITY
WITHIN THE SPECIAL MANAGEMENT AREA (SMA)**

TMK: (2) 4-3-015:001-0000
RFS No.: 09-0002157
Description: Failure to obtain SMA permit for a property located at
4885 Lower Honoapiilani Road, Maui, Lahaina,
Hawaii

Based on the evidence collected on July 7, 2009, we find that the construction of concrete seawall and concrete stairs leading to the ocean, does not comply with §12-202-12, SMA Rules for the Maui Planning Commission, as amended, and shall be removed by **August 7, 2009**. Evidence of the aforementioned non-conformity includes: photos and county/state records.

Please be advised that a follow-up investigation will be performed, and if not in compliance, you will be subject to civil and criminal enforcement action.

Should you have any questions concerning this notice, you may contact me at Sonny.Huh@mauicounty.gov or (808)270-7810.

Sincerely,

A handwritten signature in black ink, appearing to read "Sonny Huh".

Sonny Huh
Zoning Inspector

xc: Jay Arakawa, Supervising Zoning Inspector (via e-mail)
Sonny Huh, Zoning Inspector (via e-mail)
RFS No. 09-0002157 (KIVA related document; RFS Project File)
09/General File

EXHIBIT 12₂

AHS:SH;ckk
S:\ZONING\RFS\2009\2157_SCHWEITZER_SEAWALL\NOW\NOW1_1.DOC (rev. 05.09)

PAUL R. MANCINI*
THOMAS D. WELCH, JR.
JAMES W. GEIGER

COUNSEL
ROSALYN LOOMIS

*A LAW CORPORATION

MANCINI, WELCH & GEIGER LLP
A LIMITED LIABILITY LAW PARTNERSHIP

RECEIVED
OFFICE OF CONSERVATION
LAND AND NATURAL RESOURCES
THE KAHULUI BUILDING
33 LONO AVE., SUITE 470
KAHULUI, HAWAII
96732-1681

2012 APR 17 A 10:10

DEPT. OF LAND & NATURAL RESOURCES
STATE OF HAWAII
TELEPHONE:
(808) 871-8351

FACSIMILE:
(808) 871-0732

April 16, 2012

Mr. Sam Lemmo
State of Hawaii
Department of Land and Natural Resources
Planning Section
P.O. Box 621
Honolulu, Hawaii 96809

RE: Chronology with regard to Building Permits for Construction of Seawall; TMK: 4-3-15:1

Dear Sam:

This is to follow up on our conversation concerning the above.

Find attached a chronology I put together with regard to the application for permits for the construction of a seawall. Please review it and phone me when you have an opportunity. The Schweitzers would not have proceeded and purchased the property without the seawall being permitted and constructed.

Very truly yours,

MANCINI, WELCH & GEIGER LLP


Paul R. Mancini

PRM:ta

Enclosure

cc: Hoyle and Diane Schweitzer

EXHIBIT 13

**CHRONOLOGY WITH REGARD TO BUILDING PERMIT
FOR CONSTRUCTION OF SEAWALL ON NAPILI PROPERTY**

<u>Date</u>	<u>Narrative on Documentation</u>
April 1, 1979	Maui County Board of Realtor's residential property listings. Office of Michael McCormick. Remarks: "Permit obtained for construction of retaining wall which will be completed".
November 1, 1979	Agreement of Sale between Schweitzers and Graham Trust.
December 28, 1979	Construction plans by Stephen Pitt for Chapman seawall. Schweitzer acquisition documentation.
January 23, 1980	Letter from George Newcomer to Alvin Haake, Land Agent, Department of Land and Natural Resources transmitting prints of shoreline certification by Saito to Taylor Construction. Pictures being transmitted showing gazebo.
January 25, 1980	Taylor Construction Company memo to Hoyle Schweitzer regarding shoreline verification with regard to property.
May 18, 1980	Letter from Hoyle Schweitzer to Tosh Ishikawa explaining urgency and necessity of construction of seawall on Napili property.
May 24, 1980	Letter from Taylor Construction to Hoyle Schweitzer asking for additional letter and documents to be submitted to Tosh Ishikawa. Notation shows the same being accomplished on May 29, 1980.
July 28, 1980	Construction Agreement with Taylor Construction and Mr. & Mrs. Hoyle Schweitzer for construction of seawall.
November 12, 1984	Deed from Charles Edward Graham, Trustee for Graham Trust to Henry Hoyle Schweitzer and Diane Adele Schweitzer.

**MAUI COUNTY BOARD OF REALTORS
RESIDENTIAL**

Price	List Date	Exp. Date	Waterfront
\$1,150,000.00	4/1/79	11/1/79	yes

VIEW: OCEAN
 MOUNTAIN

MLS 3258	Address Rural Route 1 - Box 471-A Kahana, Maui, Hawaii 96761						
District Kahana	Land Area 15,755	Roofed Area Main 1962 Other* 460		Age 13	FS LH	Reg xxxxxx	
Schools Kamehameha III Lahaina Intermed Lahainaluna		Div 2	Zone 4	Sect 3	Plat 15	Parcel 1	
Bdrms Two	Stories One	Lot 91		X226irr.			
Baths Two	Enc Lndy Yes	Sewer No		Conn Yes			
Md. Qtrs <input type="checkbox"/>	Roof Shake	Ass. Bal. S		None			
Gar.* 460 sq. ft.	Floor Quarry, Asphalt	Paid By					
Patio* <input type="checkbox"/>	Constr DW WF	Ass. Val 19		79/80			
Lanai 36'x12' <input type="checkbox"/>	Cond Excellent	Land S		120,667			
Fam Rm -----	Easemnts None	Impr. S		34,406			
Din Rm Area	Set-back 20 ft.	1978 Taxes		\$1,160/yr.			
Pool <input type="checkbox"/>	Topo Slop/Terrace	Home Exempt		No			
Incl: Htr/Rng/Refri	Dw/Disp/ W/D	Drps/ TV Cable					
And: Bedroom drapes, Bedspreads match wallpaper							
Lessor FEE	Rent \$	Term					
Ren. Date	Expr						
Exist 1st Mort.	\$	\$	Mo				
Now @	% P&I or all incl	Exist 2nd or A/S	\$90,000 @ 8% - 4yr				
Will Accept: Cashout remain.							
	C 6%	S 50	L 50				

Remarks: **FURNISHED** Not in price
Oceanfront w/59 ft. golden sand beach. Well maintained/landscaped. Garden off master bath, 2 outdoor showers, auto. sprinklers, outdoor lighting, boat shed. Permit obtained for construc. of retaining wall which will be completed. Shown by appoint. only thru Listor. 24 hrs. notice, please.

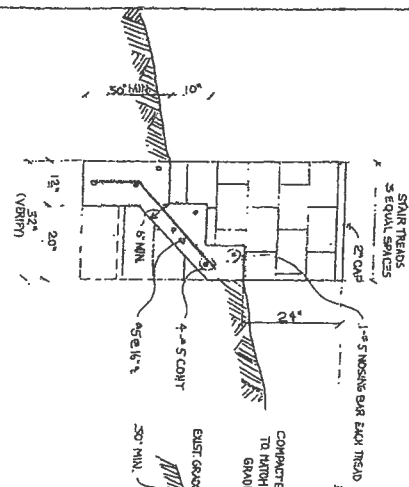
Off Mike McCormack WV	No. 80402	Ph. 661-3607
Lewel Wieck	No.	Ph. 661-0924

*Revised
\$2000*

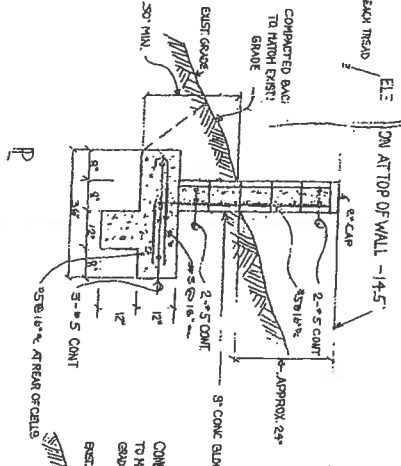
GENERAL NOTES

1. CONCRETE TO BE F.C. - 3000 PSI & 28 DAYS
2. REBAR TO BE IMMEDIATE GRADE UNLESS
3. NOTED TO BE F.C. - 2500 PSI & 28 DAYS
4. MONITOR TO BE F.C. - 2000 PSI & 28 DAYS
5. PROVIDE 3" CLEAR COVER BETWEEN EARTH AND REBAR
6. LAP ALL REBAR 24 INCHES MINIMUM
7. BLOCK TO BE LAID BY RUNNING 2200
8. GROUT ALL CELLS SOLID WITH GROUT
9. FOR LAYOUT OF WALL, CONTRACTOR IS DIRECTED TO REFER TO SURVEILING SURVEY BY GEORGE F. (RECORDED DATED MARCH 9, 1978). LAYOUT OF WALL MAY BE VARIED SLIGHTLY AT CONTRACTOR'S OPTION TO ATTAIN BETTER USE OF LOT
10. NO PORTION OF SEAWALL OR ITS FOOTING MAY PROJECT BEYOND THE SIDEWALK OR THE PROPERTY LINE.
11. FOOTING OF SEAWALL TO BE LOCATED ON FIRM UNDISTURBED SURFACE
12. CONTRACTOR TO NOTIFY STRUCTURAL ENGINEER 48 HOURS PRIOR TO POURING CONCRETE FOOTING

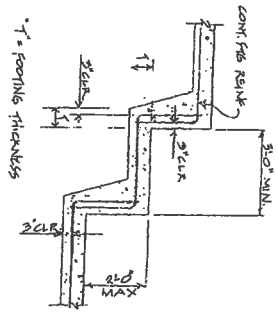
(A) STAIR SECTION
SCALE = 1"=1'-0"



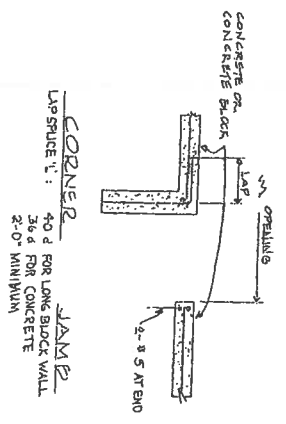
(B) WALL SECTION
SCALE = 1"=1'-0"



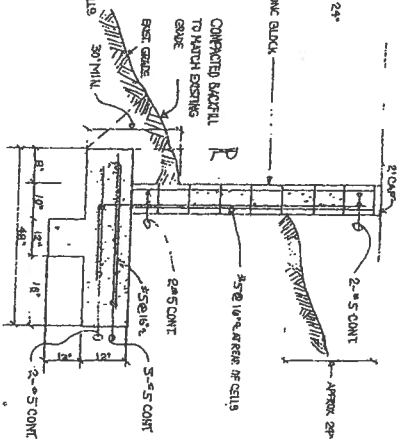
(2) TYP STEPPED FOOTING DETAIL
NO SCALE



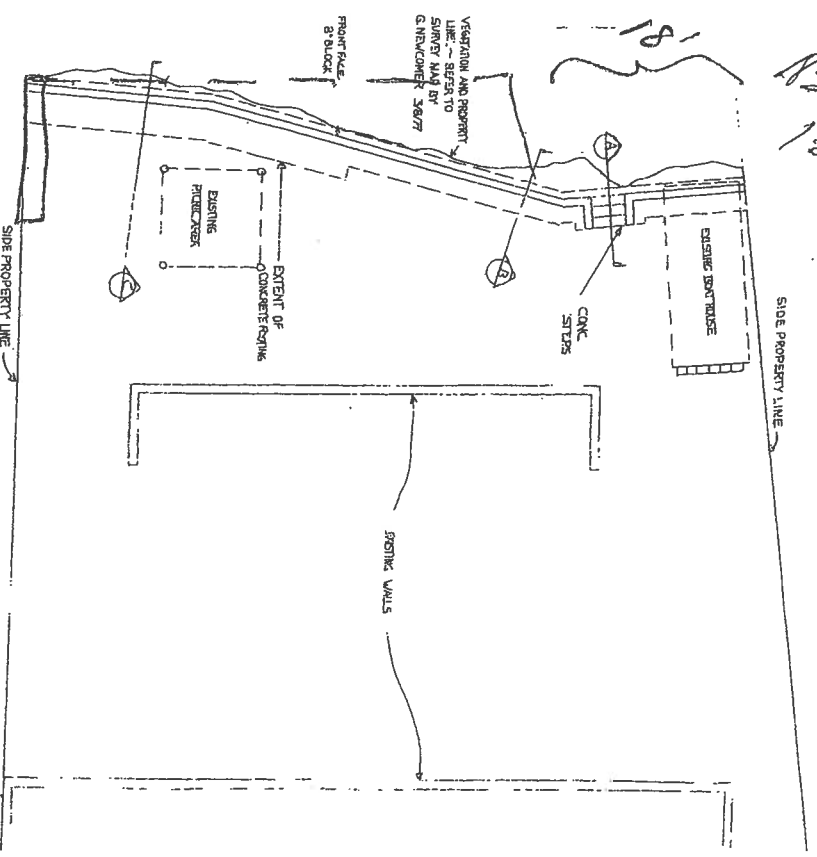
(1) TYPICAL HORIZONTAL REINFORCING
NO SCALE



(C) WALL SECTION
SCALE = 1"=1'-0"



(3) SITE PLAN
SCALE 1"=5'



NS / NORMAN SAITO ENGINEERING CONSULTANTS, INC.
civil · structural · surveying

January 23, 1980

REFER: 581-8

Mr. Alvin Haake
Dept. of Land &
Natural Resources
State of Hawaii
Wailuku, HI 96732

Dear Mr. Haake:

SUBJECT: Shoreline Recertification of Lot 44B-1 - Smith Subdivision
Alaaloa, Kaanapali, Maui - TMK: 4-3-15:1

Dear Mr. Haake:

We are transmitting herewith six prints of the above for your processing.
An inspection of the property showed no appreciable change from the
shoreline certified March 19, 1979.

Thank you for your cooperation, should you have any questions please
contact us.

Very truly yours,

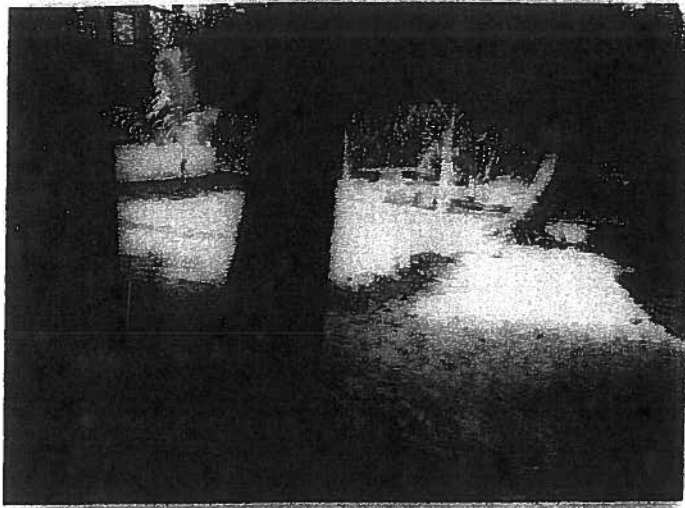
NORMAN SAITO ENGINEERING
CONSULTANTS, INC.

BY *George F. Newcomer*
George F. Newcomer
Registered Professional
Land Surveyor

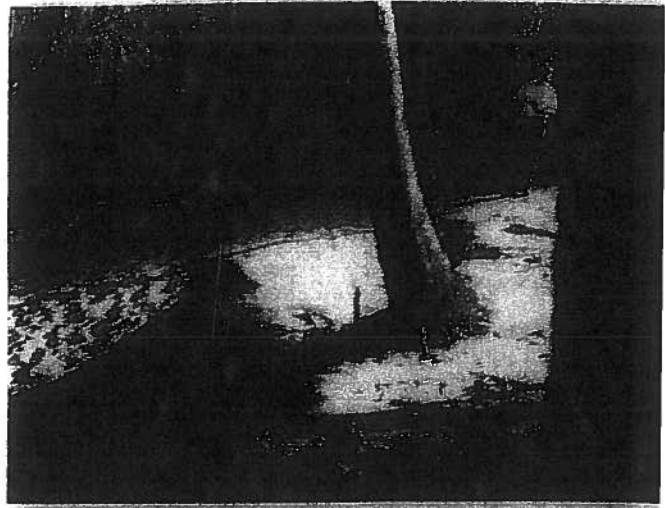
ahh

Enclosures

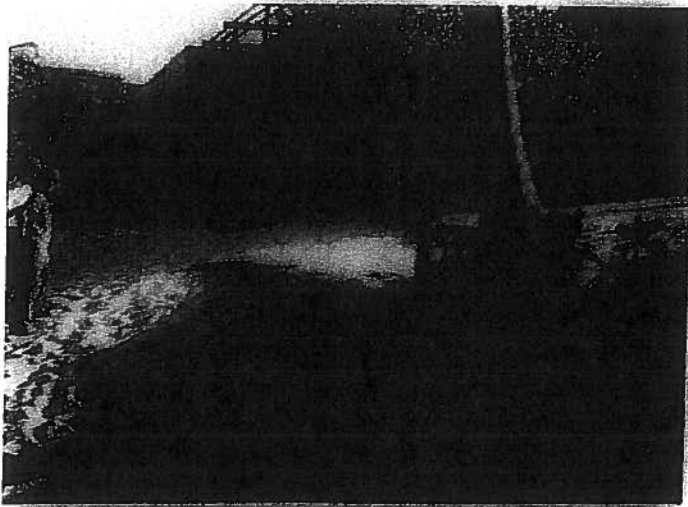
Exc: W. Taylor w/1 prt.



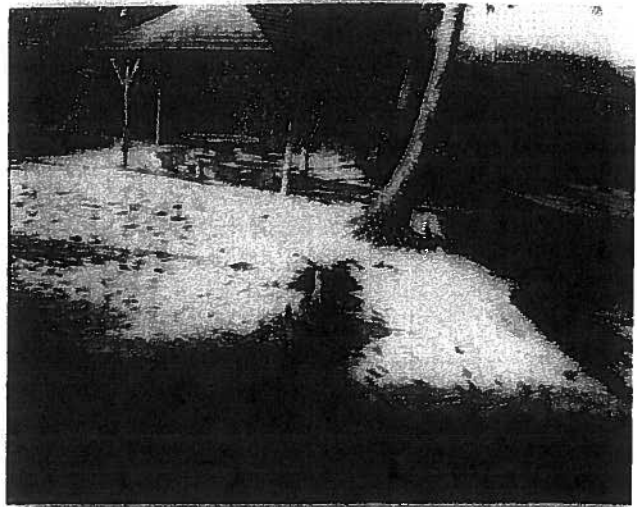
1100 Corner
To ...



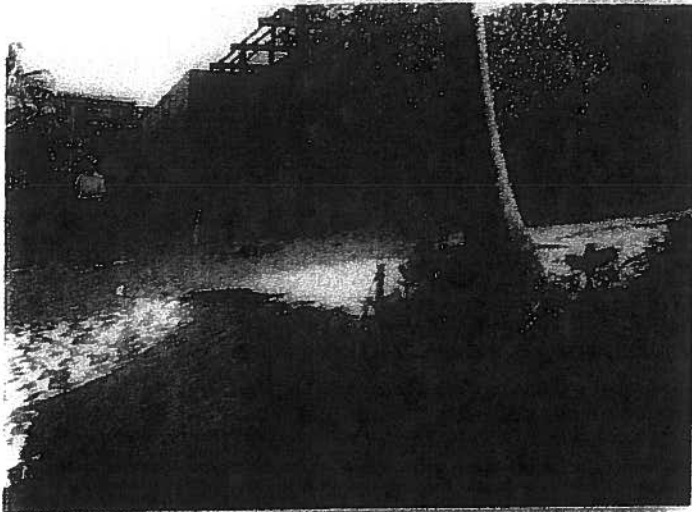
1100 Corner
To ...



1100 Corner
To ...

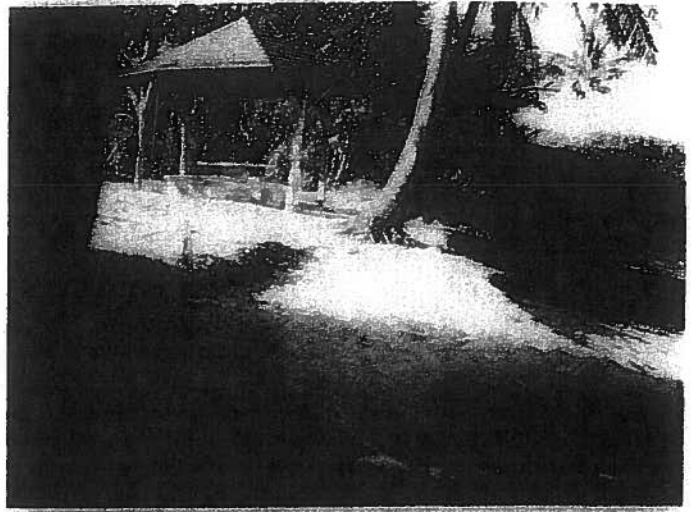


1100 Corner taken on ...



— Garden - looking S. slightly

k —



— N.W. Garden - looking S. slightly

— To see a wall (to S. side)

TAYLOR CONSTRUCTION CO.

973 PALAPALA DRIVE • KAHULUI, MAUI, HAWAII 96732 • PHONE 877-5441

DATE

Mr. Hoyke Schwartz
1033 Princeton Drive
Manning Deck Key,
Cahoon Farm - 96231

DATE

1-22-80

Enclosed are the
Plans for your workshop -
I did a few revisions on
them I hope you are pleased
with the results -

There is also an outside drainage
we can get you the line material
cases without a new survey -
That could save several thousands.

Sincerely,
Taylor Construction Co.

DATE

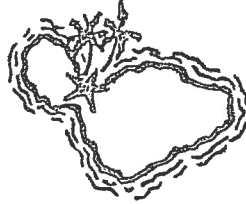
JAN 25 1980

Still need Home
plans
For re wall

SIGNED

Maui

TAYLOR



CONSTRUCTION CO

May 18, 1980

May 29 1980

Mr. Toshio Ishikawa
Planning Director
County of Maui
200 South High Street
Wailuku, Hawaii 96793

Dear Sir:

As per your request, I am submitting for your consideration this letter which explains the urgency and necessity of construction of a sea wall on my property in Napili.

Due to the storms which occur from time to time and especially this last winter in Napili, our shoreline is being eroded away and some damage has been done to our property. We have hesitated requesting or being involved in the construction of a sea wall, however, after looking at the alternatives and determining there will be no adverse effects to the shoreline, we see it is a must.

We have hired Norman Saito Engineering Consultants, who have surveyed the land and obtained a shoreline verification. We have also acquired the services of Stephen Pitt, a structural engineer, who has designed the wall according to the scope of the problem. We are under contract to Taylor Construction Company to build the wall when everything has been approved.

We are submitting all of this information including authorization for Taylor Construction to build the structure and trust approval will be forthcoming as soon as possible.

Sincerely,

Hoyle Schweitzer
Hoyle Schweitzer

HS/bt
Encl.

TAYLOR CONSTRUCTION CO.

973 PALAPALA DRIVE • KAHULUI, MAUI, HAWAII 96732 • PHONE 877-5441

TO Mr. Hoyle Schweitzer
1038 Princeton Drive
Marina Del Ray, California 90291

DATE

DATE May 24, 1980

Dear Hoyle:

Please execute the attached letter to Mr. Toshio Ishikawa so we can commence with the next phase of this project. Return this to me so I can submit it with the other necessary documents. Thanks.

Bill
5-24-80

BY

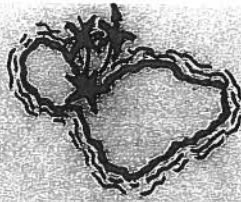
Bill

SIGNATURE

11 Do. de. ide U der. Ke 2 Sc id P. cc ss zar. H. 1. Yr reply 2. De. 000 ref. W 1 ic Sender.

TAYLOR

973 PALAPALA DRIVE



CONSTRUCTION CO.

NASKA, MAUI, HAWAII 96732 - TELEPHONE 877-5441

Job No. _____

This Agreement, made this 28th day of July, 1981, by and between Taylor Construction Company, hereinafter called the Contractor, and Mr. and Mrs. Doyle Schweitzer jointly and/or severally, hereinafter called the Owner.

The Contractor agrees to furnish all labor and materials to perform at Maui, Maui the following described work:

To construct a sea wall as per plans and specifications drawn by a registered Structural Engineer, approved by the Owners and also by Taylor Construction Company. These plans will also be accompanied by all permits necessary for construction.

Costs of this Sea Wall will be charged on a basis of invoice plus overhead and 10% Profit. All payments are to be made to Taylor Construction within 10 days after invoices are submitted.

Exclusions _____

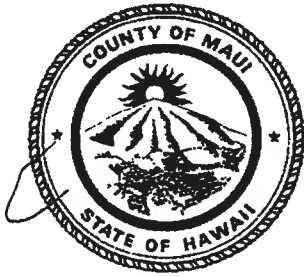
The Owner agrees to pay the Contractor, or his designated agent or assignee, for the performance of the above described work the sum of _____

_____ DOLLARS (\$ _____), payable as follows:

The parties further agree to perform and be bound by the terms and conditions set forth on the reverse side hereof and hereby made a part hereof.

Accepted by _____
By _____ (Owner)

_____ (Owner)



COUNTY OF MAUI
DEPARTMENT OF PLANNING
ZONING ADMINISTRATION AND
ENFORCEMENT DIVISION
250 SOUTH HIGH STREET
WAILUKU, MAUI, HAWAII 96793
 Telephone: (808) 270-7253
 Facsimile: (808) 270-7634
 E-mail: planning@mauicounty.gov

FILE NO	NOV 2012/0020
RFS NO	09-0002157
DATE OF NOTICE	August 29, 2012
DATE OF VIOLATION	June 30, 2009
CONTINUING VIOLATION	[X] YES [] NO
COLLECTED FINE	NONE
CERTIFIED RECEIPT NO	See Below

NOTICE OF VIOLATION
SHORELINE - MAUI

TO:	Certified Receipt No. (7009 0960 0000 5324 3006) Henry and Diane Schweitzer 4885 Lower Honoapiilani Lahaina, Hawaii 96761	Certified Receipt No. (7009 0960 0000 5324 3013) Paul R. Mancini Esq. Mancini, Welch, & Geiger LLP 33 Lono Avenue, Suite 470 Kahului, Hawaii 96732
------------	---	---

RE:	TMK (2) 4-3-015:001-0000	PERMIT NO
------------	---------------------------------	------------------

ADDRESS	4885 Lower Honoapiilani Road Lahaina, Hawaii
----------------	--

I have inspected the below described structure(s) and/or premises and have found the following violation(s) of §§12-203-10, 12-203-11, 12-203-12(b), 12-203-12(c) and 12-203-13(a), Shoreline Rules for the Maui Planning Commission, as amended:

NATURE AND EVIDENCE OF THE VIOLATION(S)	Failure to obtain shoreline setback approval for development(s) within the shoreline setback area.
--	--

Construction of a seawall. Evidence of the aforementioned violation(s) include(s): site inspection, photographs, State and County records.

ORDER

Pursuant to §§12-203-16 and 12-203-17, Shoreline Rules of the Maui Planning Commission, and §§205A-32 and 205A-43.6, Hawaii Revised Statutes (HRS), as amended, you are hereby ordered as follows:

<input checked="" type="checkbox"/>	Cease and desist all activity immediately.		
<input checked="" type="checkbox"/>	Remove the violation(s) at the Planning Director's direction or submit an application for and obtain a shoreline setback variance from the Maui Planning Commission, by September 28, 2012		
<input checked="" type="checkbox"/>	Pay an initial civil fine in the amount of:	\$50,000	To the Department of Planning ("Planning") by September 28, 2012
<input checked="" type="checkbox"/>	Pay a daily civil fine in the amount of:	\$5,000	Per day to Planning if the corrective action described above is not completed by September 28, 2012

Pursuant to §§205A-32 and 91, HRS, as amended, you are entitled an opportunity for a hearing before said fines become final. Should you wish to contest any provision of this Notice of Violation and Order, submit written confirmation that you wish to proceed with said hearing within thirty (30) days from the certified mailing of this notice, or this order shall become final. Pursuant to §91-9, HRS, as amended, a notice of hearing date and time will be forwarded to you under separate cover. Please be advised that you may appear on your own behalf or retain counsel to represent you.
 Please be advised that the aforementioned contested case does not stay any provision of this order.
 In the future, should you have a violation in the same manner as described above, the violation will be considered as recurring and will be subject to additional fines and other legal action.

FOR THE PLANNING DIRECTOR

Issued By: Print Inspector's Name	Conklin Wright	Issued By: Inspector's Signature	
Print Supervisor's Name	Jay Arakawa	Supervisor's Signature	
Print Director's Name	William Spence	Director's Signature	
Attached Document(s)	None		

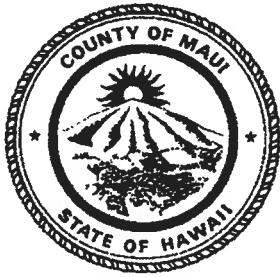
xc: RFS 09-0002157 & NOV 2012/0020 (Project File & KIVA Related Documents)

12/General File

WRS:AHS:Jaa:CW:ckk

S:\ZONING\RFS\2009\2157_Schweitzer_seawall\NOV\NOV_2012\0020_SsaSeawall.wpd (Re

EXHIBIT 14



COUNTY OF MAUI
DEPARTMENT OF PLANNING
ZONING ADMINISTRATION AND
ENFORCEMENT DIVISION
250 SOUTH HIGH STREET
WAILUKU, MAUI, HAWAII 96793
 Telephone: (808) 270-7253
 Facsimile: (808) 270-7634
 E-mail: planning@mauicounty.gov

FILE NO	NOV 2012/0019
RFS NO	09-0002157
DATE OF NOTICE	August 29 2012
DATE OF VIOLATION	June 30, 2009
CONTINUING VIOLATION	[X] YES [] NO
COLLECTED FINE	NONE
CERTIFIED RECEIPT NO	See Below

NOTICE OF VIOLATION
SPECIAL MANAGEMENT AREA - MAUI

TO:	Certified Receipt No. (7009 0960 0000 5324 3006) Henry and Diane Schweitzer 4885 Lower Honoapiilani Lahaina, Hawaii 96761	Certified Receipt No. (7009 0960 0000 5324 3013) Paul R. Mancini Esq. Mancini, Welch, & Geiger LLP 33 Lono Avenue, Suite 470 Kahului, Hawaii 96732
------------	---	---

RE:	TMK (2) 4-3-015:001-0000	PERMIT NO
ADDRESS	4885 Lower Honoapiilani Road Lahaina, Hawaii	

I have inspected the above described structure(s) and/or premises and have found the following violation(s) of §§12-202-12 and 12-202-23, Special Management Area Rules for the Maui Planning Commission ("SMA Rules"), as amended:

NATURE AND EVIDENCE OF THE VIOLATION(S)	Failure to obtain a Special Management Area ("SMA") determination for the following project within the SMA:
--	---

Construction of concrete stairs leading to ocean. Evidence of the aforementioned violation(s) include(s): site inspection, photographs, State and County records.

Pursuant to §§12-202-23(b) and §12-202-25, SMA Rules, as amended, you are hereby ordered as follows:

- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Cease and desist all activity immediately. |
| <input checked="" type="checkbox"/> | Remove the violation(s) at the Planning Director's direction or submit a SMA Assessment Application for SMA determination, by September 28, 2012 |
| <input checked="" type="checkbox"/> | Pay an initial civil fine in the amount of: \$5,000 To the Department of Planning ("Planning") by September 28, 2012 |
| <input checked="" type="checkbox"/> | Pay a daily civil fine in the amount of: \$1,000 Per day to Planning if the corrective action described above is not completed by September 28, 2012 |

Pursuant to §205A-32(c), Hawaii Revised Statutes ("HRS") and §12-202-23, SMA Rules, you are entitled an opportunity for a hearing before the Planning Director or his designee, before the imposition of said fines. To contest any provision within this Notice of Violation and Order, submit written confirmation that you wish to proceed with said hearing within thirty (30) days from the date of the certified mailing of this notice, or this order shall become final. Pursuant to §91-9, HRS, a notice of hearing date and time will be forwarded to you under separate cover. Please be advised that you may appear on your own behalf or retain counsel to represent you.

Pursuant to §12-202-25, SMA Rules, the submittal of an SMA permit application subsequent to the issuance of this Notice of Violation and Order shall not stay any provision of this order.

Pursuant to §12-202-23(d)(3), any negotiated settlement shall be forwarded to the Maui Planning Commission for final action.

FOR THE PLANNING DIRECTOR

Issued By: Print Inspector's Name	Conklin Wright	Issued By: Inspector's Signature	
Print Supervisor's Name	Jay Arakawa	Supervisor's Name	
Print Director's Name	William Spence	Director's Signature	
Attached Document(s)	None		

EXHIBIT 14

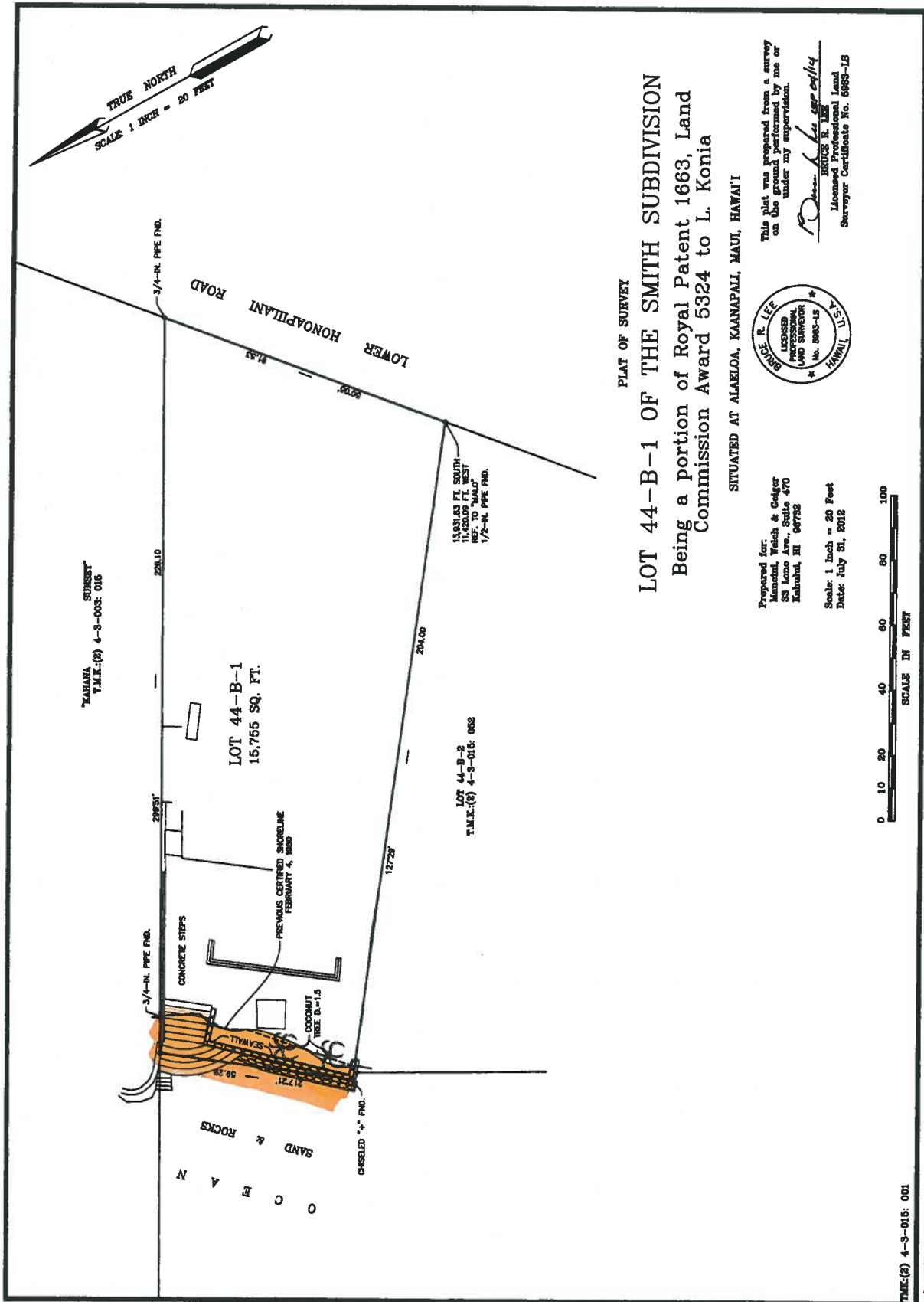
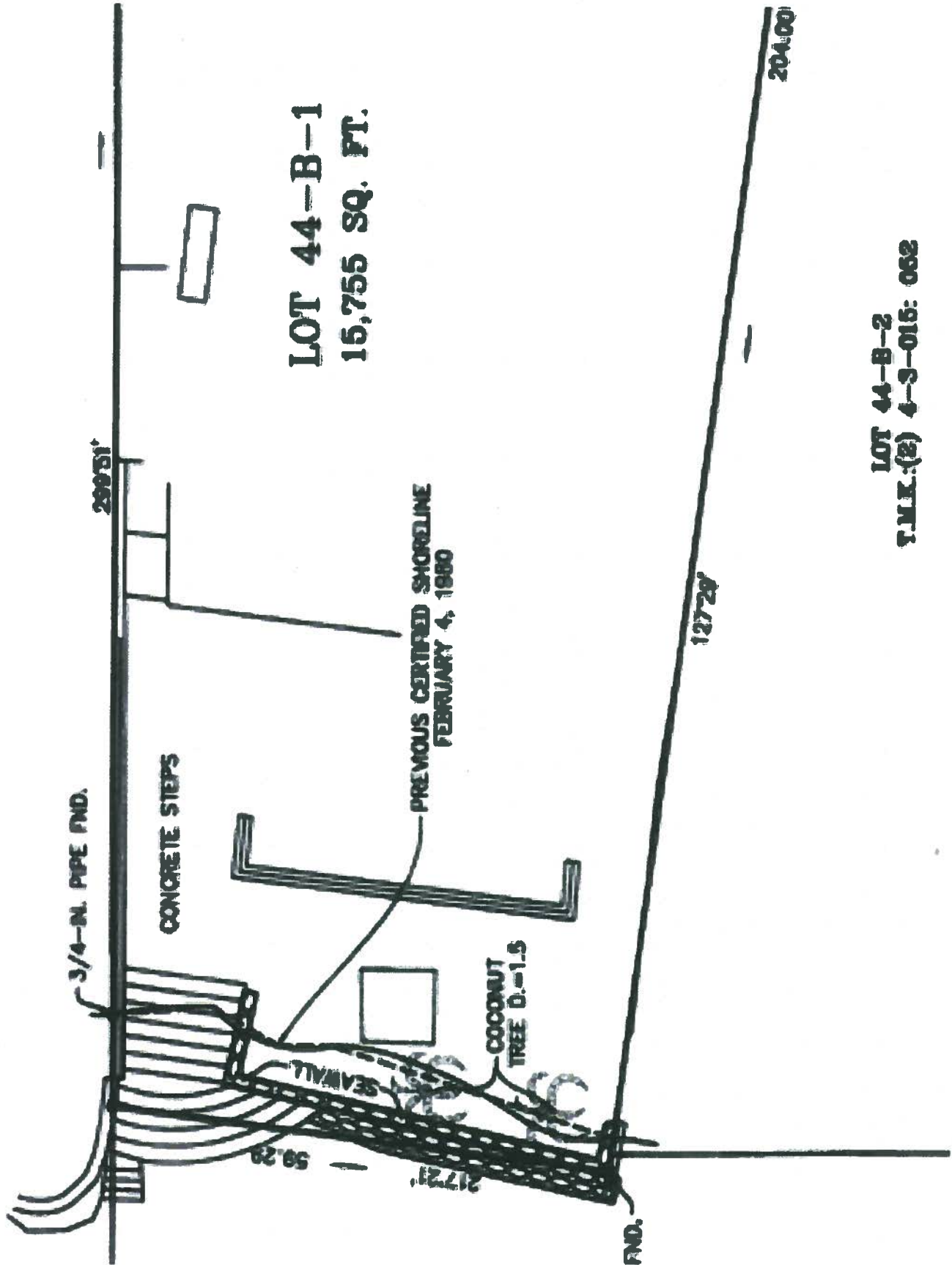


EXHIBIT 15



LOT 44-B-1
15,755 SQ. FT.

LOT 44-B-2
T.M.L.:(2) 4-S-015: 062

EXHIBIT 15

TABLE OF CONTENTS

1 INTRODUCTION.....1

2 CONSERVATION DISTRICT VIOLATION PENALTIES SCHEDULE GUIDELINES.....1

2.1 PENALTY CALCULATION.....2

2.1.1 Identified Land Use Penalties.....3

2.1.2 Non- Identified Land Use Penalties.....4

2.1.3 Tree Removal.....5

2.1.4 Vegetation Removal/Vegetation Clearing.....5

2.1.5 Additional Considerations and Factors6

2.1.6 Continuing Violations and Permit Non-Compliance.....6

2.1.7 In-Kind Penalties7

2.1.8 Penalty Adjudication9

3 ASSESSMENT OF DAMAGES TO PUBLIC LAND OR NATURAL RESOURCES.....10

3.1 PRIMARY RESTORATION DAMAGES11

3.2 COMPENSATORY DAMAGE CALCULATION12

3.3 ADJUDICATION OF DAMAGES13

CONSERVATION DISTRICT VIOLATION PENALTIES SCHEDULE GUIDELINES AND ASSESSMENT OF DAMAGES TO PUBLIC LAND OR NATURAL RESOURCES

September 2009

Relating to penalties for violations within the Conservation District Act 217

APPENDIX A: GUIDELINE FRAMEWORK TABLES

APPENDIX B: DEFINITIONS

APPENDIX C: REFERENCES

APPENDIX D: DAMAGES EXAMPLES

APPENDIX E: PENALTY CALCULATION WORKSHEET

1 INTRODUCTION

Hawaii Revised Statutes (HRS) §183C-7 was amended on July 7, 2008 to increase the maximum penalty for a Conservation District violation to up to \$15,000 per violation, in addition to administrative costs, costs associated with land or habitat restoration, and damages to public land or natural resources, or any combination thereof.

This document, *Conservation District Violation Penalties Schedule Guidelines and Assessment of Damages to Public Land and Natural Resources* is intended to provide the Office of Conservation and Coastal Lands (OCCL) with a framework to systematically carry out its enforcement powers, in the determination and adjudication of civil and administrative penalties. These guidelines are to be used for internal staff guidance, and should be periodically reviewed to determine their effectiveness, and whether refinements are needed. These guidelines are consistent with HAR §13-1, Subchapter 7, Civil Resource Violation System (CRVS).

2 CONSERVATION DISTRICT VIOLATION PENALTIES SCHEDULE GUIDELINES

The charging and collecting of penalties is an enforcement tool that may be used to ensure future compliance by the responsible party and others similarly situated. The penalty amount(s) shall be enough to ensure immediate compliance with HAR §13-5 and HRS §183C, and cessation of illegal activities. Penalties will be assessed for each action committed by an individual(s) that conducts an unauthorized land use and that impairs or destroys natural resources protected under Chapter §183C, HRS.

The Staff will treat each case individually when assigning conservation district penalties using the following framework, and additional considerations and factors for upward or downward adjustments. The staff of the OCCL (Staff) will use these penalty schedule guidelines to issue violation notices and to make recommendations to the Board of Land

and Natural Resources (Board), Chairperson of the Board of Land and Natural Resources (Chairperson), or Presiding Officer, whom may ultimately adjudicate the Conservation District penalties. These guidelines presume that all cases in which a violation has occurred, the Chairperson, Board, or Presiding Officer may also assess administrative costs, damages to public land or natural resources, and costs associated with land or habitat restoration.

2.1 PENALTY CALCULATION

The penalty range for these actions will be substantially determined based on the type of permit that would have been required if the individual(s) had applied to the Department of Land and Natural Resources (Department) or Board for pre-authorization to conduct the identified use, under Hawaii Administrative Rules (HAR) §13-5-22, 23, 24, 25. Assessing the penalties according to the Conservation District permit type accounts for the level of review or scrutiny the unauthorized use would have received by the Department or Board in order to avoid damage to the natural resource. This graduated permit review framework corresponds to the level of actual or potential "harm to the resource" caused by the violation.

Once the baseline for the penalty range has been established according to the required permit, the penalty may be adjusted appropriately upward or downward according to the "harm to resource" caused or potentially caused by the violator's action and additional considerations and factors (See 2.1.4),² within the assigned penalty range. Where Staff was unable to associate the unauthorized use with a typical land use identified in HAR §13-5, Staff may try to associate the action with the most similar identified land use in HAR §13-5, or according to the "harm to the resource" caused by the violation. Table 1

¹ "Harm to resource" is an actual or potential impact, whether direct or indirect, short or long term, impact on a natural, cultural or social resource, which is expected to occur as a result of unauthorized acts of construction, structure alteration, or landscape alteration (See Appendix B: Definitions) Adapted from Florida Department of Environmental Protection 2000 Administrative Fines and Damage Liability, Ch. 62B-54.

² Penalty amounts may be adjusted up or down, based on additional considerations, such as the actual extent of the direct damages, significance of any offsite indirect impacts, environmental record of the violator, responsiveness of violator, etc. (See 2.1.4 Additional Considerations and Factors).

was created to demonstrate the penalty ranges for the type of required permit and "harm to resource" (See 2.1.1 or Appendix A).

The first two of the following sections explain the identified and non-identified land use framework. The next four sections: Tree Removal, Additional Considerations and Factors, Continuing Violations and Permit Non-Compliance, and In-Kind Penalties, provide guidance for the upward or downward adjustment of penalties based on the initial framework discussed in Section 2.1.1.1, Identified land use penalties.

2.1.1 Identified Land Use Penalties

The violation penalty range associated with each required permit will be assessed in accordance with the following harm to resource indices in this graduated framework.

Table 1. Penalty Guideline Framework

Harm to resource or potential for harm to resource	Identified land use permit beginning with the letter	Penalty Range
Major	D (Board)	\$10,000-\$15,000
Moderate	C (Departmental)	\$2,000-\$10,000
Minor	B (Site Plan)	\$1,000-\$2,000
Very Minor	(B) (Site Plan)	Up to \$1,000

Major Harm to the Resource/ Board Permit (D)

Violations identified with the required permit prefix (D) may incur a penalty in the range of \$10,000 - \$15,000 as a Board permit would have been required to minimize the possibility of causing "major harm to the resource." Examples of "major harm(s) to the resource" may include actions that cause substantial adverse impact to existing natural resources within the surrounding area, community, ecosystem or region, or damage to the existing physical and environmental aspects of the land, such as natural beauty and open space characteristics. Such actions may include, but are not limited to, unauthorized single-family residences or unauthorized structures, grading or alteration of topographic features, aquaculture, major marine construction or dredging, unauthorized shoreline structures, major projects of any kind, mining and extraction, etc.

Moderate Harm to the Resource/Departmental Permit (C)

Violations identified with the required permit prefix (C) may incur a penalty in the range of \$2,000-\$10,000, as a Departmental permit would have been required, due to the possibility of causing "moderate harm to the resource." Examples of "moderate harm(s) to the resource" may be adverse impacts that degrade water resources, degrade native ecosystems and habitats, and/or alter the structure or function of a terrestrial, littoral or marine ecosystem. Such actions may include, but are not limited to, unauthorized landscaping causing ground disturbance, unauthorized alteration, renovation or demolition of existing structures or facilities, such as buildings and shoreline structures, maintenance dredging, agriculture, and animal husbandry, etc.

Minor Harm to the Resource/Site Plan Approval (B) Permit

Violations identified with the required permit prefix (B) may incur penalties as a site plan approval would have been required to assure that "minor harm(s) to the resource" are minimized. "Minor harm(s) to the resource" may incur a penalty of \$1,000-\$2,000 and could be actions causing limited to short-term direct impacts including, but not limited to, small-scaled construction, construction of accessory structures, installation of temporary or minor shoreline activities or similar uses.

Very Minor Harm to the Resource/(B) Permit

In instances in which a permit with the B prefix should have been sought but are considered to have only caused "very minor harm(s) to resource" a penalty of up to \$1,000 may be incurred. These "very minor harm(s) to the resource" could be actions in which the impact on the water resource or terrestrial, littoral or marine ecosystem was temporary or insignificant, and was not of a substantial nature either individually or cumulatively.

2.1.2 Non- Identified Land Use Penalties

Violations in which an unauthorized use is not identified in HAR §13-5-22, 23, 24, 25, Staff may try to associate the action with the most similar identified land use in HAR

§13-5 or according to the "harm to the resource" caused by the violation. Refer to the above section, *Identified Land Use Penalties*, for the most similar required permit prefix. To categorize the violation as a "harm to resource" when no similar use is identified in HAR §13-5, Staff will refer to Table 1 and the definitions of the four violation types of "harm to resource" (See Appendix B: Definitions).

2.1.3 Tree Removal

Violation penalties for the removal of any federal or state listed threatened, endangered, or commercially valuable tree may incur a fine of up to \$15,000 per tree. Removal of any native tree may incur a fine of up to \$1,000 per tree. The removal of any invasive tree shall be considered as removal/clearing of vegetation.

The Board, Department, or Presiding Officer also has the option of considering the removal of more than one tree as a single violation, similar to the removal/clearing of vegetation.³ If violation is considered as one violation, a fine amount of up to \$15,000 may be incurred, utilizing the guidelines for Major, Moderate, Minor, and Very Minor outlined in this schedule. However, the removal of any federally or state listed threatened or endangered tree shall be considered on a one violation per tree basis, with a maximum penalty of up to \$15,000 per tree.

2.1.4 Vegetation Removal/Vegetation Clearing

Past Staff recommendations and Board decisions have treated some cases of tree or removal as one citation of vegetation clearing/vegetation removal, this practice may be continued in violations resulting in minor or very minor harm to the resource. In accordance with the identified land uses within HAR §13-5 the assessment of vegetation removal has been based on a single citation of removal/clearing determined by the square footage of vegetation removed (See Table 3 Vegetation Removal). However, the

³ While Staff and Board decisions in MA-01-09, OA-05-40 and HA-06-08 have treated the removal of non-native, invasive, or noxious trees as one citation of "clearing" with mandatory remediation plans.

Department may see fit to assess the removal/clearing of threatened, endangered, or commercially valuable plants similar to the modified tree removal framework and may be penalized on an individual plant basis of up to \$15,000 per plant.

Table 3. Vegetation Removal

Action	Comparable Harm to Resource	Penalty Range
Removal of more than 10,000 sq. ft.	Major	\$10,000-\$15,000
Removal of Vegetation of or 2,000-10,000 sq. ft of vegetation	Moderate	\$2,000-\$10,000
Removal of less than 2,000 sq. ft. vegetation	Minor	\$1,000-\$2,000
Clearing of Invasive or noxious vegetation	Very Minor	Up to \$1,000 ⁴

Note: The clearing of threatened, endangered or commercially valuable plants will be addressed on a case-by-case basis, but depending on the importance of the species may incur a penalty of up to \$15,000 per plant. According to Table 2, the clearing of vegetation may incur a penalty of up to \$1/ sq.ft., as clearing 10,000 sq.ft. Staff could assess a penalty of \$10,000.

2.1.5 Additional Considerations and Factors

After Staff applies the Conservation District violation graduated penalty framework to identify the violation penalty range (1, 2, and 3 found above), the Staff may incorporate several considerations into the final assessed conservation district penalty including but not limited to, those factors identified in HAR §13-1-70 Administrative Sanctions Schedule; Factors to be Considered.

2.1.6 Continuing Violations and Permit Non-Compliance

Each day during which a party continues to work or otherwise continues to violate conservation district laws, and after the Department has informed the violator of the offense by verbal or written notification, the party may be penalized up to \$15,000 per day (penalties for every day illegal actions continue) by the Department for each separate offense.

⁴ Provided the harm to the resource and offsite damages were minimal.

Violation of existing approved Conservation District Use Permit (CDUP) conditions will be assessed on a case-by-case basis. Existing permit violations, in which deadlines are not met, may be individually assessed by the Staff as to prior violator conduct, knowledge, and compliance. Violation of permit conditions involving initiation and/or completion of project construction, notification of start and completion dates, failure to file legal documents, etc., may be considered very minor within the existing framework, although it should be noted that such actions may result in permit revocation. Failure to perform proper cultural, archeological, or environmental impact studies or failure to implement proper best management practices as identified in the standard permit conditions may be assessed more severely by Staff, as a moderate or major harm to the resource, due to the potential of greater adverse impacts to natural resources from the violator's failure to comply with the permit conditions, may have occurred.

2.1.7 In-Kind Penalties

Once the penalty amount has been established through the framework above, the Department may determine that the full payment or some portion of the penalty may be paid as an in-kind penalty project.⁵ This would not serve as a way to avoid payment but as a way to reduce the cash amount owed while allowing the Department to consistently enforce its rules. The in-kind penalty project is not designed to credit the violator for restoration or remediation efforts that may be already required, but to offset a portion of the cash penalty assessed. The in-kind penalty should be enough to ensure future compliance with HAR §13-5 and HRS §183C, by the violator and to deter other potential violators from non-compliance.

In-kind penalties will only be considered if (1) the responsible party is a government entity, such as a federal agency, state agency, county agency, city agency, university, or school board, or if (2) the responsible party is a private party proposing an environmental

⁵ In-Kind Penalty framework has been adapted from Florida Department of Environmental Protection, 2007, Program Directive 923, Settlement guidelines for civil and administrative penalties.

restoration, enhancement, information, or education project. In-kind penalties are limited to the following specific options:

- a. **Material and/or labor support for environmental enhancement or restoration projects.** The Department will give preference to in-kind projects benefiting proposed government-sponsored environmental projects. For shoreline violations, this may include state beach nourishment projects and dune restoration projects.
- b. **Environmental Information and Environmental Education projects.** Any information or education project proposed must demonstrate how the information or education project will directly enhance the Department's, and preferably the OCOL's, mission to protect and conserve Hawaii's Conservation District Lands.
- c. **Capital or Facility improvements.** Any capital or facility improvement project proposed must demonstrate how the improvement will directly enhance the Department's and/or public's use, access, or ecological value of the conservation property.
- d. **Property.** A responsible party may propose to donate land to the department as an in-kind penalty. Donations will be handled by the Department's Legacy Lands program or similar program.

3 ASSESSMENT OF DAMAGES TO PUBLIC LAND OR NATURAL RESOURCES

Penalties to recoup damages to public lands or natural resources for the purposes of enforcement and remediation may be assessed in addition to Conservation District violation penalties assessed by the aforementioned guidelines. The assessed total value of the initial and interim natural resource(s) damaged or lost (compensatory damages) and the cost of restoration or replacement of the damaged natural resource(s) (primary restoration cost) along with any other appropriate factors, including those named in HAR §13-1-70, may be adjudicated by the Board. The total value may be estimated on a per annum basis, and then may be used to calculate the net present value of the initial and interim loss of natural resource benefits, until the ecosystem structure, function, and/or services are restored.

The cost of a full-scale damage assessment by the Department would be an administrative cost, which could be recouped by the Board from the landowner or offender pursuant §HRS 183C-7. In some cases, the damage to public lands or natural resources may occur on more than one ecosystem or habitat type, (e.g., sandy beaches, seagrass beds, and coral reefs). In such instances, damages for all impacted systems will be handled cumulatively.

Since all the ecosystem services provided by the ecosystem in question cannot be quantified (e.g., the aesthetic value), the values obtained are lower bound estimates, and may be applied to systems similar to the referenced ecosystem using the benefit transfer method. These valuations, to account for the loss of ecosystem services and the cost to restore them, may be applied to Hawaiian ecosystems on public lands: such as Koa and Ohia forests, coral reefs, seagrass beds, wetlands, dune and beach ecosystems, and other important Hawaiian ecosystems.

While each case is unique and individual in nature, the Department may not be able to conduct detailed damage assessments in each case, and may refer to past precedent,

2.1.8 Penalty Adjudication

Violation penalties may be adjudicated similarly to the harm to resource indices in the penalty guideline framework.

Comparable Harm to Resource	Identified land use permit and Penalty Range	Penalty Adjudicator
Major	\$10,000-\$15,000	Board
Moderate	\$2,000-\$10,000	Board
Minor	\$1,000-\$2,000	Chairperson or Presiding Officer
Very Minor	up to \$1,000	Chairperson or Presiding Officer

Major and Moderate Harm to the Resource

The Board may adjudicate penalties to violations categorized as causing or potentially causing major or moderate harm(s) to the resource. The Board may also adjudicate cases in which repeat violations, repeat violators, or egregious behavior were involved, or moderate to significant actual harm to the resource occurred. The Board may also adjudicate the payment of part or all, of the penalty as part of an In-kind penalty.

Minor and Very Minor Harm to the Resource

The Board may delegate to the Chairperson or a Presiding Officer the power to render a final decision in minor and very minor conservation district violations in order to provide expeditious processing and cost effective resolution. The Chairperson or appointed Presiding Officer may adjudicate penalties to minor and very minor violations characterized by inadvertent or unintentional violations and those violations which caused minor or very minor harm to the resource.

economic ecosystem valuations, and other published environmental valuations to estimate and assess damages on smaller scales (for valuations and publication examples see Appendix C: References and Appendix D: Damages Examples). Using the benefit transfer method to apply past precedents and published valuations in some situations would allow the Department to focus its administrative duties and time on remediation and restoration efforts. However, as ecological valuation and research continue, more comprehensive estimates may be produced and utilized.

The Board may allow restoration activities and damage penalties to be conducted and/or applied to a site different from the location of the damaged area where similar physical, biological and /or cultural functions exist. These assessed damages are independent of other, city, county, state and federal regulatory decisions and adjudications. Thus, the monetary remedies provided in HRS §183C-7 are cumulative and in addition to any other remedies allowed by law.

3.1 PRIMARY RESTORATION DAMAGES

The cost of land or habitat restoration or replacement, the cost of site monitoring, and site management may be assessed and charged as primary restoration damages. Restoration efforts will aim to return the damaged ecosystem to a similar ecological structure and function that existed prior to the violation. In cases in which the damaged ecosystem was predominately composed of non-native species, restoration efforts must re-vegetate Conservation District land and public lands with non-invasive species, preferably native and endemic species when possible. The use of native and endemic species may thus result in the restoration of ecological structure and function critical for the survival of endemic Hawaiian species.

Returning the damaged and or severely degraded site to a condition similar to or better than its previous ecological structure and function (e.g., a terrestrial system such as a Koa (*Acacia koa*) forest) would include: (1) calculating the level of ecosystem services to be restored from carbon sequestration, climate regulation, nutrient cycling, air and water purification, erosion control, plant and/or wildlife habitat, and any other services which

may be valued; (2) purchase, production and out-planting of Koa seedlings; and (3) monitoring, maintenance, and management for the time period of mature growth of ~40-60 years, to achieve mature canopy structure, native under-story, and an acceptable level of lost ecosystem structure, function and/or services restored.

3.2 COMPENSATORY DAMAGE CALCULATION

Compensatory damages to public lands or natural resources may be assessed and charged to the violator to compensate for ecosystem damage and lost initial and interim ecosystem services to the public. All Divisions of the Department may coordinate their resources and efforts along with existing ecosystem valuations and publications (See Appendix C and D for examples) to derive the estimated total value of the natural resource damaged until the ecosystem structure, function, and services are estimated to be recovered.

The total value of the natural resource that is lost or damaged may include the initial and interim values of the ecosystem services provided by the natural resource or habitat, and the social-economic value of the degraded site, until the ecosystem structure, function, and/or services are restored. Assessing the damages to the resource could include: estimating the loss of ecosystem services of carbon sequestration, climate regulation, nutrient cycling, plant and/or wildlife habitat, biodiversity, air and water purification, erosion control, coastal protection, the loss of benefits to tourism, fisheries, society, cultural inspiration and practices, and any other services which may be valued.

These natural resource damages may be assessed using economic valuation techniques to estimate the total value(s) of the natural resource(s) damaged on a per area basis, including: total ecosystem service value, total annual benefits, the market value of the natural resource, or any other factor deemed appropriate. The total value of the present and interim natural resource damage may be estimated by calculating the net present value of these lost benefits, values and services. The net present value may be calculated using a discount rate to scale the present and future costs to the public, of the interim losses of ecosystem services over the restoration time. The restoration time may be

estimated as the number of years for the damaged natural resource or ecosystem to reach maturity and/or the ecosystem structure and function to be restored similar to the pre-violation state. The discount of future losses and accrued benefits may be used in the valuation of mitigation efforts performed by the violator. For example the restoration conducted immediately after damage occurred may be calculated to have a higher present benefit worth than the benefit of restoration activities undertaken a year or two later.

In other instances, a habitat equivalency analysis (HEA) or a resource equivalency analysis (REA) may be used to scale equivalent habitat or wildlife losses for estimating both ecosystem damage penalties and restoration efforts.

3.3 ADJUDICATION OF DAMAGES

The adjudication of primary restoration damages and compensatory damages will be adjudicated by the Board due to the complexity of the assessment process and to assure proper checks and balances, including adequate public notice and a public hearing.

In addition to the damages and penalty violations assessed, the Department is allowed to recoup all administrative costs associated with the alleged violation pursuant to HRS §183C-7(b). All penalties assessed will be in compliance with HRS §183C-7(c) and will not prohibit any person from exercising native Hawaiian gathering rights or traditional cultural practices.

APPENDIX A: GUIDELINE FRAMEWORK TABLES

Table 1. Penalty Guideline Framework

Harm to resource or potential for harm to resource	Identified land use permit beginning with the letter	Penalty Range
Major	D (Board)	\$10,000-\$15,000
Moderate	C (Departmental)	\$2,000-\$10,000
Minor	B (Site Plan)	\$1,000-\$2,000
Very Minor	(B) (Site Plan)	Up to \$1,000

Table 2. Vegetation Removal

Action	Comparable Harm to Resource	Penalty Range
Removal of more than 10,000 sq. ft.	Major	\$10,000-\$15,000
Removal of Vegetation or of 2,000-10,000 sq. ft. of vegetation	Moderate	\$2,000-\$10,000
Removal of less than 2,000 sq. ft. vegetation	Minor	\$1,000-\$2,000
Clearing of Invasive or noxious vegetation	Very Minor	Up to \$1,000 ^d

Note: According to Table 2, the clearing of vegetation may incur a penalty of up to \$17/sq. ft., as clearing 10,000 sq. ft. Staff could assess a penalty of \$10,000. The clearing of threatened, endangered or commercially valuable plants, will be addressed on a case-by-case basis, but depending on the importance of the species may incur a penalty of up to \$15,000 per plant.

APPENDIX B: DEFINITIONS

Definitions:

- (1) "Baseline" means the original level of services provided by the damaged resource.
- (2) "Benefit Transfer Method" estimates economic values by transferring existing benefit estimates from studies already completed for another location or issue.⁷
- (3) "Board" means the Board of Land and Natural Resources.
- (4) "Board Permit" means a permit approved by the Board of Land and Natural Resources.
- (5) "Chairperson" means the chairperson of the board of land and natural resources
- (6) "Civil Resource Violations System" or "CRVS" means a system of administrative law proceedings as authorized under chapter 199D, HRS, and further prescribed in Subchapter 7, 13-1, HAR, for the purpose of processing civil resource violations.
- (7) "Compensatory Damages" means damages for compensation for the interim loss of ecosystem services to the public prior to full recovery.
- (8) "Contested Case" means a proceeding in which the legal rights, duties, or privileges of specific parties are required by law to be determined after an opportunity for an agency hearing.
- (9) "Department" means the Department of Land and Natural Resources.
- (10) "Departmental Permit" means a permit approved by the Chairperson.
- (11) "Discounting" means an economic procedure that weights past and future benefits or costs such that they are comparable with present benefits and costs.
- (12) "Ecosystem Services" means natural resources and ecosystem processes, which may be valued according to their benefits to humankind.

For example: carbon sequestration, climate regulation, nutrient cycling, plant and/or wildlife habitat, biodiversity, air and water purification, erosion control, coastal protection, the loss of benefits to tourism,

⁷ Ecosystem Valuations http://www.ecosystemvaluation.org/benefit_transfer.htm

recreation, scientific discovery, fisheries, society, cultural inspiration and practices, and any other services which may be valued.

- (13) "Grossly negligent" violation means conscious and voluntary acts or omissions characterized by the failure to perform a manifest duty in reckless disregard of the consequences.⁸
- (14) "Harm to resource" means an actual or potential impact, whether direct or indirect, short or long term, acting on a natural, cultural or social resource, which is expected to occur as a result of unauthorized acts of construction, shoreline alteration, or landscape alteration as is defined as follows:
 - (a) "Major Harm to resource" means a significant adverse impact(s), which can cause substantial adverse impact to existing natural resources within the surrounding area, community or region, or damage the existing physical and environmental aspects of the land, such as natural beauty and open space characteristics
 - (b) "Moderate Harm to Resource" means an adverse impact(s), which can degrade water resources, degrade native ecosystems and habitats, and/or reduce the structure or function of a terrestrial, littoral or marine system (but not to the extent of those previously defined as those in (a)).
 - (c) "Minor Harm to Resource" means limited to short-term direct impacts from small scaled construction or shoreline or vegetation alteration activities.
 - (d) "Very Minor Harm to Resource" means an action in which the impact on the water resource or terrestrial, littoral or marine ecosystem was insignificant, and was not of a substantial nature either individually or cumulatively.

For example, "major harm to the resource(s)" would be associated with a major land use violation that would have likely required a Board Permit, such as building a house, while a "minor harm to the resource(s)" may be

⁸ Definition adapted from Florida Department of Environmental Protection, 2000 Administrative Fines and Damage Liability, Ch. 62B-54.

associated with minor land uses requiring an administrative Site Plan Approval, for building a small accessory structure.

- (15) "Knowing" violation means an act or omission done with awareness of the nature of the conduct.
- (16) "Net Present Value" means the total present value (PV) of a time series of cash flows.
- (17) "OCCL Administrator" means the Administrator of the Office of Conservation and Coastal Lands.
- (18) "Party" means each person or agency named or admitted as a party.
- (19) "Person" means an appropriate individuals, partnership, corporation, association, or public or private organization of any character other than agencies.
- (20) "Presiding Officer" means the person conducting the hearing, which shall be the chairperson, or the chairperson's designated representative.
- (21) "Primary Restoration Damages" means the costs to restore the damaged site to its prior baseline state.
- (22) "Site Plan" means a plan drawn to scale, showing the actual dimensions and shape of the property, the size and locations on the property of existing and proposed structures and open areas including vegetation and landscaping.
- (23) "Willful violation" means an act or omission which is voluntary, intentional and with the specific intent to do something the law forbids, or fail to do something the law requires to be done.

APPENDIX C: REFERENCES

- Cesar, H., van Benkerling, P., Pintz, S., Dierking J. 2002. Economic valuation of the coral reefs of Hawaii. NOAA Final Report NA 160A1449.
- Conservation International. 2008. Economic Values of Coral Reefs, Mangroves, and Seagrasses: A global Compilation. Center for Applied Biodiversity Science, Conservation International, Arlington VA, USA.
- Costanza, R. and Farley J. 2007. Ecological economics of coastal disasters: Introduction to the special issue. Ecological Economics 63 p. 249-253.
- Costanza, R., d'Arge, R., de Groot, R., Farber, S., Grasso, M., Hammon, B., Limburg, K., Naeem, S., O'Neill, R.V., Paruelo, J., Raskin, R.G., Sutton, P., van den Belt, M. 1997. The Value of the World's Ecosystem Services and Natural Capital. Nature 387 p. 253-260.
- Florida Department of Environmental Protection. Damage Costs in Seagrass Habitats. http://www.dep.state.fl.us/coastal/habitats/seagrass/awareness/damage_costs.htm
- Florida Department of Environmental Protection. 2000 Administrative Fines and Damage Liability, Ch. 62B-54. <http://www.dep.state.fl.us/legal/Rules/beach/62b-54.doc>
- Florida Department of Environmental Protection. 2007. Program Directive 923. Settlement guidelines for civil and administrative penalties. www.dep.state.fl.us/admin/depairs/pdf/923.pdf
- Florida Department of Environmental Protection. 2000. Rules and procedures for application for coastal construction permits. Ch. 62B-41. <http://www.dep.state.fl.us/beaches/publications/pdf/62b-41.pdf>

Maine Land-Use Regulation Commission. 2007. 2008 Workshop Draft Comprehensive Land Use Plan for areas within the jurisdiction.
http://www.maine.gov/doc/lurc/reference/cluprev/CLUP_PWDraft_pg5.shtml

APPENDIX D: DAMAGES EXAMPLES

Examples of Damage Assessments and Possible Remediation Efforts

The following are only brief past estimates used in Hawaii and other states; they are by no means comprehensive or limiting. These are intended to be examples for possible assessments and remediation efforts not as templates. As previously stated each case will be handled individually to account for unique ecological, economic and cultural impacts. The following are organized by habitat type.

Coral

Florida Department of Environmental Protection (Civil Damages):

The DEP can impose fines of up to \$1,000/m² of reef damaged and is dependent on the absence of extenuating circumstances such as weather conditions, disregard of safe boating practices, navigational error, whether the vessel operator was under the influence of drugs or alcohol etc.

Cesar et al 2002 (Ecosystem Service Valuation)

Cesar et al. used a Simple Coral Reef Ecological Economic Model (SCREEM) to assess Hawaiian coral reefs based on the annual benefits of the coral reefs to recreation/tourism, property amenities, biodiversity, fisheries and education. The annual benefits and total economic value could then be expressed on a 'per area' basis. This study found the total annual benefits of the coral reefs of Hanauma Bay to be \$37.57 million (\$2,568/m²), of the coral reefs in Kiheti to be \$28.09 million (\$65/m²) and the coral reefs on the Kona coast to be \$17.68 million (\$19/m²).

Pilaa enforcement (KA-02-10) (Primary Restoration Cost)

Damage to Coral reef ecosystems was assessed for restoration activities according to Florida guidelines, as \$5,830,000 for 5,380 m² of coral reef damage. This calculation

was similar to the estimated cost of remediation efforts \$390,000 to clean 5,000 yd³ of beach sand. However between 30,000-50,000 yd³ was estimated to be impacted, totaling \$2,300,000-\$3,900,000. While cleaning the sediment from the reef was estimated to cost approximately \$845,000 (for the 13 acres, or \$65,000 for 10m²). This totaled between \$3,100,000 and \$4,700,000, and did not include coral colony re-establishment. An additional \$630,000 was estimated for the 10-year monitoring period, (however studies by Cesar et al. 2003 estimated a 25 year period for recovery of ecological impacts).

Thus damage to corals may be calculated as follows:

Number of square meters of coral damaged
 X Multiplied by \$1,000 (or estimated value of coral on per/area basis)
 (#m² x \$1000)

Plus the estimated net present value of ecosystem services lost until recovery. (This may be more if damage to an area such as Hanauma Bay with increased recreational economic revenue.)

- +Plus cost of Remediation
- +Plus Cost of cleaning sediment from reef
- +Plus Cost of cleaning sediment/mud from beach sand
- +Plus Cost of coral reestablishment
- +Plus Cost of Monitoring
- +Plus Cost of Management

Seagrass beds (Compensatory Damage)

The Florida DEP fines offenders \$100/yard² of damage to seagrass beds for the first yd² damaged and \$75/yard² per each additional yd² damaged.

- \$100 for the first yard damaged
- +\$75 per each additional yard
- or net present total value of ecosystem services lost until recovery
- +vegetation planting
- +monitoring

Sand Beaches (ex. Of Primary Restoration Costs)

Minimum penalty cost of restoration and potential negative ecological, social and environmental impacts should be included in the assessment of damaged, degraded or lost sandy beaches. As one of Hawaii's greatest natural resources the following should be included in the minimum penalty assessment, however, as ecological valuation and research continue, more comprehensive estimates may be produced. In KA-02-10 Pilaa, \$390,000 fine was estimated to clean 5,000 yd³ of beach.

- Cost of lost revenue due to altered Beach resources (compensatory)
- +primary restoration costs
- +Plus cost of cleaning of sediment/mud from beach area (if necessary)
- +Plus cost of beach nourishment (sand replacement)
- +Plus cost of native dune vegetation

(In some circumstances the loss of beach resources may be assessed in conjunction with other ecological impacts listed above, such as coral reefs and sea grass beds.)

APPENDIX E: PENALTY CALCULATION WORKSHEET

Violator's Name(s): _____
 TMK: _____
 OCCL Staff Member: _____
 Date: _____

Part 1 - Penalties

Violation Type	Permit Prefix (D,C,B)	Harm to Resource (actual & potential)	Tree or Vegetation Status	Penalty Range	Adjustments (Mark Adj. Choice #1-8)	Multi-day (# days)	Total
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

Penalty Total: _____

Penalty Adjustments and Descriptions (please attach additional adjustments and descriptions, including but not limited to those listed in §13-1-70)

1. Actual environmental damage extent (onsite)
 Description: _____

2. Actual environmental damage extent (offsite)
 Description: _____

3. Does the violator's have a history of violations?

4. Was the violation repetitious or of a long duration?

5. Was the violator Responsive and exhibit a level of cooperation of with the Department and/or Staff?

6. Does the Violator have a Financial Hardship?

7. Did the violator receive Economic or commercial gain through non-compliance?

8. Other:
 Description: _____

Total Adjustment: up/down _____

Multi-day penalties

Number of days to multiply penalty: _____
 Reasoning: _____

Total multi-day: _____