

## HAWAII STATE ETHICS COMMISSION DISCLOSURE OF FINANCIAL INTERESTS: LONG FORM

**FILER**

Shallenberger  
Last Name

Robert  
First Name

J  
M.I.

**FOR STATE EMPLOYEES**

Department

Division

Position

**FOR STATE BOARD/COMMISSION MEMBERS**

Legacy Land Conservation Commission  
Board/Commission Name

04/27/2007                      06/30/2015

**BEGIN**                                      **END**  
*Term of Office (mm/dd/yyyy)*

**FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.**

USE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

**ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR**

List the source and amount of all income of \$1,000 or more received during the preceding calendar year for services rendered (INCLUDING INCOME EARNED FROM YOUR STATE POSITION), and the nature of the services rendered.

F, SP, DC, JT	NAME OF EMPLOYER / OTHER SOURCE(S) OF INCOME	AMOUNT	SERVICES RENDERED
F	Kamehameha Schools	B	Photography of KS Wildlife habitat

Check here if entry is None

Check here if additional sheets are attached

**ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business. YOU ARE REQUIRED TO LIST ALL STOCKS, MUTUAL FUNDS OR OTHER NON-RETIREMENT INVESTMENT INTERESTS VALUED AT \$5,000 OR MORE.

Please see instructions available at <http://ethics.hawaii.gov>.

F, SP, DC, JT	NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
F	Stocks (list attached)			H
SP	Mutual funds			C

Check here if entry is None

Check here if additional sheets are attached

**ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F, SP, DC, JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER

Check here if entry is None

Check here if additional sheets are attached

**ITEM 4: CREDITORS**

List the name of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

F, SP, DC, JT	NAME OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING

Check here if entry is None

Check here if additional sheets are attached

**ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS**

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F, SP, DC, JT	NAME OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION
F	Hawaii Wildlife Center	Board President, Secretary	June 2006 - Dec 2014	None
F	Friends of Midway Atoll	Board member	Jan 2011 - Dec 2014	None
F	Friends of Hakalau Forest	Board Vice President	Jan 2011 - Dec 2014	None

Check here if entry is None

Check here if additional sheets are attached

**ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F, SP, DC, JT	STREET ADDRESS	TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	VALUE
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached			

**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F, SP, DC, JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached			

**ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of \$10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

F, SP, DC, JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached			

**ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES**

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached	

**ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES**

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

F, SP, DC, JT	NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached				

**FILER**

Robert J. Shallenberger

7/25/2014

Type Name of Filer (First, M.I., Last)(Signature required on this line if you are filing a paper form)

Date (m/d/yyyy)

**CERTIFICATION:** By checking this box or signing your name on this form, you signify and affirm that you are the person whose name appears as the "Filer" above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law.

ROBERT SHALLENBERGER TRUST					
as of 7/23/2014					
Symbol	Name	Asset Type	Price	Quantity	Market Value
AWK	AMERICAN WATER WORKS CO	Stocks		249.000	
T	AT&T INC	Stocks		157.000	
BAX	BAXTER INTL INC	Stocks		78.000	
BMO	BK MONTREAL	Stocks		82.000	
CSCO	CISCO SYS INC	Stocks		271.000	
CME	CME GROUP INC	Stocks		103.000	
DRI	DARDEN RESTAURANTS	Stocks		115.000	
DLR	DIGITAL REALTY TRUST INC	Stocks		122.000	
ETN	EATON CORP PLC SHS	Stocks		83.000	
GE	GENERAL ELECTRIC CO	Stocks		442.000	
GSK	GLAXOSMITHKLINE PLC ADS	Stocks		141.000	
HPT	HOSPITALITY PPTYS TR COM SBI	Stocks		225.000	
INTC	INTEL CORP	Stocks		503.000	
JNJ	JOHNSON & JOHNSON	Stocks		96.000	
KMI	KINDER MORGAN INCORP	Stocks		398.000	
LNCO	LINNCO LLC	Stocks		178.000	
MXIM	MAXIM INTEGRATED PRODUCTS INC	Stocks		155.000	
MRK	MERCK & CO INC NEW COM	Stocks		184.000	
MCHP	MICROCHIP TECHNOLOGY INC	Stocks		157.000	
MSFT	MICROSOFT CORP	Stocks		195.000	
NI	NISOURCE INC	Stocks		209.000	
NVS	NOVARTIS AG ADR	Stocks		71.000	
OHI	OMEGA HEALTHCARE INV INC	Stocks		177.000	
OKE	ONEOK INC NEW	Stocks		172.000	
PFE	PFIZER INC	Stocks		178.000	
POT	POTASH CP OF SASKATCHEWAN INC	Stocks		261.000	
SDRL	SEADRILL LTD	Stocks		190.000	
STX	SEAGATE TECHNOLOGY PLC	Stocks		112.000	
SE	SPECTRA ENERGY CORP COM	Stocks		223.000	
VTR	VENTAS INC	Stocks		97.000	
VOD	VODAFONE GROUP PLC	Stocks		160.000	
WMB	WILLIAMS CO INC	Stocks		298.000	

MRS. SHALLENBERGER TRUST					
as of 7/23/2014					
Symbol	Name	Asset Type	Price	Quantity	Market Value
SHDLX	WESTERN AST SHT DUR MUNI INC C	Mutual Funds		4,175.652	