

HAWAII STATE ETHICS COMMISSION DISCLOSURE OF FINANCIAL INTERESTS: LONG FORM

FILER

Moore

Last Name

Randolph

First Name

Grave

M.I.

FOR STATE EMPLOYEES

Department

Division

Position

FOR STATE BOARD/COMMISSION MEMBERS

University of Hawaii Board of Regents

Board/Commission Name

07/01/2013

06/30/2018

BEGIN

END

Term of Office (mm/dd/yyyy)

FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.

USE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR

List the source and amount of all income of \$1,000 or more received during the preceding calendar year for services rendered (INCLUDING INCOME EARNED FROM YOUR STATE POSITION), and the nature of the services rendered.

| F, SP, DC, JT | NAME OF EMPLOYER / OTHER SOURCE(S) OF INCOME | AMOUNT | SERVICES RENDERED |
|------------------|--|--------|-------------------|
| | | | |

Check here if entry is None

Check here if additional sheets are attached

ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business. YOU ARE REQUIRED TO LIST ALL STOCKS, MUTUAL FUNDS OR OTHER NON-RETIREMENT INVESTMENT INTERESTS VALUED AT \$5,000 OR MORE.

Please see instructions available at <http://ethics.hawaii.gov>.

| F, SP, DC, JT | NAME OF BUSINESS | NATURE OF BUSINESS | NATURE OF INTEREST | VALUE OR NO. OF SHARES |
|------------------|------------------|--------------------|--------------------|---------------------------|
| | | | | |

Check here if entry is None

Check here if additional sheets are attached

ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

| F,SP, DC,JT | OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD | DATE OF TRANSFER |
|----------------|--|---------------------|
| | | |

Check here if entry is None

Check here if additional sheets are attached

ITEM 4: CREDITORS

List the name of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

| F,SP, DC,JT | NAME OF CREDITOR | ORIGINAL AMOUNT OWED | AMOUNT OUTSTANDING |
|----------------|------------------|-------------------------|-----------------------|
| | | | |

Check here if entry is None

Check here if additional sheets are attached

ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

| F,SP, DC,JT | NAME OF BUSINESS | TITLE HELD | TERM OF OFFICE | ANNUAL COMPENSATION |
|----------------|------------------|------------|----------------|------------------------|
| | | | | |

Check here if entry is None

Check here if additional sheets are attached

ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

| F,SP, DC,JT | STREET ADDRESS | TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS) | VALUE |
|----------------|----------------|--|-------|
| | | | |

Check here if entry is None

Check here if additional sheets are attached

ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

| F,SP, DC,JT | STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS) | AMOUNT & NATURE OF CONSIDERATION PAID | NAME OF PERSON RECEIVING THE CONSIDERATION |
|----------------|---|--|---|
| | | | |

Check here if entry is None

Check here if additional sheets are attached

ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of \$10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

| F,SP, DC,JT | STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS) | AMOUNT & NATURE OF CONSIDERATION RECEIVED | NAME OF PERSON FURNISHING THE CONSIDERATION |
|----------------|---|--|--|
| | | | |

Check here if entry is None

Check here if additional sheets are attached

ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

| NAME OF CLIENT | NAME OF STATE AGENCY |
|---|----------------------|
| | |
| <input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached | |

ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

| F, SP, DC, JT | NAME OF BUSINESS | NATURE OF BUSINESS | NATURE OF INTEREST | VALUE |
|---|------------------|--------------------|--------------------|-------|
| | | | | |
| <input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached | | | | |

FILER

Randolph Graves Moore

05/20/2016

Type Name of Filer (First, M.I., Last)(Signature required on this line if you are filing a paper form)

Date (m/d/yyyy)

CERTIFICATION: By checking this box or signing your name on this form, you signify and affirm that you are the person whose name appears as the "Filer" above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law.

Filer Name Randolph Graves Moore

Check all that apply. - State Board/Commission Member

Department

Division

State Employee Position

State Board or Commission Name University of Hawaii Board of Regents

Term of Office Start Jul 01, 2013

Term of Office End Jun 30, 2018

Category 1: Income for services rendered for preceding calendar year Yes, I have items

START Item #1 Who holds interest?

Item #1 Name of Employer/ Other source of income

Item #1 Compensation received

Item #1 Description of services rendered

START Item #2 Who holds interest?

Item #2 Name of Employer/ Other source of income

Item #2 Compensation received

Item #2 Description of services rendered

START Item #3 Who holds interest?

Item #3 Name of Employer/ Other source of income

Item #3 Compensation received

Item #3 Description of services rendered

START Item #4 Who holds interest?

Item #4 Name of Employer/ Other source of income

Item #4 Compensation received

Item #4 Description of services rendered

START Item #5 Who holds interest?

Item #5 Name of Employer/ Other source of income

Item #5 Compensation received

Item #5 Description of services rendered

Category 2: Ownership or Beneficial Interests in Businesses Yes, I have items

START Item #1 Who holds interest?

Item #1 Legal name of business

Item #1 Nature of business

Item #1 Nature of interest

Item #1 Value of interest

Item #1 Number of Shares

START Item #2 Who holds interest?

Item #2 Legal name of business

Item #2 Nature of business

Item #2 Nature of interest

Item #2 Value of interest

Item #2 Number of Shares

START Item #3 Who holds interest?

Item #3 Legal name of business

Item #3 Nature of business

Item #3 Nature of interest

Item #3 Value of interest

Item #3 Number of Shares

START Item #4 Who holds interest?

Item #4 Legal name of business

Item #4 Nature of business

Item #4 Nature of interest

Item #4 Value of interest

Item #4 Number of Shares

START Item #5 Who holds interest?

Item #5 Legal name of business

Item #5 Nature of business
Item #5 Nature of interest
Item #5 Value of interest
Item #5 Number of Shares

Category 3: Transfer of Ownership or Beneficial Interests in Businesses Yes, I have items

START Item #1 Who holds interest?

Item #1 Ownership or beneficial interest transferred during this disclosure period

Item #1 Date of transfer

START Item #2 Who holds interest?

Item #2 Ownership or beneficial interest transferred during this disclosure period

Item #2 Date of transfer

START Item #3 Who holds interest?

Item #3 Ownership or beneficial interest transferred during this disclosure period

Item #3 Date of transfer

START Item #4 Who holds interest?

Item #4 Ownership or beneficial interest transferred during this disclosure period

Item #4 Date of transfer

START Item #5 Who holds interest?

Item #5 Ownership or beneficial interest transferred during this disclosure period

Item #5 Date of transfer

Category 4: Creditors Yes, I have items

START Item #1 Who holds interest?

Item #1 Legal name of creditor

Item #1 Original amount owed

Item #1 Amount outstanding

START Item #2 Who holds interest?

Item #2 Legal name of creditor

Item #2 Original amount owed

Item #2 Amount outstanding

START Item #3 Who holds interest?

Item #3 Legal name of creditor

Item #3 Original amount owed

Item #3 Amount outstanding

START Item #4 Who holds interest?

Item #4 Legal name of creditor

Item #4 Original amount owed

Item #4 Amount outstanding

START Item #5 Who holds interest?

Item #5 Legal name of creditor

Item #5 Original amount owed

Item #5 Amount outstanding

Category 5: Officerships, Directorships, Trusteeships Yes, I have items

START Item #1 Who holds interest?

Item #1 Legal name of entity

Item #1 Title held

Item #1 Term of Office

Item #1 Annual compensation

START Item #2 Who holds interest?

Item #2 Legal name of entity

Item #2 Title held

Item #2 Term of Office

Item #2 Annual compensation

START Item #3 Who holds interest?

Item #3 Legal name of entity

Item #3 Title held

Item #3 Term of Office

Item #3 Annual compensation

START Item #4 Who holds interest?

Item #4 Legal name of entity

Item #4 Title held

Item #4 Term of Office

Item #4 Annual compensation

START Item #5 Who holds interest?

Item #5 Legal name of entity

Item #5 Title held

Item #5 Term of Office

Item #5 Annual compensation

Category 6: Interests in Real Property Held, excluding Personal Residence(s) Yes, I have items

START Item #1 Who holds interest?

Item #1 Street address

Item #1 Tax Map Key

Item #1 Value

START Item #2 Who holds interest?

Item #2 Street address

Item #2 Tax Map Key

Item #2 Value

START Item #3 Who holds interest?

Item #3 Street address

Item #3 Tax Map Key

Item #3 Value

START Item #4 Who holds interest?

Item #4 Street address

Item #4 Tax Map Key

Item #4 Value

START Item #5 Who holds interest?

Item #5 Street address

Item #5 Tax Map Key

Item #5 Value

Category 7: Interests in Real Property Acquired, excluding Personal Residence(s) None

START Item #1 Who holds interest?

Item #1 Street address

Item #1 Tax Map Key

Item #1 Amount of consideration paid

Item #1 Nature of consideration paid

Item #1 Legal name of person or entity receiving the consideration

START Item #2 Who holds interest?

Item #2 Street address

Item #2 Tax Map Key

Item #2 Amount of consideration paid

Item #2 Nature of consideration paid

Item #2 Legal name of person or entity receiving the consideration

START Item #3 Who holds interest?

Item #3 Street address

Item #3 Tax Map Key

Item #3 Amount of consideration paid

Item #3 Nature of consideration paid

Item #3 Legal name of person or entity receiving the consideration

START Item #4 Who holds interest?

Item #4 Street address

Item #4 Tax Map Key

Item #4 Amount of consideration paid

Item #4 Nature of consideration paid

Item #4 Legal name of person or entity receiving the consideration

START Item #5 Who holds interest?

Item #5 Street address

Item #5 Tax Map Key

Item #5 Amount of consideration paid

Item #5 Nature of consideration paid

Item #5 Legal name of person or entity receiving the consideration

Category 8: Interests in Real Property Transferred, excluding Personal Residence(s) None

START Item #1 Who holds interest?

Item #1 Street address

Item #1 Tax Map Key

Item #1 Amount of consideration received

Item #1 Nature of consideration received

Item #1 Legal name of person or entity furnishing the consideration

START Item #2 Who holds interest?

Item #2 Street address

Item #2 Tax Map Key

Item #2 Amount of consideration received

Item #2 Nature of consideration received

Item #2 Legal name of person or entity furnishing the consideration

START Item #3 Who holds interest?

Item #3 Street address

Item #3 Tax Map Key

Item #3 Amount of consideration received

Item #3 Nature of consideration received

Item #3 Legal name of person or entity furnishing the consideration

START Item #4 Who holds interest?

Item #4 Street address

Item #4 Tax Map Key

Item #4 Amount of consideration received

Item #4 Nature of consideration received

Item #4 Legal name of person or entity furnishing the consideration

START Item #5 Who holds interest?

Item #5 Street address

Item #5 Tax Map Key

Item #5 Amount of consideration received
Item #5 Nature of consideration received
Item #5 Legal name of person or entity furnishing the consideration

Category 9: Clients Personally Represented before State Agencies None

START Item #1 Legal name of client
Item #1 Name of State Agency
START Item #2 Legal name of client
Item #2 Name of State Agency
START Item #3 Legal name of client
Item #3 Name of State Agency
START Item #4 Legal name of client
Item #4 Name of State Agency
START Item #5 Legal name of client
Item #5 Name of State Agency

Category 10: Creditor Interests in Insolvent Businesses None

START Item #1 Who holds interest?
Item #1 Legal name of entity
Item #1 Nature of business
Item #1 Nature of interest
Item #1 Value
START Item #2 Who holds interest?
Item #2 Legal name of entity
Item #2 Nature of business
Item #2 Nature of interest
Item #2 Value
START Item #3 Who holds interest?
Item #3 Legal name of entity
Item #3 Nature of business
Item #3 Nature of interest
Item #3 Value
START Item #4 Who holds interest?
Item #4 Legal name of entity
Item #4 Nature of business
Item #4 Nature of interest
Item #4 Value
START Item #5 Who holds interest?
Item #5 Legal name of entity
Item #5 Nature of business
Item #5 Nature of interest
Item #5 Value

Upload your additional information [RGM financial disclosure statement 052016.docx](#)

By checking this box, you signify and affirm that you are the person whose name appears as the Filer above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law. - I sign and affirm

Filer Name Randolph Graves Moore

Attachments to Disclosure of Financial Interests

Randolph G. Moore

May 20, 2016

Financial amount code:

- A less than \$1,000
- B \$1,000 to less than \$10,000
- C \$10,000 to less than \$25,000
- D \$25,000 to less than \$50,000
- E \$50,000 to less than \$100,000
- F \$100,000 to less than \$150,000
- G \$150,000 to less than \$250,000
- H \$250,000 to less than \$500,000
- I \$500,000 to less than \$750,000
- J \$750,000 to less than \$1 million
- K \$1 million or more

Item 1 – Income for services rendered for preceding calendar year

| F, SP, DC, JT | Name and address of source of income | Amount | Services rendered |
|------------------|---|--------|-------------------|
| F | Grove Farm Co, Inc. 1100 Alakea Street, 30 th floor Honolulu 96813 | E | Director |
| SP | University of Hawaii Music Department 2411 Dole Street Honolulu 96822 | B | Lecturer |

Item 2 – Ownership or beneficial interests in businesses

| F, SP, DC, JT | Business name and address | Nature of business | Nature of interest | Value |
|--|---|---|-----------------------------|-------|
| F | Haili Moe, Inc. 1100 Alakea Street, 30 th floor Honolulu 96813 | Land development and mgt | Shareholder | D |
| F | RJM Partners 2445-A Makiki Heights Drive Honolulu, HI 96822 | Apartment rentals | General and limited partner | G |
| SP | Kauluwai Corporation 2445-A Makiki Heights Drive Honolulu 96822 | Apartment rentals | Shareholder | K |
| Note: shares in all companies listed below are publicly traded | | | | |
| F, SP, DC, JT | Business name and address | Nature of business | Nature of interest | Value |
| F | ABM Industries, Inc. | Facility mgt services | Shareholder | F |
| F | AT&T Inc | Telecommunications | Shareholder | F |
| F | Abbott Laboratories | Pharmaceutical mfgr | Shareholder | E |
| F | Abbvie Inc | Pharmaceutical research | Shareholder | F |
| F | Alphabet Inc | Internet search engine | Shareholder | H |
| F, SP | Bank of Hawaii | Banking | Shareholder | D |
| F | Briggs & Stratton Corporation | Small engine mfgr | Shareholder | F |
| F, SP | Chevron Corporation | Petroleum | Shareholder | F |
| F | Cisco Systems, Inc. | Network systems | Shareholder | G |
| F | Colgate-Palmolive Co | Toiletries and household products mfgr | Shareholder | H |
| F | ConAgra Foods | Packaged foods | Shareholder | H |
| F | Cummins Inc | Engines and power generation product mfgr | Shareholder | G |
| F | Dentsply Sirona | Dental equip. & consumables mfgr | Shareholder | C |
| F | Dover Corporation | Diversified mfgr | Shareholder | D |
| F | Dow Chemical Company | Chemical products mfgr | Shareholder | G |
| F | Express Scripts Holding Co | pharmacy benefit mgr | Shareholder | G |
| F | General Dynamics Corporation | defense contractor | Shareholder | H |
| F | General Electric Company | Diversified | Shareholder | G |
| F | Goldman Sachs | Investment banking | Shareholder | G |
| F | Hanesbrands, Inc. | Clothing mfgr | Shareholder | D |
| F | Hanover Insurance Group | Property & casualty insurance | Shareholder | H |

| | | | | |
|-------|--------------------------------------|----------------------------------|-------------|---|
| F | Harley Davidson | Motorcycle mfr | Shareholder | D |
| F | Harris Corporation | Communication systems | Shareholder | G |
| F | Hawaiian Holdings, Inc. | Airline | Shareholder | H |
| F | Hewlett-Packard Enterprises | Information technology | Shareholder | D |
| F | HP Inc | Computer mfr | Shareholder | D |
| F | Illinois Tool Works, Inc. | Diversified mfr | Shareholder | E |
| F | Intel Corp. | Computer chips mfr | Shareholder | G |
| F, SP | International Business Machines Corp | Technology and consulting | Shareholder | G |
| F | Johnson Controls, Inc. | Diversified | Shareholder | G |
| F | Kennametal Inc. | Engineered products mfgt | Shareholder | E |
| F | Leggett & Platt, Inc. | Furniture mfr | Shareholder | E |
| F | Lowe's Companies, Inc. | Building materials retailer | Shareholder | H |
| F | McDonald's Corp. | Restaurants | Shareholder | H |
| F | Medtronic, Inc. | Medical devices mfr | Shareholder | H |
| F | MetLife, Inc. | Life insurance | Shareholder | F |
| F | Pepsico, Inc. | Refreshments | Shareholder | F |
| F | Pfizer, Inc. | pharmaceutical mfr | Shareholder | F |
| F | Qorvo Inc. | Electronic products mfr | Shareholder | G |
| F | Raytheon Co | Defense contractor | Shareholder | H |
| F | Rio Tinto plc | Mining | Shareholder | E |
| F | Schwab Emerging Mkts ETF | Stock market fund | Shareholder | F |
| F | Skywest, Inc. | Airline | Shareholder | G |
| F | Southwest Airlines Co. | Airline | Shareholder | H |
| F | Southwestern Energy | Natural gas developer | Shareholder | F |
| F | St. Jude Medical | Medical devices mfr | Shareholder | C |
| F | Staples, Inc. | Office supplies retailer | Shareholder | E |
| F | SuperValu | Supermarket | Shareholder | B |
| F | Target Corporation | Discount dep't store retailer | Shareholder | F |
| F | 3M Company | Diversified | Shareholder | G |
| F | United Therapeutics Corp. | Pharmaceutical drug mfr | Shareholder | G |
| F | UnitedHealth Group, Inc. | Healthcare benefits and services | Shareholder | H |
| F | VeriFone Systems, Inc. | Electronic pmt systems mfr | Shareholder | F |
| F | Whirlpool Corp | Appliances mfr | Shareholder | G |
| F | Zoetis, Inc. | Pharmaceutical drug | Shareholder | D |

| | | | | |
|--|--|------|--|--|
| | | mfgr | | |
|--|--|------|--|--|

Item 3 – Transfer of ownership or beneficial interests in businesses

| F, SP, DC, JT | Ownership or beneficial interest transferred during this disclosure period | Date of transfer |
|---------------|--|------------------|
| F | DIRECTV | 7/27/15 |
| F | Hospira | 7/28/15 |
| F | Petroleo Brasileiro, S.A. | 12/7/15 |
| F | Philip Morris International | 7/14/15 |

Item 4 – Creditors

| F, SP, DC, JT | Name of creditor | Original amount owed | Amount outstanding |
|---------------|------------------|----------------------|--------------------|
| SP | Bank of America | I | H |

Item 5 – Officerships, directorships, trusteeships

| F, SP, DC, JT | Name and address of business | Title held | Term of office | Annual compensation |
|---------------|--|--------------------|----------------|---------------------|
| F | Grove Farm Company, Incorporated 1100 Alakea Street, 30 th floor Honolulu HI 96813 | Director | Year-to-year | D |
| F | Haili Moe, Inc. 1100 Alakea Street, 30 th floor Honolulu HI 96813 | Director | Year-to-year | None |
| F | Hawaii Housing Development Corporation c/o Wisteria Vista Apartments 1239 South King Street Honolulu HI 96814 | Chair and Director | To 10/31/17 | None |
| F | University of Hawaii Bachman Hall 2444 Dole Street Honolulu HI 96813 | Chair and Regent | To 6/30/18 | None |
| SP | Hawaii Opera Theater | Director | To 6/30/18 | None |
| SP | Honolulu Museum of Arts 900 South Beretania Street Honolulu HI 96814 | Trustee | To 6/30/17 | None |
| SP | Manoa Heritage Center 2829 Manoa Road Honolulu HI 96822 | Trustee | Year-to-year | None |

Item 6 – Interests in real property held, excluding personal residences

| F, SP, DC, JT | Street address | TMK no. | Value |
|---------------|---|--------------------------------------|-------|
| F | 1320 Alexander Street, Honolulu | 2-8-11:6(18) | H |
| F | 2085 Makiki Place, Honolulu | 2-5-3:49 | I |
| F | 1517-1519 Nuuanu Avenue, Honolulu | 2-1-5:4(39, 336, 352, 362, 410, 418) | K |
| F | 53-356 Kamehemeha Highway, Punaluu, Oahu | 5-3-4:40 | K |
| F | 14650 SW Farmington Road, Beaverton, Oregon | n/a | I |
| SP | 7700 Garden Home Road SW, Portland, Oregon | n/a | K |
| F | 8115-7 N. Edison Drive, Portland, Oregon | n/a | K |