HAWAII STATE ETHICS COMMISSION
DISCLOSURE OF FINANCIAL INTERESTS: LONG FORM

FILER
Baker
Last Name
Rosalyn
First Name
H
M.I.

FOR STATE EMPLOYEES
Legislature
Department
State Senate
Division
State Senator
Position

FOR STATE BOARD/COMMISSION MEMBERS
Board/Commission Name

BEGIN END
Term of Office (mm/dd/yyyy)

FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.

ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR
List the source and amount of all income of $1,000 or more received during the preceding calendar year for services rendered (INCLUDING INCOME EARNED FROM YOUR STATE POSITION), and the nature of the services rendered.

<table>
<thead>
<tr>
<th>F, SP, DC, JT</th>
<th>NAME OF EMPLOYER / OTHER SOURCE(S) OF INCOME</th>
<th>AMOUNT</th>
<th>SERVICES RENDERED</th>
</tr>
</thead>
</table>

☐ Check here if entry is None
☑ Check here if additional sheets are attached

ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES
List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of $5,000 or more or is equal to 10% or more of the ownership of the business. YOU ARE REQUIRED TO LIST ALL STOCKS, MUTUAL FUNDS OR OTHER NON-RETIREMENT INVESTMENT INTERESTS VALUED AT $5,000 OR MORE.

<table>
<thead>
<tr>
<th>F, SP, DC, JT</th>
<th>NAME OF BUSINESS</th>
<th>NATURE OF BUSINESS</th>
<th>NATURE OF INTEREST</th>
<th>VALUE OR NO. OF SHARES</th>
</tr>
</thead>
</table>

☐ Check here if entry is None
☑ Check here if additional sheets are attached
ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES
List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

<table>
<thead>
<tr>
<th>F,SP, DC,JT</th>
<th>OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD</th>
<th>DATE OF TRANSFER</th>
</tr>
</thead>
</table>

☑ Check here if entry is None ☐ Check here if additional sheets are attached

ITEM 4: CREDITORS
List the name of each creditor to whom the value of $3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

<table>
<thead>
<tr>
<th>F,SP, DC,JT</th>
<th>NAME OF CREDITOR</th>
<th>ORIGINAL AMOUNT OWED</th>
<th>AMOUNT OUTSTANDING</th>
</tr>
</thead>
</table>

☐ Check here if entry is None ☑ Check here if additional sheets are attached

ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS
List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

<table>
<thead>
<tr>
<th>F,SP, DC,JT</th>
<th>NAME OF BUSINESS</th>
<th>TITLE HELD</th>
<th>TERM OF OFFICE</th>
<th>ANNUAL COMPENSATION</th>
</tr>
</thead>
</table>

☐ Check here if entry is None ☑ Check here if additional sheets are attached
**ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)**
List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of $10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

<table>
<thead>
<tr>
<th>F, SP, DC, JT</th>
<th>STREET ADDRESS</th>
<th>TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)</th>
<th>VALUE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

✔ Check here if entry is None ✔ Check here if additional sheets are attached

**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)**
List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of $10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

<table>
<thead>
<tr>
<th>F, SP, DC, JT</th>
<th>STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)</th>
<th>AMOUNT &amp; NATURE OF CONSIDERATION PAID</th>
<th>NAME OF PERSON RECEIVING THE CONSIDERATION</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

✔ Check here if entry is None ✔ Check here if additional sheets are attached

**ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)**
List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of $10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

<table>
<thead>
<tr>
<th>F, SP, DC, JT</th>
<th>STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)</th>
<th>AMOUNT &amp; NATURE OF CONSIDERATION RECEIVED</th>
<th>NAME OF PERSON FURNISHING THE CONSIDERATION</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

✔ Check here if entry is None ✔ Check here if additional sheets are attached
ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES
List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

<table>
<thead>
<tr>
<th>NAME OF CLIENT</th>
<th>NAME OF STATE AGENCY</th>
</tr>
</thead>
</table>

✔ Check here if entry is None    ☐ Check here if additional sheets are attached

ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES
List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of $5,000 or more.

<table>
<thead>
<tr>
<th>F,SP, DC, JT</th>
<th>NAME OF BUSINESS</th>
<th>NATURE OF BUSINESS</th>
<th>NATURE OF INTEREST</th>
<th>VALUE</th>
</tr>
</thead>
</table>

✔ Check here if entry is None    ☐ Check here if additional sheets are attached

FILER

Rosalyn H Baker 01/29/2016

CERTIFICATION: By checking this box or signing your name on this form, you signify and affirm that you are the person whose name appears as the “Filer” above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law.
Filer Name Rosalyn H Baker

Check all that apply. - State Employee

Department Legislature
Division State Senate
State Employee Position State Senator
State Board or Commission Name
Term of Office Start
Term of Office End

Category 1: Income for services rendered for preceding calendar year Yes, I have items

START Item #1 Who holds interest? Filer
  Item #1 Name of Employer/ Other source of income State of Hawaii
  Item #1 Compensation received E : At least $50,000 but less than $100,000
  Item #1 Description of services rendered Salary -- State Senator, District 6
START Item #2 Who holds interest? Filer
  Item #2 Name of Employer/ Other source of income Hawaii Employees Retirement System
  Item #2 Compensation received D : At least $25,000 but less than $50,000
  Item #2 Description of services rendered retirement pay
START Item #3 Who holds interest?
  Item #3 Name of Employer/ Other source of income
  Item #3 Compensation received
  Item #3 Description of services rendered
START Item #4 Who holds interest?
  Item #4 Name of Employer/ Other source of income
  Item #4 Compensation received
  Item #4 Description of services rendered
START Item #5 Who holds interest?
  Item #5 Name of Employer/ Other source of income
  Item #5 Compensation received
  Item #5 Description of services rendered

Category 2: Ownership or Beneficial Interests in Businesses Yes, I have items

START Item #1 Who holds interest? Filer
  Item #1 Legal name of business IShares Cohen & Steers
  Item #1 Nature of business REIT ETF Exchange Traded Fund
  Item #1 Nature of interest Share Holder
  Item #1 Value of interest C : At least $10,000 but less than $25,000
  Item #1 Number of Shares 206.0
START Item #2 Who holds interest? Filer
  Item #2 Legal name of business IShares Core S&P 500
  Item #2 Nature of business ETF
  Item #2 Value of interest G : At least $150,000 but less than $250,000
  Item #2 Number of Shares 903.0
START Item #3 Who holds interest? Filer
  Item #3 Legal name of business IShares Russell 1000 Value
  Item #3 Nature of business ETF
  Item #3 Nature of interest Share Holder
  Item #3 Value of interest F : At least $100,000 but less than $150,000
  Item #3 Number of Shares 1029.0
START Item #4 Who holds interest?
  Item #4 Legal name of business
  Item #4 Nature of business
  Item #4 Nature of interest
  Item #4 Value of interest
  Item #4 Number of Shares
START Item #5 Who holds interest?
  Item #5 Legal name of business
  Item #5 Nature of business
  Item #5 Nature of interest
  Item #5 Value of interest
  Item #5 Number of Shares
Category 3: Transfer of Ownership or Beneficial Interests in Businesses

START Item #1 Who holds interest? Filer
Item #1 Ownership or beneficial interest transferred during this disclosure period
Item #1 Date of transfer
START Item #2 Who holds interest? Filer
Item #2 Ownership or beneficial interest transferred during this disclosure period
Item #2 Date of transfer
START Item #3 Who holds interest? Filer
Item #3 Ownership or beneficial interest transferred during this disclosure period
Item #3 Date of transfer
START Item #4 Who holds interest? Filer
Item #4 Ownership or beneficial interest transferred during this disclosure period
Item #4 Date of transfer
START Item #5 Who holds interest? Filer
Item #5 Ownership or beneficial interest transferred during this disclosure period
Item #5 Date of transfer

Category 4: Creditors

START Item #1 Who holds interest? Filer
Item #1 Legal name of creditor Bank of Hawaii Mortgage Loan Service
Item #1 Original amount owed H: At least $250,000 but less than $500,000
Item #1 Amount outstanding H: At least $250,000 but less than $500,000
START Item #2 Who holds interest? Filer
Item #2 Legal name of creditor
Item #2 Original amount owed
Item #2 Amount outstanding
START Item #3 Who holds interest? Filer
Item #3 Legal name of creditor
Item #3 Original amount owed
Item #3 Amount outstanding
START Item #4 Who holds interest? Filer
Item #4 Legal name of creditor
Item #4 Original amount owed
Item #4 Amount outstanding
START Item #5 Who holds interest? Filer
Item #5 Legal name of creditor
Item #5 Original amount owed
Item #5 Amount outstanding

Category 5: Officerships, Directorships, Trusteeships

START Item #1 Who holds interest? Filer
Item #1 Legal name of entity Maui Economic Development Board
Item #1 Title held Director
Item #1 Term of Office October 2018
Item #1 Annual compensation
START Item #2 Who holds interest? Filer
Item #2 Legal name of entity
Item #2 Title held
Item #2 Term of Office
Item #2 Annual compensation
START Item #3 Who holds interest? Filer
Item #3 Legal name of entity
Item #3 Title held
Item #3 Term of Office
Item #3 Annual compensation
START Item #4 Who holds interest? Filer
Item #4 Legal name of entity
Item #4 Title held
Item #4 Term of Office
Item #4 Annual compensation
START Item #5 Who holds interest? Filer
Item #5 Legal name of entity
Item #5 Title held
Item #5 Term of Office
Item #5 Annual compensation
<table>
<thead>
<tr>
<th>Item #1 Who holds interest?</th>
<th>Item #1 Street address</th>
<th>Item #1 Tax Map Key</th>
<th>Item #1 Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Item #2 Who holds interest?</td>
<td>Item #2 Street address</td>
<td>Item #2 Tax Map Key</td>
<td>Item #2 Value</td>
</tr>
<tr>
<td>Item #3 Who holds interest?</td>
<td>Item #3 Street address</td>
<td>Item #3 Tax Map Key</td>
<td>Item #3 Value</td>
</tr>
<tr>
<td>Item #4 Who holds interest?</td>
<td>Item #4 Street address</td>
<td>Item #4 Tax Map Key</td>
<td>Item #4 Value</td>
</tr>
<tr>
<td>Item #5 Who holds interest?</td>
<td>Item #5 Street address</td>
<td>Item #5 Tax Map Key</td>
<td>Item #5 Value</td>
</tr>
</tbody>
</table>

| START Item #1 Who holds interest? | Item #1 Street address | Item #1 Tax Map Key | Item #1 Amount of consideration paid | Item #1 Nature of consideration paid |
| START Item #2 Who holds interest? | Item #2 Street address | Item #2 Tax Map Key | Item #2 Amount of consideration paid | Item #2 Nature of consideration paid |
| START Item #3 Who holds interest? | Item #3 Street address | Item #3 Tax Map Key | Item #3 Amount of consideration paid | Item #3 Nature of consideration paid |
| START Item #4 Who holds interest? | Item #4 Street address | Item #4 Tax Map Key | Item #4 Amount of consideration paid | Item #4 Nature of consideration paid |
| START Item #5 Who holds interest? | Item #5 Street address | Item #5 Tax Map Key | Item #5 Amount of consideration paid | Item #5 Nature of consideration paid |
### Category 8: Interests in Real Property Transferred, excluding Personal Residence(s)

<table>
<thead>
<tr>
<th>Item #</th>
<th>Who holds interest?</th>
<th>Street address</th>
<th>Tax Map Key</th>
<th>Amount of consideration received</th>
<th>Nature of consideration received</th>
<th>Legal name of person or entity furnishing the consideration</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

None

### Category 9: Clients Personally Represented before State Agencies

<table>
<thead>
<tr>
<th>Item #</th>
<th>Legal name of client</th>
<th>Name of State Agency</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

None

### Category 10: Creditor Interests in Insolvent Businesses

<table>
<thead>
<tr>
<th>Item #</th>
<th>Who holds interest?</th>
<th>Legal name of entity</th>
<th>Nature of business</th>
<th>Nature of interest</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

None
Item #5 Nature of interest
Item #5 Value

Upload your additional information

By checking this box, you signify and affirm that you are the person whose name appears as the Filer above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law. I sign and affirm

Filer Name Rosalyn H Baker