

HAWAII STATE ETHICS COMMISSION DISCLOSURE OF FINANCIAL INTERESTS: LONG FORM

FILER

Moore

Last Name

Randolph

First Name

Grave

M.I.

FOR STATE EMPLOYEES

Department

Division

Position

FOR STATE BOARD/COMMISSION MEMBERS

University of Hawaii Board of Regents

Board/Commission Name

07/01/2013

06/30/2018

BEGIN

END

Term of Office (mm/dd/yyyy)

FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.

USE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR

List the source and amount of all income of \$1,000 or more received during the preceding calendar year for services rendered (INCLUDING INCOME EARNED FROM YOUR STATE POSITION), and the nature of the services rendered.

F, SP, DC, JT	NAME OF EMPLOYER / OTHER SOURCE(S) OF INCOME	AMOUNT	SERVICES RENDERED

Check here if entry is None

Check here if additional sheets are attached

ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business. YOU ARE REQUIRED TO LIST ALL STOCKS, MUTUAL FUNDS OR OTHER NON-RETIREMENT INVESTMENT INTERESTS VALUED AT \$5,000 OR MORE.

Please see instructions available at <http://ethics.hawaii.gov>.

F, SP, DC, JT	NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES

Check here if entry is None

Check here if additional sheets are attached

ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER
<input type="checkbox"/> Check here if entry is None <input checked="" type="checkbox"/> Check here if additional sheets are attached		

ITEM 4: CREDITORS

List the name of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

F,SP, DC,JT	NAME OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING
<input type="checkbox"/> Check here if entry is None <input checked="" type="checkbox"/> Check here if additional sheets are attached			

ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F,SP, DC,JT	NAME OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION
<input type="checkbox"/> Check here if entry is None <input checked="" type="checkbox"/> Check here if additional sheets are attached				

ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS	TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	VALUE

Check here if entry is None

Check here if additional sheets are attached

ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION

Check here if entry is None

Check here if additional sheets are attached

ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of \$10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION

Check here if entry is None

Check here if additional sheets are attached

ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached	

ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

F, SP, DC, JT	NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached				

FILER

Randolph Graves Moore

05/12/2017

Type Name of Filer (First, M.I., Last)(Signature required on this line if you are filing a paper form)

Date (m/d/yyyy)

CERTIFICATION: By checking this box or signing your name on this form, you signify and affirm that you are the person whose name appears as the "Filer" above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law.

Filer Name Randolph Graves Moore

Check all that apply. - State Board/Commission Member

Department

Division

State Employee Position

State Board or Commission Name University of Hawaii Board of Regents

Term of Office Start Jul 01, 2013

Term of Office End Jun 30, 2018

Category 1: Income for services rendered for preceding calendar year Yes, I have items

START Item #1 Who holds interest?

Item #1 Name of Employer/ Other source of income

Item #1 Compensation received

Item #1 Description of services rendered

START Item #2 Who holds interest?

Item #2 Name of Employer/ Other source of income

Item #2 Compensation received

Item #2 Description of services rendered

START Item #3 Who holds interest?

Item #3 Name of Employer/ Other source of income

Item #3 Compensation received

Item #3 Description of services rendered

START Item #4 Who holds interest?

Item #4 Name of Employer/ Other source of income

Item #4 Compensation received

Item #4 Description of services rendered

START Item #5 Who holds interest?

Item #5 Name of Employer/ Other source of income

Item #5 Compensation received

Item #5 Description of services rendered

Category 2: Ownership or Beneficial Interests in Businesses Yes, I have items

START Item #1 Who holds interest?

Item #1 Legal name of business

Item #1 Nature of business

Item #1 Nature of interest

Item #1 Value of interest

Item #1 Number of Shares

START Item #2 Who holds interest?

Item #2 Legal name of business

Item #2 Nature of business

Item #2 Nature of interest

Item #2 Value of interest

Item #2 Number of Shares

START Item #3 Who holds interest?

Item #3 Legal name of business

Item #3 Nature of business

Item #3 Nature of interest

Item #3 Value of interest

Item #3 Number of Shares

START Item #4 Who holds interest?

Item #4 Legal name of business

Item #4 Nature of business

Item #4 Nature of interest

Item #4 Value of interest

Item #4 Number of Shares

START Item #5 Who holds interest?

Item #5 Legal name of business

Item #5 Nature of business

Item #5 Nature of interest

Item #5 Value of interest

Item #5 Number of Shares

Category 3: Transfer of Ownership or Beneficial Interests in Businesses Yes, I have items

START Item #1 Who holds interest?

Item #1 Ownership or beneficial interest transferred during this disclosure period

Item #1 Date of transfer

START Item #2 Who holds interest?

Item #2 Ownership or beneficial interest transferred during this disclosure period

Item #2 Date of transfer

START Item #3 Who holds interest?

Item #3 Ownership or beneficial interest transferred during this disclosure period

Item #3 Date of transfer

START Item #4 Who holds interest?

Item #4 Ownership or beneficial interest transferred during this disclosure period

Item #4 Date of transfer

START Item #5 Who holds interest?

Item #5 Ownership or beneficial interest transferred during this disclosure period

Item #5 Date of transfer

Category 4: Creditors Yes, I have items

START Item #1 Who holds interest?

Item #1 Legal name of creditor

Item #1 Original amount owed

Item #1 Amount outstanding

START Item #2 Who holds interest?

Item #2 Legal name of creditor

Item #2 Original amount owed

Item #2 Amount outstanding

START Item #3 Who holds interest?

Item #3 Legal name of creditor

Item #3 Original amount owed

Item #3 Amount outstanding

START Item #4 Who holds interest?

Item #4 Legal name of creditor

Item #4 Original amount owed

Item #4 Amount outstanding

START Item #5 Who holds interest?

Item #5 Legal name of creditor

Item #5 Original amount owed

Item #5 Amount outstanding

Category 5: Officerships, Directorships, Trusteeships Yes, I have items

START Item #1 Who holds interest?

Item #1 Legal name of entity

Item #1 Title held

Item #1 Term of Office

Item #1 Annual compensation

START Item #2 Who holds interest?

Item #2 Legal name of entity

Item #2 Title held

Item #2 Term of Office

Item #2 Annual compensation

START Item #3 Who holds interest?

Item #3 Legal name of entity

Item #3 Title held

Item #3 Term of Office

Item #3 Annual compensation

START Item #4 Who holds interest?

Item #4 Legal name of entity

Item #4 Title held

Item #4 Term of Office

Item #4 Annual compensation

START Item #5 Who holds interest?

Item #5 Legal name of entity

Item #5 Title held

Item #5 Term of Office

Item #5 Annual compensation

Category 6: Interests in Real Property Held, excluding Personal Residence(s) Yes, I have items

START Item #1 Who holds interest?

Item #1 Street address

Item #1 Tax Map Key

Item #1 Value

START Item #2 Who holds interest?

Item #2 Street address

Item #2 Tax Map Key

Item #2 Value

START Item #3 Who holds interest?

Item #3 Street address

Item #3 Tax Map Key

Item #3 Value

START Item #4 Who holds interest?

Item #4 Street address

Item #4 Tax Map Key

Item #4 Value

START Item #5 Who holds interest?

Item #5 Street address

Item #5 Tax Map Key

Item #5 Value

Category 7: Interests in Real Property Acquired, excluding Personal Residence(s) None

START Item #1 Who holds interest?

Item #1 Street address

Item #1 Tax Map Key

Item #1 Amount of consideration paid

Item #1 Nature of consideration paid

Item #1 Legal name of person or entity receiving the consideration

START Item #2 Who holds interest?

Item #2 Street address

Item #2 Tax Map Key

Item #2 Amount of consideration paid

Item #2 Nature of consideration paid

Item #2 Legal name of person or entity receiving the consideration

START Item #3 Who holds interest?

Item #3 Street address

Item #3 Tax Map Key

Item #3 Amount of consideration paid

Item #3 Nature of consideration paid

Item #3 Legal name of person or entity receiving the consideration

START Item #4 Who holds interest?

Item #4 Street address

Item #4 Tax Map Key

Item #4 Amount of consideration paid

Item #4 Nature of consideration paid

Item #4 Legal name of person or entity receiving the consideration

START Item #5 Who holds interest?

Item #5 Street address

Item #5 Tax Map Key

Item #5 Amount of consideration paid

Item #5 Nature of consideration paid

Item #5 Legal name of person or entity receiving the consideration

Category 8: Interests in Real Property Transferred, excluding Personal Residence(s) Yes, I have items

START Item #1 Who holds interest?
Item #1 Street address
Item #1 Tax Map Key
Item #1 Amount of consideration received
Item #1 Nature of consideration received
Item #1 Legal name of person or entity furnishing the consideration
START Item #2 Who holds interest?
Item #2 Street address
Item #2 Tax Map Key
Item #2 Amount of consideration received
Item #2 Nature of consideration received
Item #2 Legal name of person or entity furnishing the consideration
START Item #3 Who holds interest?
Item #3 Street address
Item #3 Tax Map Key
Item #3 Amount of consideration received
Item #3 Nature of consideration received
Item #3 Legal name of person or entity furnishing the consideration
START Item #4 Who holds interest?
Item #4 Street address
Item #4 Tax Map Key
Item #4 Amount of consideration received
Item #4 Nature of consideration received
Item #4 Legal name of person or entity furnishing the consideration
START Item #5 Who holds interest?
Item #5 Street address
Item #5 Tax Map Key
Item #5 Amount of consideration received
Item #5 Nature of consideration received
Item #5 Legal name of person or entity furnishing the consideration

Category 9: Clients Personally Represented before State Agencies None

START Item #1 Legal name of client
Item #1 Name of State Agency
START Item #2 Legal name of client
Item #2 Name of State Agency
START Item #3 Legal name of client
Item #3 Name of State Agency
START Item #4 Legal name of client
Item #4 Name of State Agency
START Item #5 Legal name of client
Item #5 Name of State Agency

Category 10: Creditor Interests in Insolvent Businesses None

START Item #1 Who holds interest?
Item #1 Legal name of entity
Item #1 Nature of business
Item #1 Nature of interest
Item #1 Value
START Item #2 Who holds interest?
Item #2 Legal name of entity
Item #2 Nature of business
Item #2 Nature of interest
Item #2 Value
START Item #3 Who holds interest?
Item #3 Legal name of entity
Item #3 Nature of business
Item #3 Nature of interest
Item #3 Value
START Item #4 Who holds interest?
Item #4 Legal name of entity
Item #4 Nature of business
Item #4 Nature of interest
Item #4 Value
START Item #5 Who holds interest?
Item #5 Legal name of entity
Item #5 Nature of business

Item #5 Nature of interest
Item #5 Value

Upload your additional information [RGM financial disclosure statement 050117.docx](#)

By checking this box, you signify and affirm that you are the person whose name appears as the Filer above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law. - I sign and affirm

Filer Name Randolph Graves Moore

Attachments to Disclosure of Financial Interests
Randolph G. Moore
May 1, 2017

Financial amount code:

- A less than \$1,000
- B \$1,000 to less than \$10,000
- C \$10,000 to less than \$25,000
- D \$25,000 to less than \$50,000
- E \$50,000 to less than \$100,000
- F \$100,000 to less than \$150,000
- G \$150,000 to less than \$250,000
- H \$250,000 to less than \$500,000
- I \$500,000 to less than \$750,000
- J \$750,000 to less than \$1 million
- K \$1 million or more

Item 1 – Income for services rendered for preceding calendar year

F, SP, DC, JT	Name and address of source of income	Amount	Services rendered
F	Grove Farm Co, Inc. 1100 Alakea Street, 30 th floor Honolulu 96813	E	Director
SP	University of Hawaii Music Department 2411 Dole Street Honolulu 96822	B	Lecturer

Item 2 – Ownership or beneficial interests in businesses

F, SP, DC, JT	Business name and address	Nature of business	Nature of interest	Value
F	Haili Moe, Inc. 1100 Alakea Street, 30 th floor Honolulu 96813	Land development and mgt	Shareholder	D
F	RJM Partners 2445-A Makiki Heights Drive Honolulu, HI 96822	In process of liquidating	General and limited partner	A
SP	Kauluwai Corporation 2445-A Makiki Heights Drive Honolulu 96822	Apartment rentals	Shareholder	K
Note: shares in all companies listed below are publicly traded				
F, SP, DC, JT	Business name and address	Nature of business	Nature of interest	Value
F	ABM Industries, Inc.	Facility mgt services	Shareholder	G
F	AT&T Inc	Telecommunications	Shareholder	G
F	Abbott Laboratories	Pharmaceutical mfgr	Shareholder	F
F	Abbvie Inc	Pharmaceutical research	Shareholder	G
F	Adient PLC	Automotive seat mfgr	Shareholder	D
F	Alphabet Inc	Internet search engine	Shareholder	H
F	Amgen Inc.	Biotechnology	Shareholder	G
F, SP	Bank of Hawaii	Banking	Shareholder	E
F	Briggs & Stratton Corporation	Small engine mfgr	Shareholder	G
F, SP	Chevron Corporation	Petroleum	Shareholder	C
F	Cisco Systems, Inc.	Network systems	Shareholder	G
F	Colgate-Palmolive Co	Toiletries and household products mfgr	Shareholder	H
F	ConAgra Foods	Packaged foods	Shareholder	H
F	Cummins Inc	Engines and power generation product mfgr	Shareholder	H
F	Dentsply Sirona	Dental equip. & consumables mfgr	Shareholder	D
F	Dover Corporation	Diversified mfgr	Shareholder	D
F	Dow Chemical Company	Chemical products mfgr	Shareholder	G
F	DXC Technology Com	Information technology services	Shareholder	C
F	Express Scripts Holding Co	pharmacy benefit mgr	Shareholder	G
F	General Dynamics Corporation	defense contractor	Shareholder	H

F	General Electric Company	Diversified	Shareholder	G
F	Goldman Sachs	Investment banking	Shareholder	G
F	Hanesbrands, Inc.	Clothing mfgr	Shareholder	D
F	Hanover Insurance Group	Property & casualty insurance	Shareholder	H
F	Harley Davidson	Motorcycle mfgr	Shareholder	E
F	Harris Corporation	Communication systems	Shareholder	H
F	Hawaiian Holdings, Inc.	Airline	Shareholder	H
F	Hewlett-Packard Enterprises	Information technology	Shareholder	D
F	HP Inc	Computer mfgr	Shareholder	D
F	Illinois Tool Works, Inc.	Diversified mfgr	Shareholder	F
F	Intel Corp.	Computer chips mfgr	Shareholder	G
F, SP	International Business Machines Corp	Technology and consulting	Shareholder	G
F	Johnson Controls, Inc.	Diversified	Shareholder	G
F	Kennametal Inc.	Engineered products mfgr	Shareholder	F
F	Lamb Weston Holdings	Frozen potato products	Shareholder	E
F	Leggett & Platt, Inc.	Furniture mfgr	Shareholder	E
F	Lowe's Companies, Inc.	Building materials retailer	Shareholder	H
F	Maui Land & Pineapple Co., Inc	Real estate	Shareholder	B
F	McDonald's Corp.	Restaurants	Shareholder	H
F	Medtronic, Inc.	Medical devices mfgr	Shareholder	H
F	MetLife, Inc.	Life insurance	Shareholder	G
F	Pepsico, Inc.	Refreshments	Shareholder	G
F	Pfizer, Inc.	pharmaceutical mfgr	Shareholder	F
F	Qorvo Inc.	Electronic products mfgr	Shareholder	H
F	Raytheon Co	Defense contractor	Shareholder	H
F	Schwab Emerging Mkts ETF	Stock market fund	Shareholder	G
F	Skywest, Inc.	Airline	Shareholder	H
F	Southwest Airlines Co.	Airline	Shareholder	I
F	Southwestern Energy	Natural gas developer	Shareholder	E
F	SuperValu	Supermarket	Shareholder	A
F	Target Corporation	Discount dep't store retailer	Shareholder	F
F	3M Company	Diversified	Shareholder	H
F	United Therapeutics Corp.	Pharmaceutical drug mfgr	Shareholder	G
F	UnitedHealth Group, Inc.	Healthcare benefits and services	Shareholder	H
F	VeriFone Systems, Inc.	Electronic pmt	Shareholder	F

		systems mfgr		
F	Whirlpool Corp	Appliances mfgr	Shareholder	G
F	Zoetis, Inc.	Pharmaceutical drug mfgr	Shareholder	E

Item 3 – Transfer of ownership or beneficial interests in businesses

F, SP, DC, JT	Ownership or beneficial interest transferred during this disclosure period	Date of transfer
F	Rio Tinto PLC	12/22/16
F	Staples Inc	12/22/16
F	St. Jude Medical	1/5/17
F		7/14/15

Item 4 – Creditors

F, SP, DC, JT	Name of creditor	Original amount owed	Amount outstanding
SP	Bank of America	I	G

Item 5 – Officerships, directorships, trusteeships

F, SP, DC, JT	Name and address of business	Title held	Term of office	Annual compensation
F	Grove Farm Company, Incorporated 1100 Alakea Street, 30 th floor Honolulu HI 96813	Director	Year-to-year	D
F	Haili Moe, Inc. 1100 Alakea Street, 30 th floor Honolulu HI 96813	Director	Year-to-year	None
F	Hawaii Housing Development Corporation c/o Wisteria Vista Apartments 1239 South King Street Honolulu HI 96814	Chair and Director	To 10/31/17	None
F	University of Hawaii Bachman Hall 2444 Dole Street Honolulu HI 96813	Vice chair and Regent	To 6/30/18	None
SP	Hawaii Opera Theater	Director	To 6/30/18	None
SP	Honolulu Museum of Arts 900 South Beretania Street Honolulu HI 96814	Trustee	To 6/30/17	None
SP	Manoa Heritage Center 2829 Manoa Road Honolulu HI 96822	Trustee	Year-to-year	None

Item 6 – Interests in real property held, excluding personal residences

F, SP, DC, JT	Street address	TMK no.	Value
F	1320 Alexander Street, Honolulu	2-8-11:6(18)	H
F	2085 Makiki Place, Honolulu	2-5-3:49	I
F	1517-1519 Nuuanu Avenue, Honolulu	2-1-5:4(39, 336, 352, 362, 410, 418)	K
F	53-356 Kamehameha Highway, Punaluu, Oahu	5-3-4:40	K
SP	7700 Garden Home Road SW, Portland, Oregon	n/a	K
F	8115-7 N. Edison Drive, Portland, Oregon	n/a	K

Item 8 – Interests in real property transferred, excluding personal residences

F, SP, DC, JT	Street address and TMK no.	Amount and nature of consideration received	Name of person furnishing consideration
F	14650 SW Farmington Road, Beaverton, Oregon	J - cash	Trion Properties Management LLC