

# HAWAII STATE ETHICS COMMISSION

## DISCLOSURE OF FINANCIAL INTERESTS: LONG FORM

STATE OF HAWAII  
ETHICS COMMISSION

**FILER**  
 Sullivan Jan N.  
 Last Name First Name M.I.

<p><b>FOR STATE EMPLOYEES</b></p> <p>Department</p> <p>Division</p> <p>Position</p>	<p><b>FOR STATE BOARD/COMMISSION MEMBERS</b></p> <p>University of Hawaii Board of Regents</p> <p>Board/Commission Name</p> <p>07/01/2011 06/30/2021</p> <p><b>BEGIN END</b></p> <p><i>Term of Office (mm/dd/yyyy)</i></p>
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**FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.**  
 USE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

**ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR**

List the source and amount of all income of \$1,000 or more received during the preceding calendar year for services rendered (INCLUDING INCOME EARNED FROM YOUR STATE POSITION), and the nature of the services rendered.

F, SP, DC, JT	NAME OF EMPLOYER / OTHER SOURCE(S) OF INCOME	AMOUNT	SERVICES RENDERED
F	Oceanit 828 Fort Street Mall, Suite 600 Honolulu, HI 96813	G	Executive
SP	Oceanit 828 Fort Street Mall, Suite 600 Honolulu, HI 96813	G	Executive
F	Rental Income (pertaining to Item 6)	H	Property rental

Check here if entry is None
  Check here if additional sheets are attached

**ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business. YOU ARE REQUIRED TO LIST ALL STOCKS, MUTUAL FUNDS OR OTHER NON-RETIREMENT INVESTMENT INTERESTS VALUED AT \$5,000 OR MORE. Please see instructions available at <http://ethics.hawaii.gov>.

F, SP, DC, JT	NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
F, SP	Oceanit 828 Fort Street Mall Suite 600 Honolulu, HI 96813	Engineering & Tech	Family owned business	K
F, SP	Hoana Medical 677 Ala Moana Boulevard Suite 816 Honolulu, HI 96813	Medical Device	Stockholder	B

Check here if entry is None
  Check here if additional sheets are attached

**ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

F, SP, DC, JT	NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
F	Century Center, Inc.	Real estate holding company	2.5%	E
F	Century Mission Viejo, LP	Real estate holding company	47.5%	K
F	Esplanade Sullivan, LLC	Real estate holding company	100%	K
F	Gothard Sullivan, LLC	Real estate holding company	100%	K
F	Syracuse Jan Sullivan, LLC	Real estate holding company	100%	K
F	Jan Sullivan Hilo, LLC	Real estate holding company	100%	K
F	Oceanside Sullivan, LLC	Real estate holding company	100%	K
F	Cadinha & Co	Retirement savings		I
F	Great West	Retirement savings		H
SP	Great West	Retirement savings		H

**ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER
F	Brea Lambert Sullivan, LLC	03/03/2016

Check here if entry is None
  Check here if additional sheets are attached

**ITEM 4: CREDITORS**

List the name of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

F,SP, DC,JT	NAME OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING
F	Farmers and Merchants Bank	K	K
F	JP Morgan Chase	K	K
F	RYT Trust	K	K
F	Guaranty Bank	K	K

Check here if entry is None
  Check here if additional sheets are attached

**ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS**

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F,SP, DC,JT	NAME OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION
F	University of Hawaii	Regent	07/01/2011 to 06/30/2021	\$0
SP	Rehabilitation Hospital	Board Member		\$0
F	Jan Sullivan Trust	Trustee		\$0
F, SP	Oahu Economic Development Board	Director		\$0
SP	IBIS Networks, Inc.	Director		\$0

Check here if entry is None
  Check here if additional sheets are attached

**ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS	TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	VALUE
JT	411 Hobron Lane, #1613 Honolulu, HI 96815	1-2-6-012-029-0138-001	H
F	411 Hobron Lane, #2505 Honolulu, HI 96815	1-2-6-012-029-0256	H
F	26522 La Alameda Mission Viejo, CA		K
F	33161 Camino Capistrano San Juan Capistrano, CA		K

Check here if entry is None  Check here if additional sheets are attached

**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION
F	1950-1980 Oceanside Boulevard Oceanside, CA	K	Oceanside Sullivan LLC

Check here if entry is None  Check here if additional sheets are attached

**ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of \$10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION
F	6, 8, & 10 Inverness Court East Englewood, CO	K	Melcor Inverness LLC

Check here if entry is None  Check here if additional sheets are attached

**ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)**

F, SP, DC, JT	STREET ADDRESS	TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	VALUE
F	6161 S. Syracuse Way Greenwood Village, CO		K
F	308 Kamehameha Avenue Hilo, HI		K
F	1662-16742-16792 Gothard Street Huntington Beach, CA		K
F	136 Country Club Drive, #623 Mountain Village, CO		J
F	1950-1980 Oceanside Boulevard Oceanside, CA		K

**ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES**

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY

Check here if entry is None

Check here if additional sheets are attached

**ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES**

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

F.SP. DC.JT	NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE

Check here if entry is None

Check here if additional sheets are attached

**FILER**


4/18/17  
 Filer's Signature Jan N. Sullivan Date

**CERTIFICATION:** By checking this box, you signify and affirm that you are the person whose name appears as the "Filer" above and the information contained in the form is true, correct and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Hawaii law.